

- **When was Board Rule 106.2 approved?**

Board Rule 106.2 was approved by the Board of Trustees on November 7, 2014.

- **Where can I find the full text of Board Rule 106.2?**

You can find the full text of Board Rule 106.2 in the Board Manual on the UA System Office of Risk Management website at <http://uasystem.ua.edu/wp-content/uploads/2014/11/Board-Rule-106.2.pdf>.

- **What is the purpose of Board Rule 106.2?**

1. To endorse an **organizational culture** that encourages effective consideration and management of risks.
2. To promote **ethical conduct** and the appearance of ethical conduct.

- **To whom does Board Rule 106.2 apply?**

- ✓ The Board of Trustees and Senior Staff
- ✓ University community members
 - Faculty
 - Staff
 - Students
 - Volunteers
 - Contractors
 - Agents
 - Affiliates
 - Others providing services to or on behalf of The University of Alabama System and/or its three campuses (UA, UAB, UAH), including the Health System

- **When should I report unethical or threatening behavior?**

If you learn of a significant occurrence or threat which has caused or poses an imminent risk of substantial injury or harm to persons, property, the surrounding community, or reputational harm to The University of Alabama System or its institutions, you should report this through appropriate channels.

- **What resources are available for reporting or more information?**

1. Your supervisor and chain of command
2. Campus risk and compliance officials
 - UA Office of Risk Management: <http://riskmanagement.ua.edu/>
 - UAB Office of Compliance: <http://www.uab.edu/compliance/>
 - UAB Office of Risk Management: 205.934.5577
 - UAH Office of Risk Management: <http://www.uah.edu/rmi>
3. The University of Alabama System [Office of Risk Management](#)
4. The University of Alabama System Anonymous Reporting [Hotline](#)

- **What is the UA System Code of Conduct?**

- ✓ The University Of Alabama System Code Of Conduct supplements campus-specific policies, codes and rules, e.g. the [UAB Enterprise Code of Conduct](#).
- ✓ This Code of Conduct is aspirational in nature and encourages **good judgment** and **wise discretion** of all members of the University Community.

- **Who can I contact for more information regarding Board Rule 106.2?**

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