

MINUTES OF THE NOVEMBER 4, 2022 MEETING
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA

The Board of Trustees of The University of Alabama met in the Bryant Conference Center in Tuscaloosa on Friday, November 4, 2022. President pro tempore W. Stancil Starnes chaired the meeting. Mark D. Foley, Jr. served as Secretary.

On roll call, the following Trustees were present:

The Honorable Harris V. Morrisette, Trustee from
the First Congressional District;

The Honorable Marietta M. Urquhart, Trustee from
the First Congressional District;

The Honorable Mike Brock, Trustee from
the Second Congressional District;

The Honorable W. Davis Malone III, Trustee from
the Second Congressional District;

The Honorable Kenneth L. Vandervoort, M.D., Trustee from
the Third Congressional District;

The Honorable James W. Wilson, III, Trustee from
the Third Congressional District;

The Honorable Evelyn VanSant Mauldin, Trustee from
the Fourth Congressional District;

The Honorable Scott M. Phelps, Trustee from
the Fourth Congressional District;

The Honorable Ronald W. Gray, Trustee from
the Fifth Congressional District;

The Honorable Jeff Gronberg, Trustee from
the Fifth Congressional District;

The Honorable W. Stancil Starnes, President pro tempore and Trustee
from the Sixth Congressional District;

The Honorable Karen P. Brooks, Trustee from
the Seventh Congressional District;

The Honorable Barbara Humphrey, Trustee from
the Seventh Congressional District;

The Honorable Kenneth O. Simon, Trustee from
the Seventh Congressional District

The meeting was also attended by Chancellor Finis E. St. John IV; UA President Dr. Stuart R. Bell; UAB President Ray L. Watts, M.D.; UAH President Dr. Charles L. Karr; UAB Health System President and Interim CEO Dawn Bulgarella, and support staff from the System Office, the three campuses, and the UAB Health System. The Honorable Vanessa Leonard, Trustee from the Sixth Congressional District, was unable to attend.

Student representatives to the Board Madeline Martin from UA, Ritika Samant from UAB, and Grant Whitt from UAH were in attendance. Faculty representatives to the Board Dr. Chapman Greer from UA, Dr. Joe March from UAB, and Dr. Joey Taylor from UAH were also in attendance.

Director of System Communications Lynn Cole introduced Tameka Alexander with *WVUA* and welcomed any additional members of the media or the public who were viewing the meeting by livestream.

Pro tem Starnes welcomed the media and all in attendance.

Pro tem Starnes said a meeting agenda was provided in advance of the meeting and asked if there was any objection to its adoption. Hearing none, the agenda was unanimously adopted.

Pro tem Starnes said a consent agenda was provided in advance of the meeting for review by the Board. Pro tem Starnes called for a motion to approve the items on the consent

agenda. Trustee Gray motioned to approve the consent agenda, which was seconded by Trustee Phelps. Pro tem Starnes asked if there were any questions or comments. There were none. Pro tem Starnes then called for a vote, and the Board unanimously approved the consent agenda.

- Consideration of Resolution Authorizing the UAB Health System Authority and UAB-SVHS, Inc. to Open Banking Accounts with Regions Bank and Authorizing Signatories
- Consideration of Resolution Approving the Appointment of Executive Committee Members and President of Cooper Green Mercy Health Services Authority, an Affiliate of the UAB Health System
- Consideration of a Resolution Approving an Affiliation Agreement with Southern Research Institute and Amending the Bylaws of Southern Research Institute

Pro tem Starnes said a draft of the minutes of the September 16, 2022 meeting was previously distributed for consideration. He asked if there were any objections to approving the minutes as distributed. Hearing none, the minutes were unanimously approved.

Pro tem Starnes said the November 4, 2022 Administrative Report was previously distributed to the Board. Pro tem Starnes asked if there were objections to the report as distributed. Hearing none, the report was accepted (Exhibit M)

Pro tem Starnes then thanked all involved in making the logistical arrangements for the Board meetings and expressed the Board's gratitude for their work.

Pro tem Starnes then recognized Chancellor Finis E. St. John IV for the following report from The University of Alabama System:

To allow time for UA's presentation, these remarks will be brief. I would like to congratulate Dr. Bell and his entire team for the outstanding year on which he will report to you this morning.

In this month of November, I would also like to take this opportunity to do something we do not do often enough – and that is to thank the people who devote their lives to the missions of our System – educating our citizens, serving the state, and improving the health and lives of all of our people.

It has been good in the past few months, as meetings and in-person gatherings have resumed, to have the chance to travel around the state - to be reminded how much people care about our universities and our healthcare system. There are other large organizations, businesses, and entities in Alabama, but none of them engender such interest, passion, and devotion as The University of Alabama System and every piece of it.

Our presidents have devoted staffs and faculties whose commitment to the public good is evident every day.

Our health system has brilliant leadership, doctors, nurses, and support who change and save lives. Our System Office is composed of dedicated and talented people who give everything they have every day to the mission of our System. To them and to you, the unselfish public servant trustees, it is an honor and a joy to have the opportunity to work together to attain our goals.

I can confidently report to the board that the people executing your policies are performing in admirable and effective fashion. We will continue to build on and leverage our strengths and successes, and we will continue to identify, face, and address areas which need to be better. Mr. Pro Tem, the state of the University of Alabama System is strong.

Pro tem Starnes thanked Chancellor St. John and said “we are grateful to you for your dedication and support of the System. We regard you as an extraordinary leader and we thank you.”

Pro tem Starnes recognized Dr. Stuart R. Bell for the UA institutional Presentation to the Board of Trustees. (Exhibit N). Pro tem Starnes said, “As Dr. Bell comes forward, I am fond to remind everyone that we are one Institution: we are The University of Alabama. We

have three campuses and the Healthcare System, but we are one, and this Board regards us as one. The more we all bring our component efforts towards the execution of one System, the more successful we will be. No part of our System is more important than the other part, but we do focus on one campus at a meeting during the year. Today, that is The University of Alabama, ably led by Dr. Bell.”

Pro tem Starnes then thanked Dr. Bell for his presentation.

Pro tem Starnes recognized Dr. Chapman Greer to give the UA Faculty Representative Report to the Board of Trustees. (Exhibit O)

Pro tem Starnes then recognized Madeline Martin to give the UA Student Representative Report to the Board of Trustees (Exhibit P)

Pro tem Starnes said, “Thank you, Dr. Greer and thank you, Madeline. We are grateful to both of you for your presentations and for all that you do on behalf of The University of Alabama.”

Pro tem Starnes then introduced Jeff Gronberg as the newest Trustee of The University Alabama System. He asked Trustee Gronberg to stand and said, “Jeff is from Huntsville Alabama. He brings the average age of the group down considerably, which we thought was important. We look forward to his service for many years to come. Jeff, thank you.”

Pro tem Starnes then recognized Trustee Morrisette for a report from the Investment Committee.

Trustee Morrisette reported that the Investment Committee met on October 18, 2022 and approved investments with five managers. These items did not require separate

approval by the Board of Trustees. Pro tem Starnes asked if there were questions or comments concerning any of the Committee's actions. Hearing none, the report was accepted.

Pro tem Starnes thanked Trustee Morrisette and recognized Trustee Phelps for a report from the Finance Committee.

Trustee Phelps reported that the Finance Committee met on November 3, 2022 and considered nine resolutions and an information item. The Committee unanimously recommended that the Board approve all items. Trustee Phelps moved for their approval by the Board, which was seconded by Trustee Brock. Pro tem Starnes asked if there were questions or comments concerning any of the Committee's actions. Hearing none, Pro tem Starnes then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving the FY 2023-2024 Request for State Appropriations for Operations and Maintenance for the University of Alabama System

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has reviewed the proposed FY 2023-2024 Request for State Appropriations for The University of Alabama System; and

WHEREAS, this request proposes a state appropriation for FY 2023-2024 equivalent to a base increase of the actual state appropriations for FY 2022-2023, plus an increase of 10.28% for The University of Alabama, 9.56% for The University of Alabama at Birmingham and 10.29% for The University of Alabama in Huntsville for Operations and Maintenance and Other Programs funding; and

WHEREAS, this requests for each university includes an increase in the FY 2023-2024 base state appropriations, as well as equalization adjustments for general purpose appropriations per full time equivalent (FTE) student and semester weighted credit hour.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the proposed FY 2023-2024 Request for State Appropriations for Operations and Maintenance and Other Programs for The University of Alabama System is hereby adopted.

Approving the FY 2023-2024 Request for State Appropriations for Capital Improvements for the University of Alabama System

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has reviewed the proposed FY 2023-2024 Request for State Funds for Capital Improvements for The University of Alabama System; and

WHEREAS, this request proposes the following for FY 2023-2024:

Summary of Request for State Funds for Capital Improvements
(in thousands of dollars)

| | New Projects | Renovation Projects | Major Equipment | Deferred Maintenance | Total |
|-------|--------------|---------------------|-----------------|----------------------|-----------|
| UA | \$150,307 | \$77,082 | \$1,302 | \$20,705 | \$249,396 |
| UAB | 186,000 | 68,600 | 0 | 27,310 | 281,910 |
| UAH | 60,526 | 44,773 | 0 | 5,510 | 110,809 |
| Total | \$396,833 | \$190,455 | \$1,302 | \$53,525 | \$642,115 |

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the proposed FY 2023-2024 Request for State Funds for Capital Improvements for The University of Alabama System is hereby adopted.

Approving Updated Signature Authority of UAS Investment Funds and Line of Credit

RESOLUTION

WHEREAS, the University of Alabama System Office maintains the approved investment funds designated in Exhibit B (which consists of three pages), those investment funds identified therein; and

WHEREAS, Board Rule 405 requires that each University official authorized to effect transactions involving those accounts, including those authorized to sign checks, initiate wire and automatic funds transfers, or otherwise withdraw funds from these authorized depositories, be designated by resolution of the Board; and

WHEREAS, Board Rule 405 further requires that such authority to effect transactions may not be delegated by the persons so authorized; and

WHEREAS, Board Rule 405 further requires that, for each division of the University, all individuals with authority to effect such transactions be identified in a single resolution, which shall be revised in its entirety when any change in persons so authorized is made so that the most current resolution listing those persons so authorized can be readily verified by the Secretary to the Board;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama that the individuals identified in Exhibit B are authorized to effect the transactions specified therein on behalf of the University of Alabama System until this authorization is removed by the official action of the Board. This resolution supersedes any prior resolution granting such authority to act on behalf of The University of Alabama System Investment Funds to any individual or individuals.

Approving Use of University Quasi Federal Land Grant Endowment at UA

RESOLUTION

WHEREAS, The University of Alabama ("University") has an opportunity to acquire an approximately 1-acre parcel of vacant land located at 700 Wallace Wade Avenue between Frank Thomas Avenue and Wallace Wade Avenue in Tuscaloosa, Alabama ("Property") from Spectrum Tide II, LLC ("Seller") for \$6,140,000; and

WHEREAS, the acquisition of this Property will create development opportunities to provide revenue to support the institutional mission, will allow the University to enhance its presence along Wallace Wade Avenue and Frank Thomas Avenue, and improve access and enhance the value of adjacent University properties; and

WHEREAS, the purchase of this Property will be presented to the Physical Properties Committee for approval in accordance with Board Rule 415; and

WHEREAS, in 1884, Congress adopted an act to increase the endowment of the University from the public lands in Alabama. The grant was to erect and restore suitable buildings and library and scientific apparatus destroyed by fire; and

WHEREAS, in 1978 a study determined that the grant requirements to erect and restore the suitable buildings and library scientific apparatus destroyed by fire had been met by 1915 and any remainder should go to endowment principal; and

WHEREAS, the study determined the amount remaining should be split between two funds – the University Federal Land Grant Endowment and the University Quasi Federal Land Grant Endowment; and

WHEREAS, annually timber proceeds and certain rents related to land held by the Federal Land Grant, net of expenses are added to the corpus of the University Quasi Federal Land Grant Endowment and may be used for any unrestricted purpose to support the institutional mission; and

WHEREAS, the corpus of the University Quasi Federal Land Grant Endowment has a current market value of \$15,657,856 as of August 31, 2022; and

WHEREAS, the appropriate officials at the University consider the University Quasi Federal Land Grant Endowment an appropriate source to fund the purchase of this property; and

WHEREAS, University officials request to fund the purchase of the Property from the corpus of the University Quasi Federal Land Grant Endowment; and

BE IT FURTHER RESOLVED by The Board that it hereby approves and authorizes the University to draw upon the University Quasi Federal Land Grant Endowment to pay for the purchase of the Property.

Approving Execution of a Subscription Agreement between John Wiley & Sons, Inc. and The University of Alabama at Birmingham

RESOLUTION

WHEREAS, students, faculty, staff and researchers of The University of Alabama at Birmingham (“UAB”) actively pursue scholarly and research activities; and

WHEREAS, John Wiley & Sons, Inc. (“Wiley”) provides sole source access to journal titles, clinical reviews and current protocols, book chapters, and books; and

WHEREAS, access to these research materials are vital in assisting UAB students, faculty, staff and researchers in their pursuit of scholarly and research activities; and

WHEREAS, a Wiley Subscription Agreement was previously executed January 1, 2020 for a three (3) year term; and

WHEREAS, this Wiley Agreement will continue to provide UAB students, faculty, staff and researchers valuable research and scholarly materials that help support UAB's Strategic Plan; and

WHEREAS, contingent upon approval by The Board of Trustees of The University of Alabama, UAB has reached agreement with Wiley to continue providing access to valuable research materials, a copy of such agreement is attached hereto;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the actions set forth above are hereby approved, authorized, ratified and confirmed, and Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or any other officer named in the most recent Board Resolution granting signature authority for UAB is hereby authorized and directed to execute and deliver the Agreement on behalf of the Board in substantially the form attached hereto and containing such terms as described in this resolution.

Approving the Execution of an Agreement between Attain Partners, LLC and The University of Alabama at Birmingham

RESOLUTION

WHEREAS, Attain Partners, LLC ("Attain Partners"), a Delaware corporation, is an innovative consulting firm providing strategic guidance and technology solutions to help education, nonprofits, healthcare, and state and local governments move their missions forward; and

WHEREAS, The University of Alabama at Birmingham ("UAB") is required to submit a new Facilities & Administrative rate based on fiscal year ending September 30, 2022; and

WHEREAS, UAB's current facilities and administrative rate expires on September 30, 2023; and

WHEREAS, Attain Partners has assisted UAB with its previous Facilities & Administrative rate proposal negotiations with the Department of Health and Human Services; and

WHEREAS, this agreement will engage the services of Attain Partners to prepare, submit and negotiate UAB's Facilities & Administrative rate with the Department of Health and Human Services; and

WHEREAS, contingent upon approval by The Board of Trustees of The University of Alabama, UAB has reached agreement with Attain Partners, LLC to provide technical guidance and assistance in the preparation and submission of the University's FY 2022 Facilities & Administrative ("F&A") rate proposal which is due to the Department of Health and Human Services by March 31, 2023, as well as the negotiation of F&A rates, a copy of such agreement is attached hereto;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the actions set forth above are hereby approved, authorized, ratified and confirmed, and Brian D. Burnett, Senior Vice President for Finance and Administration, or any other officer named in the most recent Board Resolution granting signature authority for UAB is hereby authorized and directed to execute and deliver the Agreement on behalf of the Board in substantially the form attached hereto and containing such terms as described in this resolution.

Approving Updating Signature Authority at UAB

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama that, effective November 4, 2022, Dr. Ray L. Watts as President of The University of Alabama at Birmingham; Dr. Pam Benoit as Senior Vice President for Academic Affairs and Provost of The University of Alabama at Birmingham; Dr. Brian D. Burnett, as Senior Vice President for Finance and Administration of The University of Alabama at Birmingham; Ms. Stephanie Mullins as UAB Chief Financial Officer and Associate Vice President for Financial Affairs of The University of Alabama at Birmingham; Mr. Bernard Mays, Jr. as Assistant Vice President/Controller; R. Andrew Hollis as Assistant Vice President for Financial Affairs and Dr. Shadi Martin, Chief International Officer are each authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and in negotiating and executing any and all contracts, agreements, instruments, reports or other documents as necessary in furtherance of the mission of The University of Alabama and the goals and objective of The Board of Trustees of The University of Alabama.

BE IT FURTHER RESOLVED that Dr. Christopher S. Brown as Vice President of Research of The University of Alabama at Birmingham; Mr. Mike Matthews as Director of Research Technology and Communications; Mr. Jonathan Miller as Assistant Vice President for Research Regulatory Oversight; and Dr. Jason J. Nichols as Senior Associate Vice President, Research Administration are authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and negotiating and

executing contract and grant agreements with any agency of local, state, national or foreign government, foundation, corporation or individual with regard to research and service activities and in furnishing necessary reports or other instruments in connection therewith.

BE IT FURTHER RESOLVED that Mr. Thomas I. Brannan as Vice President for Advancement and Strategic Initiatives of The University of Alabama at Birmingham is authorized to act for and in the name of the Board of Trustees of The University of Alabama in negotiating and executing gift agreements between the University and its donors.

BE IT FURTHER RESOLVED that, Ms. Stephanie Mullins is further authorized to designate in writing an appropriate number of staff in the Office of Financial Accounting & Review Services who are authorized to bind the University for the limited purpose of submitting official invoices, draws, and other financial information to federal agencies but only to the extent such authority is required by the regulations of the federal Office of Management and Budget.

BE IT FURTHER RESOLVED that any two of the following four officials: Dr. Ray L. Watts, Dr. Brian D. Burnett, Ms. Stephanie Mullins, and Ms. Elizabeth S. Morgan, Assistant Vice President for Financial Affairs/Treasurer of The University of Alabama at Birmingham, be, and hereby are, authorized for and in the name of the said The Board of Trustees of The University of Alabama, a corporation, to sell at public or private sale or exchange any or all shares of stock, bonds, corporation, or government which may now or hereafter stand in the name of The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham or in its name and in the name of others, and to receive and receipt for the purchase price of property received in exchange, and in the name of The Board of Trustees of The University of Alabama to sign any transfers, assignments, or powers of attorney that may be necessary to make the transfer or exchange and to deliver the same or exchanged, to the transferee or his agents.

BE IT FURTHER RESOLVED that all previous resolutions granting any of the foregoing powers or authority to any persons be, and hereby are, rescinded effective November 4, 2022.

Approving the Renewal of Southern Research Institute's Line of Credit with
Regions Bank

RESOLUTION

WHEREAS, Southern Research Institute ("Southern Research") has a \$3,000,000 taxable revolving line of credit (the "LOC"), with interest accruing on any draw down at a rate equal to the Bloomberg Short-Term Bank Yield (BSBY rate 1-month) plus 1.6 percentage points and an unused balance fee rate equal to 0.1 percent, with Regions Bank as lender (the

“Lender”), which will reach maturity on December 31, 2022 (the “Maturity Date”), a copy of the current promissory note is attached as Exhibit C; and

WHEREAS, Southern Research wishes to renew the LOC for a further twelve (12) months from January 1, 2023 on the same or better terms;

WHEREAS, the Finance Committee of the Southern Research Board of Directors has approved the renewal of the LOC for a further twelve (12) months on the same or better terms;

NOW, THEREFORE, BE IT RESOLVED, by the Board of Trustees of the University of Alabama that Southern Research is hereby authorized to renegotiate and renew the existing LOC on the same or better terms for an additional term of twelve (12) months from January 1, 2023.

Approving Continuation of the Tennessee Resident Scholarship Pilot Program
at UAH

RESOLUTION

WHEREAS, Alabama Code §16-64-2 provides that a board of trustees of a public institution of higher education in the state of Alabama may authorize the application of resident tuition rates to full-time, undergraduate non-resident students who receive “a partial or full scholarship award in recognition of a particular talent or ability, provided such student possesses the talent or ability at the time of initial enrollment and maintains continuous eligibility at that institution”; and

WHEREAS, as permitted by Board Rule 202, The University of Alabama in Huntsville (“UAH”) currently charges resident tuition to Tennessee residents who reside in nine counties in Tennessee within a 50-mile radius of the UAH campus; and

WHEREAS, The Board of Trustees of The University of Alabama (“Board”) approved the implementation of the Tennessee Resident Scholarship Program (“Program”) in November 2020; and

WHEREAS, under this Program, UAH can charge resident tuition to new first-time, full-time freshmen students who have a permanent address in the state of Tennessee (TN) outside of a 50-mile radius of the UAH campus and meet the requirements for a merit (ability) or athletic (talent) scholarship; and

WHEREAS, due to challenges with in-person student recruitment due to the COVID-19 pandemic, UAH has been unable to effectively implement the Program as intended; and

WHEREAS, UAH requests to continue this Program if certain operating metrics established by the President are met as outlined in Appendix A and reported to the System Office; and

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama hereby approves continuation of the Program as set forth above. (Exhibit D)

Pro tem Starnes thanked Trustee Phelps for his report and recognized Trustee Brooks for a report from the Physical Properties Committee.

Trustee Brooks reported that the Physical Properties Committee met on November 3, 2022 and considered 19 agenda items for action by the Board. Trustee Brooks moved for approval of the 19 items by the Board, which was seconded by Trustee Urquhart. Pro tem Starnes asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Starnes then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving the revised project scope and budget; providing authorization to execute a construction contract for the Chi Omega Bedroom Renovation at UA (Stage IV)

RESOLUTION

WHEREAS, on September 16, 2022, in accordance with Board Rule 415, the Board of Trustees of The University of Alabama ("Board") approved a Stage I submittal for the Chi Omega Bedroom Renovation project ("Project") to be located at 901 Magnolia Drive; and

WHEREAS, the Project will allow for the renovation of forty-three (43) bedroom units, both single and double occupancy, at the Chi Omega Sorority House ("Chapter"), providing the Chapter members with an enhanced living environment and increasing the Chapter's recruiting potential for future membership growth; and

WHEREAS, on September 16, 2022, due to their knowledge of the design and prior due diligence for the Project, the Board authorized The University of Alabama ("University") to utilize the architectural services of TRI Architecture + Interior Design, Birmingham, Alabama (TRI), accepting a final negotiated design fee of 7.1% of the cost of construction, no

additional renovation factor, and a credit of \$25,000, which represents a 28% discount of the standard design fee total; and

WHEREAS, on September 27, 2022, pursuant to Title 39 State Bid law of Alabama Code, competitive bids were received for the Project and Kyser Construction, LLC, of Tuscaloosa, Alabama was declared the lowest responsible bidder with an adjusted base bid in the amount of \$1,279,000; and

WHEREAS, the University, on behalf of the Chapter, now desires to add replacing the bedroom lighting and including tack boards to the scope of the Project to further enhance the living environment for the residents; and

WHEREAS, The University desires to accept Alternates #1 and #2 totaling \$87,000 for the aforementioned scope additions; and

WHEREAS, the University is requesting approval to award the construction contract for the Project to Kyser Construction, LLC in a total contract amount of \$1,366,000 including the adjusted base bid and Alternates #1 and #2; and

WHEREAS, the University is requesting approval of a Revised Budget to reflect the construction contract and associated design fee and soft cost revisions; and

WHEREAS, the Project will be funded from Chi Omega Chapter Reserves in the amount of \$1,577,315, including the budget increase amount, and which the University has verified funding availability; and

WHEREAS, the revised budget for the Project is as stipulated below:

| BUDGET: | REVISED |
|----------------------------------|---------------------|
| Construction | \$ 1,366,000 |
| Contingency* (5%) | \$ 68,300 |
| UA Project Management Fee** (3%) | \$ 43,029 |
| Architect/Engineer Fee*** (7.1%) | \$ 71,986 |
| Other**** | \$ 28,000 |
| TOTAL PROJECT COST | \$ 1,577,315 |

*Contingency is based on 5% of the costs of Construction.

**UA Project Manager Fee is based on 3% of the costs of Construction and Contingency.

***Architect/Engineer Fee is based on 7.1% of the costs of Construction less a \$25,000 credit.

**** Other expenses include Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Revised Scope, Budget and Funding for the Project are hereby approved as stipulated above.
2. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute the aforementioned contract with Kyser Construction, LLC, of Tuscaloosa, Alabama, for this Project in accordance with Board Rule 415.

Approving the proposed architectural design for the University Club Restoration and Additions at UA (Stage III)

RESOLUTION

WHEREAS, on September 16, 2022, The University of Alabama ("University") received approval from The Board of Trustees of The University of Alabama ("Board") for a Stage I submittal for the University Club Restoration and Additions project ("Project") located at 421 Queen City Avenue Tuscaloosa, Alabama; and

WHEREAS, the Project will provide for the continued operation of the University Club to support the University's mission and the campus community by providing a premier dining and social establishment for faculty, staff, alumni and visitors and provide space for dining, campus events, special occasions and meetings; and

WHEREAS, the Project will include appropriate attention to restoring and conserving the interior while honoring the building's historic character; and

WHEREAS, the Project will provide experiential and practical learning opportunities for students in the Hospitality Management program; and

WHEREAS, the Project has been separated into three (3) packages: Package A – Building Restoration (Package A); Package B – Select Interior Demolition (Package B); and Package C - Elevators (Package C); and

WHEREAS, Package A will consist of a comprehensive restoration of the existing 12,840 gross square feet (GSF) facility including exterior envelope and facade; approximately 2,889 GSF of additions to provide enlarged event space, restrooms, and circulation space; and covered veranda and balcony space and landscaping and hardscape improvements; and

WHEREAS, Package B will consist of select interior demolition and abatement and is necessary to identify concealed conditions and structural configuration and to maintain the project schedule; and

WHEREAS, Package C is needed for early procurement of long lead time elevator equipment in order to meet the Project schedule and coordinate design with specifics of the elevators;

WHEREAS, the Project will provide the opportunity to bring coherence between the numerous previous additions to the building with intentional and architecturally accurate execution of massing, exterior detailing and attention to elements and materials as appropriate for this significant structure; and

WHEREAS, on September 16, 2022, due to their knowledge of the Project; commitment to the Project schedule; and understanding of the unique restoration scope and historic structure, the Board approved a Waiver of the Consultant Selection Process and authorized the University to proceed with the Project utilizing the design services of Cole & Cole Architects of Montgomery, Alabama accepting a final design fee based on 6% of the cost of construction plus a renovation factor of 12.5% less a discount of \$119,093, representing a significant savings to the University of approximately 25.5% of the standard fee; and

WHEREAS, responsible officials at the University have received renderings for the Stage III submittal and are recommending approval of said design; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$13,000,000 and will eliminate campus deferred maintenance liabilities in the amount of approximately \$5,000,000; and

WHEREAS, the University is evaluating potential options for operating the facility and will return to the Board for approval of any agreement; and

WHEREAS, the budget for the Project remains as stipulated below:

BUDGET:**CURRENT**

| | | |
|---|-----------|-------------------|
| Construction – Package A Building Restoration | \$ | 7,513,902 |
| Construction – Package B Select Interior Demolition | \$ | 100,000 |
| Construction – Package C Elevators | \$ | 600,000 |
| Equipment Owner Furnished Contractor Installed | \$ | 850,000 |
| Furnishings | \$ | 800,000 |
| Landscaping | \$ | 372,000 |
| Security/Access Control | \$ | 60,000 |
| Telecommunication/Data | \$ | 100,000 |
| Audio Visual | \$ | 200,000 |
| Contingency* (10%) | \$ | 943,590 |
| UA Project Management Fee** (3%) | \$ | 311,385 |
| Architect/Engineer Fee*** (~6%) | \$ | 571,830 |
| Other**** | \$ | 577,293 |
| TOTAL PROJECT COST | \$ | 13,000,000 |

*Contingency is based on 10% of the costs of Construction Package A, B, C, Equipment OFCI and Landscaping.

**UA Project Management Fee is based on 3% of the costs of Construction Package A, B, C, Equipment OFCI, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 6% of the costs of Construction Package A, B, C, Equipment OFCI, Furnishings and Landscaping, plus a 12.5% renovation factor, less a credit of 119,093.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Stage III submittal for the Project is hereby approved.

Approving the revised project scope, budget, and proposed architectural design for the University Services Campus Community Safe Room at UA (Revised Stage III)

RESOLUTION

WHEREAS, the University Services Campus Community Safe Room project ("Project") at The University of Alabama ("University") was approved for the Federal Emergency Management Administration ("FEMA") Pre-Disaster Mitigation Grant Program ("PDMGP") through the Alabama Emergency Management Agency ("AEMA"); and

WHEREAS, in accordance with Board Rule 415, on February 5, 2021, The Board of

Trustees of The University of Alabama ("Board") approved the Stage I submittal for the Project located at 1690 Ruby Tyler Parkway on the University Services Campus ("USC"); and

WHEREAS, the Project will be designed in accordance with the requirements of FEMA 361 and International Code Council (ICC) 500 and will serve as a Tornado Safe Shelter for the campus community; and

WHEREAS, on June 4, 2021, the Board approved the Board Rule 406 submittal for EnCompass360, Inc. of Gardendale Alabama to administer and coordinate the Project with the grant management process and ensure compliance with the terms of the grant; and

WHEREAS, on September 17, 2021, due to their previous experience with the East Campus storm shelter project and their concurrent work on the Bryce Lawn Community Safe Room project, the Board approved the Waiver of Consultant Selection Process and authorized the University to proceed with architectural design utilizing services of Adams Stewart Architects, LLC, of Robertsdale, Alabama, and to accept a negotiated final design fee; and

WHEREAS, the University negotiated a revised design fee for the Project based on 6.5% of the cost of Construction, Site Development, and Landscaping, less a 9% discount in the amount of \$20,566, plus \$35,009 for FEMA documentation, plus not-to-exceed \$7,000 for reimbursable expenses, and plus \$67,200 re-design fee; and

WHEREAS, on September 17, 2021, the Board approved renderings for a Stage III submittal including supplemental space proposed to be utilized and funded by the Alabama Department of Mental Health ("ADMH"); and

WHEREAS, ADMH has elected not to participate in the Project at this time and therefore the Project design has been revised to reduce the size of the facility to 7,480 gross square feet, which will provide for 833 occupants and meets the requirements and scope of the Grant agreement; and

WHEREAS, responsible officials at the University have reviewed the renderings for the revised Stage III submittal and are recommending approval of said design;

WHEREAS, on August 16, 2022, pursuant to Title 39 State Bid Law of Alabama Code, competitive bids were received for the University Services Campus Community Safe Room – Early Site Development Package of the Project and CivilWorx Construction, LLC, of Tuscaloosa, Alabama, was declared the lowest responsible bidder with a base bid in the amount of \$552,000, which is below the cost threshold requiring approval from the Board; and

WHEREAS, the Project design, location and program have been reviewed and are consistent with the Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the University is requesting approval for a Revised and Reallocated Budget from \$6,594,221 to \$5,000,000 to reflect the Revised Scope, final negotiated design fees, the Grant Management Fee, the Site Development package bid results and revisions to associated soft costs; and

WHEREAS, the total Project cost in the amount of \$5,000,000 will be funded from the FEMA PDMGP in the amount of \$2,099,964 and University Central Reserves in the amount of \$2,900,036; and

WHEREAS, the Revised Budget for the Project is as stipulated below:

| BUDGET | REVISED |
|-----------------------------------|---------------------|
| Construction | \$ 2,854,600 |
| Site Development | \$ 552,000 |
| Landscaping | \$ 109,000 |
| Furniture, Fixtures and Equipment | \$ 245,000 |
| Security/Access Control | \$ 75,000 |
| Telecommunication/Data | \$ 60,000 |
| Contingency* (5%) | \$ 175,780 |
| UA Project Management Fee** (3%) | \$ 110,741 |
| Architect/Engineer Fee*** (~6.5%) | \$ 317,157 |
| Grant Management Fee | \$ 157,320 |
| Other**** | \$ 343,402 |
| TOTAL PROJECT BUDGET | \$ 5,000,000 |

*Contingency is based on 5% of the costs of Construction, Site Development and Landscaping.

**UA Project Management Fee is based on 3% of the costs of Construction, Site Development Landscaping, and Contingency.

*** Architect/Engineer Fee is based on 6.5% of the cost of Construction, Site Development, and Landscaping, less a 9% discount in the amount of \$20,566, plus \$35,009 for FEMA documentation, plus not-to-exceed \$7,000 for reimbursable expenses, and plus \$67,200 re-design fee.

**** Other includes Geotech, Construction Materials Testing, Special Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The revised scope, budget and funding for the Project are hereby approved as stipulated above.
2. The budget reallocation for the Project is hereby approved as stipulated above.
3. The revised Stage III submittal for the Project is hereby approved.

Granting Authorization to negotiate an Owner/Architect Agreement for the Kappa Sigma Fraternity House New Construction at UA (Stage II)

RESOLUTION

WHEREAS, on September 16, 2022, in accordance with Board Rule 415, the Board of Trustees of The University of Alabama ("Board") approved a Stage I and Campus Master Plan Amendment submittal for the Kappa Sigma Fraternity House New Construction project ("Project") to be located at 282 University Boulevard; and

WHEREAS, Kappa Sigma applied through the University's competitive process and was awarded a lot for a new fraternity house; and

WHEREAS, the Project entails the construction of a new chapter house for Kappa Sigma, which will allow the relocation of the chapter from their current location at 521 Jefferson Ave and for growth for another chapter by occupying Kappa Sigma's existing house following completion of this Project; and

WHEREAS, the new house will provide Kappa Sigma the ability to host and house the current fraternity and have space available for future growth in membership and appropriate space for chapter meetings, board and officer meetings and dining for the Chapter; and

WHEREAS, on September 16, 2022, the Board approved a Campus Master Plan Amendment to reflect the change of use of the site as the 2017 Campus Master Plan does not indicate a facility at the proposed location and this proposed location is appropriate given the intended use, which is consistent with the area and will yield the lowest development costs for the University; and

WHEREAS, the Consultant Selection Committee, appointed by The University of Alabama ("University"), has completed Part 1 of the Consultant Selection Process in accordance with Board Rule 415 and negotiations for the Project will be conducted with the top ranked firm following Board approval as follows:

Ranking of Top Firms:

1. Payne Design Group, Montgomery, AL
2. Cole & Cole, Montgomery, AL
3. Seay Seay & Litchfield Architects P.C., Montgomery, AL

WHEREAS, the Project location and program have been reviewed and are consistent with University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from a Chapter Payable in the amount of \$10,638,035 which will be in the form of a loan to Kappa Sigma, and Chapter Funds (House Equity) of \$4,537,965, which the University has verified; and

WHEREAS, Kappa Sigma has agreed to repay the \$10,638,035 to the University and has shown through its Business Plan the ability to repay the loan; and

WHEREAS, the budget for the Project remains as stipulated below:

| BUDGET: | CURRENT |
|-----------------------------------|----------------------|
| Construction | \$ 12,750,000 |
| Landscaping | \$ 150,000 |
| Furniture, Fixtures and Equipment | \$ 110,000 |
| Security/Access Control | \$ 75,000 |
| Telecommunication/Data | \$ 75,000 |
| Contingency* (5%) | \$ 645,000 |
| UA Project Management Fee** (3%) | \$ 406,350 |
| Architect/Engineer Fee*** (5.9%) | \$ 761,100 |
| Commissioning | \$ 23,000 |
| Other**** | \$ 180,550 |
| TOTAL PROJECT COST | \$ 15,176,000 |

*Contingency is based on 5% of the costs of Construction and Landscaping.

**UA Project Manager Fee is based on 3% of the costs of Construction, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 5.9% of the costs of Construction and Landscaping.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President, Matthew M. Fajack, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of the Board of Trustees to execute an owner designer

agreement with Payne Design Group of Montgomery, Alabama, for design services in accordance with Board Rule 415 for this Project.

Granting Authorization to negotiate an Owner/Architect Agreement for the University Boulevard Drive-through Retail at UA (Stage II)

RESOLUTION

WHEREAS, on April 8th, 2022, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama ("Board") approved a Stage I submittal for the University Boulevard Drive-Through Retail project ("Project") to be located at 225 University Boulevard East, Tuscaloosa, AL; and

WHEREAS, the Project will allow The University of Alabama ("University") to better serve the growing University community with drive-through only retail service, located in a highly accessible and trafficked area of campus; and

WHEREAS, the existing lot is accessible and vacant, and the proposed use is deemed the most appropriate and is consistent with the retail and commercial nature of the area; and

WHEREAS, the Consultant Selection Committee, appointed by the University has completed Part 1 of the Consultant Selection Process in accordance with Board Rule 415 and negotiations for the Project will be conducted with the top ranked firm following Board approval as follows:

Ranking of Top Firms:

1. CMH Architects, Birmingham, Alabama

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained therein; and

WHEREAS, the Project will be funded from the University Food Service Reserves in the amount of \$2,500,000; and

WHEREAS, the budget for the Project remains as stipulated below:

BUDGET:**CURRENT**

| | | |
|------------------------------------|-----------|------------------|
| Construction- Site Package | \$ | 350,000 |
| Construction- Building Package | \$ | 1,273,377 |
| Landscaping | \$ | 50,000 |
| Furniture, Fixtures and Equipment | \$ | 350,000 |
| Security/Access Control | \$ | 35,000 |
| Telecommunication/Data | \$ | 50,000 |
| Contingency* (5.0%) | \$ | 83,669 |
| UA Project Management Fee** (3.0%) | \$ | 52,711 |
| Architect/Engineer Fee*** (7.0%) | \$ | 122,993 |
| Other **** | \$ | 132,250 |
| TOTAL PROJECT COST | \$ | 2,500,000 |

*Contingency is based on 5.0% of the costs of the Construction packages and Landscaping.

**UA Project Management Fee is based on 3.0% of the costs of the Construction packages, Landscaping and Contingency.

***Architect/Engineer Fee is based on 7.0% of the costs of the Construction packages and Landscaping, plus \$5,857 in reimbursable expenses.

**** Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for the University be, and each hereby is, authorized to act for and on behalf of the Board to negotiate an owner designer agreement with CMH Architects, Birmingham, Alabama, for architectural services in accordance with Board Rule 415 for this Project.

Approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement for the Campus Steam Decommissioning at UA (Stage I and Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval from the Board of Trustees of The University of Alabama ("Board") for a Stage I submittal for the Campus Steam Decommissioning project ("Project"); and

WHEREAS, as part of the University's master plan for thermal energy distribution, the University has previously completed the East Quad Energy Plant, the interconnection of the system with the Shelby Energy Plant and numerous building connections and the Campus Energy Delivery Optimization project; and

WHEREAS, this Project will allow for the remaining buildings on the steam system to be served by the addition of local boilers or the connection to the central thermal system as necessary to allow the decommissioning of the steam plant and distribution system; and

WHEREAS, the Project will include scope at multiple locations across the University campus including B.B. Comer Hall, Bureau of Mines #1, Bureau of Mines #2, Bureau of Mines #4, ten Hoor Hall, Foster Auditorium, Reese Phifer Hall, Hardaway Hall, Rowand-Johnson Hall and East Quad Energy Plant; and

WHEREAS, as part of the University's master plan for thermal energy distribution, the University will decommission the B.B. Comer Hall Steam Plant at the completion of this Project as a separate project at that time; and

WHEREAS, the Project has been separated into two (2) construction packages: Package A – Campus Steam Decommissioning 2023 (“Package A”), which will remove B.B. Comer Hall, Bureau of Mines #1, Bureau of Mines #2, Bureau of Mines #4, and ten Hoor Hall from the campus steam system; and Package B – Campus Steam Decommissioning 2024 (“Package B”), which will remove Foster Auditorium, Reese Phifer Hall, Hardaway Hall, and Rowand-Johnson Hall from the campus steam system as well as add a new boiler to the East Quad Energy Plant; and an Owner Furnished Contractor Installed Equipment package to mitigate scheduling impacts of long-lead items; and

WHEREAS, HHB Engineers, P.C., of Prattville, Alabama (“HHB”), has successfully executed several steam and boiler conversions on Campus and was previously engaged by the University to perform a study on campus steam decommissioning, and therefore have a detailed understanding of the University’s steam system infrastructure locations, configurations and interim operational requirements; and

WHEREAS, HHB’s knowledge of equipment requirements, University standards, design principles, and procedures will facilitate an efficient and cost-effective design and administrative process; and

WHEREAS, as HHB is committed to completing the designs by the end of 2022, allowing the project to bid in January 2023 and construction to begin with the utmost expediency to finish by the desired dates of Fall 2023 for Package A and Fall 2024 for Package B, the University is requesting approval to waive the Consultant Selection Process and to utilize the engineering design services of HHB for the Project; and

WHEREAS, the University has negotiated a final design fee based on 6.6% of Package A and the OFCI Equipment Package and 6.2% of Package B, plus a 1.14 renovation factor, plus \$37,050 in additional services and reimbursable expenses, and less a discount in the amount of \$20,000, representing a significant savings to the University of approximately 11% of the standard fee; and

WHEREAS, the Project locations and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$12,610,000 and will address campus deferred maintenance liabilities in the amount of \$80,000,000; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

| BUDGET: | | PRELIMINARY |
|---|-----------|-------------------|
| Construction – Package A Campus Steam Decommissioning 2023 | \$ | 2,922,000 |
| Construction – Package B Campus Steam Decommissioning 2024 | \$ | 6,978,000 |
| Owner Furnished Contractor Installed Equipment – Rooftop DX Units | \$ | 200,000 |
| Telecommunication/Data | \$ | 50,000 |
| Contingency* (10%) | \$ | 1,010,000 |
| UA Project Management Fee** (3%) | \$ | 333,300 |
| Architect/Engineer Fee*** (~7.4%) | \$ | 745,154 |
| Commissioning | \$ | 50,000 |
| Other**** | \$ | 321,546 |
| TOTAL PROJECT COST | \$ | 12,610,000 |

*Contingency is based on 10% of the costs of Construction Packages and Owner Furnished Contractor Installed Equipment.

**UA Project Management Fee is based on 3% of the costs of Construction Packages, Owner Furnished Contractor Installed Equipment and Contingency.

***Architect/Engineer Fee is based on 6.6% of the costs of Construction - Package A and the OFCI Equipment, 6.2% of the costs of Construction – Package B, plus a 14% renovation factor, plus \$37,050 of additional services and reimbursable expenses, and less a credit of \$20,000.

****Other expenses include Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary budget and funding for the Project as stipulated above are hereby approved.

ALSO, BE IT FURTHER RESOLVED THAT, Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolution granting signature authority for the University be, and hereby are, authorized for and on behalf of the Board to execute an owner designer agreement with HHB Engineers, P.C., of Prattville, Alabama, for engineering services in accordance with Board Rule 415 for the Project.

Approving preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Delta Kappa Epsilon Addition and Renovation at UA (Stage I and Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of a Stage I submittal for the Delta Kappa Epsilon Addition and Renovation project ("Project") located at 976 University Boulevard; and

WHEREAS, the Project will allow Delta Kappa Epsilon ("Chapter") to provide their current and future members a more functional space to improve food service and event operations and to enhance the student experience by bringing the facility in line with current trends in Greek life and providing more community space; and

WHEREAS, Clark, Geer, Latham and Associates, Inc., ("CGL") of Mobile, Alabama, were engaged by the University and Chapter to perform due diligence and programming services for this Project and the firm was part of the design team for the original construction; and

WHEREAS, as CGL's familiarity and knowledge of the facility and program, University Design Standards and procedures, and classical design principles will facilitate an efficient design process and ensure coordination with the existing infrastructure, systems and architecture, the University is requesting approval to waive the Consultant Selection Process and to utilize CGL to provide design services for the Project; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the University has negotiated a final design fee for CGL's services based on 6.5% of the cost of construction; utilities and landscaping, a renovation factor of 1.2, and a credit of \$25,000, which represents an 11% discount of the standard design fee; and

WHEREAS, the Project will be funded from a Chapter Payable in the amount of \$3,500,000, which will be in the form of a loan to Delta Kappa Epsilon and Chapter Reserves in the amount of \$1,500,000, which the University has verified funding availability, and the Project will address deferred maintenance liabilities in the amount of \$1,000,000; and

WHEREAS, Delta Kappa Epsilon has agreed to repay the loan of \$3,500,000 to the University and has shown through its Business Plan the ability to repay the loan and the Chapter currently has no outstanding balance whatsoever on the original construction of the house; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

| BUDGET: | | PRELIMINARY |
|----------------------------------|-----------|--------------------|
| Construction | \$ | 3,500,000 |
| Utilities and Infrastructure | \$ | 275,000 |
| Landscaping | \$ | 100,000 |
| Security/Access Control | \$ | 75,000 |
| Telecommunication/Data | \$ | 75,000 |
| Contingency* (10%) | \$ | 360,000 |
| UA Project Management Fee** (3%) | \$ | 127,050 |
| Architect/Engineer Fee*** (6.5%) | \$ | 317,250 |
| Other**** | \$ | 170,700 |
| TOTAL PROJECT COST | \$ | 5,000,000 |

*Contingency is based on 10% of Construction and Landscaping.

**UA Project Management Fee is based on 3% of Construction, Utilities and Infrastructure, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 6.5% of Construction, Utilities and Infrastructure, and Landscaping, plus a 1.2 renovation factor and \$40,000 for additional services and reimbursables, and less a \$25,000 credit.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.

2. The preliminary budget and funding for the Project as stipulated above is hereby approved.
3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute an owner designer agreement with Clark, Geer, Latham and Associates, Inc., of Mobile, Alabama, for design services in accordance with Board Rule 415 for this project.

Approving the preliminary project scope and budget for the Alston Hall Renovation at UA (Stage I)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of a Stage I submittal for the Alston Hall Renovation ("Project") located at 361 Stadium Drive Tuscaloosa, AL; and

WHEREAS, the Project will include the interior renovation of select student and administrative areas on all floors of Alston Hall and will address deferred maintenance needs such as replacing flooring, windows, mechanical equipment, electrical equipment, and installing fire protection; and

WHEREAS, the Project will create needed space to support high-quality business education by increasing collaboration spaces and additional administrative space critically necessary to managing and supporting the College's growth; and

WHEREAS, the Project will include approximately 14,350 gsf of renovated spaces within Alston Hall including aforementioned classrooms, collaboration rooms, additional administrative space, and deferred maintenance needs; and

WHEREAS, to mitigate the effects of continued industry lead time issues and to create as little disruption as possible for existing staff and students in the area, the Project will be separated into four (4) packages: Package A – Windows and Envelope, Package B - Demolition, Package C – Main Renovation, and Owner Furnished Contractor Installed ("OFCI") Equipment long lead equipment; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from Gifts in the amount of \$4,000,000, and University Central Reserves in the amount of \$7,000,000; and

WHEREAS, the Project will address campus deferred maintenance liabilities in the amount of approximately \$5,000,000 (46% of Total Project Cost); and

WHEREAS, the preliminary budget for the Project is as stipulated below:

| BUDGET: | PRELIMINARY |
|---|--------------------|
| Construction Package A- Windows & Envelope | \$ 500,000 |
| Construction Package B- Demolition | \$ 192,539 |
| Construction Package C- Main Renovation | \$ 6,500,000 |
| Owner Furnished Contractor Installed (OFCI) Equipment | \$ 585,00 |
| Landscaping | \$ 100,000 |
| Furniture, Fixtures, and Equipment | \$ 770,000 |
| Security/Access Control | \$ 100,000 |
| Telecommunication/Data | \$ 100,000 |
| Audio Visual | \$ 225,000 |
| Contingency* (10%) | \$ 787,754 |
| UA Project Management Fee**(3%) | \$ 259,959 |
| Architect/Engineer Fee*** (~6.82%) | \$ 537,248 |
| Other**** | \$ 342,500 |

TOTAL PROJECT COST **\$ 11,000,000**

*Contingency is based on 10% of the cost of Construction Packages A-C, OFCI and Landscaping.

**UA Project Management Fee is based on 3.0% of the cost of Construction Packages A - C, OFCI, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 6.2% of the cost of Construction Packages A – C, OFCI and Landscaping plus a 1.1 renovation factor.

**** Other fees and expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary scope, budget, and funding for the Project as stipulated above are hereby approved.

- Approving the preliminary project scope and budget for the Student Services Addition and Renovation at UA (Stage I)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama (“University”) is requesting The Board of Trustees of The University of Alabama (“Board”) to consider approval of a Stage I submittal for the Student Services Addition and Renovation project (“Project”) to be located 801 Campus Drive Tuscaloosa, AL; and

WHEREAS, the Project will provide needed space that serves to enhance an environment of inclusion, access, student success, and service that aligns with the University’s core institutional values and demonstrates the importance of diversity and service to the campus and the community at large; and

WHEREAS, the Project will include an approximately 16,003 GSF two-story addition to the Student Services Center, consisting of multipurpose space for events and exhibit halls, as well as an approximately 6,157 GSF renovation of adjacent existing interior space within the Student Services Center and reroofing of the building; and

WHEREAS, the existing Intercultural Diversity Center for Service and Leadership will be relocated from their current spaces in the Student Services Center to the addition; and

WHEREAS, the Project will also include the construction of an amphitheater that will provide outdoor learning, event, and student activity space and will connect Marrs Springs to the Student Services Center and Crimson Promenade; and

WHEREAS, to mitigate the effects of continued industry lead time issues and to create as little disruption as possible for existing staff and students in the area, the Project will be separated into four (4) packages: Package A – Elevator Package, Package B - Reroof of Student Services, Package C – Site Preparation, and Package D – Main Addition and Renovation; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$22,000,000 and will address deferred maintenance liabilities in the amount of \$2,103,000 (9.6% of Total Project Cost); and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:**PRELIMINARY**

| | | |
|--|----|------------|
| Construction Package A-Elevator Package | \$ | 325,000 |
| Construction Package B- Reroof of Student Services | \$ | 950,000 |
| Construction Package C- Site Preparation | \$ | 1,255,968 |
| Construction Package D- Main Addition and Renovation | \$ | 13,623,538 |
| Landscaping | \$ | 250,000 |
| Furniture, Fixtures, and Equipment | \$ | 1,116,132 |
| Security/Access Control | \$ | 150,000 |
| Telecommunication/Data | \$ | 200,000 |
| Audio Visual | \$ | 800,000 |
| Contingency* (10%) | \$ | 1,640,451 |
| UA Project Management Fee** (3%) | \$ | 541,349 |
| Architect/Engineer Fee*** (~6.27%) | \$ | 1,028,562 |
| Other**** | \$ | 119,000 |

TOTAL PROJECT COST**\$ 22,000,000**

*Contingency is based on 10% of the cost of Construction Packages A – D and Landscaping.

**UA Project Management Fee is based on 3.0% of the cost of Construction Packages A-D, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 5.7% of the cost of Construction Packages A-D and Landscaping plus a 1.1 renovation factor.

**** Other fees and expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary scope, budget, and funding for the Project as stipulated above are hereby approved.

Approving the procurement and installation of General Collections Shelving for the Libraries Archival Facility at UA

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of a Sole Source Equipment Purchase for the General

Collections Shelving project ("Project") to be located at 1425 14th street in Libraries Archival Facility; and

WHEREAS, the Project is in accordance with the University Libraries Strategic Plan which recognizes the necessary relocation of certain volumes to the Book Storage Facility so that Campus Library spaces can be repurposed and utilized to enhance and support the teaching and learning environment by providing space for student and faculty programs; and

WHEREAS, the Project will complete the shelving in the General Collections area of the 2019 Libraries Archival Facility addition and provide for additional storage capacity in preparation for, and to facilitate, planned Library projects on campus including, but not limited to, the Gorgas Library Master Plan and the McLure Library Renovation; and

WHEREAS, the Libraries Archival Facility addition project of 2019 included partial installation of the Static Xtend shelving system from Spacesaver/Patterson Pope and the rail and anchor system were installed in the slab to facilitate future installation and to match the existing system; and

WHEREAS, the University is requesting purchases of equipment totaling \$1,189,578 from Spacesaver Corporation/Patterson Pope to ensure compatibility with the existing shelving system; and

WHEREAS, the University holds a standing agreement with Spacesaver Corporation/Patterson Pope, which was competitively bid, and the terms, conditions and pricing of this purchase are pursuant to; and

WHEREAS, the Project will be funded from Library Reserves in the amount of \$500,000 and University Central Reserves in the amount of \$801,979; and

WHEREAS, the Total Project budget for the Project is as stipulated below:

| BUDGET: | | PRELIMINARY |
|---|-----------|------------------|
| Static Xtend High Density Storage Shelving | \$ | 1,189,578 |
| Minor Construction, Electrical & Mechanical Connections | \$ | 15,000 |
| Contingency* (5%) | \$ | 59,479 |
| UA Project Management Fee** (3%) | \$ | 37,922 |
| TOTAL PROJECT COST | \$ | 1,301,979 |

*Contingency is based on 5% of equipment.

**UA Project Management Fee is based on 3% of equipment, connections, and contingency.

***Architect/Engineer Fee is N/A.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board in proceeding with acquisition and installation of the Static Xtend shelving system from Spacesaver Corporation/Patterson Pope for a Total Project Cost not to exceed \$1,301,979.

Approving the Acquisition of 700 Wallace Wade Avenue

RESOLUTION

WHEREAS, The University of Alabama ("University") has an opportunity to acquire an approximately 1-acre parcel of vacant land located at 700 Wallace Wade Avenue between Frank Thomas Avenue and Wallace Wade Avenue in Tuscaloosa, Alabama ("Property") from Spectrum Tide II, LLC ("Seller"); and

WHEREAS, the acquisition of this Property will create development opportunities to provide revenue to support the institutional mission, will allow the University to enhance its presence along Wallace Wade Avenue and Frank Thomas Avenue, and improve access and enhance the value of adjacent University properties; and

WHEREAS, a legal description of the Property is attached hereto as Exhibit E; and

WHEREAS, a Phase I Environmental Assessment, performed by TTL, Inc. revealed no further investigation of the site is warranted and is attached hereto as Exhibit F; and

WHEREAS, the acquisition includes the termination by merger and unity of title of a +/- .08-acre access easement across current University property from Wallace Wade to the Property which currently encumbers and restricts development of the University's adjacent Wallace Wade lot; and

WHEREAS, valuation of the easement is included in the negotiated purchase amount and was extrapolated on a square foot basis (approximately \$132/SF) from the appraised value of the parcel being acquired; and

WHEREAS, the Seller and the University have entered into a Letter of Intent for the negotiated amount of \$6,140,000 for the purchase of the Property that is contingent upon approval of the Board and described therein; and

WHEREAS, the appropriate officials at the University consider the acquisition of the Property and termination of the easement to be in the best interest of the University and

recommend to the Board approval of the purchase of the Property in accordance with the terms of the Letter of Intent that is attached hereto as Exhibit G; and

WHEREAS, officials at The University requests approval to pay the full purchase price of \$6,140,000 from the University Quasi Federal Land Grant Endowment; and

WHEREAS, officials at the University consider the University Quasi Federal Land Grant Endowment an appropriate funding source for the purchase of this property; and

WHEREAS, University officials have sought approval from the Finance Committee of the Board to fund the full purchase price of \$6,140,000 for the property from the corpus of the University Quasi Federal Land Grant Endowment, which has a current market value of \$15,657,856 as of August 31, 2022; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that the purchase from the Seller of the Property for a total purchase price of \$6,140,000 is hereby approved; and

BE IT FURTHER RESOLVED by The Board that Stuart R. Bell, President, Mathew M. Fajack, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama, be and hereby are, authorized and empowered for and on behalf of the Board to execute a Real Estate Sales Agreement with Spectrum Tide II, LLC substantially in compliance with the terms of the Letter of Intent attached hereto as Exhibit G; and to do any and all things necessary and desirable to make, execute and deliver such documents and instruments as may be necessary to purchase the Property from Seller on the terms set out above.

Approving the CTL Turkey Nest, LLC Conservation Easement

RESOLUTION

WHEREAS, at its November 4, 2011 meeting, The Board of Trustees of the University of Alabama ("Board") approved the establishment of a Plan at The University of Alabama ("University") designed to facilitate the acceptance of gifts of conservation easements and the monitoring and supervision of such to ensure that the conservation purposes are fulfilled by the University as donee and holder ("Plan"); and

WHEREAS, the University's Office of University Lands and Real Estate Services ("University Lands") has developed policies and procedures for the acceptance and administration of conservation easements wherein the University is the grantee and where the property interest being conveyed to the University is characterized by scenic, natural, cultural, or rural quality, or significant natural systems providing natural habitat for fish,

wildlife, plants or similar ecosystems as that phrase is used in Section 170(h)(4)(A)(ii) of the Internal Revenue Code, or for all such purposes as set forth in Section 35-18-1 of the Code of Alabama; and

WHEREAS, CTL Turkey Nest, LLC, (Grantor”) has indicated an intent to donate a Conservation Easement denominated as the 2022 CTL Turkey Nest Conservation Easement (“Easement”) to the University; and

WHEREAS, the Easement has a preliminary appraised value of \$6,371,000; and

WHEREAS, University Lands has reviewed said Easement offered for acceptance by CTL Turkey Nest, LLC, and has complied with the policies and procedures established by the Plan related to the acceptance of conservation easements; and

WHEREAS, University Lands and other appropriate University officials have determined the Easement can be managed and protected in accordance with its terms and the Plan, and that it is in the best interest of the University to accept the Easement; and

WHEREAS, the property covered by the proposed Conservation Easement is contiguous to other properties under Conservation Easements being managed by UA Land Management; and

WHEREAS, the Grantor has provided a one-time stewardship fund in the amount of \$50,000 to cover the cost of managing the Conservation Easement; and

WHEREAS, officials at the University recommend to The Board of Trustees of The University of Alabama that the Easement be accepted according to its terms and the Plan; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer of the University; or, any other official authorized by the most recent Board resolution regarding signature authority for the University of Alabama, be and are hereby, authorized and empowered for and on behalf of the Board to do any and all things necessary or desirable to accept the donation of the Easement.

Granting authorization to execute a Real Estate Sales Contract the disposition of the Shelby 18 property (640 acres in Shelby County)

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama ("Board") owns 640 acres, more or less, of rural timber lands located in western Shelby County, Alabama ("Property"); and

WHEREAS, the Property was obtained through the Federal Land Endowment of the 1880's with the purpose of generating revenue from operations; and

WHEREAS, encroaching development is now limiting the University's ability to maximize and sustain income through traditional operations; and

WHEREAS, a legal description of the property is attached hereto as Exhibit H; and

WHEREAS, attached as Exhibit I is an appraisal performed by Rusty Rich, MAI, MRICS License Number GLO0901 with Integra Realty Resources dated September 15, 2022, assessing a total value (land and timber) of \$2,940,000 on the subject property; and

WHEREAS, the Property was listed for sale through a duly licensed broker, Strong Realty, LLC and on October 3, 2022, four sealed bids were received with the highest bid being \$3,525,000 from Burt Holdings, LLC. of Vance, Alabama; and

WHEREAS, the Real Estate Sales Agreement attached hereto as Exhibit J, has been studied and approved by appropriate officials of The University and its execution and performance is recommended to the Board and the State of Alabama; and

WHEREAS, the proceeds of this sale will be reinvested in The University of Alabama System Pooled Endowment Fund to support the operations of The University of Alabama in accordance with the Act of Congress; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the University of Alabama that the sale of the Property for a total purchase price of \$3,525,000 is hereby approved; and

BE IT THEREFORE FURTHER RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama, be and hereby are, authorized to act for and on behalf of The Board to accept a Real Estate Sales Agreement from Burt Holdings, LLC in the amount of \$3,525,000; and they hereby are, further authorized and empowered to do any and all things necessary and desirable to carry out the terms and conditions of the Real Estate Sales Agreement for and on behalf of the Board.

Approving JaMychal Green, or his Assigns, d/b/a We Dat's Tuscaloosa,
Commercial Lease Agreement

RESOLUTION

WHEREAS, JaMychal Green, or his Assigns, d/b/a We Dat's Tuscaloosa ("We Dat's"), has requested a commercial lease of certain real property owned by The University of Alabama ("University") described as 1130 University Boulevard, Suite 6, Tuscaloosa, Alabama (commonly known as University Town Center), for use as a restaurant under terms and conditions set forth in the Letter of Intent attached hereto as Exhibit K; and

WHEREAS, the Letter of Intent attached hereto has been studied and approved by appropriate University officials, and its execution and performance of the proposed Commercial Lease Agreement is recommended to The Board of Trustees of The University of Alabama ("Board") by the appropriate officials as being the best interest of the University and the State of Alabama; and

WHEREAS, a commercial lease to We Dat's will allow a modern, upscale facility that will improve and upgrade the mix of businesses at University Town Center for students, faculty, staff and visitors while allowing the University to obtain market rate of return for property to support the mission of the University and the generated revenue will help support University Programs; and

WHEREAS, a legal description of the property is attached hereto as Exhibit L; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the proposed Commercial Lease Agreement, based upon the terms of the Letter of Intent with We Dat's is hereby approved.

BE IT THEREFORE RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President, Matthew M. Fajack, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama, be and hereby are, authorized to act for and on behalf of The Board of Trustees of The University of Alabama to enter into and execute a Commercial Lease in substantially the form attached hereto as Exhibit K, for and on behalf of this Board with JaMychal Green or his Assigns d/b/a We Dat's Tuscaloosa, for 1130 University Boulevard, Suite 6, Tuscaloosa, Alabama, owned by The University of Alabama for use as a restaurant.

Approving the revised project budget for the Renovation of the 2nd - 4th floors
of the McCallum Basic Health Sciences Education and Research Building at
UAB (Revised Stage IV)

RESOLUTION

WHEREAS, the Charles A. McCallum Basic Health Sciences Education and Research Building (McCallum), located at 1918 University Boulevard, was constructed in 1985 with 174,885 gross square feet (GSF) of space on nine floors to provide modern biomedical research space; and

WHEREAS, the interior of the building had not received a significant renovation since the building's construction and, due to changes in research techniques and protocols, was no longer configured to properly support contemporary biomedical research; and

WHEREAS, many of the buildings mechanical, electrical, plumbing, and life-safety systems had reached the end of their useful lives and required extensive repair or replacement; and

WHEREAS, additionally, the exterior envelope of the building had reached the end of its useful life and was no longer able to effectively control interior air pressurization, air and moisture intrusion, and humidity, which are all critical to support contemporary biomedical research; and

WHEREAS, the UAB School of Medicine (SOM) evaluated McCallum and determined that due to its large size, location in the heart of the research district and its adjacency to numerous other research and research support facilities, that investing in the required deferred maintenance and completing a full building renovation of McCallum would provide the SOM with the most efficient and economical means of providing a large amount of modern research space; and

WHEREAS, to renew McCallum, the University initiated a planned three phase renovation to completely renovate McCallum and transform the building into one of the premier research facilities on the campus; and

WHEREAS, the first phase of the renovation, which is now complete, repaired or replaced the buildings mechanical, electrical, plumbing and life-safety systems building wide; replaced the building's roof; replaced the building's envelope; and extensively renovated the interior of the 8th and 9th floors to create approximately 40,266 GSF of newly renovated and modern wet laboratory research space, research support space, office space, and administrative support space that meet current research needs; and

WHEREAS, the second phase of the project, which has recently been substantially completed, extensively renovated the interior of the 5th, 6th, and 7th floors of McCallum to create approximately 58,500 GSF of newly renovated modern wet laboratory research and research support space; and

WHEREAS, the third and final phase of the project includes the complete renovation of the interior of the 2nd, 3rd, and 4th floors of McCallum to create approximately 58,000 GSF of newly renovated modern wet laboratory research space, research support space, office space, and administrative support space that meets current biomedical research requirements; and

WHEREAS, the approved project scope for the third phase of the project also includes the construction of a new entrance lobby, with approximately 1,750 GSF, along the University Boulevard side of the building that will improve accessibility to the building and offer pedestrians with a visual cue of the important research taking place within McCallum; and

WHEREAS, as the third phase of the projects design progressed, the design team and third-party construction cost consultants identified numerous market conditions; including supply chain disruptions, inflation, and construction market saturation; that would potentially result in bid day pricing exceeding the approved project budget and available funding for the project; and

WHEREAS, to manage these economic conditions, mitigate the potential for bid day pricing that could exceed the available funding, and ensure that the University could immediately proceed with construction on as much of the project as the available funding could support, the University included numerous additive alternates into the bid documents; and

WHEREAS, the scope of work for the base bid included the complete renovation of the 2nd and 4th floors of McCallum; and

WHEREAS, additive alternate number one included the construction of the new entrance lobby; and

WHEREAS, additive alternate numbers two and three included the renovation of the 3rd floor of McCallum; and

WHEREAS, additive alternate number four included the modernization of McCallum's passenger elevators; and

WHEREAS, competitive bids for the construction of the project were received from pre-qualified bidders on July 26, 2022 with M.J. Harris Construction Services of Birmingham, Alabama (M.J. Harris), determined to be the lowest responsible and responsive bidder; and

WHEREAS, the funds available for the project were sufficient to support a contract award to M.J. Harris for their base bid and additive alternate number one; however, an award of additive alternates two, three, and/or four would have placed the project above the approved project budget; and

WHEREAS, to avoid delays and begin construction on as much of the project as the available funding would support, the University split the project into two separate construction packages with the first package completing the scope of work included in the base bid and additive alternate number one (Package 1) and the second package completing the scope of work included in additive alternate numbers two, three, and four (Package 2) in the future; and

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama approved the Stage IV (construction contract award) submittal for Package 1 of the project and the University has executed a construction contract with M.J. Harris, for their base bid and additive alternate number one, in the amount of \$16,357,000; and

WHEREAS, to move forward with the completion of Package 2, the University entered negotiations with M.J. Harris; in an attempt to reduce their bid price for additive alternate numbers two, three, and four; and worked to identify additional internal funding that could be made available to complete this work; and

WHEREAS, the University has now concluded negotiations with M.J. Harris and has reduced the cost for Package 2 from \$7,015,000 down to \$6,760,000; and

WHEREAS, the University has identified an additional \$5,200,000 in Heersink School of Medicine reserve funds and \$1,400,000 in UAB Institutional reserve funds that is available to increase the project budget and allow for the University to issue a change order to M.J. Harris for Package 2 of the project; and

WHEREAS, to expedite completion of the third and final phase of the project and maximize economies of scale, achievable by having the same contractor complete both Package 1 and Package 2 concurrently, the University now desires to award a change order to M.J. Harris for Package 2 of the project in the amount of \$6,760,000; and

WHEREAS, the issuance of this change order will result in an increase in the total project cost from the currently approved \$24,000,000 up to a proposed total project cost of \$30,600,000 and the University must request approval of a budget modification prior to proceeding with Package 2; and

WHEREAS, the project will be funded from 2022 UAB general revenue bond funds in the amount of \$19,000,000, from Heersink School of Medicine reserve funds in the amount of \$10,200,000, and from UAB institutional reserve funds in the amount of \$1,400,000; and

WHEREAS, the revised project budget is established as follows:

| | | |
|---|-----------|-------------------|
| Construction – Package 1 | \$ | 16,357,000 |
| Construction – Package 2 | \$ | 6,760,000 |
| Architect/Engineer (5.178% of Construction) | \$ | 1,197,000 |
| Surveys, Testing, & Inspection | \$ | 100,000 |
| Moveable Equipment & Furnishings | \$ | 1,650,000 |
| Project Administration (4.5% of Project Cost) | \$ | 1,318,000 |
| Construction Contingency (10% of Const.) | \$ | 2,311,700 |
| Other | \$ | 906,300 |
| TOTAL PROJECT COST | \$ | 30,600,000 |

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Modification from \$24,000,000 up to \$30,600,000 is hereby approved.
2. Brian D. Burnett, Ph.D., Senior Vice President of Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a change order for Package 2 of the project with M.J. Harris Construction Services of Birmingham, Alabama, in the amount of \$6,760,000.
3. The Stage IV submittal for Package 2 of the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with construction of Package 2 of the project in accordance with the appropriate provisions of Board Rule 415.

Approving the revised project budget; providing authorization to execute a construction contract for the New Child Development Center at UAB (Stage IV)

RESOLUTION

WHEREAS, the UAB Child Care Center (UCCC) was constructed in 1993 at 1113 15th Street South on the UAB Campus; and

WHEREAS, the UCCC has 6,938 gross square feet (GSF) of space to accommodate ninety-two children of UAB's faculty and staff; and

WHEREAS, on-campus childcare is an extremely popular and highly sought after benefit for UAB employees and the UCCC has operated at capacity since it opened; and

WHEREAS, UAB has evaluated on-campus childcare and determined that making childcare readily available to employees increases job satisfaction, improves retention, improves the ability to recruit top faculty and staff, and improves the overall work-life balance of faculty and staff with small children; and

WHEREAS, the UCCC's limited capacity and the increasing demand for on-campus childcare has resulted in a lengthy waiting list, extensive delays in enrollment, as well as increased cost and inconvenience for many employees as they are required to locate alternative childcare options off campus; and

WHEREAS, UAB conducted a study to determine how best to increase the University's on-campus childcare capacity and concluded that constructing a new childcare facility would be the most efficient and cost effective means of increasing on-campus childcare capacity; and

WHEREAS, to continue to support the faculty and staff of the University, improve employee morale and efficiency, and allow UAB to continue to recruit and retain the highest quality faculty and staff available, the University is implementing a project to design and construct a new Child Development Center (CDC); and

WHEREAS, the project will construct a new CDC, with a single floor and approximately 18,000 GSF of space, on available property located at the northwest corner of 10th Avenue South and 11th Street South; and

WHEREAS, the new CDC will provide childcare classroom space, childcare support space, office and administrative space, as well as indoor and outdoor recreation spaces that will accommodate approximately two hundred and twenty-four infants, toddlers, and children; and

WHEREAS, as the demand for on-campus child care is expected to continue increasing in the future, upon completion of the CDC, the existing UCCC will be evaluated for possible modification and updates to accommodate this future demand; and

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama approved the Stage III (architectural design and budget modification) submittal for the project at their regularly scheduled meeting; and

WHEREAS, the Stage III submittal included a construction budget of \$6,900,000 and a total project budget of \$9,000,000; and

WHEREAS, competitive bids for the construction of the project were received from pre-qualified bidders on October 27, 2022 with Murray Building Company, Inc. (Murray) of Birmingham, Alabama, determined to be the lowest responsible and responsive bidder; and

WHEREAS, Murray's bid exceeded the funds available for the project and included a base bid of \$8,422,869 and additive alternate numbers one through four totaling \$982,670 for a total bid of \$9,405,539; and

WHEREAS, the University received three bids for the project and all bids received were competitive with a variance of only 2.4% between the bid prices of Murray and the next lowest bidder; and

WHEREAS, the University has carefully evaluated the bids and determined that, given the current construction market conditions, Murray's bid price is an accurate and fair price for the construction of the CDC; and

WHEREAS, as Murray's bid exceeded the available funds for the project, a construction contract cannot be awarded within the currently approved project budget and a budget modification will be required to proceed with construction of the project; and

WHEREAS, the University has evaluated its financial resources to identify additional funding that could be utilized for the construction of the project; and

WHEREAS, sufficient internal funding has now been identified to allow for the award of a construction contract to Murray for their base bid and additive alternate numbers one through four; and

WHEREAS, the University has identified an additional \$2,700,000 in UAB institutional reserve funds to increase the project budget and allow the University to proceed with the construction contract and identified additive alternates; and

WHEREAS, UAB now desires to award a construction contract to Murray, for their base bid and additive alternate numbers one through four, in the amount of \$9,405,539 and proceed with construction of the project; and

WHEREAS, the award of the construction contract will result in an increase in the total project cost, from the currently approved project cost of \$9,000,000, up to the proposed project cost of \$11,700,000; and

WHEREAS, the project will be funded from 2022 general revenue bond funds in the amount of \$6,450,000, UAB institutional reserve funds in the amount of \$3,700,000 and UAB Auxiliary reserve funds in the amount of \$1,550,000; and

WHEREAS, the revised project budget is established as follows:

| | | |
|---|-----------|-------------------|
| Construction | \$ | 9,405,539 |
| Architect/Engineer (3.7% of Construction) | \$ | 347,760 |
| Surveys, Testing, & Inspection | \$ | 145,000 |
| Moveable Equipment & Furnishings | \$ | 300,000 |
| Project Administration (3.5% of Project Cost) | \$ | 396,000 |
| Contingency (5% of Construction) | \$ | 470,277 |
| Other | \$ | 635,424 |
| TOTAL PROJECT COST | \$ | 11,700,000 |

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Modification from \$9,000,000 up to \$11,700,000 is hereby approved.
2. Brian D. Burnett, Ph.D., Senior Vice President of Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a construction contract for the project with Murray Building Company, Inc. of Birmingham, Alabama, for their base bid and additive alternate numbers one through four, for a total construction contract award of \$9,405,539.
3. The Stage IV submittal for the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with construction of the project in accordance with the appropriate provisions of Board Rule 415.

Approving the revised project budget; providing authorization to execute a construction contract for the New Parking Deck - Northern Academic Campus at UAB (Stage IV)

RESOLUTION

WHEREAS, UAB has grown to become an internationally renowned, public doctoral research university and academic health center; and

WHEREAS, the University currently has over 23,500 employees and campus wide enrollment has grown to include over 22,500 students enrolled in more than 250 undergraduate, graduate, and professional degree programs; and

WHEREAS, the physical campus has grown to include approximately 100 city blocks with over 200 buildings and over 18,000,000 gross square feet (GSF) of interior space; and

WHEREAS, to support this expansive institution, UAB's Transportation enterprise (Transportation) manages over 21,700 parking spaces in numerous surface parking lots and structured parking decks across the campus; and

WHEREAS, the University recently completed a comprehensive parking and transportation plan, which concluded that UAB's existing parking capacity is utilized at a rate of approximately 90% and that the ideal utilization rate would be 85%, placing UAB 5% over its ideal utilization rate; and

WHEREAS, to address the shortage of parking, support the mission of the University, and allow for continued growth, the University is implementing a project to design and construct a new parking deck (Deck) on the UAB Campus; and

WHEREAS, the Deck will be located along the northern perimeter of the academic campus on the southern half of the block bounded by 14th and 15th Streets and 6th and 7th Avenues; and

WHEREAS, the Deck will have six parking levels to accommodate 1,168 parking spaces within approximately 405,000 GSF of space; and

WHEREAS, the Deck will have a cast-in-place concrete structure and will have three elevators and four sets of stairs to provide access to all six parking levels; and

WHEREAS, the Deck will directly support the expansion and densification of the western academic campus; and

WHEREAS, the Deck's location along the perimeter of the campus will also support UAB's strategic plan to locate as much parking, into efficient surface parking lots or parking decks, along the perimeter of the campus as possible and allow the valuable and limited real estate in the core of campus to be developed for its highest and best use; and

WHEREAS, completion of the project will improve the commuting experience, reduce vehicular traffic on campus, improve the walkability and pedestrian safety of the campus, and improve the overall campus environment; and

WHEREAS, on September 16, 2022, the The Board of Trustees of The University of Alabama (Board) approved the Stage III (architectural design and budget modification) submittal for the project at their regularly scheduled meeting; and

WHEREAS, the Stage III submittal for the project included a construction budget of \$27,800,000 and a total project budget of \$33,000,000; and

WHEREAS, to better manage elevated bid day pricing that has been experienced over the past year, mitigate the potential for bid day pricing that could exceed the available funding, and ensure that the University could immediately proceed with construction on the project, the University included numerous additive alternates into the bid documents; and

WHEREAS, the scope of work for the base bid included the construction of a four story parking deck with 754 parking spaces; and

WHEREAS, additive alternate number one added a fifth parking level with an additional 204 parking spaces, while additive alternate number two added the sixth parking level and an additional 210 parking spaces; and

WHEREAS, additive alternate number three added a metal fin veneer the full length of three sides of the Deck with metal panel and curtain wall glazing at each of the stair and elevator towers (Veneer); and

WHEREAS, additive alternate numbers four and five included the construction of shell space within the Deck, to accommodate future active use space, and enhanced exterior lighting; and

WHEREAS, competitive bids for the construction of the project were received from pre-qualified bidders on September 27, 2022 with Brasfield & Gorrie, LLC of Birmingham, Alabama, determined to be the lowest responsible and responsive bidder; and

WHEREAS, Brasfield & Gorrie's bid included a base bid of \$21,854,542 and additive alternate numbers one through five totaling \$12,726,491 for a total bid of \$34,581,033; and

WHEREAS, Brasfield & Gorrie's base bid is well within the funds available for the project; however, including all of the additive alternates would result in a total bid price that exceeds the funds available; and

WHEREAS, Brasfield & Gorrie's base bid, combined with their additive alternate numbers one and two of \$6,094,267, results in a total price of \$27,948,809; and

WHEREAS, the base bid price, including additive alternates numbers one and two, exceeds the construction budget of \$27,800,000 by \$148,809; however, after careful evaluation of the project budget, the University identified savings in other budget line items that would allow for a construction contract award, of the base bid and additive alternates one and two, without exceeding the previously approved project budget of \$33,000,000; and

WHEREAS, the University has determined that additive alternate numbers one and two are the highest priority alternates and should be awarded with the construction contract; however, additive alternate number three was also determined to be a high priority and highly desirable alternate; and

WHEREAS, the University has evaluated the design of the Veneer with the architect of record for the project, and has entered into negotiations with Brasfield & Gorrie, to determine if the scope of alternate number three could be modified to allow for the Decks functionality and aesthetics to be enhanced while also reducing the price; and

WHEREAS, this evaluation determined that removing the metal fin veneer between the elevator and stair towers, while retaining the metal panel and curtain wall glazing at each of those towers (Modified Veneer) would enhance the appearance of the Deck, ensure that the elevator and stair towers are protected from the elements, and allow for significant cost savings; and

WHEREAS, Brasfield & Gorrie's bid day price for additive alternate number three was \$6,058,936; however, after negotiations, the University and Brasfield & Gorrie have agreed upon a negotiated price for the Modified Veneer of \$2,847,856; a savings of \$3,211,080; and

WHEREAS, the University has declined to accept alternate numbers four and five; and

WHEREAS, the award of a construction contract for the base bid, additive alternate numbers one and two, and the Modified Veneer will result in an increase to the total project cost and the University must now request approval of a budget modification to increase the project budget from \$33,000,000 up to \$35,800,000; and

WHEREAS, the University has identified an additional \$2,400,000 from UAB's Transportation reserve funds, and an additional \$400,000 from Cooper Green Mercy Health Services Authority (Cooper Green), that are available to increase the project budget and allow the University to proceed with the construction contract and identified additive alternates; and

WHEREAS, the University now desires to award a construction contract to Brasfield & Gorrie, LLC, for their base bid, additive alternate numbers one and two, and the negotiated Modified Veneer, in the amount of \$30,796,665 and proceed with construction of the Deck; and

WHEREAS, the project will be funded from 2022 UAB general revenue bond funds in the amount of \$32,000,000, from UAB Transportation reserve funds in the amount of \$3,400,000, and from Cooper Green funds in the amount of \$400,000; and

WHEREAS, the revised project budget is established as follows:

| | | |
|---|-----------|-------------------|
| Construction | \$ | 30,796,665 |
| Architect/Engineer (2.89% of Construction) | \$ | 891,000 |
| Surveys, Testing, & Inspection | \$ | 520,000 |
| Project Administration (3.5% of Project Cost) | \$ | 1,210,500 |
| Construction Contingency (5% of Const.) | \$ | 1,539,833 |
| Other | \$ | 842,002 |
| TOTAL PROJECT COST | \$ | 35,800,000 |

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The budget modification, to increase the project budget from \$33,000,000 up to \$35,800,000, is hereby approved.
2. Brian D. Burnett, Ph.D., Senior Vice President of Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a construction contract for the project with Brasfield & Gorrie, LLC of Birmingham, Alabama; for their base bid, additive alternate numbers one and two, and the Modified Veneer, in the amount of \$30,796,665.
3. The Stage IV submittal for the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with construction of the project in accordance with the appropriate provisions of Board Rule 415.

Approving the revised project budget; providing authorization to execute a construction contract for the New Student Organization Assembly Building at UAB (Stage IV)

RESOLUTION

WHEREAS, with the growth of UAB's undergraduate enrollments in recent years, the number and size of undergraduate student organizations (Organizations) across the campus have experienced corresponding growth; and

WHEREAS, research has shown that student engagement outside of the classroom results in higher average grade point averages, enhanced retention, and improved graduation rates making these Organizations a critical component in providing a successful undergraduate student experience and developing well-rounded students that are prepared to meet the needs of the workforce; and

WHEREAS, these Organizations promote community service, leadership, and scholarship, which further enhance students development by providing experiential learning opportunities; and

WHEREAS, potential undergraduates have increasingly evaluated the out of classroom experience, as well as the classroom experience, when assessing potential higher education options, placing these Organizations in an increasingly visible and important role in determining UAB's overall competitiveness; and

WHEREAS, currently, these Organizations do not have a designated space to assemble and are required to utilize large classrooms, conference rooms, and multi-use rooms across the campus as they are available; and

WHEREAS, to meet the space needs of these important and growing Organizations, the University is implementing a project to design and construct a new student organization assembly building (Building); and

WHEREAS, the Building will be located on the northwest corner of 11th Avenue South and 14th Street, adjacent to the residential district of campus, and will provide approximately 10,730 gross square feet of space, on a single floor, with additional outdoor activity and engagement spaces; and

WHEREAS, the Building will have one large assembly room, which can accommodate up to 280 students at a time, and can be subdivided into three smaller assembly rooms; and

WHEREAS, the Building will also provide four smaller individual assembly rooms, which can accommodate between 12 and 32 students each; and

WHEREAS, in addition to the formal assembly spaces, the Building will provide pre-function spaces, office and administrative spaces for use by the Organizations leadership, as well as a catering kitchen and storage areas; and

WHEREAS, on June 10, 2022, The Board of Trustees of The University of Alabama (Board) approved the Stage III (architectural design) submittal for the project at their regularly scheduled meeting; and

WHEREAS, the Stage III submittal for the project included a construction budget of \$3,100,000 and a total project budget of \$4,500,000; and

WHEREAS, as design for the Building progressed and a planned bid date approached, the project team, design team, and third party construction cost estimators identified significant economic volatility, supply chain disruptions, and construction market saturation (Market Conditions) that have resulted significant increases to construction costs over the previous year; and

WHEREAS, to best mitigate the risk presented by the Market Conditions, the project team developed a bid strategy, that included adding numerous significant additive alternates into the bid, with the goal of allowing for a construction contract award; and

WHEREAS, the base bid included the construction of the building shell and fit-up of the large assembly areas and required support spaces; and

WHEREAS, additive alternate numbers one and two included the fit-up of the remaining assembly spaces, pre-function spaces, office and administrative spaces, and the remaining building support spaces; and

WHEREAS, additive alternate numbers three and four included finish upgrades to various spaces within the Building; and

WHEREAS, competitive bids for the construction of the Building were received from pre-qualified bidders on September 13, 2022 with Shelby Company, LLC of Birmingham, Alabama determined to be the lowest responsible and responsive bidder; and

WHEREAS, Shelby Company's bid exceeded the funds available for the project and included a base bid of \$4,260,118 and additive alternate numbers one through four totaling \$793,168 for a total bid of \$5,053,286; and

WHEREAS, the University received five bids for the project and all bids received were extremely competitive with a variance of only 5.67% between the bid prices of Shelby Company and the next lowest bidder; and

WHEREAS, the University has carefully evaluated the bids and determined that, given the current Market Conditions, Shelby Company's bid price is an accurate and fair price for the construction of the Building; and

WHEREAS, as Shelby Company's bid exceeded the available funds for the project, a construction contract cannot be awarded within the currently approved project budget and a budget modification will be required to proceed with construction of the project; and

WHEREAS, the University has evaluated its financial resources to identify additional funding that could be utilized for the construction the project; and

WHEREAS, sufficient internal funding has been identified to allow for the award of a construction contract to Shelby Company for their base bid and additive alternate numbers one and two; and

WHEREAS, the University has identified an additional \$1,000,000 in Student Affairs reserve funds and \$1,000,000 in UAB Institutional reserve funds to increase the project budget and allow the University to proceed with the construction contract and identified additive alternates; and

WHEREAS, the University has elected to decline alternate numbers three and four; and

WHEREAS, UAB now desires to award a construction contract to Shelby Company for their base bid and additive alternate numbers one and two for a total construction contract award of \$4,961,387; and

WHEREAS, the award of the construction contract will result in an increase in the total project cost from the currently approved project cost of \$4,500,000 up to the proposed project cost of \$6,500,000; and

WHEREAS, the project will be funded from 2022 general revenue bond funds in the amount of \$3,500,000, Student Affairs reserve funds in the amount of \$2,000,000, and from UAB institutional reserve funds in the amount of \$1,000,000; and

WHEREAS, the project budget is established as follows:

| | | |
|---|-----------|------------------|
| Construction | \$ | 4,961,387 |
| Architect/Engineer (4.05% of Construction) | \$ | 201,000 |
| Surveys, Testing, & Inspection | \$ | 80,000 |
| Moveable Equipment & Furnishings | \$ | 335,000 |
| Project Administration (3.5% of Project Cost) | \$ | 220,000 |
| Contingency (5% of Construction) | \$ | 248,069 |
| Other | \$ | 454,544 |
| TOTAL PROJECT COST | \$ | 6,500,000 |

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Modification from \$4,500,000 up to \$6,500,000 is hereby approved.
2. Brian D. Burnett, Ph.D., Senior Vice President of Finance and Administration, or those officers named in the most recent Board Resolution granting signature

authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a construction contract for the project with Shelby Company, LLC, of Birmingham, Alabama for their base bid and additive alternate numbers one and two for a total construction contract award of \$4,961,387.

3. The Stage IV submittal for the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with construction of the project in accordance with the appropriate provisions of Board Rule 415.

Granting authorization to negotiate an Owner/Architect Agreement for the Construction of a Memory Disorder Clinic on the 5th Floor of UAB Callahan Eye Hospital's MOB

RESOLUTION

WHEREAS, the UAB Health System Authority (the "Health System") provides for the strategic coordination and common management of the existing and future health care delivery operations of The Board of Trustees of The University of Alabama ("UA Board") and the University of Alabama Health Services Foundation, P.C.; and

WHEREAS, University Hospital, an operating entity of the UA Board managed by the Health System, in furtherance of its mission to provide a continuum of health services of the highest quality has determined it is necessary to construct a Clinic to address the increasing demand for treatment of Alzheimer's Disease and other Memory Disorders; and

WHEREAS, University Hospital has determined that space on the 5th Floor of UAB Callahan Eye Hospital's medical office building can be renovated to meet the needs of the proposed Memory Disorder Clinic ("Project") and is the highest and best use of the space; and

WHEREAS, University Hospital also proposes to address deferred maintenance, aging electrical, mechanical and plumbing systems, and replace fixtures and finishes within the Project scope; and

WHEREAS, on April 8, 2022, the UA Board approved the Stage I submittal for this Project; and

WHEREAS, a Consultant Selection Committee appointed by the Hospital has completed proper consultation notifications, qualifications review, and interviews required by Board Rule 415, and has determined that Poole and Company Architects, Inc.,

Birmingham, Alabama, Ghafari Associates, LLC, Birmingham, Alabama, and TRO Jung Brannen, Birmingham, Alabama are the most qualified Architectural Firms for this Project; and

WHEREAS, University Hospital is hereby requesting approval to proceed with the final negotiations for architectural services with one of the above listing of consultants as specified by Board Rule 415; and

WHEREAS, the Project Budget remains as previously established:

| | |
|------------------------------------|--------------------|
| A. CONSTRUCTION | <u>\$3,100,000</u> |
| B. ARCHITECT/ENGINEER | <u>\$253,000</u> |
| C. SURVEYS, TESTING, INSPECTIONS | <u>\$70,000</u> |
| D. MOVABLE EQUIPMENT & FURNISHINGS | <u>\$766,500</u> |
| E. CONTINGENCY (10% CONSTRUCTION) | <u>\$310,000</u> |
| F. OTHER: Fees & Services | <u>\$178,000</u> |
| G. TOTAL ESTIMATED PROJECT COSTS | <u>\$4,677,500</u> |

WHEREAS, the Project Budget may be revised based on the final design and specifications prepared for this Project and continuing evaluations of construction market conditions; and

WHEREAS, University Hospital will present a revised Stage II submittal for Board consideration if a budget adjustment is necessary; and

WHEREAS, the Project will be funded by the Hospital Plant fund; and

WHEREAS, the management of UAB Medicine Enterprise has reviewed and recommend approval of this item:

NOW, THEREFORE, BE IT RESOLVED BY THE UAB HEALTH SYSTEM AUTHORITY BOARD OF DIRECTORS, that it hereby recommends to the UA Board the following:

1. The Stage II submittal for this Project be approved.
2. Bernard Mays, University Controller, or those officers named in the most recent Board resolution granting signature authority for University Hospital, are hereby authorized to act for and in the name of the UA Board in negotiating terms of an Owner/Architect Agreement, in accordance with Board Rule 415, with the below listed firms in the following order and thereafter advising the Senior Vice Chancellor for Finance and Administration and the Chairwoman of the Physical Properties Committee of the negotiated results.

- Poole and Company Architects, Inc., Birmingham, Alabama
- Ghafari Associates, LLC, Birmingham, Alabama
- TRO Jung Brannen, Birmingham, Alabama

Trustee Brooks also added that the Committee was presented with a report from the Chancellor's Office on construction contracts awarded for interior renovation projects.

Pro tem Starnes thanked Trustee Brooks and recognized Trustee Humphrey for a report from the Academic Affairs and Student Affairs Committee.

Trustee Humphrey reported that the Academic Affairs and Student Affairs Committee met on November 4, 2022, and considered 39 agenda items, all of which the Committee unanimously recommended for approval. Trustee Humphrey moved for their approval by the Board, which was seconded by Trustee Morrisette. Pro tem Starnes asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Starnes then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

PowerPoint

Academic Affairs and Student Affairs Committee

November 4, 2022

THE UNIVERSITY OF
ALABAMA

A NISP for Master of
Science in Nursing in
Master's Entry to the
Profession of Nursing
(CIP Code 51.3801)

- Master's Entry to the Profession of Nursing (MSN) Degree
 - Increases the available pathways into the nursing profession.
 - Helps meet the overwhelming and growing need for nurses.
 - Prepares students with a bachelor's degree in any area outside of nursing to become entry-level professional nurses (generalists).


Govenda: A.1



A NISP for Master of Science in Nursing in Master's Entry to the Profession of Nursing (CIP Code 51.3801)

- Master's Entry to the Profession of Nursing (MSN) Degree
 - Prepares individuals for obtaining entry level nursing positions in healthcare institutions across rural and underserved areas.
 - Requires no additional resources and builds on the recognized skill and expertise of the current Capstone College of Nursing faculty.

Govenda: A.1



Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Master of Science in Nursing (MSN) degree in Master's Entry to the Profession of Nursing (CIP Code 51.3801) at UA

RESOLUTION

WHEREAS, there is an overwhelming and growing need for nurses to provide care across the health care delivery spectrum; and

WHEREAS, there is a need to increase the available pathways into the nursing profession, especially for those who already hold at least a bachelor's degree from an accredited university; and

WHEREAS, the Capstone College of Nursing at The University of Alabama is a nationally recognized educator of generalist nurses; and

WHEREAS, the Capstone College of Nursing at The University of Alabama is a nationally recognized provider of education to develop experts in providing health care to rural and medically underserved populations; and

WHEREAS, the Master's Entry to the Profession of Nursing (MEPN) program will prepare individuals for obtaining entry level nursing positions in healthcare institutions across rural and urban areas; and

WHEREAS, this MEPN program builds on the widely recognized skill and expertise of the current faculty and on the current core masters curriculum offerings;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Master of Science in Nursing (MSN) degree in Master's Entry to the Profession of Nursing (CIP Code 51.3801) in the Capstone College of Nursing at The University of Alabama.

PowerPoint

A NISP for Bachelor of Arts in Applied Liberal Arts and Sciences (CIP Code 24.0101)

- Applied Liberal Arts and Sciences (BA) Degree
 - Students combine two existing minors at UA, with at least one from the College of Arts and Sciences.
 - Designed as a flexible option for main campus students who have completed at least 80 credit hours.
 - Students will be assigned advisor to assist them on their path to completion within six years of their college start date.

Govenda: A.2

A NISP for Bachelor of
Arts in Applied Liberal
Arts and Sciences (CIP
Code 24.0101)

- Applied Liberal Arts and Sciences (BA) Degree
 - Students will demonstrate workforce readiness by applying critical and creative thinking skills across two or more disciplines.
 - Allows students who may not otherwise complete their degree within six years to obtain a bachelor's degree to increase their employment and earning potential.
 - Increases the number of adults in the state of Alabama that have obtained a bachelor's degree.

Govenda: A.2



Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Arts (B.A.) degree in Applied Liberal Arts and Sciences (CIP Code 24.0101) in the Department of New College in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, challenges facing the contemporary workplace require the liberal arts' capacity to synthesize insights of multiple disciplines and their ways of knowing; and

WHEREAS, the addition of a Bachelor of Arts Degree in Applied Liberal Arts and Sciences will offer UA students the opportunity to pursue employment in hybrid and emerging professional fields; and

WHEREAS, the United States Bureau of Labor Statistics directly correlates educational attainment with employment and earning potential; and

WHEREAS, the Public Affairs Research Council of Alabama directly correlates educational attainment and earning potential with local and state economic development; and

WHEREAS, the addition of such a program will increase retention and degree-completion of UA undergraduate students within six years of their start date and/or with 80 or more completed credit hours; and

WHEREAS, the curriculum of the Bachelor of Arts Degree in Applied Liberal Arts and Sciences will integrate combinations of discipline-specific knowledge and critical-thinking skills required in the contemporary workplace; and

WHEREAS, liberal arts programs equip learners with broadly employable skills in leadership, innovation, problem-solving, project management, entrepreneurship, administration, and technical skills; and

WHEREAS, this degree program will capitalize on UA resources to contribute to workforce development for Alabama and for the nation;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Arts (B.A.) degree in Applied Liberal Arts and Sciences (CIP Code 24.0101) in the Department of New College in the College of Arts and Sciences at The University of Alabama.

PowerPoint


- Data Science (BS) Degree
 - Comprises the techniques from mathematics, computer science, and statistics that make possible the efficient analysis of large data sets.
 - Offered jointly between the Mathematics and Computer Science Departments, the program will provide a more directed course of study than a double major and document the specific experience and training in data science.

A NISP for Bachelor of Science in Data Science (CIP Code 30.7001)

Govenda: A.3

**A NISP for Bachelor of
Science in Data Science
(CIP Code 30.7001)**

- **Data Science (BS) Degree**
 - Provides students with a rigorous, technical degree that imparts the necessary knowledge, skills, and motivations to implement a computerized analysis of data and to participate in one of the fastest growing professions.
 - Prepares graduates for a career as a data scientist, which is one of the fastest growing career fields. The Bureau of Labor Statistics projects a 31.4% growth for data scientists by 2030.
 - Prepares graduates who are equipped to handle, analyze, and communicate large data sets of information to every sector of industry and the government.



Govenda: A.3

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Data Science (CIP Code 30.7001) at UA

RESOLUTION

WHEREAS, research and application of natural sciences, social sciences, engineering and technology generates and uses ever increasing quantities of data; and

WHEREAS, to analyze and understand the patterns in that data requires automated analysis using computers and advanced mathematical algorithms, employing significant experience with computer science and mathematical theory, in addition to statistics; and

WHEREAS, the Data Science (B.S.) program will provide students with a rigorous, technical degree that imparts the necessary knowledge, skills, and motivations to implement a modern, computerized analysis of data and to participate in one of the fastest growing professions; and

WHEREAS, the Data Science (B.S.) program will be a joint offering of the Department of Mathematics in the College of Arts and Sciences and the Department of Computer Science in the College of Engineering;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Data Science (CIP Code 30.7001) in the Department of Mathematics in the College of Arts and Sciences at The University of Alabama.

PowerPoint

A NISP for Bachelor of
Science in Business
Statistics (CIP Code
52.1302)

- Business Statistics (BS) Degree
 - Students will gain knowledge of statistical theory with an emphasis on application of statistics to everyday business practices.
 - Will help meet the need in all organizations to provide a means to analyze and distill data and turn it into actionable information.
 - Graduating students will be prepared to create solutions for these stakeholders by leveraging their knowledge in the appropriate statistical technique and enabling the organizations to improve their probabilistic decision-making processes and outcomes.

Govenda: A.4

A NISP for Bachelor of Science in Business Statistics (CIP Code 52.1302)

- Business Statistics (BS) Degree
 - Requires no additional resources and builds on the existing Statistics minor in Business and the expertise of faculty in the department.
 - Possibility of an Accelerated Master's Program with the Applied Statistics (MS) degree.

Govenda: A.4

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Business Statistics (CIP Code 52.1302) at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business through its various academic offerings provides the courses needed for a Business Statistics (B.S.) program; and

WHEREAS, the demand from students and industry for a degree program in Business Statistics is evident; and

WHEREAS, a Business Statistics (B.S.) program offers an option for students interested in seeking a more specialized understanding of applied business statistics which has seen considerable and increasing industry demand relating to the trend toward data-based analyses (analytics) and decision making; and

WHEREAS, the proposed Business Statistics (B.S.) program will utilize existing facilities and faculty expertise in the Culverhouse College of Business;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Business Statistics (CIP Code 52.1302) in the Department of Information Systems, Statistics, and Management Science in the Culverhouse College of Business at The University of Alabama.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) the Establishment of a Concentration in Advanced Drug Delivery as a Reasonable Extension of the Existing Doctor of Philosophy (Ph.D.) Degree in Interdisciplinary Studies (CIP Code 30.0000) in the Graduate School at UA

RESOLUTION

WHEREAS, the Graduate School has a longstanding and successful Doctor of Philosophy (Ph.D.) in Interdisciplinary Studies degree; and

WHEREAS, the Interdisciplinary Studies Ph.D. Degree offers innovative student-centered programs to meet industry needs; and

WHEREAS, the proposed concentration offers a flexible, but rigorous program that promotes multidisciplinary thinking and analysis to meet changing paradigms in the evolving healthcare and pharmaceutical industries; and

WHEREAS, the proposed program brings together faculty leaders in applied mathematics, artificial intelligence, biochemistry, biological sciences, biomedical engineering, chemical engineering, chemistry, drug delivery, machine learning, neuroscience, pharmaceuticals, pharmacology, polymer chemistry, psychology, and social behavior to meet student and industry needs; and

WHEREAS, all courses in the proposed concentration are offered across campus in existing programs and no additional resources are required for its implementation;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) the establishment of a Concentration in Advanced Drug Delivery as a Reasonable Extension of the existing Doctor of Philosophy (Ph.D.) Degree in Interdisciplinary Studies (CIP Code 30.0000) in the Graduate School at The University of Alabama.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) as an Information Item a CIP Code Change to the Existing Master of Science (M.S.) Program in Business Analytics from CIP Code 52.1302 to CIP Code 30.7102 in the Department of Information Systems, Statistics, and Management Science in the Culverhouse College of Business at UA

RESOLUTION

WHEREAS, when the Board of Trustees approved the Master of Science (MS) degree program in Business Analytics at the University of Alabama on September 21, 2018, there was no specific CIP code for Business Analytics; and

WHEREAS, as a result, the closest CIP code possible (namely Business Statistics CIP code 52.1302) was proposed and approved for the Business Analytics (MS) program; and

WHEREAS, there is now a new CIP code specific to Business Analytics, 30.7102, and there is a desire and need to have a CIP Code that better fits the Business Analytics (MS) program;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) as an information item the CIP Code change for the existing Master of Science (MS) program in Business Analytics from CIP Code 52.1302 to CIP Code 30.7102 in the Department of Information Systems, Statistics, and Management Science, in the Culverhouse College of Business at The University of Alabama.

Granting Approval of the Establishment of the Capstone College of Nursing Quasi-Endowed Chair at UA

RESOLUTION

WHEREAS, Dr. Suzanne S. Prevost, Dean of the Capstone College of Nursing, has directed \$1,500,000 from Nursing Reserves to The Board of Trustees of The University of Alabama to promote faculty excellence in the Capstone College of Nursing at The University of Alabama; and

WHEREAS, Dean Prevost desires that these funds be accepted and maintained by The University of Alabama as a quasi-endowed fund to be named the Capstone College of Nursing Quasi-Endowed Chair in the Capstone College of Nursing for the purposes and upon the conditions set out below:

1. The Capstone College of Nursing Quasi-Endowed Chair shall be used to attract and/or retain a nationally recognized scholar and/or expert in the field of nursing who will make a difference in the quality of teaching and research in the Capstone College of Nursing. Earnings shall be used at the discretion of the Dean of the College with the approval of the President of the University for broad support of the teaching, service, and research efforts of the holder of the chair, including, but not limited to, salary support, graduate research assistantships, research equipment, and support for research efforts.
2. Criteria and procedures for recruiting and selecting the chair holder shall follow those set out in the most current edition of The University of Alabama Faculty Handbook regarding appointments to endowed chairs and professorships and shall be made in accordance with University policies and procedures adopted from time to time, subject to the approval of the Dean of the Capstone College of Nursing and the President of the University and coordinated with the Provost.

WHEREAS, through this quasi-endowment, the Dean wishes to support academic excellence within the Capstone College of Nursing at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the transfer of funds and establishes the Capstone College of Nursing Quasi-Endowed Chair in the Capstone College of Nursing as an unrestricted quasi-endowed fund.

BE IT FURTHER RESOLVED that the initial transfer and any future additions to this fund shall constitute a quasi-endowed corpus, which will be held, invested, maintained, and administered by the University according to its policies and procedures adopted from time to time for quasi-endowed funds.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Granting Approval of the Appointment of Subhadra Gupta, Ph.D., as
Professor Emerita of Metallurgical and Materials Engineering at UA

RESOLUTION

WHEREAS, Dr. Subhadra Gupta retired from The University of Alabama on August 1, 2022, after 18 years of distinguished service as a scholar, teacher, mentor, and colleague; and

WHEREAS, Dr. Gupta served with distinction for 10 years as Director of the Micro-Fabrication facility; and

WHEREAS, Dr. Gupta was responsible for more than \$4.2 million in sponsored research and published more than 100 technical articles; and

WHEREAS, Dr. Gupta has five inventions; and

WHEREAS, Dr. Gupta was named a Fellow of the American Vacuum Society (2018); received the Sony Outstanding Technical Achievement Award (1991): "Development of High Productivity Processes for MiniDisc Coating Applications"; received the Class 1 NASA Tech. Brief Award (1985): "Selective Electroplating on Laser-Irradiated Surfaces"; and recognized in One of 100 top innovations of 1984-85 selected by Science Digest magazine: "Fabrication of MOSFETS in Laser-Recrystallized Silicon-on-Garnet";

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of The University of Alabama recognizes Subhadra Gupta, Ph.D., for her many accomplishments and outstanding service to The University of Alabama, and bestows upon her status as Professor Emerita of Metallurgical and Materials Engineering, and expresses its sincere and heartfelt thanks to her.

BE IT FURTHER RESOLVED that a copy of this resolution be recorded in the minutes of the Board, become a matter of public record, and that copies be given to Dr. Gupta and members of her family in grateful acknowledgment of her distinguished career as a scholar, teacher, mentor, administrator, and colleague.

Approving Appointment of Dr. William Walsh for the Robert C. Morrow Endowed Faculty Fellowship at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends appointment of Dr. William Walsh for the Robert C. Morrow Endowed Faculty Fellowship, which was established April 6, 2007; and

WHEREAS, this appointment will be from August 16, 2022 through August 15, 2027; and

WHEREAS, Dr. Walsh received his Ph.D., in Economics from The University of Alabama and his B.A. in English and Economics from Vanderbilt University; and

WHEREAS, Dr. Walsh has served as an exemplary clinical faculty member in the Culverhouse College of Business, with extensive teaching at all levels in the undergraduate and masters programs with consistently strong teaching evaluations; and

WHEREAS, Dr. Walsh was recently elected to serve as the prestigious and impactful Higher Education #1 position on the State of Alabama's Teachers' Retirement System and PEEHIP Boards of Control; and

WHEREAS, Dr. Walsh serves The University of Alabama as the Director of Economics for Arts & Sciences; and

WHEREAS, Dr. Walsh's student impact has been recognized by the James F. Cashman Faculty Award, the James C. Lee Faculty Fellowship, the Crimson Choice Faculty Member of the Year, the Huntsville EMBA Faculty Excellence Award, and the Tuscaloosa EMBA Faculty Excellence Award;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. William Walsh for the Robert C. Morrow Endowed Faculty Fellowship in the Culverhouse College of Business from August 16, 2022 to August 15, 2027 at The University of Alabama.

Granting Approval of the Appointment of Karen Chapman, as Professor Emerita of University Libraries at UA

RESOLUTION

WHEREAS, Professor Chapman retired from The University of Alabama on June 1, 2022, after 34 years of distinguished service as a librarian, leader, mentor, and colleague; and

WHEREAS, Professor Chapman served with distinction for five years as Director of the Branch Libraries; and

WHEREAS, Professor Chapman was responsible for the collection development of business library books, journals, and databases, was an early adopter of digital formats, and published more than 32 peer-reviewed journal articles; and

WHEREAS, Professor Chapman was a founding member of the section of the American Library Association for business librarians, the Business Reference and Services Section (BRASS); and

WHEREAS, Professor Chapman was the inaugural recipient of the University Libraries Leadership Board Faculty Award in 2000; received the University of Alabama's

Vergil Parks McKinley, Sr. Employee Award in recognition of her performance in supporting faculty and students of the Culverhouse College of Business; and received the prestigious national Gale Group Award for Excellence in Business Librarianship in recognition of her scholarship and service efforts.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of The University of Alabama recognizes Karen Chapman for her many accomplishments and outstanding service to The University of Alabama and bestows upon her status as Professor Emerita of University Libraries and expresses its sincere and heartfelt thanks to her.

BE IT FURTHER RESOLVED that a copy of this resolution be recorded in the minutes of the Board, become a matter of public record, and that copies be given to Professor Chapman and members of her family in grateful acknowledgment of her distinguished career as a scholar, teacher, mentor, administrator, and colleague.

Granting Approval of the Appointment of Beth S. Bennett, Ph.D., as Professor Emerita of the Department of Communication Studies in the College of Communication and Information Sciences at UA

RESOLUTION

WHEREAS, Beth S. Bennett, Ph.D., retired from The University of Alabama on September 1, 2022, as Professor of Communication Studies, after 41 years of distinguished service as a scholar, teacher, mentor, administrator, and colleague in the College of Communication and Information Sciences and The University of Alabama; and

WHEREAS, Dr. Bennett served with distinction for eight years as the Senior Associate Dean of the College of Communication and Information Sciences, from 2014 until her retirement in 2022; and

WHEREAS, Dr. Bennett, a scholar and teacher of the history of rhetorical theory recognized by her published work in medieval rhetoric, during her career at UA established a scholarly record of more than 30 published essays and 50 conference presentations as a member of the International Society for the History of Rhetoric, the Rhetoric Society of America, and the American Society for the History of Rhetoric and in venues, such as *Advances in the History of Rhetoric, Philosophy and Rhetoric*, and the international journal, *Rhetorica*; and

WHEREAS, Dr. Bennett served as the chair of the Department of Communication Studies for 15 years, from 2005 to 2020, during which she almost tripled the number of full-time faculty in the department and led them through an unprecedented growth of majors, minors, and graduate students; through expansion of curricular programs, especially the public speaking program, and development of co-curricular programs and campus outreach,

such as the Speaking Studio; and through establishment of distance learning for the department with an online emphasis in organizational leadership for the master's program; and

WHEREAS, Dr. Bennett served as the Graduate Program Coordinator for the department from 1998 to 2005, and again from 2020 to 2021, supervising more than 20 theses and dissertations and advising numerous graduate projects and program committees; and

WHEREAS, Dr. Bennett taught foundational rhetoric courses for the Department of Communication Studies for more than 30 years, and was especially known for her graduate course in classical rhetorical theory, her undergraduate course in rhetoric and society, and her applied rhetoric courses, critical decision making and rhetoric and propaganda; and

WHEREAS, Dr. Bennett was recognized by the Board of Visitors of the College of Communication & Information Sciences with their *Teaching Excellence Award*; and

WHEREAS, Dr. Bennett's demonstrated excellence in the classroom was acknowledged by the National Alumni Association with the *Outstanding Commitment to Teaching Award*, the university's highest award for teaching; and

WHEREAS, Dr. Bennett became the college's first Senior Associate Dean, a role, in which she worked to make valuable contributions that benefitted the college and its faculty in myriad ways among university decision makers; to shepherd the college's transition from paper to digital administration by adapting the college's administrative processes and procedures to be in compliance with emerging institutional systems while clarifying them for the faculty; and to institute a robust system of faculty governance and to establish equity across different types of faculty appointments; and

WHEREAS, Dr. Bennett has been recognized by her peers in the College of Communication and Information Sciences with the *Knox Hagood Faculty Award*;

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of The University of Alabama recognizes Beth S. Bennett, Ph.D., for her many accomplishments and outstanding service to The University of Alabama and bestows upon her status as Professor Emerita of the Department of Communication Studies in the College of Communication and Information Sciences at The University of Alabama.

BE IT FURTHER RESOLVED that it hereby expresses its appreciation to Beth S. Bennett, Ph.D., for her exceptional contributions to her students, department, college, the University, and her academic field.

BE IT FURTHER RESOLVED that this resolution be recorded in the permanent minutes of the Board, become a matter of public record, and that copies be given to Dr.

Bennett and members of her family in grateful acknowledgment of her distinguished career as teacher and mentor, scholar, administrator, and colleague at The University of Alabama.

PowerPoint



NISP Master of Science in Healthcare Innovation (CIP Code 52.0722)

- Purpose
 - Enhance opportunity for students to create innovations in medical and clinical care.
- Need
 - Birmingham is home to 3,500 healthcare employers with over 80,000 workers, representing the fastest growing industry cluster with the highest wage level in the region. Innovations can improve the efficiency and effectiveness of healthcare and clinical care.
- Other Programs
 - No duplicate programs in Alabama
- Resources
 - Existing and new faculty will support the program. The program has philanthropic support.
- Relationship to Core Principles/Goals
 - Assure that everything we do is for the purpose of improving the lives and health of the citizens of the State of Alabama.
 - Research, Innovation, and Economic Development: Expands our capability to continually discover and share new knowledge.
- Administration
 - Heersink School of Medicine

Govenda: D.1

 THE UNIVERSITY OF
ALABAMA AT BIRMINGHAM

2019-2020 Academic Year

Granting the Approval the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Science (M.S.) Degree in Healthcare Innovation (CIP Code 51.0722) at UAB

RESOLUTION

WHEREAS, The University of Alabama at Birmingham Marnix E. Heersink School of Medicine strives to deliver quality programs that are relevant and innovative; and

WHEREAS, the program will provide a rigorous immersion into the nature of healthcare and clinical innovation and the entrepreneurial process. Core content is coupled with a strong commitment to experiential learning; and

WHEREAS, Birmingham is experiencing significant socioeconomic growth that is fueling a burgeoning biomedical entrepreneurial ecosystem. As in other cities, a strong university innovation program can contribute much to such an entrepreneurial environment. UAB is a critical part of such an ecosystem and functions as the epicenter of biomedical educational, clinical, research, and outreach activities; and

WHEREAS, no other university in the state of Alabama offers a Master's degree in Healthcare Innovation or similar program;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Science (M.S.) degree in Healthcare Innovation (CIP Code 51.0722) by The University of Alabama at Birmingham

Granting Approval of and Submission to the Alabama Commission on Higher Education (ACHE) the Establishment of Four Concentrations within the Master of Science (M.S.) Degree in Physics at UAB

RESOLUTION

WHEREAS, the College of Arts and Sciences and the Department of Physics at The University of Alabama at Birmingham propose the addition of four concentrations within the existing Master of Science (M.S.) degree in Physics. The proposed concentrations are: Computational Physics, Physics Instrumentation, Material Physics, and Optics and Photonics; and

WHEREAS, the Computational Physics concentration will create an option to present the M.S. program which is focused on the preparation of students for the Ph.D. in Physics program. The concentration will include a workforce versatile in course content directly relevant to 21st century jobs requiring expertise in computation. In addition to the program core of 15 hours, this concentration will require 6 hours with 9 hours of additional coursework for a total of 30 hours; and

WHEREAS, the Physics Instrumentation concentration will meet the workforce needs of modern industry, hospitals, and research laboratories, which now require highly technically trained people with a thorough scientific background and practical skills in designing, building and operating instruments. In addition to the program core of 15 hours, this concentration will require 9 hours with 6 hours of additional coursework for a total of 30 hours; and

WHEREAS, the Material Physics concentration will help meet the state's need for highly skilled workforce in areas including aerospace, national security, biomedical materials, and advanced manufacturing. The concentration will allow the Department of Physics to produce a workforce capable of filling vacancies in the growing automotive companies in Alabama, as well as the vast array of medial innovation and supply companies. In addition to the program core of 15 hours, this concentration will require 9 hours with 6 hours of additional coursework for a total of 30 hours; and

WHEREAS, the Optics and Photonics concentration will address the need for highly qualified scientists and engineers in Photonic Materials and Devices, Quantum Optics and Spectroscopy, Biomedical Photonics, Optical Systems, Advanced Lasers and Smart Energy. Students in the concentration can specialize in a wide array of fields such as imaging

systems, optoelectronic devices, ultrafast and non-linear optics, and any others. In addition to the program core of 15 hours, this concentration will require 12 hours with 3 hours of additional coursework for a total of 30 hours; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants approval of and submission to the Alabama Commission on Higher Education (ACHE) the establishment of four concentrations within the Master of Science (M.S.) degree in Physics at The University of Alabama at Birmingham.

Approving the Establishment of the Center for Excellence in Developmental Disabilities at UAB

RESOLUTION

WHEREAS, the proposed Center for Excellence in Developmental Disabilities at UAB is committed to improving the well-being and quality of life across the lifespan for individuals and families impacted by developmental disabilities (DD); and

WHEREAS, the proposed center will actively pursue the expansion of knowledge about human development as it relates to developmental disabilities and to translating that knowledge into exemplary programs providing service and education; and

WHEREAS, the proposed center will be built around the DHHS-Administration For Community Living-funded Alabama University Center for Excellence in Developmental Disabilities Education, Research, and Service (UCEDD) and affiliated projects and programs; and

WHEREAS, since 1968, the Alabama UCEDD at UAB has served as a liaison between the academic world and the DD service delivery system to improve and change the way in which services are delivered to enhance the lives of persons with developmental disabilities by offering a broad-based program with activities and projects spanning the areas of health, education, research, and service with the goal of maintaining and expanding the resources and services available in the community that support and encourage economic independence, productivity, and self-determination; and

WHEREAS, the proposed center will build upon this foundation to establish and maintain a University-wide disability network to identify and disseminate educational priorities across UAB to impact undergraduate, graduate, and medical training curricula and increase collaboration among researchers focused on DD across UAB schools and departments; and

WHEREAS, Sarah E. O'Kelley, Ph.D. will serve as the first Center director and Justin Schwartz, M.D. will serve as the first Center associate director;

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves establishment of the Center for Excellence in Developmental Disabilities at The University of Alabama at Birmingham.

Approving Establishment of the Kirby I. Bland, M.D., Endowed Lectureship at
UAB

RESOLUTION

WHEREAS, the University of Alabama Health Services Foundation (UABHSF) has given \$75,000 for the establishment of an endowed lectureship in the Department of Surgery within the University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, this endowed lectureship will be used to support annual educational lectureships in surgical education and leadership; and

WHEREAS, it is most fitting that this endowed lectureship be named the Kirby I. Bland, M.D., Endowed Lectureship in honor of Dr. Bland's resilience, selflessness, and dedication to advancing education; and

WHEREAS, Dr. Bland earned his undergraduate degree from Auburn University and completed his medical education at what was then the University of Alabama School of Medicine, graduating in 1968; and

WHEREAS, in October 1999, Dr. Bland joined the UAB faculty as Chair of the Department of Surgery and as Surgeon-in-Chief at UAB Hospital and the Kirklin Clinic; and

WHEREAS, Dr. Bland currently serves as a Professor of Surgical Oncology and Chair Emeritus of the Department of Surgery and provides leadership to the O'Neal Comprehensive Cancer Center; and

WHEREAS, Dr. Bland is internationally renowned for his contributions to surgical oncology and his distinguished career as a general surgeon, researcher, and editor;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it herewith approves the establishment of the Kirby I. Bland, M.D., Endowed Lectureship.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be given to Kirby I. Bland, M.D.; to the University of Alabama Health Services Foundation; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Establishment of the Charles S. Ackerman Endowed Professorship
in Parkinson's Disease at UAB

RESOLUTION

WHEREAS, in memory of Mr. Charles S. Ackerman, the Department of Neurology within the University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine) wishes to use philanthropic bequest gifts from Mr. Ackerman to create the Charles S. Ackerman Endowed Professorship in Parkinson's Disease, a quasi-endowment of the University; and

WHEREAS, Mr. Charles Stanley Ackerman was born in New York City on September 10, 1933, to Myron and Lee Ackerman; and

WHEREAS, a resident of Atlanta, Georgia, Mr. Ackerman was the Founder and Chairman of the Board of Ackerman & Co., a business specializing in real estate development, and he also served as Founder and Chairman of the Board of Ackerman Security Systems, Inc., a residential and commercial security company; and

WHEREAS, Mr. Ackerman received a marketing degree from the University of North Carolina at Chapel Hill in 1955 and a law degree from Emory University School of Law in Atlanta in 1960, and he attended the Georgia State University Graduate School of Anthropology in Atlanta in 1984; and

WHEREAS, he served his country with distinction in the United States Army from 1955 to 1957; and

WHEREAS, building upon his outstanding commitment to education, research, and medical care, Mr. Ackerman's generously supported the UAB Department of Neurology, making a difference in the lives of others for generations to come; and

WHEREAS, Mr. Ackerman passed away on September 22, 2017, and is survived by his wife, Mrs. Joanne McGill Ackerman, and his two children, Ms. Carlyn Lane Ackerman and Mrs. Paige Ellen Ackerman Prill; and

WHEREAS, this endowment will have a lasting effect on the work performed by the faculty members in the Department who occupy the endowed position and on the lives of all those they touch throughout their careers; and

WHEREAS, the ongoing contributions of such an endowment will be of fundamental and lasting value to UAB, the community, the state of Alabama, and beyond;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it herewith approves the establishment of the Charles S. Ackerman Endowed Professorship in Parkinson's Disease, a quasi-endowment of the University.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Mrs. Joanne McGill Ackerman; to David G. Standaert, M.D., Ph.D., Chair of the Department of Neurology; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Establishment of the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics at UAB

RESOLUTION

WHEREAS, on September 28, 2021, The Board of Trustees of The University of Alabama accepted generous gifts and commitments from Marnix E. Heersink, M.D., totaling \$60 million, and approved the naming of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, \$22.75 million of the donor's pledge will be used for the creation of endowed chairs and professorships in priority areas, including precision medicine and pharmacogenomics, pulmonology, oncology, neurology, health disparities, and immunology, among others; and

WHEREAS, it is the wish of the donor to use funds of \$1,500,000 to establish the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics; and

WHEREAS, Dr. Chow was born in Hunan Province of China, completed her undergraduate training in Agricultural Chemistry at National Taiwan University in 1965, carried out her graduate studies in Chemistry at the California Institute of Technology, and received her Ph.D. in 1973, in the laboratory of Professor Norman Davidson, developing electron microscopic heteroduplex methods to study gene organization of bacteria, their bacteriophages and lysogens; and

WHEREAS, after post-doctoral training in Biochemistry at the University of California, San Francisco Medical Center, and Caltech, she joined Cold Spring Harbor Laboratory in 1975, where she discovered that site-specific DNA inversion in phage Mu controls bacterial host range; and

WHEREAS, Dr. Chow joined the faculty at UAB in 1993, as a Professor in the Department of Biochemistry and Molecular Genetics and a Senior Scientist in multiple Interdisciplinary Research Centers, among them Comprehensive Cancer, AIDS, Cystic Fibrosis, Skin Diseases, and Biophysical Sciences and Engineering; and

WHEREAS, the laboratory has succeeded in recapitulating the complete infection program and reproductive cycle of human papillomavirus in organotypic epithelial cultures and is currently engaged in genetic dissections of the HPV proteins, characterization of the complex virus-host cell interactions, and identification of safe and effective antiviral compounds for pre-clinical development; and

WHEREAS, Dr. Chow has served on NIH Virology Study Sections, the Recombinant DNA Advisory Committee reviewing clinical protocols for gene therapy, and the National Institute of Dental and Craniofacial Research Council, and she reviews for many scientific journals; and

WHEREAS, Dr. Chow has achieved great success in the education and training of graduate students, post-doctoral fellows, and laboratory staff in her years of service; and

WHEREAS, this endowment will have an enduring effect on the work performed by its holders, on the education of future generations of physician-scientists, and on the countless patients whose lives are bettered through their research and care and, therefore, on the UAB Heersink School of Medicine and the University as a whole;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby establishes the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics, a pure endowment of the University.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be given to Louise T. Chow, Ph.D.; to Marnix E. Heersink, M.D.; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Granting Approval of the Conversion and Renaming of the Magic City LGBTQ Health Studies Endowed Professorship to the Magic City LGBTQ Health Studies Endowed Chair and Confirming the Appointment of Sarah MacCarthy, Sc.D., as the Holder of the Chair at UAB

RESOLUTION

WHEREAS, on February 9, 2018, The Board of Trustees of The University of Alabama accepted gifts totaling \$252,405.36 from Dr. Cameron McDonald Vowell and approved the establishment of the Magic City LGBTQ Health Studies Endowed Faculty Scholar Fund in the School of Public Health at The University of Alabama at Birmingham (UAB); and

WHEREAS, on September 6, 2019, The Board of Trustees of The University of Alabama accepted additional gifts totaling \$497,594.64 from Dr. Vowell and approved the conversion and renaming of the Magic City LGBTQ Health Studies Endowed Faculty Scholar Fund to the Magic City LGBTQ Health Studies Endowed Professorship ; and

WHEREAS, on November 5, 2021, The Board of Trustees of The University of Alabama approved the appointment of Sarah MacCarthy, Sc.D., as the first holder of the Magic City LGBTQ Health Studies Endowed Professorship; and

WHEREAS, additional gifts totaling \$750,000 have been received from various donors, bringing the endowment corpus to a total of \$1,500,000, which exceeds the Board minimum for an endowed chair; and

WHEREAS, it is the wish of the School of Public Health that this endowment be converted and renamed to an endowed chair, and that Dr. MacCarthy be confirmed as the holder of the endowed chair; and

WHEREAS, this endowment will be used to recruit and/or retain an expert faculty member at the University whose focus on LGBTQ health studies will be of significant and sustained value not only to LGBTQ communities across the South, but also to the continuing advancement of the field of LGBTQ health studies; and

WHEREAS, this endowed chair will have a lasting effect on the work performed by the renowned professionals in the School who occupy the endowed position and on the lives of the patients and students touched by their research, teaching, and care;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts with gratitude these additional generous gifts and herewith approves the conversion and renaming of the Magic City LGBTQ Health Studies Endowed Professorship to the Magic City LGBTQ Health Studies Endowed Chair.

BE IT FURTHER RESOLVED that the Board confirms the appointment of Sarah MacCarthy, Sc.D., as the holder of the Magic City LGBTQ Health Studies Endowed Chair, with all rights and privileges thereunto pertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Dr. Paul C. Erwin, Dean of

the School of Public Health; and to other appropriate officials of The University of Alabama at Birmingham.

Granting Approval of the Conversion and Renaming of the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Scholar in the Department of Surgery to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery at UAB

RESOLUTION

WHEREAS, on September 17, 2020, The Board of Trustees of The University of Alabama accepted gifts totaling \$255,667 from various friends, colleagues, and grateful patients of Selwyn M. Vickers, M.D., F.A.C.S., and established the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Scholar in the Department of Surgery within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, additional gifts totaling \$244,333 have been received for the purpose of enhancing the corpus of the endowment;

WHEREAS, on September 28, 2021, The Board of Trustees of The University of Alabama accepted generous gifts and commitments from Marnix E. Heersink, M.D., totaling \$60 million, and approved the naming of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, \$22.75 million of the donor's pledge will be used for the creation of endowed chairs and professorships in priority areas, including precision medicine and pharmacogenomics, pulmonology, oncology, neurology, health disparities, and immunology, among others; and

WHEREAS, it is the wish of the UAB Heersink School of Medicine and the donor to use funds of \$1,000,000 to convert the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Scholar in the Department of Surgery to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery; and

WHEREAS, this endowed chair will be used to provide a perpetual resource for the Department of Surgery to recruit and retain a surgeon-scientist with expertise in pancreatic cancer, surgical disparities, surgical education, and/or surgical oncology; and

WHEREAS, it is most fitting that this endowment be named in honor of Dr. Vickers, whose vision and devotion to the University have been instrumental in its plans for the further growth and development of its renowned, world-class health-care facility; and

WHEREAS, a 1982 graduate of Johns Hopkins University, Dr. Vickers received his M.D. from the Johns Hopkins University School of Medicine in 1986, followed by the completion of his residency training (1993) and a fellowship (1994), also at Johns Hopkins University; and

WHEREAS, Dr. Vickers joined the faculty at UAB as Assistant Professor of Surgery in 1994, was appointed as Associate Scientist at the UAB O'Neal Comprehensive Cancer Center in 1995, was appointed as Co-Director of the UAB Pancreatobiliary Center in 1998, was promoted to Associate Professor in the Department of Surgery in 1999, and was promoted to Chief of the Gastrointestinal Surgery Section in the Department of Surgery in 2000; and

WHEREAS, in 2013, Dr. Vickers became Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine, overseeing one of the largest public academic medical centers in the United States as well as its regional campuses in Montgomery, Huntsville, and Tuscaloosa; and

WHEREAS, on January 1, 2022, Dr. Vickers assumed the roles of CEO of the UAB Health System and CEO of the UAB/Ascension St. Vincent's Alliance, while retaining his role as Senior Vice President and Dean of the UAB Heersink School of Medicine; and

WHEREAS, in September 2022, Dr. Vickers Selwyn departed UAB to become president and CEO of Memorial Sloan Kettering Cancer Center; and

WHEREAS, Dr. Vickers, highly respected by colleagues and patients alike, established an enduring legacy at UAB by cultivating program growth and excellence through his commitment to providing top patient care and promoting outstanding research and education; and

WHEREAS, this endowment will have a lasting effect on the work performed by the renowned professionals in the Department who occupy the endowed position and on the lives of the patients and students touched by their research, teaching, and care;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the renaming of the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Scholar in the Department of Surgery to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Selwyn M. Vickers, M.D., F.A.C.S.; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Granting Approval of the Conversion and Renaming of the Helen and Robert Whitley Endowed Professorship of Pediatrics to the Helen and Robert Whitley Endowed Chair of Pediatrics at UAB

RESOLUTION

WHEREAS, on April 8, 2016, The Board of Trustees of the University of Alabama accepted generous gifts totaling \$748,357.50 from Richard J. Whitley, M.D., and approved the establishment of the Helen and Robert Whitley Endowed Professorship of Pediatrics within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, additional gifts totaling \$753,605.53 have been received bringing the corpus of the endowment to \$1,501,963.03 for the purpose of converting the fund to an endowed chair; and

WHEREAS, it is the donor's intent that this endowed chair be used to recruit and/or retain an expert faculty member in the Department of Pediatrics with a focus on translational research bridging between basic science and improved clinical care; and

WHEREAS, born in Newark, New Jersey, on September 15, 1945, Richard J. Whitley received his bachelor's degree from Duke University and his medical degree from George Washington University School of Medicine, and he subsequently completed an internship and residency in pediatrics and a fellowship in infectious diseases and virology at UAB; and

WHEREAS, Dr. Whitley was recruited to the faculty of UAB in 1976 as Assistant Professor of Pediatrics and Microbiology and went on to serve in many other capacities, including Vice Chair of the Department of Pediatrics (1981-1983); Associate Director for the Center for AIDS Research (1987-1992); Director of the Division of Pediatric Infectious Disease (2000-2008); Director of the Center for Biodefense and Emerging Infections (2003-2007); and Director for the Center for Emerging Drug Discovery (2011-2014), among many other notable positions; and

WHEREAS, Dr. Whitley has brought the UAB Department of Pediatrics and the Division of Pediatric Infectious Diseases to national prominence, and he has trained generations of successful residents, clinical fellows, doctoral students, and postdoctoral fellows, many of whom have gone on to successful careers in medicine and made substantial contributions to the field of pediatrics; and

WHEREAS, this endowment will have a lasting effect on the work performed by the faculty member in the Department who will occupy this endowed chair and on the lives of the patients and students touched by their potential medical breakthroughs, research, and teaching; and

WHEREAS, the ongoing contributions of such an endowment will be of fundamental and lasting value to UAB, the community, the state of Alabama, and beyond;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it herewith approves the conversion and renaming of the Helen and Robert Whitley Endowed Professorship of Pediatrics to the Helen and Robert Whitley Endowed Chair of Pediatrics, a pure endowment of the University.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Richard J. Whitley, M.D.; to Dr. Mitchell B. Cohen, Chair of the Department of Pediatrics; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Granting Approval of the Renaming of the Endowed Professorship in Lung Development and Regeneration to the Endowed Professorship in Airways Disease at UAB

RESOLUTION

WHEREAS, on February 3, 2017, The Board of Trustees of the University of Alabama accepted generous gifts totaling \$500,000 from the University of Alabama Health Services Foundation (UAHSF) and approved the establishment of the Endowed Professorship in Lung Development and Regeneration within the Division of Pulmonary, Allergy, and Critical Care within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, it is now the desire of the Division and University administration that this endowed professorship be renamed to the Endowed Professorship in Airways Disease; and

WHEREAS, this endowment will continue to be used to support the work and the mission of the Division through the recruitment and/or retention of an expert and national leader in the field of lung health; and

WHEREAS, this endowment will have a lasting effect on the work performed by the faculty members in the Division who occupy the endowed position and on the lives of all those they touch throughout their careers; and

WHEREAS, the ongoing contributions of such an endowment will be of fundamental and lasting value to UAB, the community, the state of Alabama, and beyond;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it herewith approves the renaming of the Endowed Professorship in Lung Development and Regeneration to the Endowed Professorship in Airways Disease, a pure endowment of the University.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Mark Dransfield, M.D., Director of the Division of Pulmonary, Allergy, and Critical Care Medicine; to Anupam Agarwal, M.D., Interim Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Ray L. Watts, M.D., as the Inaugural Holder of the Charles S. Ackerman Endowed Professorship in Parkinson's Disease at UAB

RESOLUTION

WHEREAS, in memory of Mr. Charles S. Ackerman, the Department of Neurology within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine) has decided to use philanthropic bequest gifts to establish an endowed professorship in the Department of Neurology to promote research, patient care, and education in the area of neurology with a focus on Parkinson disease; and

WHEREAS, a resolution formally establishing the Charles S. Ackerman Endowed Professorship in Parkinson's Disease has been submitted for approval by The Board of Trustees of The University of Alabama at their November 4, 2022 meeting; and

WHEREAS, as recommended by David G. Standaert, M.D., Chair of the Department of Neurology, with the concurrence of Anupam Agarwal, M.D., Interim Senior Vice President and Dean of The University of Alabama at Birmingham (UAB) Marnix E. Heersink School of Medicine and the University's leadership, the UAB administration now wishes to appoint Ray L. Watts, M.D., Professor in the Department of Neurology and President of The University of Alabama at Birmingham, as the inaugural holder of this endowed professorship; and

WHEREAS, Dr. Watts, a native of Birmingham, Alabama, received his undergraduate degree in biomedical and electrical engineering with honors from UAB (1976), medical degree with highest honors from Washington University in St. Louis, serving as valedictorian (1980), postgraduate training at Harvard Medical School and Massachusetts General Hospital, serving as chief neurological resident (1984), and a fellowship in motor control and movement disorders at the National Institutes of Health (NIH) (1986); and

WHEREAS, Dr. Watts moved to Atlanta, Georgia, after completing his fellowship to assume his first faculty appointment at Emory University as an Assistant Professor in the Department of Neurology, Director of the Movement Disorders Program, and Attending Neurologist at Emory University Affiliated Hospitals, the Emory Clinic, and Wesley Woods Geriatric Hospital; and

WHEREAS, Dr. Watts served as an Affiliate Scientist in the Division of Neurobiology in the Yerkes Regional Primate Research Center and was Medical Director of the American Parkinson Disease Association Information and Referral Center at Emory prior to his promotion to Associate Professor in 1992; and

WHEREAS, Dr. Watts was promoted to the rank of Professor in 1998, and subsequently became Vice-Chairman of the Department of Neurology, Chief of the Neurology Service at Emory University Hospital, Associate Section Head of the Section of Neurology at The Emory Clinic, Inc., and Chief of the Neurology Service at Wesley Woods Geriatric Center; and

WHEREAS, in 2000, Dr. Watts was named the A. Worley Brown Endowed Professor in Neurology and later served as Director of the Emory School of Medicine's Clinical Trial Office; and

WHEREAS, UAB successfully recruited Dr. Watts in 2003, to serve as Professor and Chairman of the Department of Neurology and inaugural holder of the John N. Whitaker Endowed Professorship of Neurology, and subsequently the John N. Whitaker Endowed Chair in Neurology in 2005, when additional funds were contributed to raise the level of the endowment to an endowed chair; and

WHEREAS, in 2010, Dr. Watts accepted the position of Senior Vice President and Dean of the School of Medicine at UAB, and later was named to the James C. Lee Jr. Endowed Chair; and

WHEREAS, in February 2013, the Board of Trustees unanimously named Dr. Watts as President of The University of Alabama at Birmingham; and

WHEREAS, since becoming president, Dr. Watts has initiated and is leading the most comprehensive strategic planning process in UAB history, with campus- and community-wide partnerships; and

WHEREAS, during his tenure, UAB has made tremendous strides in all areas of its mission, including record enrollment and development of novel academic programs, substantial growth in research funding, and increasing the University's international competitiveness; and

WHEREAS, Dr. Watts currently serves as Chair of numerous boards including the Board of Directors of UAB Health System (the governing entity for UAB Hospital, UAB Highlands Hospital, Callahan Eye Foundation Hospital, The Kirklin Clinic, and UAB satellite clinics), the UAB/Ascension St. Vincent's Alliance Board, the Southern Research Board of Directors, and the UAB Arts Corporate Board of Directors; and

WHEREAS, in 2021, Dr. Watts was named CEO of the Year by the *Birmingham Business Journal*; and

WHEREAS, Dr. Watts is an internationally renowned leader in Parkinson's disease research and care, and he has served as the Chief of Neurology Service for UAB Hospital and President of The University of Alabama Health Services Foundation, and, in 2008, served as interim Chief Executive Officer of the UAB Health System; and

WHEREAS, Dr. Watts has published widely about experimental therapeutics for Parkinson's disease and, since 1997, has co-edited the textbook *Movement Disorders: Neurologic Principles and Practice*, the third edition of which was published in 2011; and

WHEREAS, under Dr. Watts' leadership, the Department of Neurology built a strong interdisciplinary research program centered on understanding the mechanisms underlying neurological disorders with an ultimate goal of translating findings into treatment and cures for diseases such as Parkinson's disease, Alzheimer's disease, stroke, and brain cancer; and

WHEREAS, Dr. Watts is a member of numerous professional organizations including the American Neurological Association, the American Academy of Neurology, the Society for Neuroscience, Alpha Omega Alpha, Movement Disorders Society, International Brain Research Organization, Medical Association of the State of Alabama, and Alabama Academy of Neurology; and

WHEREAS, Dr. Watts has authored more than 100 articles in medical and scientific journals and is co-author of *Movement Disorders: Neurologic Principles and Practice*; and

WHEREAS, Dr. Watts was on the editorial boards for neurological journals, *Movement Disorders* and *Neurology Chronicle*, and served as a key reviewer for articles appearing in *Neurology*, *Annals of Neurology*, *New England Journal of Medicine*, *Movement Disorders*, *Clinical Neurophysiology*, *Clinical Neuropharmacology*, *Trends in Neurosciences*, and *The Gerontologist*; and

WHEREAS, Dr. Watts has been cited for numerous postgraduate awards and honors including the Dr. George Cotzias Memorial Research Fellowship Award, Favorite Neurobiology Professor, Outstanding Neurology Professor, Best Doctors in America, 1999 Health Care Hero Award, America's Top Doctors, Atlanta's Best Doctors, Birmingham's Best Doctors, Who's Who in Health Care, and the UAB Distinguished Alumni Award; and

WHEREAS, members of this Board concur with the selection of Dr. Watts as the inaugural holder of this prestigious endowed professorship;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Ray L. Watts, M.D., as the Inaugural Holder of the Charles S. Ackerman Endowed Professorship in Parkinson's Disease in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Ray L. Watts, M.D., to share with members of his family; to David G. Standaert, M.D., Chair of the Department of Neurology; to Anupam Agarwal, M.D., Interim Senior Vice President and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of the university.

Approving Appointment of Joseph G. Van Matre, Ph.D., as Professor Emeritus in the Department of Management, Information Systems & Quantitative Methods at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB), and the Collat School of Business, UAB wishes to acknowledge Joseph G. Van Matre, Ph.D., by appointing him as Professor Emeritus in the Department of Management, Information Systems & Quantitative Methods; and

WHEREAS, Dr. Van Matre retired on July 31, 2022, after providing 51 years of faithful service to UAB; and

WHEREAS, Dr. Van Matre earned his Bachelor of Electrical Engineering degree in 1963 from Auburn University, and his Master in Business Administration in 1967 from Auburn University and his Doctor of Philosophy degree in 1970 in Business Administration from The University of Alabama; and

WHEREAS, Dr. Van Matre has served the Higher Education community in Alabama through his tenure as a Board Member of the Retirement Systems of Alabama 2016–2022. He is the only member of UAB Community to be elected to the RSA Board and was subsequently reelected for a second three-year term; and

WHEREAS, Dr. Van Matre was a founding member, along with Drs. Volker, Hill, and others of the National Honor Society UAB Chapter Phi Kappa Phi, and later served as President; and

WHEREAS, Dr. Van Matre was the 2015 recipient of the Ellen Gregg Ingalls/UAB National Alumni Award for Lifetime Achievement in Teaching, the highest award for Teaching presented by UAB; and

WHEREAS, Dr. Van Matre received three teaching awards from classes of the Executive Masters in Health Administration program (a nationally ranked program) and UAB President's Award for Teaching Excellence; and

WHEREAS, in affection and respect for his students, Dr. Van Matre created the Van Matre family endowed scholarship in honor of his parents; and

WHEREAS, Dr. Van Matre joined the UAB faculty at the establishment of the School of Business in Fall of 1971, he then served with distinction over the next 51 years, including nine months as Acting Chair of the Department of Business Administration, and retired in the summer of 2022 as the longest tenured faculty in the school history; and

WHEREAS, Dr. Van Matre has contributed to his profession with the publication of the notable books: *Statistics for Business and Economics* (1st, 2nd, and 3rd ed; senior author), *Foundations of TQM: A Readings Book* (Editor and Contributing Author): *Census 1980: Continuing the Factfinder Tradition* (Associate Author), *Implementing Continuous Quality Improvement in Health Care* (Contributing Author), and others; and

WHEREAS, Dr. Van Matre has contributed to the scholarship of his discipline by publishing numerous articles in the professional Literature with three of his contributions being selected as lead articles, these appeared in *The American Statistician*, *Business Horizons*, and the *Journal of the American Health Information Management Association*, and has served on the Editorial Boards of *Management Decision*, *Quality Management Journal*, and *The Southern Business and Economic Journal*; and

WHEREAS, Dr. Van Matre has served his professional association at the state, regional, and national levels: President, Alabama Chapter of the American Statistical Association; Vice-President, Program Chair, and President of the South East Decision Sciences Institute, and further recognized with their Distinguished Service Award; and Vice-President and Board Member, Decision Sciences Institute; and

WHEREAS, Dr. Van Matre provided service to the Birmingham Community through the provision of Management Education to O'Neal Steel, the Red Cross, Southern Research Institute, Social Security Administration, and others; and the provision of professional consulting to the EEOC, Alabama Gas Corporation, Southern Poverty Law Center, Bradley Arant, Rose & White, and others; and

WHEREAS, Dr. Van Matre has provided service to the UAB community through activities as a Senator (UAB Faculty Senate, multiple terms), Senate Parliamentarian (two terms), and UAB Athletics Committee member (multiple terms), Search Committee for UAB

Athletics Director member, UAB Hospital TQM Design Team, and other miscellaneous service;

NOW THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the appointment of Joseph G. Van Matre as Professor Emeritus in the Department of Management, Information Systems & Quantitative Methods at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Van Matre for his dedication and service to The University of Alabama at Birmingham and to the people of this state and nation.

Approving Appointment of Alexander J. Szalai, Ph.D., as Professor Emeritus in the Department of Medicine, Division of Clinical Immunology and Rheumatology, at UAB

RESOLUTION

WHEREAS, in special recognition of his longstanding distinguished service to The University of Alabama at Birmingham (UAB) and the UAB Marnix E. Heersink School of Medicine, UAB wishes to acknowledge Alexander J. Szalai, Ph.D., by appointing him as Professor Emeritus of Medicine in the Department of Medicine, Clinical Immunology and Rheumatology; and

WHEREAS, Dr. Szalai, retired from the Department of Medicine and the Division of Clinical Immunology and Rheumatology on March 31, 2022, following nearly 30 years of loyal and dedicated service; and

WHEREAS, Dr. Szalai graduated with his Bachelor of Science degree in 1984, his Master of Science degree in Zoology in 1986, and his Doctor of Philosophy degree in 1990, all from the University of Manitoba in Winnipeg, Canada; and

WHEREAS, Dr. Szalai completed his postdoctoral training in immunology at the University of Mississippi Medical Center in Jackson, Mississippi (1990-1992) and the Memorial University of Newfoundland in St. John's, Canada (1992-1993) before joining the faculty of the UAB Marnix E. Heersink School of Medicine in 1993; and

WHEREAS, Dr. Szalai is currently widely acknowledged as an outstanding researcher in the field of immunology and one of the few world experts on C-reactive protein, as evidenced by his publication of more than 200 manuscripts, reviews, and abstracts describing his independent research findings; his substantial and continuous extramural research support; his leadership on National Institutes of Health, Department of Defense, foundation, and industry grants; his service on multiple clinical studies focused on

biomarkers of treatment response; his service on the Editorial Boards of multiple journals; and his service as a consultant for multiple international pharmaceutical companies; and

WHEREAS, Dr. Szalai is the recipient of numerous honors and awards, including the Research Excellence Award and the Unsung Hero Award from the Department of Medicine; and being named a William J. Koopman Research Scholar in 2019; and

WHEREAS, Dr. Szalai has consistently demonstrated his dedication to teaching and mentoring, developing and directing courses in both the UAB Graduate School and the UAB Medical School, and serving as a caring and compassionate mentor to more than 50 trainees at all levels, including undergraduate students, medical students, residents, fellows, graduate students, and postdoctoral fellows; and

WHEREAS, Dr. Szalai has demonstrated national leadership by serving as Chair or Co-Chair of multiple scientific sessions at meetings worldwide, serving on grant review committees for the National Institutes of Health, the American Heart Association, the Arthritis Research Campaign, the Lupus Research Institute, and the Wellcome Trust; and

WHEREAS, Dr. Szalai served on the UAB Faculty Senate (2007-2009) and the UAB University-wide Grievance and Termination Committee (2018-2020); served on the UAB Marnix E. Heersink School of Medicine's Faculty Council since 2016, including service as its Co-Chair in 2022; was Chair of the Department of Medicine Commission on the Status of Ph.D., Faculty in 2007 and the Working Group on the Status of Ph.D., Faculty in 2014; and served as the Associate Director for Research of the Division of Clinical Immunology and Rheumatology since 2018; and

WHEREAS, Dr. Szalai, during his tenure as Associate Director for Research in the Division, led the Research Acceleration Group and thereby provided his support and guidance to numerous faculty members seeking research support, consequently increasing the number of research grant applications submitted and the number of research grants awarded;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Alexander J. Szalai, Ph.D., as Professor Emeritus of Medicine in the Department of Medicine, Division of Clinical Immunology and Rheumatology, at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Szalai for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution be included in the permanent minutes of this Board and that copies are given to Dr. Szalai and to members of his family, and to other appropriate University officials.

Approving Appointment of Dean L. Sicking, Ph.D., P.E., as Professor Emeritus in the Department Mechanical and Materials Engineering at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB), the State of Alabama, and the nation, UAB wishes to acknowledge Dean L. Sicking Ph.D., P.E., by appointing him as Professor Emeritus in the Department of Mechanical and Materials Engineering; and

WHEREAS, Dr. Sicking earned three degrees from Texas A&M University, a Bachelor of Science degree in Mechanical Engineering in 1980, a Master of Science degree in Civil Engineering in 1987, and a Doctor of Philosophy degree in Civil Engineering in 1992; and

WHEREAS, Dr. Sicking began his 30-year faculty career at the University of Nebraska-Lincoln; joined UAB in 2012 and served 10 years as Professor of Mechanical Engineering until his retirement on June 30, 2022; and mentored six doctoral students and more than 30 masters students; and

WHEREAS, Dr. Sicking has a research career that spanned four decades and has created disruptive safety technologies in the areas of crashworthiness, racetrack safety, and roadside safety; and

WHEREAS, Dr. Sicking created the first energy absorbing guardrail terminal through which the energy dissipation provided by these new safety features produced an instantaneous reduction in serious injuries and fatalities, and these energy absorbing guardrail terminal designs have captured more than 90% of the domestic guardrail terminal market; and

WHEREAS, Dr. Sicking created the first highway crash cushion that incorporated reusable energy absorbers which are the most effective roadside safety features on the nation's highways and have significantly reduced the life cycle cost of implementing crash cushions; and

WHEREAS, Dr. Sicking created the only truly self-restoring crash cushion with a design that monitors the displacement of the front of the cushion and restores it to its original position after a crash without any highway worker action; This system significantly reduces both crash cushion repair costs and maintenance worker exposure to traffic; and

WHEREAS, Dr. Sicking created the Midwest Guardrail System (MGS) that safely accommodates light trucks and SUVs, and every state has adopted the MGS or a small variation thereof; Full-scale crash tests indicate that when fully implemented the MGS can save as many as 100 lives per year; and

WHEREAS, Dr. Sicking led the development of NASCAR's SAFER Barrier, now installed on all of NASCAR's high-speed racetracks and many other tracks around the globe. Prior to the introduction of the SAFER Barrier, more than 1 fatal crash occurred annually during outer wall impacts at stock car and open wheel racing events in the U.S. Since the SAFER barrier was introduced system wide in 2004, no drivers have died during outer wall impacts; and

WHEREAS, Dr. Sicking was awarded the National Medal of Technology and Innovation by President George W. Bush, which was based on Dr. Sicking's contribution to safety of highways and racetracks across the nation and around the world. The National Medal of Technology and Innovation is an honor granted by the President of the United States to American inventors and innovators who have made significant contributions to the development of new and important technology. It is the highest honor the United States can confer for achievements related to technological progress; and

WHEREAS, Dr. Sicking was named a Founding Fellow of the National Academy of Inventors (NAI), which was created in 2010 and inducted its first class of fellows in 2012, with Fellows being chosen based upon their contributions to inventions that have had a positive impact on the lives of average Americans; and

WHEREAS, Dr. Sicking wrote the *Manual for Assessing Safety Hardware* (MASH, a document that has been accepted by the Federal Highway Administration (FHWA) as the national performance standard for roadside safety features. All roadside safety hardware must be tested in accordance with this document before being implemented along the National Highway System; and

WHEREAS, Dr. Sicking has been awarded 48 U.S. patents, and authored more than 80 refereed journal papers and 250 technical reports;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Dean L. Sicking, Ph.D., P.E., as Professor Emeritus in the Department of Mechanical and Materials Engineering at The University of Alabama at Birmingham.

BE IT FUTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Sicking and to members of his family, and to other appropriate University officials.

Approving Appointment of Harry William Schroeder, Jr., M.D., Ph.D.,
Professor Emeritus in the Department of Medicine, Division of Clinical
Immunology and Rheumatology at UAB

RESOLUTION

WHEREAS, in special recognition of longstanding distinguished service to The University of Alabama at Birmingham (UAB) and the UAB Marnix E. Heersink School of Medicine, UAB wishes to acknowledge **Harry William Schroeder, Jr., M.D., Ph.D.**, by appointing him as Professor Emeritus in the Department of Medicine, Division of Clinical Immunology and Rheumatology; and

WHEREAS, Dr. Schroeder, Professor in the Division of Clinical Immunology and Rheumatology, retired on December 31, 2021, after providing 33 years of faithful service to UAB; and

WHEREAS, Dr. Schroeder received his Bachelor of Science degree *summa cum laude* from Texas A&M University in 1974, his Doctor of Medicine degree from Baylor College of Medicine in 1981, and his Doctor of Philosophy degree from Baylor College of Medicine in 1979; and

WHEREAS, Dr. Schroeder trained in Cell Biology with Bert O'Malley, M.D., [then Chair of Cell Biology at Baylor College of Medicine and subsequently Member, National Academy of Sciences (NAS)], trained as a health science student at the National Institutes of Health with Michael Zasloff, M.D., Ph.D., (subsequently Chair of Pediatrics, University of Pennsylvania), served as a visiting fellow with Frank Ruddle, Ph.D., (then Chair of Biology, Yale University and Member, NAS), trained in Internal Medicine at the University of Kentucky from 1981 to 1984, trained in Medical Genetics at the University of Washington from 1984 to 1988 under the mentorship of Arno Motulsky, M.D., (then Director, Division of Medical Genetics, University of Washington and Member, NAS), trained in Molecular Immunology at the Howard Hughes Medical Institute from 1984 to 1988 under the mentorship of Roger Perlmutter, M.D., Ph.D., (subsequently Founding Chair of Immunology, University of Washington and then President of Merck Research Laboratories), and served for a sabbatical year with Klaus Rajewsky, M.D., (then Director, Institute for Genetics, University of Cologne, Cologne, Germany and Foreign Member, NAS); and

WHEREAS, Dr. Schroeder joined the faculty of The University of Alabama at Birmingham as Assistant Professor of Medicine in the Division of Developmental and Clinical Immunology of the Department of Medicine in 1988 under the leadership of Max D. Cooper, M.D., (Member, NAS), where he rose to the rank of Professor in 1998 with joint appointments in the Departments of Microbiology and Genetics, subsequently moving to the Division of Clinical Immunology and Rheumatology in 2007; and

WHEREAS, Dr. Schroeder has consistently demonstrated his dedication to his patients and provided compassionate care for more than three decades; and

WHEREAS, Dr. Schroeder has served as mentor to more than 30 trainees with three achieving the rank of Chair of their Department, two achieving the rank of full professor, four achieving the rank of associate professor, and four achieving the rank of assistant professor, and one as an instructor of medicine; and

WHEREAS, Dr. Schroeder distinguished himself from 1992 to 2008, as the Associate Director and then from 2008 to the present as Director of the T32 training program in Immunologic Diseases and Basic Immunology where he supported the training and counseled the development of more than 100 graduate students and more than 60 post-docs; and

WHEREAS, Dr. Schroeder served as Director of the UAB Program in Immunology from 2008 to the present; served as Associate Director of the UAB Allergy, Asthma, and Immunodeficiency Diseases Cooperative Research Center from 1993 to 1997; served as Co-Director of the Rheumatic Diseases Core of the Multipurpose Arthritis and Musculoskeletal Diseases Center from 2009 to 2013; and served as Associate Director of the UAB Comprehensive Arthritis, Musculoskeletal, Bone and Autoimmunity Center from 2013 to 2021.; and

WHEREAS, Dr. Schroeder has published more than 140 peer-reviewed publications, 33 textbook chapters, and five editions of the textbook *Clinical Immunology: Principles and Practice*, and is world renowned for his research in factors affecting B cell and immunoglobulin repertoire development in health and disease; and

WHEREAS, Dr. Schroeder has held Editorial Board Memberships in key journals including *The Journal of Immunology*, the *Journal of Allergy and Clinical Immunology*; *Immunogenetics*, *Biomedical Research International*, and *Frontiers in Immunology*; and

WHEREAS, Dr. Schroeder has served as a member of the Pathology & Genetics testing materials development committee for the United States Medical Licensing Examination (USMLE) for the National Board of Medical Examiners (NBME); and

WHEREAS, Dr. Schroeder has served as a member and as a Chair of multiple national grant review committees for the National Institutes of Health, the National Aeronautic and Space Administration, the Veterans Administration, and the Arthritis Foundation; and

WHEREAS, Dr. Schroeder has held memberships in a number of professional and scholarly organizations, including the American Society of Human Genetics; the American Association of Immunologists; the American Academy of Allergy, Asthma & Immunology; the American College of Medical Genetics (Founding Fellow); the American College of Rheumatology; the American Federation for Medical Research; the American Medical Association; the Clinical Immunology Society; the European Society for Immune Deficiencies; the Henry Kunkel Society; and the Southern Society of Clinical Investigation; and,

WHEREAS, Dr. Schroeder exhibited excellence during his tenure as Professor of Medicine and was recognized by University faculty, staff and students as a thoughtful, honorable, and dedicated individual;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Harry William Schroeder, Jr., M.D., Ph.D., as Professor Emeritus of Medicine in the Department of Medicine, Division of Clinical Immunology and Rheumatology, at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Schroeder for his dedication and service to The University of Alabama at Birmingham and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Schroeder and to members of his family, and to other appropriate University officials.

Approving Appointment of Peter J. Bellis, Ph.D., as Professor Emeritus of English in the Department of English at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and the College of Arts and Sciences, the UAB administration wishes to acknowledge Peter J. Bellis, Ph.D., by appointing him as Professor Emeritus of English in the Department of English; and

WHEREAS, Dr. Bellis, Professor in the College of Arts and Sciences, retired on June 30, 2022, after providing 15 years of faithful service to UAB; and

WHEREAS, Dr. Bellis earned his Bachelor of Arts degree in English from Amherst College in 1977; his Master of Arts degree in English from the University of Texas at Austin in 1979; a second Master of Arts degree in English and American Literature from Johns Hopkins University in 1981; and his Doctor of Philosophy degree in English from Johns Hopkins University in 1984; and

WHEREAS, Dr. Bellis came to UAB in 2007 as a tenured Professor in order to serve as chair of the UAB Department of English; and

WHEREAS, from 2007 to 2015, Dr. Bellis served as a skilled and capable department chair, leading the department through strategic plans, curricular revisions, policy changes, and successful hiring initiatives; and

WHEREAS, Dr. Bellis has published two single-authored books which have been subsequently reissued in paperback or electronic editions; and

WHEREAS, one of Dr. Bellis' books received an award from the University of Pennsylvania Press; and

WHEREAS, Dr. Bellis has published numerous journal articles and presented regularly at national conferences; and

WHEREAS, Dr. Bellis served his colleagues in the English Department and the College of Arts and Sciences through various commitments, including chairing search committees for chairs of other departments; and

WHEREAS, Dr. Bellis served widely on the UAB Faculty Senate, including two years as the chair of the Faculty Senate Curriculum Committee; and

WHEREAS, Dr. Bellis was a committed teacher of American literature who was beloved by students and who set an example in the department for generously teaching at all levels;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Peter J. Bellis, Ph.D., as Professor Emeritus of English in the Department of English at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Bellis for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of the Board and that copies are given to Dr. Bellis to share with members of his family, and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Dean George Assimos, M.D., as Professor and Chair Emeritus in the Department of Urology at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, the UAB administration wishes to acknowledge Dean George Assimos, M.D., by appointing him as Professor and Chair Emeritus in the Department of Urology in the Heersink School of Medicine; and

WHEREAS, Dr. Assimos retired on September 30, 2022 as Chair of the UAB Department of Urology after 10 years of loyal and dedicated service to UAB and the Heersink School of Medicine; and

WHEREAS, Dr. Assimos, a native of Chicago, Illinois, was awarded his Bachelor of Science degree from Purdue University in 1974 and his Doctor of Medicine degree from Loyola University in 1977; and

WHEREAS, Dr. Assimos completed his postgraduate residency training in urology at Northwestern University in 1983, and completed a fellowship in renal reconstructive surgery and stone disease at Wake Forest University in 1984; and

WHEREAS, after an initial one-year appointment at Northwestern University, Dr. Assimos continued his academic medical career in the Department of Urology at Wake Forest University School of Medicine where he served for 28 years (1984-2012), and attained the rank of Professor and Vice Chair; and

WHEREAS, Dr. Assimos became the inaugural Chair of the Department of Urology at the UAB Heersink School of Medicine in 2012 and served with distinction in that capacity for 10 years until 2022 when he retired from the active faculty; and

WHEREAS, he has held membership in a number of professional and scholarly organizations, including the American College of Surgeons, American Urological Association, Society of Minimally Invasive Therapy, International Society of Urologic Endoscopy, and the Endourological Society; and

WHEREAS, Dr. Assimos is the principal author or co-author of some 230 scientific papers that have been published in national prestigious journals including the *Journal of Urology*, *Urology*, *Journal of Endourology*, and *Reviews in Urology*; and

WHEREAS, he received numerous awards of excellence, including the American Urological Association Distinguished Service Award in 2020, the Endourology Society Ralph Clayman Mentor Award in 2013, the American Urological Association Hugh Hampton Young Award in 2013, and the American Urological Association Distinguished Past Scholar Award in 2009 and 2004; and

WHEREAS, Dr. Assimos has served as a member of a number of University committees, including The University of Alabama Health Services Foundation Board of Directors, Compensation Design Principles Committee, and Office of Patient Experience and Engagement; and

WHEREAS, Dr. Assimos exhibited excellence during his tenure as Professor and Chair of the Department of Urology, and was recognized as a warm, compassionate, highly

principled, and dedicated human being who was held in high esteem by his colleagues, staff and students as a truly distinguished, honorable and devoted member of the UAB faculty;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the appointment of Dean George Assimos, M.D., as Professor and Chair Emeritus in the Department of Urology at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein expresses its appreciation to Dr. Assimos for his many significant contributions to the discipline of urology, and to The University of Alabama at Birmingham's academic and medical community.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be presented to Dean George Assimos, M.D. to share with members of his family, and to other appropriate University officials.

Approving Appointment of David A. Schneider, Ph.D., as the First Holder of the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics at UAB

RESOLUTION

WHEREAS, a resolution formally establishing the Louise T. Chow, Ph.D.-Heersink School of Medicine Endowed Chair for Biochemistry and Molecular Genetics has been submitted to The Board of Trustees of The University of Alabama for approval at its next meeting on November 4, 2022; and

WHEREAS, as recommended by Anupam Agarwal, M.D., Interim Dean of The University of Alabama Heersink School of Medicine at UAB, with the concurrence of the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint David A. Schneider, Ph.D., Professor and Senior Associate Dean for Graduate Biomedical Sciences, as the first holder of this endowed chair; and

WHEREAS, Dr. Schneider, a native of Stone Mountain, Georgia, graduated with first honors from University of Georgia in 1998 with baccalaureate degrees in Microbiology and Genetics; received his Doctor of Philosophy in microbiology in 2003 from University of Wisconsin-Madison; and was awarded several honors and awards including an National Science Foundation predoctoral fellowship and a Jane Coffin Childs Memorial Postdoctoral Fellowship; and

WHEREAS, after earning his doctoral degree, Dr. Schneider completed his postdoctoral training (2003-2007) under the direction of Dr. Masayasu Nomura in the Department of Biological Chemistry, University of California, Irvine; and

WHEREAS, Dr. Schneider was appointed Assistant Professor in the Department of Biochemistry and Molecular Genetics at UAB in 2007; and

WHEREAS, in the fifteen years between 2007 and 2022, he served as Assistant Professor (2007-2013), Associate Scientists in the Comprehensive Cancer Center, Associate Professor (2013-2017), Scientist in the Comprehensive Cancer Center (2013-2017), Associate Dean (2016-2022), Vice-Chair of Biochemistry and Molecular Genetics (2016-present), Professor (2017-present), Senior Scientist in the O'Neal Comprehensive Cancer Center (2017-present), Senior Associate Dean (2022-present); and

WHEREAS, in addition to his current academic appointments, Dr. Schneider is the Director of the Graduate Biomedical Sciences Doctoral Training Program at UAB; and

WHEREAS, under the directorship of Dr. Schneider, the Graduate Biomedical Sciences Doctoral Training Program has thrived even through the global pandemic (2020-2022), continually improving the quality and organization of the training program; and

WHEREAS, Dr. Schneider is internationally recognized for his research activities focused on defining the mechanisms by which eukaryotic transcription is controlled, with an emerging focus on defining the mechanism of action for anti-cancer therapeutic in preclinical development; and

WHEREAS, Dr. Schneider has shown exceptional work in the education and training of masters and doctoral students, resulting in several awards including UAB's President's Award for Excellence in Teaching (Joint Health Sciences, 2019); and

WHEREAS, this Board is exceptionally honored to have an individual with Dr. Schneider's superior experience and exceptional abilities on the UAB faculty, and it concurs in the University's decision to honor him by naming him the first holder of the Louise T. Chow, Ph.D.-Heersink School of Medicine Endowed Chair for Biochemistry and Molecular Genetics;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama hereby approves appointment of David A. Schneider, Ph.D., as the first holder of the Louise T. Chow, Ph.D.-Heersink School of Medicine Endowed Chair for Biochemistry and Molecular Genetics at The University of Alabama at Birmingham, with all the rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Dr. David A. Schneider, to share with members of his family; to Anupam Agarwal, M.D., Interim Dean of The University of Alabama Heersink School of Medicine at UAB, and to other appropriate officials of UAB.

Approving Appointment of Suzanne E. Lapi, Ph.D. as the First Holder of the Emmet O'Neal II Endowed Professorship in Lung Cancer Research in the O'Neal Comprehensive Cancer Center in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine at UAB

RESOLUTION

WHEREAS, on September 16, 2022, a resolution was approved by The Board of Trustees of The University of Alabama formally establishing the Emmet O'Neal II Endowed Professorship in Lung Cancer Research in the O'Neal Comprehensive Cancer Center; and

WHEREAS, The Board of Trustees of The University of Alabama established this endowed professorship from the bequest of O'Neal Industries in memory of Mr. Emmet O'Neal II to support multiple myeloma, lymphoma, lung cancer, brain cancer, and breast cancer treatment, education, and research to honor members of the O'Neal family who have bravely battled these various forms of cancer; and

WHEREAS, as recommended by Anupam Agarwal, M.D., Interim Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham (UAB) Marnix E. Heersink School of Medicine, with the concurrence of the UAB leadership, and in keeping with the spirit and purpose of the Emmet O'Neal II Endowed Professorship in the O'Neal Comprehensive Cancer Center, Suzanne E. Lapi, Ph.D., has been nominated as the first holder of the endowed professorship; and

WHEREAS, the O'Neal Comprehensive Cancer Center at UAB works every day to provide the highest quality of life for people diagnosed with cancer, while advancing the world's understanding of cancer, and translating this knowledge into prevention, detection, treatment and survivorship; and

WHEREAS, research at the O'Neal Comprehensive Cancer Center at UAB drives the center's vision of Life without Cancer. The O'Neal Comprehensive Cancer Center is home to some of the brightest minds in cancer research, exploring areas of basic, translational, clinical, and population-based discovery to find newer, better methods for the prevention, detection, diagnosis and treatment of cancer; and

WHEREAS, the O'Neal Comprehensive Cancer Center offers scientists and physician-scientists a highly-collaborative research community and excellent infrastructure to support their endeavors; a robust clinical trials infrastructure; access to diverse patient populations through the UAB Health System; and significant efforts in mentorship and training for the next generation of cancer scientists; and

WHEREAS, Dr. Lapi, a native of Duncan, Canada and received her Bachelor of Science degree in Environmental Chemistry in 2001, her Master of Science degree in

Nuclear Chemistry in 2003, and her Doctor of Philosophy degree in Nuclear Chemistry in 2007, all from Simon Fraser University in British Columbia, Canada; and

WHEREAS, after earning her doctorate degree, Dr. Lapi began her postgraduate fellowship in Radiology and Biomedical Imaging at the University of California, San Francisco in 2007; and

WHEREAS, Dr. Lapi began her career as an Assistant Professor in Radiology at Washington University. She also worked as an Assistant Professor in the Department of Biomedical Engineering, the Department of Chemistry, Division of Biology and Biomedical Sciences. She rose to become a tenured Associate Professor in Radiology; and

WHEREAS, in 2015, Dr. Lapi joined UAB as a tenured Associate Professor in the Department of Radiology and Department of Chemistry. In 2018, Dr. Lapi was promoted to Professor with tenure in the Department of Radiology, Department of Chemistry and the Department of Biomedical Engineering. Additionally, she serves as the Cyclotron Facility Director in the School of Medicine, and she advanced to become the Vice Chair of Translational Research in the Department of Radiology in 2018 and the Vice Chair of Research in the Department of Radiology in 2021; and

WHEREAS, Dr. Lapi has been awarded with many academic honors, and has served on numerous committees and councils including National Institutes of Health Review Panels; and

WHEREAS, this Board is exceptionally honored to have an individual with Dr. Lapi's superior experience and exceptional abilities on the UAB faculty, and it concurs in the University's decision to honor her by naming her as the first holder of the Emmet O'Neal II Endowed Professorship and be honored as one of the leaders in this field of medicine;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama hereby approves appointment of Suzanne E. Lapi, Ph.D., as the first holder of the Emmet O'Neal II Endowed Professorship in Lung Cancer Research in the O'Neal Comprehensive Cancer Center at The University of Alabama at Birmingham, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board, and that copies be sent to Suzanne E. Lapi, Ph.D., to members of her family, and to appropriate officials at The University of Alabama at Birmingham.

Approving Appointment of Renee Heffron, Ph.D., M.P.H., as the Second Holder of the Jim Straley Endowed Chair in AIDS Research at UAB

RESOLUTION

WHEREAS, the Jim Straley Endowed Chair in AIDS Research was established by The Board of Trustees of The University of Alabama at their June 15, 2007 meeting; and

WHEREAS, as recommended by C. Seth Landefeld, M.D., Chairman of the Department of Medicine, with the concurrence of Anupam Agarwal, M.D., Interim Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, and with the leadership of The University of Alabama at Birmingham, the UAB administration now wishes to appoint Renee Heffron, Ph.D., M.P.H., Director, of the Center for AIDS Research and Professor, Division of Infectious Diseases as the second holder of this endowed chair; and

WHEREAS, Dr. Heffron, graduated from Boston University, in 2000; received her Master in Public Health degree from Tulane University in 2004; and received her Doctor of Philosophy degree in 2012, from University of Washington; and began her postdoctoral training and completed a fellowship at the University of Washington, in 2013; and

WHEREAS, Dr. Heffron began her career as an Acting Instructor of Global Health at the University of Washington in 2013 where she rose through the ranks becoming an Associate Professor Department of Global Health and Epidemiology in 2018. At University of Washington, Dr. Heffron developed an active research portfolio with extramural funding to advance our understanding of HIV transmission risk and the medications that are available for people to use to prevent themselves from becoming infected with HIV. Dr. Heffron is frequently invited to give keynote presentations related to HIV pre-exposure prophylaxis (PrEP) at local, regional, and international conferences, and to referee scientific work submitted for publication and funding. Dr. Heffron has also contributed substantially to building the workforce of HIV researchers by mentoring numerous students, fellows, and junior faculty from the US and African institutions; and

WHEREAS, Dr. Heffron is a member of the International AIDS Society; and is recognized as an international leader in HIV prevention research because of her pioneering research scholarship supported by continuous extramural funding support since 2013, including over 130 publications, and an h-index of 36;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Renee Heffron, Ph.D., M.P.H., as the second holder of the Jim Straley Endowed Chair in AIDS Research in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Renee Heffron, Ph.D., M.P.H., Director of the Center for AIDS Research and Professor, Division of Infectious Diseases in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Anupam

Agarwal, M.D., Interim Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to C. Seth Landefeld, M.D., Chairman of the Department of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Karen L. Gamble, Ph.D. as the Second Holder of the F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry in the Department of Psychiatry and Behavioral Neurobiology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine at UAB

RESOLUTION

WHEREAS, the F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry was established on June 15, 2007, by The Board of Trustees of The University of Alabama, having been made possible through the generous gift of Mr. and Mrs. Winton Blount of Montgomery, Alabama who wished to honor Dr. Kinney for his significant contributions to the University, to the state of Alabama, and to many aged patients suffering from dementia, depression and schizophrenia; and

WHEREAS, as recommended by Adrienne C. Lahti, M.D., Professor and Chair of the Department of Psychiatry, with the concurrence of The University of Alabama at Birmingham (UAB) leadership, the UAB administration wishes to appoint Karen L. Gamble, Ph.D., Professor in the Department of Psychiatry and Behavioral Neurobiology, as the second holder of this endowed chair; and

WHEREAS, Dr. Gamble received her Doctor of Philosophy degree in Behavioral Neuroscience from Georgia State University in 2004, completed her postdoctoral training at Vanderbilt University in 2009; and

WHEREAS, Dr. Gamble was recruited to UAB in 2009 as an Assistant Professor in the Department of Psychiatry and Behavioral Neurobiology, was promoted to Associate Professor in 2015, and was promoted to the rank of Professor with tenure in 2020; and

WHEREAS, due to Dr. Gamble's extraordinary collaborative spirit, she holds numerous roles in councils and committees nationally and locally. Since joining the faculty at UAB, Dr. Gamble has also been appointed to leadership roles including Director of the Division of Behavioral Neurobiology, and Vice Chair for Basic Research in the Department of Psychiatry in 2021; and

WHEREAS, in recognition of Dr. Gamble's many contributions to psychiatry, she was appointed to the Tate Jordan Thomas Professorship in Psychiatry in 2016, an honor she has held with great distinction; and

WHEREAS, Dr. Gamble is a talented and internationally respected for her pioneering research on regulation of circadian rhythms and sleep, including disruption of circadian rhythms with aging and neurodegenerative diseases. She has published more than 80 scientific papers, receiving numerous grants and contracts to research this effort; and

WHEREAS, Dr. Gamble has received numerous honors and awards to include: the Gordan Research Conference on Chronobiology Hot Topics Selected Speaker and Travel Award (2009), Young Investigator, William C. Dement Sleep and Chronobiology Apprenticeship, Brown University (2013), Innovative Teaching Award, UAB (2014), Outstanding New Senator Award, UAB (2014), National Institute of Health Center for Scientific Review, Behavioral Neuroendocrinology, Neuroimmunology, Rhythms, and Sleep (BNRS), Member (2019-present), Coach of the Year Award, UAB Roadmap Scholars Program (2022); and

WHEREAS, Dr. Gamble has applied her multifaceted skills to the administratively complex entity known as the Division of Behavioral Neurobiology which performs important research on the causes of and treatments for serious mental illnesses;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it does hereby approve the appointment of Karen L. Gamble, Ph.D., as the second holder of the F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry and Behavioral Neurobiology in the Department of Psychiatry and Behavioral Neurobiology with all rights, privileges, and rank thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be given to Dr. Gamble to share with members of her family; to members of the Blount family; to Adrienne C. Lahti, M.D., Professor and Chair of Psychiatry; to Anupam Agarwal, M.D., Interim Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of Karen L. Cropsey, Psy.D., as the Third Holder of the Kathy Ireland Endowed Chair for Psychiatric Research at UAB

RESOLUTION

WHEREAS, on April 10, 1992, The Board of Trustees of The University of Alabama approved the creation of the Kathy Ireland Endowed Chair for Psychiatric Research in The University of Alabama School of Medicine at The University of Alabama at Birmingham (UAB); and

WHEREAS, as recommended by Adrienne C. Lahti, M.D., Professor and Chair of the Department of Psychiatry, with the concurrence of The University of Alabama at

Birmingham (UAB) leadership, the UAB administration wishes to appoint Karen L. Cropsey, Psy.D., Associate Professor of Psychiatry and Behavioral Neurobiology, as the third holder of this endowed chair; and

WHEREAS, Dr. Cropsey attended the University of Wisconsin-Madison, where she received her baccalaureate and master's degrees in psychology; and received her Doctor of Psychology degree in Clinical Psychology from Indiana State University in Terre Haute, Indiana, in 1999; and

WHEREAS, in 2000, Dr. Cropsey concluded her post-doctoral experience at the University of Mississippi Medical Center where she began her professional academic career; and

WHEREAS, in 2002 to 2007, Dr. Cropsey was appointed as an Assistant Professor of Psychiatry at Virginia Commonwealth University; and

WHEREAS, Dr. Cropsey was recruited to the faculty of UAB in 2007, as an Associate Professor of Psychiatry and Behavioral Neurobiology in the School of Medicine; and

WHEREAS, Dr. Cropsey was appointed as the first holder of the Vivian Conatser Turner Endowed Professor in Psychiatry in the Department of Psychiatry and Behavioral Neurobiology by the Board of Trustees at its April 7, 2017 meeting; and

WHEREAS, Dr. Cropsey is an internationally recognized figure on addiction and substance abuse research; and

WHEREAS, Dr. Cropsey testified in front of the United States Senate Committee on Appropriations; further Subcommittee on Labor, Health, and Human Services, Education, and Related Agencies in 2019 for the Review of the Opioid Epidemic in America; and

WHEREAS, Dr. Cropsey has served as a committee member on the Review of Specific Programs in the Comprehensive Addiction and Recovery Act (CARA); National Academy of Sciences, Engineering and Medicine; and

WHEREAS, Dr. Cropsey also serves as an Associate Editor for Drug and Alcohol Dependence as well as journal reviewer on a number of key psychiatric and addiction-related publications, standing committee member grant reviewer for the National Institutes of Health; and

WHEREAS, Dr. Cropsey has published over 150 manuscripts in the field of psychiatric research and neuroscience; and

WHEREAS, Dr. Cropsey has an outstanding track record of continuous extramural support for her research, having received numerous competitive grants from the National Institutes of Health (NIH) and various funding sources; and

WHEREAS, members of this Board concur with the selection of Dr. Cropsey as the third holder of this prestigious endowed chair;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Karen Cropsey, Psy.D., as the third holder of the Kathy Ireland Endowed Chair for Psychiatric Research in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Karen Cropsey, Psy.D. to share with members of her family; to Adrienne C. Lahti, M.D., Professor and Chair of Psychiatry; to Anupam Agarwal, M.D., Interim Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Pamela Benoit, Ph.D., Senior Vice President for Academic Affairs and Provost of The University of Alabama at Birmingham; and to other appropriate officials of UAB.

Approving Appointment of Daniel I. Chu, M.D., F.A.C.S., FASCRS to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery at UAB

RESOLUTION

WHEREAS, a resolution formally establishing the Selwyn M. Vickers, M.D., F.A.C.S., Endowed Chair has been submitted to The Board of Trustees of The University of Alabama for approval at its next meeting on November 4, 2022; and

WHEREAS, as recommended by Herbert Chen, M.D., F.A.C.S., Chair of the Department of Surgery, with the concurrence of Anupam Agarwal, M.D., Interim Senior Vice President and Dean of The University of Alabama at Birmingham (UAB) Marnix E. Heersink School of Medicine and the University's leadership, the UAB administration now wishes to appoint Daniel I. Chu, M.D., F.A.C.S., FASCRS, Associate Professor of Surgery, as the new holder of this endowed chair; and

WHEREAS, Dr. Chu earned his Bachelor of Science degree in 2002 from Yale University. He then completed his Doctor of Medicine from The Johns Hopkins School of Medicine in 2006. Dr. Chu then completed his residency in General Surgery at Boston University Medical Center from 2006-2013. He completed a Colon and Rectal Surgery fellowship from 2013-2014 at Mayo Clinic. In 2016, Dr. Chu also received his Master of Science degree in Public Health from the UAB School of Public Health; and

WHEREAS, Dr. Chu worked as an Assistant Professor of Surgery from 2014-2018 at UAB, Department of Surgery. In 2018, he began his current appointment as an Associate Professor of Surgery; and

WHEREAS, Dr. Chu currently serves as the Quality Officer for General Surgery/Sepsis, UAB (2018-present); Medical Director of Enhanced Recovery Program, UAB (2021-present); Vice Chair of Health Services Research, UAB (2021- present); and was named as the Selwyn M. Vickers, M.D., F.A.C.S., Endowed Scholar (2021-Present); and

WHEREAS, Dr. Chu is an Adjunct Faculty Member for Female Pelvic Medicine Reconstructive Surgery, Attending Surgeon for Russell Medical Center and Whitfield Regional Hospital (Demopolis, AL), Associate Scientist for Experimental Therapeutics Program, and Scientist for Center for Clinical and Translational Science and Minority Health and Health Disparities Research Center; and

WHEREAS, Dr. Chu serves on many councils and committees. He has served on the Residents Committee for American Society of Colon and Rectal Surgeons (2014-2017). He currently serves on the Diversity, Equity and Inclusion Committee (2019-present) and Publications Committee for Association for Academic Surgery (2021-2023). Dr. Chu is an Institutional Representative (2016-present) and serves on the Research Committee for Society for Surgery of the Alimentary Tract (2017-present). He is also the Secretary-Elect for Society of Asian Academic Surgeons and a current member of the Resident Admissions Committee (2014-present), OR Advisory Committee (2015-present), SIIT Committee, Infection Prevention and Control (2015-present), Division Research Advisory Committee (2016-present), and Opioid Stewardship Committee (2019-present) at UAB; and

WHEREAS, Dr. Chu has 11 completed grants; he currently has 5 active grants and 1 pending grant that is under review; and

WHEREAS, Dr. Chu has served as an Editorial Board Member for *Journal of Surgical Research* (2019-2021) and *American Journal of Surgery* (2020-present); he also currently serves as an Associate Editor for *Colorectal Surgery*, *Journal of Surgical Research*. Dr. Chu has completed 66 published manuscripts, 10 book chapters, 133 national and local podium presentations for research, and 14 national and international Grand Rounds presentations; and

WHEREAS, Dr. Chu is dedicated to cultivate program growth and excellence with a firm commitment to provide quality patient care as well as to promote outstanding research and education; and

WHEREAS, this Board believes it is most appropriate for Dr. Chu to be appointed to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair and honored as one of the leaders in Gastrointestinal Surgery at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Daniel I. Chu, M.D., F.A.C.S., FASCRS, as holder of the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair, in the Department of Surgery, in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to Daniel I. Chu, M.D., F.A.C.S., FASCRS, Associate Professor of Surgery, in UAB Department of Surgery, in the Division of Gastrointestinal Surgery, at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Anupam Agarwal, M.D., Interim Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Herbert Chen, M.D., F.A.C.S., Professor and Chair of the Department of Surgery; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Surya P. Bhatt, M.D., M.S.P.H. to the Endowed Professorship in Airways Disease at UAB

RESOLUTION

WHEREAS, the Endowed Professorship in Lung Development and Regeneration in the Division of Pulmonary, Allergy and Critical Care Medicine was established by The Board of Trustees of The University of Alabama on February 3, 2017; and

WHEREAS, a resolution to rename the Endowed Professorship in Lung Development and Regeneration to the Endowed Professorship in Airways Disease has been submitted to The Board of Trustees at The University of Alabama for approval at their November 4, 2022 meeting; and

WHEREAS, as recommended by Anupam Agarwal, M.D., Interim Senior Vice President and Dean of The University of Alabama Marnix E. Heersink School of Medicine, with the concurrence of the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint Surya P. Bhatt, M.D., M.S.P.H, Tenured Associate Professor of Medicine, as the holder of this endowed professorship; and

WHEREAS, Dr. Bhatt received his M.D. degree from the Mysore Medical College, Mysore, India, in 2001, and his M.S.P.H. from The University of Alabama at Birmingham in 2018; and

WHEREAS, Dr. Bhatt completed his Internship and Residency in 2004 at the All India Institute of Medical Sciences, having served as Chief Resident, and his Fellowship in Pulmonary, Critical Care and Occupational Medicine in 2012, at the University of Iowa Hospital; and

WHEREAS, Dr. Bhatt was recruited to the UAB faculty as an Assistant Professor in the Department of Medicine, in the Division of Pulmonary, Allergy and Critical Care Medicine in 2012, he was promoted to Associate Professor of Medicine in 2017, and was awarded tenure in 2021; and

WHEREAS, Dr. Bhatt has received numerous honors and awards including the Benigno Soto Cardio-Pulmonary Imaging Research Award which he received twice; Young Investigator's Award, COPD9USA and COPD Foundation; Early Career Achievement Award, American Thoracic Society Assembly on Clinical Problems; Dr. Linda Hall Innovation Award from the American Association of Cardiovascular and Pulmonary Rehabilitation; National Institutes of Health Early Stage Investigator Trailblazer Award; Dr. Levi Watkins Jr. Breakthrough Award for Research; Top Reviewer, *Annals of the American Thoracic Society*; Top Reviewer, *American Journal of Respiratory and Critical Care Medicine*; multiple Top Ten Teachers Awards, UAB Department of Medicine; Jack Fulmer Teaching Award and Outstanding Teacher Award, Pulmonary Division, UAB Department of Medicine; Featured Discovery Recipient, UAB; and the Dean's Excellence Award for Research, UAB; and

WHEREAS, Dr. Bhatt has served as Medical Director of both the Pulmonary Function and Exercise Physiology Laboratory and the UAB Telehealth Pulmonary Rehabilitation Program since 2014; Director of the UAB Lung Imaging Lab since 2016; Assistant Medical Director, UAB Lung Health Center since 2017 and Medical Director, Pulmonary Rehabilitation Program, UAB, since 2020; and

WHEREAS, Dr. Bhatt is a member of the European Respiratory Society; American Heart Association; American College of Physicians, Fellow; American College of Chest Physicians, Fellow; and the American Thoracic Society; and

WHEREAS, Dr. Bhatt is a leading physician-scientist in his field and has served as Principal Investigator for numerous impactful research projects in an effort to improve the health of those afflicted with lung disease and COPD, in particular; and

WHEREAS, Dr. Bhatt has served on numerous councils and committees as a leader in his field, including as a Study Section Member of the American Lung Association Airways Clinical Research Centers Pilot Grant Review Panel; multiple NIH Study Sections 2021-2022; the Wellcome Trust/DBT India Alliance Fellowship Program; Member, American College of Chest Physicians CHEST Pulmonary Physiology Function and Testing Domain Task Force; Member, American Thoracic Society Pulmonary Function Committee; numerous committees for the COPD Foundation; Program Committee Member, Clinical Problems Assembly, American Thoracic Society; and Executive Committee Member and Program Committee Member, Pulmonary Rehabilitation Assembly, American Thoracic Society; and

WHEREAS, Dr. Bhatt has received international recognition for his research work, and is a seasoned speaker for such prestigious conferences as the European Respiratory

Society, and the International Meetings of the American Thoracic Society, and he is invited to present his research at institutions worldwide; and

WHEREAS, Dr. Bhatt has authored more than one hundred and eighty-six peer reviewed original publications in such outstanding journals as *Journal of the American Medical Association*, *Journal of Clinical Investigation*, *Lancet Respiratory Medicine*, *American Journal of Respiratory and Critical Care Medicine*, *JCI Insight*, *Radiology*, *Annals of the American Thoracic Society*; and

WHEREAS, Dr. Bhatt serves as Associate Editor, *Annals of the American Thoracic Society*; on the Editorial Board of *American Journal of Respiratory and Critical Care Medicine* and *Chronic Obstructive Pulmonary Diseases: Journal of the COPD Foundation* and is an *ad hoc* journal reviewer for such high-impact journals as *Journal of the American Medical Association*, *Annals of The American Thoracic Society*, *European Respiratory Journal*, *American Journal of Respiratory and Critical Care Medicine*, *Lancet Respiratory Medicine*; and

WHEREAS, Dr. Bhatt has maintained continuous funding since 2013, from such entities as the National Institutes of Health (NIH) and American Heart Association, indicating the outstanding quality of his science; and

WHEREAS, Dr. Bhatt has consistently demonstrated his passion for teaching and dedication to mentees, and has ultimately served as an invaluable and influential mentor to students, residents, fellows and junior faculty; and

WHEREAS, members of this Board concur with the selection of Dr. Bhatt as the holder of this prestigious endowed professorship;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Surya P. Bhatt, M.D., M.S.P.H. hereby is appointed as the holder of the Endowed Professorship in Airways Disease, The University of Alabama Marnix E. Heersink School of Medicine at UAB, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Surya P. Bhatt, M.D., M.S.P.H., to share with members of his family; to Anupam Agarwal, M.D., Interim Senior Vice President and Dean of The University of Alabama Marnix E. Heersink School of Medicine at UAB; and to other appropriate officials of UAB.

Approving Appointment of Jane Banaszak-Holl, Ph.D., as the Next Holder of the L.R. Jordan Chair in Health Services Administration at UAB

RESOLUTION

WHEREAS, on December 9, 1988, The Board of Trustees of The University of Alabama formally approved the establishment of the L.R. Jordan Chair in Health Services Administration in the School of Health Related Professions; and

WHEREAS, on April 7, 2006, The Board of Trustees of the University of Alabama approved changing the name of the School of Health Related Professions to the School of Health Professions; and

WHEREAS, the UAB administration now wishes to appoint Jane Banaszak-Holl, Ph.D., Professor, as the next holder of the L.R. Jordan Chair in Health Services Administration, as recommended by Andrew J. Butler, Ph.D., Dean of the School of Health Professions at The University of Alabama at Birmingham (UAB), with the concurrence of the University's leadership; and

WHEREAS, Dr. Banaszak-Holl graduated with honors from the University of Chicago in 1986, with a baccalaureate in Sociology; received her master's and doctoral of philosophy degrees in 1989 and 1991 respectively, from Cornell University; and

WHEREAS, after earning her doctoral degree, Dr. Banaszak-Holl conducted her postdoctoral fellowship in the Center for Gerontology and Health Care Research at Brown University; and

WHEREAS, in the years between 1992 and 2022, she served as Assistant Professor, Research Associate Professor, Associate Professor, Associate Dean for Academic Affairs, Professor, Co-Course Coordinator, and Post Graduate Course Coordinator; and

WHEREAS, Dr. Banaszak-Holl has taught at prestigious academic institutions and conducted valuable research on three continents including the University of Greenwich in London, England, Monash University in Melbourne, Australia; and the University of Michigan in the United States; and

WHEREAS, in 2015, Dr. Banaszak-Holl was named a Fellow of the Gerontological Society of America for her outstanding and continuing work in the field; and

WHEREAS, she is internationally recognized for her nearly 30 years of research activities in care options for seniors, improving the quality of care and life for those in long-term care, and the connections between organizational culture and the performance of a health care system; and

WHEREAS, Dr. Banaszak-Holl has published more than 70 peer reviewed articles, co-authored the book *Social Movements and The Transformation of American Health Care*, and written 10 chapters for books; and

WHEREAS, she has presented at more than 115 conferences all around the world including the United States, Canada, South America, and Europe; and

WHEREAS, Dr. Banaszak-Holl has directed dissertations for more than 40 students throughout her academic career, including serving as chair for several; and

WHEREAS, she has had more than 30 projects funded by renowned organizations like the National Institute on Aging, National Institute on Nursing Research, Center for Health Management Research, the Veteran's Administration, and the National Institutes of Health; and

WHEREAS, Dr. Banaszak-Holl is a long serving and active member of Health Organizations Research Association, Society for the Study of Organizing for Health Care, Academy Health, Gerontological Society of America, Academy of Management, Association for University Programs in Health Administration, and American Sociological Association; and

WHEREAS, Dr. Banaszak-Holl has served or continues to serve on the editorial boards for *Quality Management in Health Care*, *Journal of Aging and Health*, *Journal of Hospital Management and Health Policy*, *Journal of Aging and Longevity*, and *Proceedings of the Shaikh Zayed Postgraduate Medical Institute*, Lahore, Pakistan;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama hereby approves appointment of Jane Banaszak-Holl, Ph.D., as the next holder of the L.R. Jordan Chair in Health Services Administration at the School of Health Professions at The University of Alabama at Birmingham, with all the rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Dr. Jane Banaszak-Holl to share with members of her family, and to appropriate officials at The University of Alabama at Birmingham.

Approving Appointment of Wlad T. Sobol, Ph.D. as Professor Emeritus of Radiology in the Department of Radiology at UAB

RESOLUTION

WHEREAS, in special recognition of his distinguished service to The University of Alabama at Birmingham (UAB) and The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, the UAB Department of Radiology wishes to acknowledge Wlad T. Sobol, Ph.D., by appointing him as Professor Emeritus of Radiology; and

WHEREAS, Dr. Sobol, tenured Professor in the UAB Department of Radiology, retired on December 31, 2016 after providing twenty-five years of faithful service to UAB, and continued to work for The University of Alabama Health Services Foundation until he retired on December 31, 2021, for a combined total of 30 years of service; and

WHEREAS, Dr. Sobol earned his Doctorate of Philosophy from the Jagiellonian University, Cracow, Poland, in 1978; and

WHEREAS, Dr. Sobol completed a postdoctoral fellowship in magnetic resonance imaging physics at the University of Waterloo, Ontario, Canada; and

WHEREAS, Dr. Sobol began his tenure at UAB in 1991 as Assistant Professor of Radiology, Associate Professor of Radiology from 1992-1998, and was promoted to Professor of Radiology in 1998 in the Department of Radiology, where he served until his retirement; and

WHEREAS, Dr. Sobol served on the UAB Department of Radiology faculty as a physicist, educator, and researcher; and

WHEREAS, Dr. Sobol's research has resulted in 62 peer-reviewed publications, 61 published abstracts, 51 oral presentations, and 29 invited lectures; and

WHEREAS, Dr. Sobol authored two books and two book chapters in some of the most widely regarded diagnostic imaging texts; and

WHEREAS, Dr. Sobol is a member of professional organizations including the American Association of Physicists of Medicine, International Society for Magnetic Resonance in Medicine, and the American College of Radiology; and

WHEREAS, Dr. Sobol has been published in high-impact journals including the *Journal of Neuroradiology*, *Radiology*, *Journal of Magnetic Resonance Imaging*, *Alcoholism: Clinical and Experimental Research*, *Magnetic Resonance in Medicine*, *Software Reviewer*, *Medical Physics* and the *IEEE Transactions on Medical Imaging*, among others; and

WHEREAS, Dr. Sobol served as leader of innumerable research and clinical conferences, created a MRI physics curriculum for radiology trainees, and has taught many generations of physics and radiology residents;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Wlad T. Sobol, Ph.D., as Professor Emeritus of Radiology in the Department of Radiology at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its appreciation to Dr. Sobol for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be given to Dr. Sobol to share with his family, and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Sergey Vyazovkin, Ph.D., as Professor Emeritus of Chemistry in the Department of Chemistry in the College of Arts and Sciences at UAB

RESOLUTION

WHEREAS, Sergey Vyazovkin, Ph.D., Professor in the Department of Chemistry of the College of Arts and Sciences, retired on November 30, 2021, after 20 years of outstanding service to The University of Alabama at Birmingham (UAB) and commendable performance as a teacher, mentor, and scholar; and

WHEREAS, in special recognition of his remarkable contributions to The University of Alabama at Birmingham, the College of Arts and Sciences, and the Department of Chemistry, the UAB administration wishes to acknowledge Dr. Vyazovkin, by appointing him as Professor Emeritus in the Department of Chemistry; and

WHEREAS, Dr. Vyazovkin earned his Bachelor of Science degree in Chemistry in 1982 and his Doctor of Philosophy degree in Chemistry in 1989 from the Belorussian State University, and received postdoctoral training in The Technical University of Vienna from 1993 to 1995; and

WHEREAS, Dr. Vyazovkin began his academic career in the United States at The University of Utah, serving as a research faculty member and deputy director of the Center for Thermal Analysis from 1995 to 2001, and in 2001 joined the faculty of UAB as an Assistant Professor in the Department of Chemistry, and was promoted to Associate Professor in 2007, and to Professor in 2012; and

WHEREAS, Dr. Vyazovkin has achieved great renown for his numerous, substantial, and enduring scholarly contributions to the field of physical and analytical chemistry of materials, supported by funding from the National Science Foundation, Department of Defense, Department of Energy, American Chemical Society Petroleum Research Fund, and publicized in more than 200 peer-reviewed publications, including sole author and edited monographs, and cited more than 20,000 times; and

WHEREAS, Dr. Vyazovkin is a scholar of both national and international stature as demonstrated by his service from 2003 to 2020 as editor of *Thermochimica Acta* (Elsevier), editorial board member of *Journal of Thermal Analysis and Calorimetry* (Springer) from 2003 to 2006, *Macromolecular Chemistry and Physics* (Wiley) from 2006 to present, *Macromolecular Rapid Communications* (Wiley) from 2006 to present and as the Chair of

the Kinetics Committee of the International Confederation for Thermal Analysis and Calorimetry from 2006 to present as well as by winning prestigious the Mettler-Toledo Award in thermal analysis and the James J. Christensen Award in calorimetry; and

WHEREAS, Dr. Vyazovkin is a highly reputable teacher who has developed and taught analytical chemistry courses at both undergraduate and graduate levels; and has supervised and mentored multiple undergraduate, graduate, and postdoctoral students, who have embarked on successful careers in academia and industry; and

WHEREAS, Dr. Vyazovkin has earned the praise and respect of his students and colleagues at UAB for his expertise, productiveness, and determination;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the appointment of Sergey Vyazovkin, Ph.D., as Professor Emeritus of Chemistry in the Department of Chemistry in the College of Arts and Sciences at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED by The Board of Trustees of The University of Alabama that it is pleased to recognize the distinguished professional achievements of Dr. Sergey Vyazovkin, and herewith to expresses its sincere gratitude for the invaluable contributions he has made to The University of Alabama at Birmingham and to the State of Alabama.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be presented to Dr. Sergey Vyazovkin to share with his family; to Pamela Benoit, Senior Vice President for Academic Affairs and Provost; to Kecia Thomas, Ph.D., Dean of the College of Arts and Sciences; and other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Jacqueline Ann Moss, Ph.D., R.N., FAAN as Professor Emerita of Nursing in the UAB School of Nursing at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and the School of Nursing, UAB wishes to acknowledge Jacqueline A. Moss, Ph.D., R.N., FAAN, by appointing her as Professor Emerita of Nursing in the UAB School of Nursing; and

WHEREAS, Dr. Moss earned her Bachelor of Science degree in Nursing from Georgia State University in 1990, her Master of Science degree in Nursing from Georgia State University in 1993, and her Doctor of Philosophy degree in Nursing (with an area of study in Nursing Informatics) from the University of Maryland in 2002; and

WHEREAS, Dr. Moss served as Chair for the Department of Acute, Chronic and Continuing Care (formerly the Adult/Acute Health, Chronic Care and Foundations) at the UAB School of Nursing from 2011-2015, and Assistant Dean for Clinical Simulation and Technology at the UAB School of Nursing from 2006-2017, and Associate Dean for Technology and Innovation from 2017-2022; and

WHEREAS, Dr. Moss continued her service to the UAB School of Nursing until she retired on April 30, 2022, after 20 years of dedicated, exemplary service; and

WHEREAS, Dr. Moss provided the leadership, expertise and forethought which has been integral to the success of the UAB School of Nursing; and

WHEREAS, Dr. Moss, a visionary leader and champion for technological advances in education, research and clinical practice, led the early adoption of technology in classrooms and clinical laboratory settings for nursing students at the UAB School of Nursing; and

WHEREAS, Dr. Moss, an international expert in nursing informatics and simulation, was a trailblazer for engagement with innovative classroom technology, and a contributor to the growth in distance accessible programs at the UAB School of Nursing as she led the mission to integrate technology throughout the undergraduate and graduate curricula; and

WHEREAS, Dr. Moss has proven to be an invaluable member of the UAB community, having the incredible ability to anticipate change and a thorough understanding of the need for technology to be seamlessly integrated into all aspects of the mission of the UAB School of Nursing; and

WHEREAS, Dr. Moss created a legacy at the UAB School of Nursing that has significantly improved the way technology is utilized to engage students, patients and the communities served and was the Executive Producer of the UAB Nursing Healthcare Network which provides YouTube videos, professional development opportunities, and podcasts for nursing professionals and others interested in nursing; and

WHEREAS, Dr. Moss was instrumental in numerous campus-wide technological advancements, including assimilating the Canvas Implementation Team that led the campus-wide transition from Blackboard to Canvas; and

WHEREAS, Dr. Moss played a key role in the development and expansion of interprofessional simulation training at UAB, helping to develop faculty leaders in simulation, and positioning UAB for excellence in simulation and education; and

WHEREAS, Dr. Moss has served on numerous UAB committees, including the UAB Security Advisory Committee, World Health Organization (WHO) Coordinating Center Advisory Committee, Clinical Data Innovation Committee, and UAB Innovation Committee; and

WHEREAS, Dr. Moss has published numerous peer reviewed manuscripts (many with students) and book chapters, and delivered international, national and regional presentations; and

WHEREAS, Dr. Moss is the recipient of numerous honors and awards, including the 2016 Graduate Dean's Award for Excellence in Mentorship, the Society of Simulation in Healthcare Article of Influence of 2019-2020, the Nursing Journal Hall of Fame Award-Canadian Journal of Nursing Research (Editor) by the International Academy of Nursing Editors (INANE), and was recognized for having one of the five most influential papers in nursing informatics in 2015 by the Nursing Informatics-Working Group of the American Medical Informatics Association; and

WHEREAS, Dr. Moss is deeply devoted to her husband, David;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Jacqueline A. Moss, Ph.D., R.N., FAAN, as Professor Emerita of Nursing in the School of Nursing at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its sincere appreciation to Dr. Moss for her dedication and service to The University of Alabama at Birmingham, the UAB community, the state of Alabama and beyond;

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Moss to share with members of her family, and to other appropriate University officials.

PowerPoint



Proposal for a Bachelor of Science in Business Administration (B.S.B.A.) Degree in Analytics (CIP Code 52.1301)

Purpose

- Prepare graduates to integrate analytics with business strategies and tactics and help organizations make data-driven decisions to achieve business goals

Relationship to Goals

- Produce a workforce with advanced skills meeting the needs of local industry and federal partners

Need

- The State of Alabama list of In-Demand Occupations includes Management Analysts among the 25 highest demand occupations, with 1.29% annual job growth expected
- Management Analyst listed as "Bright Outlook" occupation by O*Net
- Roughly one in every three business analytics job openings in Alabama over the past six months have been centered in Huntsville

Other Programs

- Auburn University – BSBA, Business Analytics; Troy University – BSBA, Data Analytics; UA – BS, Management Science & Operations Management; UNA – BSBA, Management Science & Data Analytics

Resources

- No additional resources required

Administration

- College of Business

Govenda G.1

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Bachelor of Science in Business Administration (BSBA) Degree in Analytics (CIP Code 52.1301) at UAH

RESOLUTION

WHEREAS, the College of Business at The University of Alabama in Huntsville proposes a Bachelor of Science in Business Administration (BSBA) Analytics degree in the Department of Information Systems, Supply Chain, and Analytics; and

WHEREAS, the College of Business is accredited by the Association for the Advancement of Colleges and Schools of Business (AACSB) and the Analytics major will fall under the purview of AACSB accreditation; and

WHEREAS, the College of Business has a unique opportunity to be an education leader in North Alabama in preparing future business students with strong analytic skills for the present data-driven business world; and

WHEREAS, the proposed Analytics major will maintain all degree requirements for BSBA majors, along with which it will require seven specific courses for the major; and

WHEREAS, approximately 80% of the required courses will be offered online at least once per year, providing students with the option to take the courses in person or online; and

WHEREAS, the addition of the proposed Analytics major will enable the department to position itself for further growth, and provide a seamless transition for the undergraduate students to the existing Analytics master's degree; and

WHEREAS, students will learn and apply quantitative analytical techniques; and

WHEREAS, graduates will be able to integrate analytics with business strategies and tactics and help organizations make data-driven decisions to achieve business goals.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the Bachelor of Science in Business Administration Analytics degree (CIP code 52.1301) at The University of Alabama in Huntsville and grants permission to submit this Proposal to the Alabama Commission on Higher Education.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) A Proposal to Add an Instructional Leadership Concentration to the Master of Education in Differentiated Instruction Degree (CIP Code 13.1206) at UAH

RESOLUTION

WHEREAS, the College of Education at The University of Alabama in Huntsville (UAH) proposes to add an Instructional Leadership Concentration to the Master of Education in Differentiated Instruction; and

WHEREAS, this concentration in Instructional Leadership will allow us to train the next generation of educational administrators who have the responsibility of leading other professional educators; and

WHEREAS, a large-scale regional survey was conducted and identified a strong demand for this new program to be offered at UAH; and

WHEREAS, this concentration will position the College to have a larger impact on the region and state by training highly-equipped educational leaders for the changing world; and

WHEREAS, the concentration will follow the same format of all of our current M.Ed. programs with a core of four courses followed by six concentration courses and one capstone course which will be an internship with a two-week residency; and

WHEREAS, the program will be delivered in an online format with courses taught via Canvas and meetings conducted via Zoom; and

WHEREAS, the college currently has a faculty member with a doctorate in Instructional Leadership, others who hold Instructional Leadership certification, and a large consortium of adjunct faculty with the credentials and expertise in this area to support the program; and

WHEREAS, this concentration will also be submitted to the Alabama State Department of Education for review.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of an Instructional Leadership Concentration to the Master of Education in Differentiated Instruction degree (CIP Code 13.1206) at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Approving Appointment of Dr. Bruce Stallsmith, Ph.D., as Associate Professor Emeritus, in the Department of Biological Sciences in the College of Science at UAH

RESOLUTION

WHEREAS, Dr. Stallsmith has given 11 years of meritorious service to the Biological Sciences Department in the College of Science; and

WHEREAS, Dr. Stallsmith's retirement became effective on August 1, 2022; and

WHEREAS, Dr. Stallsmith received a bachelor's degree in Economics from Boston University, a master's degree in Biology in 1991 from the University of Massachusetts, and a doctoral degree in Environmental Biology in 1995 from the University of Massachusetts; and

WHEREAS, Dr. Stallsmith joined the faculty of The University of Alabama in Huntsville (UAH) in 2011; and

WHEREAS, Dr. Stallsmith served as the Interim Chair of the Department of Biological Sciences at UAH from 2018-2019; and

WHEREAS, Dr. Stallsmith served as the Chair of the Institutional Review Board at UAH from 2017-2019; and

WHEREAS, Dr. Stallsmith served on the Pre-Professional Advisory Committee at UAH from 2005-2021; and

WHEREAS, Dr. Stallsmith served as a UAH Liaison for the Dauphin Island Sea Lab Summer Courses from 2006-2022; and

WHEREAS, Dr. Stallsmith, throughout his years of service at UAH, has contributed to the life of the institution through membership on many major college and university committees; and

WHEREAS, over the period of his service, Dr. Stallsmith has helped to maintain the standards of academic excellence in the Department of Biological Sciences, the College of Science, the university, and the community.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it expresses deep gratitude to Dr. Bruce Stallsmith and that he be named Associate Professor Emeritus in recognition of his leadership, his effectiveness in the classroom, his expertise in research activities, and his service to The University of Alabama in Huntsville.

Trustee Humphrey also reported that the Committee reviewed five information items:

- Deletion of the Undergraduate Certificate in Rural Community Health (CIP Code 51.2208) in the College of Community Health Sciences at UA
- General Education Core Reform, Three-Year Academic Plan Summary at UA
- Establishment of a 15-Hour Undergraduate Certificate in Honors Student Leadership Development Academy (CIP Code 35.0103) at UAB
- Establishment of a 15-Hour Graduate Certificate in LGBTQ Health and Well-Being (CIP Code 51.2212) at UAB
- Establishment of a Coordinated Degree Program between UAB's Master of Public Health (M.P.H.) Degree and Presbyterian College School of Pharmacy's Pharm.D. Degree (CIP Code 51.2201) at UAB

Pro tem Starnes asked if there were questions or comments concerning any of the information items. There were none.

Pro tem Starnes thanked Trustee Humphrey for her report and recognized Trustee Mauldin for a report from the Honorary Degrees and Recognition Committee.

Trustee Mauldin reported that the Honorary Degrees and Recognition Committee met on November 4, 2022, and considered 14 agenda items, all of which the Committee unanimously recommended for approval. Trustee Mauldin moved for their approval by the Board, which was seconded by Trustee Phelps. Pro tem Starnes asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Starnes then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving Naming Opportunities in Alston Hall at UA

RESOLUTION

WHEREAS, Dr. Kay M. Palan, Dean of the Culverhouse College of Business, desires to undertake a fundraising plan in which definable portions of Alston Hall would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Palan has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the Culverhouse College of Business' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within the attached list; and

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities in the Student Services Center Addition at
UA

RESOLUTION

WHEREAS, Dr. G. Christine Taylor, Vice President for the Division of Diversity, Equity and Inclusion, desires to undertake a fundraising plan in which definable portions of

the Student Services Center Addition would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dr. Taylor has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the Division of Diversity, Equity and Inclusion's ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within the attached list; and

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Revisions to Endowed Funds at UA

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama recommends that the following funds, having been previously established by action of The Board of Trustees of The University of Alabama through duly adopted resolutions, be revised by The Board of Trustees of The University of Alabama:

WHEREAS, Anne E. Allen of Tuscaloosa, Alabama, requests the revision of the purpose of the Anne Evans Allen Endowed Nursing Scholarship previously stated in the April 10, 2015 resolution as "Priority of consideration shall be given to students enrolled in the RN Mobility Program at the Capstone College of Nursing. Among these candidates,

additional priority of consideration shall be given to students meeting the above criteria who are graduates of George Wallace Community College in Dothan, Alabama” be revised to “Priority of consideration shall be given to upper division students who are enrolled in the Capstone College of Nursing. Preference shall be given to students who are graduates of George Wallace Community College in Dothan, Alabama.”; and

WHEREAS, the Reese Phifer Jr. Memorial Foundation of Tuscaloosa, Alabama, requests the revision of the purpose of the Reese Phifer Jr. Memorial Foundation Endowed Scholarship previously stated in the April 4, 2014 resolution as “Priority of consideration shall be given to rising fourth-year medical students enrolled in The University of Alabama School of Medicine – Tuscaloosa Campus who intend to join the Tuscaloosa Family Medicine Residency, and have an interest in spending part of their residency training in Fayette, Alabama” be revised to “Priority of consideration shall be given to rising fourth-year medical students who are accepted into the Integrated Residency Program within the College of Community Health Sciences and have an interest in practicing primary care in Alabama. Second priority of consideration shall be given to third- or fourth-year medical students who have an interest in practicing primary care in Alabama.”; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby adopts the conditions described herein for future governance of these restricted endowed funds; and

BE IT FURTHER RESOLVED that the initial gifts and all past and future contributions to these funds constitute endowed corpora, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowment earnings shall be used for needs that most closely relate to the donors’ intents as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving a Reinvestment of Earnings into the Marie Drolet Bristol – EBSCO Quasi-Endowed Professorship in the School of Library and Information Studies at UA

RESOLUTION

WHEREAS, on December 15, 1994, The Board of Trustees of The University of Alabama established an endowment known as the Marie Drolet Bristol – EBSCO Endowed Professorship in the School of Library and Information Studies in the College of Communication and Information Sciences at The University of Alabama; and

WHEREAS, on April 6, 2018, The Board of Trustees of The University of Alabama established the Marie Drolet Bristol – EBSCO Quasi-Endowed Professorship in the School of Library and Information Studies to reinvest \$75,000 for the purpose of enhancing the quasi-corpus of this endowment; and

WHEREAS, it is now the desire of the College of Communication and Information Sciences to reinvest additional earnings in the amount of \$50,000 for the purpose of enhancing the quasi-corpus of this endowment;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby acknowledges the reinvested earnings, and herewith approves the addition of these funds to the related quasi-endowment to function together with the fund, as pure endowments of the University; and

BE IT FURTHER RESOLVED that this transfer of earnings will enhance a quasi-endowed corpus, which will be held, invested, maintained, and administered by the University according to its policies and procedures adopted from time to time for quasi-endowed funds, and that the quasi-endowment earnings shall be used for needs that most closely relate to the donor's intent, as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Endowed Funds at UA

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama recommends that the following funds, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Friends of the College of Human Environmental Sciences, represented herein by Dr. Judy L. Bonner, have contributed \$125,000 to endow the *Judy Bonner Endowed Support Fund*. The fund will be used for a variety of purposes in support of the College's mission. Priority of consideration will be to support the Judy Bonner Child Development Center and second priority of consideration will be to support the needs of the College of Human Environmental Sciences as determined by the Dean.

Dr. Reuben Wright Cook of Tuscaloosa, Alabama, has contributed \$12,257.17, including earnings, to endow the *Reuben Wright Cook Endowed Support Fund*, which will

be used for priority needs in support of the College of Human Environmental Science's mission including, but not limited to, an annual monetary award to a College staff member;

Dr. James E. King Jr. and Dr. Martha R. Crowther of Tuscaloosa, Alabama, have contributed \$27,081.23, including earnings, to endow the *Nercelia Stepney Crowther and Howard Crowther Endowed Scholarship*, which will benefit undergraduate students who graduated from a high school in Mississippi, have at least a 2.85 grade point average on a 4.0 scale, whose enrollment would enhance the diversity of the College of Education's student population, thereby improving the quality of the College's educational experience and product, and who demonstrate financial need, although not necessarily need as defined by federal guidelines;

Andrea L. Edmonds and Stephen Q. Edmonds of Tuscaloosa, Alabama have contributed \$31,203.75, including earnings, to endow the *Ruthie Edmonds CrossingPoints Endowed Scholarship*, which will benefit students who are enrolled at the University, are attending the CrossingPoints Tier 3 program, and who demonstrate financial need, although not necessarily need as defined by federal guidelines;

Elizabeth Gaskins of Lynnfield, Massachusetts, has contributed \$27,285.91, including earnings, to endow the *Samuel and Susan Gaskins First Generation Endowed Scholarship*, which will benefit, to the extent permitted by law, first-generation students whose enrollment would enhance the diversity of the University's student population, thereby improving the quality of the University's educational experience and product;

Joel L. Haney Sr. of Decatur, Alabama, has contributed \$25,000 to endow the *Joel Lynn Haney Sr. Endowed Chemical Engineering Scholarship*, which will benefit students who graduated from a high school in Decatur, Alabama, and are majoring in chemical engineering in the College of Engineering. Second priority of consideration will be given to students who graduated from a high school within the state of Alabama and are majoring in chemical engineering in the College of Engineering;

Francis A. Harris formerly of Birmingham, Alabama, has bequeathed \$38,000 to endow the *Frances A. Harris Quasi-Endowed Scholarship*, which will benefit undergraduate or graduate students who are enrolled in the College of Arts and Sciences and are majoring in music;

Margaret Ann Holt of Mountain Brook, Alabama, has contributed \$250,000 to endow the *J. N. Holt Endowed Scholarship*, which will benefit undergraduate students who graduated from a high school in Coosa County, Alabama, possess a 3.0 high school grade point average on a 4.0 scale and demonstrate financial need, although not necessarily need as defined by federal guidelines. The scholarship may be renewable for each of the subsequent years of a recipient's undergraduate education provided the scholarship committee deems the recipient's performance and academic progress are satisfactory and provided the recipient maintains a 3.0 grade point average on a 4.0 scale;

Michael A. Reilly and Julia F. Reilly of Roswell, Georgia, have contributed \$26,694.62, including earnings, to endow the *Michael A. and Julia F. Reilly Endowed Scholarship*, which will benefit full-time freshmen students who are majoring in business or education at The University of Alabama and demonstrate financial need, although not necessarily need as defined by federal guidelines;

Dr. Karen Thompson-Jackson of Tuscaloosa, Alabama and Veronica J. Elder of Birmingham, Alabama, have contributed \$35,171.87, including earnings, to endow the *Dr. Karen Thompson-Jackson and Veronica J. Elder Black Belt Endowed Scholarship*, which will benefit on-campus students enrolled in the master of social work program who are from the Black Belt region of Alabama (Bullock, Choctaw, Dallas, Greene, Hale, Lowndes, Macon, Marengo, Perry, Pickens, Sumter or Wilcox counties) and who demonstrate financial need, although not necessarily need as defined by federal guidelines. Second priority shall be given to on-campus students enrolled in the bachelor of social work program who are from the Black Belt region of Alabama who demonstrate financial need, although not necessarily need as defined by federal guidelines;

Dr. Susan V. Vrbsky of Cottondale, Alabama, has contributed \$26,367.56, including earnings, to endow the *Marguerite and Rudolph Vrbsky Endowed Leadership Fund*, which will be used for a variety of purposes in support of the College of Engineering's mission, including, but not limited to, assisting with student expenses to attend leadership conferences and scholarships for students majoring in computer science in the College of Engineering. To the extent permitted by law, priority of consideration for scholarships will be given to female students or those students whose enrollment would enhance the diversity of the College's student population, thereby improving the quality of the College's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder;

Jeffrey J. West and Tiffany E. West of Tacoma, Washington, have contributed \$25,755.80, including earnings, to endow the *Jeff and Tiffany West Endowed Scholarship*, which will benefit full-time undergraduate or graduate students who are enrolled in the College of Human Environmental Sciences, possess a 2.5 or higher grade point average on a 4.0 scale and demonstrate financial need, although not necessarily need as defined by federal guidelines;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes the endowed funds listed above; and

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be

used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such funds; and

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the funds listed above be notified that the funds have been endowed in perpetuity; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Establishment of the Ronald L. and David B. Allison Endowed Faculty Scholar in Nutrition Sciences Quasi-Endowment and the Ronald L. and David B. Allison Endowed Faculty Scholar in Nutrition Sciences at UAB

RESOLUTION

WHEREAS, The School of Health Professions wishes to use philanthropic funds of \$100,000 for the establishment of a quasi-endowment related to a pure endowment titled the Ronald L. and David B. Allison Endowed Faculty Support Fund in Nutrition Sciences; and

WHEREAS, it is most fitting that this endowment be named in honor of David B. Allison, Ph.D., and his father, Mr. Ronald L. Allison, for their resilience, selflessness, and dedication to advancing education; and

WHEREAS, Mr. Ronald L. Allison loved learning and teaching, and he saw the beauty of mathematics and its power to help elucidate every corner of thinking in life; and

WHEREAS, David B. Allison, Ph.D. is a global leader in obesity research and served as the director of the UAB Nutrition Obesity Research Center from 2003-2017, where he made significant contributions to the success of nutrition and obesity research; and

WHEREAS, this endowment will be used to provide support for research-related activities, presentations, publications, and/or professional development opportunities including travel to conferences for junior faculty within the Department of Nutrition Sciences who do not yet have RO1 funding from the National Institutes of Health; and

WHEREAS, additional gifts totaling \$450 have been received from Dr. Elizabeth K. Allison and Dr. David B. Allison;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the establishment of the Ronald L. and David B. Allison Endowed Faculty Scholar in Nutrition Sciences Quasi-Endowment and the Ronald L. and

David B. Allison Endowed Faculty Scholar in Nutrition Sciences, a quasi-endowment with related pure endowment of the University; and

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies be sent to Dr. David B. Allison; Andrew J. Butler, MPT, MBA, Ph.D., Dean of the School of Health Professions; and other appropriate officials of The University of Alabama at Birmingham.

Approving Naming of the Henry H. Hoffman Conference Room in the Department of Medical Education at UAB

RESOLUTION

WHEREAS, on November 8, 2019, The Board of Trustees of the University of Alabama approved the proposed renovation project for the sixth floor of Volker Hall within the University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, on February 5, 2021, The Board of Trustees of the University of Alabama granted permission to begin fundraising efforts for naming opportunities in Volker Hall; and

WHEREAS, pursuant to Board Rule 411, an anonymous donor has pledged \$40,000, of which \$16,000 has been received, to name Volker Hall Conference Room 623 as the Henry H. Hoffman Conference Room;

WHEREAS, it is most fitting that this conference room be named in honor of Henry Harland Hoffman, Ph.D., in recognition of his devoted service to the UAB Heersink School of Medicine as an esteemed professor of anatomy and Chairman of the Medical School Admissions Committee; and

WHEREAS, Dr. Hoffman served as an inspiration for countless medical students to pursue a career in medicine through his compassionate and sincere approach to medical education; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts with gratitude the gifts and pledges of the anonymous donor and herewith establishes the Henry H. Hoffman Conference Room in the Department of Medical Education; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to Craig J. Hoesley, M.D., Chair of the Department of Medical Education; Anupam Agarwal, M.D., Interim Senior Vice President

of Medicine and Dean of the UAB Heersink School of Medicine; and other appropriate officials of The University of Alabama at Birmingham.

Approving Naming Opportunities in the School of Dentistry at UAB

RESOLUTION

WHEREAS, the School of Dentistry requests permission to begin fundraising efforts for naming opportunities in the first and second floors of the School of Dentistry Building at The University of Alabama at Birmingham (UAB) in accordance with the attached spreadsheet; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby grants approval of and permission to begin fundraising opportunities for naming opportunities on behalf of the School of Dentistry at UAB; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Russell Taichman, D.M.D, D.M.Sc., Dean of the School of Dentistry; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Endowed Funds at UAB

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama at Birmingham (UAB) recommends that the following funds, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and provide quality programs in the areas of teaching, research, and service:

On September 18, 2018, The Board of Trustees of The University of Alabama accepted gifts totaling \$25,976.69 from Ms. Ann Bridges Steely and other alumni and supporters of the Master of Public Administration (MPA) Program and approved the establishment of the *MPA Alumni Endowed Scholarship in the College of Arts and Sciences*. Additional gifts totaling \$75,184 have been received in furtherance of this endowment, bringing the corpus of the endowment to \$101,160.69. It is now the desire of the College's administration that this endowed scholarship be renamed the *MPA Alumni Endowed Eminent Scholarship* to honor the donors' commitment to growing the endowment, as well as to distinguish the endowment from others with the eminent designation;

On February 7, 2020, The Board of Trustees of The University of Alabama accepted gifts totaling \$32,665 from supporters of the Collat School of Business and established the *Human Resources Endowed Scholarship*. Additional gifts totaling \$9,465 have been received in furtherance of this endowment, bringing the corpus of the endowment to \$42,130. The administration of the Collat School of Business, with the support of the donors, wishes to rename the Human Resources Endowed Scholarship to the *Alesia M. Jones HR Endowed Scholarship*. On February 1, 2021, Ms. Alesia Jones retired from UAB after 27 years of service. During her 12 years as Chief Human Resources Officer, Ms. Jones streamlined UAB's benefits programs, improved campus engagement, and developed efficient and strategic HR operations. This endowment will continue to be used to support deserving students in the Collat School of Business;

Zachary and Dana Gentile, of Trussville, Alabama, have given \$25,000 to establish the *Gentile Family Endowed Scholarship in Engineering*. This endowed scholarship will be used to support deserving students in the School of Engineering who fall short of qualifying for aid due to family income;

In memory of Dr. Richard Thompson, various family, friends, students, and colleagues have given \$37,450 to establish the *Dr. Richard Thompson Memorial Endowed Scholarship* in the School of Health Professions. Richard Thompson, Ph.D., played an integral role in the founding of the Doctor of Philosophy in Administration-Health Services program in 1978. Dr. Thompson was a visionary leader and inspired colleagues, students, administrators, and many others during his time at UAB, where his work stimulated new thinking and unique ideas. This endowed scholarship will be used to relieve master's or doctoral students' financial burden and allow them to focus solely on their education;

On June 16, 2017, The Board of Trustees of The University of Alabama accepted gifts totaling \$99,987.61 from Charles "Chuck" Stokes and Judy L. Stokes and approved the establishment of the Chuck and Judy Stokes Endowed Scholarship for Diversity and Inclusion. Additional gifts totaling \$16,765.21 have been received in furtherance of this endowment, bringing the corpus of the endowment to \$116,752.82. It is now the desire of the administration of the School of Health Professions that this endowed scholarship be renamed the *Chuck and Judy Stokes Endowed Eminent Scholarship for Diversity and Inclusion* to honor the donors' commitment to growing the endowment, as well as to distinguish the endowment from others with the eminent designation;

On February 7, 2014, The Board of Trustees of The University of Alabama accepted gifts totaling \$25,000 from Mr. Michael D. Williams, F.A.C.H.E., and approved the establishment of the *Williams Family Endowed Scholarship in Health Administration*. Additional gifts totaling \$75,000 have been received from Mr. Michael D. Williams, F.A.C.H.E., in furtherance of this endowment. It is now the desire of the administration of the School of Health Professions that this endowed scholarship be renamed the *Williams Family Endowed Eminent Scholarship in Health Administration* to honor the donor's

commitment to growing the endowment, as well as to distinguish the endowment from others with the eminent designation;

Capital Growth Medvest, LLC, based in Vestavia, Alabama, has given \$30,000 to establish the *Capital Growth Medvest Endowed Scholarship in Health Administration* in the School of Health Professions. This scholarship will be used to support students who demonstrate achievement and determination in the face of personal challenges in the Master of Science in Health Administration Program within the Department of Health Services Administration;

Gifts of \$10,500 have been received for the purpose of establishing the *David A. Rogers, M.D., M.H.P.E., Endowed Award for Surgical Medical Student Teaching Excellence for the Department of Surgery* within the University of Alabama at Birmingham Marnix E. Heersink School of Medicine. This endowed award will be used to support the educational mission of the Department of Surgery;

The University of Alabama Health Services Foundation (UABHSF) has given \$100,000 to establish the *Endowed Scholar in Nephrology* in the UAB Heersink School of Medicine. This endowment will be used to support the research, scholarly, and/or clinical efforts of a distinguished faculty member within the Department of Nephrology;

In memory of Cathy Clagg Roche, Ph.D., R.N., her husband, Mr. Michael Steven Roche, along with various family members, friends, students, and colleagues have given \$25,140 to establish the *Cathy C. Roche, Ph.D. R.N, Endowed Scholarship in Nursing*. Dr. Roche worked as a nurse in women's health and clinical research for more than 40 years, and for 10 years, she was an award-winning professor at the UAB School of Nursing. Dr. Roche had a passion for teaching and mentoring students and new faculty. This scholarship will be used to support students enrolled in the School of Nursing; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes the endowed funds listed above; and

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such awards; and

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the funds listed above be notified that the funds have been endowed in perpetuity; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Quasi-Endowed Funds at UAB

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama at Birmingham (UAB) recommends that the following funds, having met the minimum standards for endowed gifts, be quasi-endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Additional funds totaling \$20,814.24 from the Jane K. Lowe Charitable Foundation have been received for the purpose of enhancing the *Jane Knight Lowe Scholarship Quasi-Endowment* in accordance with the guidelines established for the endowment;

The School of Optometry wishes to use philanthropic funds of \$3,000 for the establishment of a quasi-endowment related to the existing pure endowment titled the *Dr. Leon A. and Linda Kay Renaud Memorial Scholarship* to enhance the endowment corpus;

The Department of Neurosurgery within the University of Alabama Marnix E. Heersink School of Medicine wishes to use philanthropic funds of \$50,000 to establish the *Dr. Beverly C. Walters Endowed Faculty Scholar for Neurosurgery*, a quasi-endowment of the University;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the additions to and establishment of the quasi-endowed funds listed above; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving the Naming of the Clarence L. Watts and Inez Looney Watts Atrium at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville recommends that the following gift, having met the minimum standards for naming, be used as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service;

Ms. Elisabeth Whitten has generously given \$220,000 to name the atrium within the M. Louis Salmon Library, and this gift further celebrates the legacy of the family of Ms. Elisabeth Whitten and the late M. Louis Salmon;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby expresses its appreciation for the generosity demonstrated by the donor presented above, conveys its gratitude for these gifts to support The University of Alabama in Huntsville, and names the atrium within the M. Louis Salmon Library the *Clarence L. Watts and Inez Looney Watts Atrium*; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Expressing Appreciation for Gifts at UAH

RESOLUTION

WHEREAS, the President of The University of Alabama in Huntsville (UAH) recommends acknowledgment and appreciation of the following gifts, to be used as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service; and

Mr. and Mrs. Leland G. Sisson have given \$25,000 as the second part of an anticipated 10-year annual commitment to the College of Science to further support the Diana and Leland Sisson Scholarship. This scholarship supports students pursuing a degree in Computer Science and who demonstrate financial need;

An anonymous donor from Huntsville, Alabama, has given \$25,000 to UAH to support the UAH Last-Mile Fund. The UAH Last-Mile Fund was established in 2019 to support upper-level undergraduate students with financial needs in their last mile to graduation;

BlueCross BlueShield of Alabama has given \$40,000 to support the Nurse Practitioner (NP) Competency in Diagnostic Ultrasound project. This project is designed to develop the capability to teach NP students how to use point-of-care ultrasound (POCUS) to diagnose medical conditions and guide medical procedures, as allowed by the Alabama Board of Nursing, within the College of Nursing at UAH;

The Jane K. Lowe Charitable Foundation has generously given \$50,000 to further support Lowe House, the residence of the University president and location for University and community events for UAH.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby expresses its appreciation for the generosity demonstrated by the donors presented above and herein conveys its gratitude for these gifts to support The University of Alabama in Huntsville.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Endowed Funds at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville (UAH) recommends that the following fund, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Mr. Paul Herring has given \$25,439.22 and has pledged a further planned gift for the establishment of the *Paul Herring Endowed Scholarship in Supply Chain Management*, which will be used to support undergraduate students with a minimum 3.0 GPA on a 4.0 scale in the College of Business pursuing a degree with a concentration in Supply Chain Management;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the University of Alabama that it hereby accepts the above contributions and establishes the endowed fund listed above; and

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to this fund shall constitute endowed corpora, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such awards; and

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the fund listed above be notified that the fund has been endowed in perpetuity; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Pro tem Starnes thanked Trustee Mauldin for her report.

Pro tem Starnes asked Dr. Bell to distribute a copy of the UA Institutional Presentation video to the Trustees.

Pro tem Starnes asked if there was any further business to come before the Board. There being no further business to come before the Board, the meeting was adjourned.

A handwritten signature in blue ink, reading "Mark D. Foley, Jr.", is positioned above a horizontal line.

Mark D. Foley, Jr.
Secretary of The Board of Trustees

Exhibit A



University of Alabama System
 UA Institutional Board of Trustees Meeting
 November 04, 2022
 10:00 am-11:00 am Central Time

- I. Roll Call
(Mark Foley)
- II. Introduction of Press
(Lynn Cole)
- III. Adoption of Agenda
(Pro tem Starnes)
- IV. Approval of Consent Agenda
(Pro tem Starnes)
- V. Approval of the September 16, 2022 Minutes
(Pro tem Starnes)
- VI. Administrative Report
- VII. Reports
 - A. Report from Chancellor Finis E. St. John IV
 - B. UA President Dr. Stuart R. Bell
 - C. UA Faculty Representative to the Board Dr. Chapman Greer
 - D. UA Student Representative to the Board Madeline Martin
- VIII. Investment Committee Report
- IX. Finance Committee Report
 - A. UAS
 - 1. Consideration of Resolution Approving the FY 2023-2024 Request for State Appropriations for Operations and Maintenance for the University of Alabama System
 - 2. Consideration of Resolution Approving the FY 2023-2024 Request for State Appropriations for Capital Improvements for the University of Alabama System
 - 3. Consideration of Resolution Approving Updated Signature Authority of UAS Investment Funds and Line of Credit
 - B. UA
 - 1. Consideration of Resolution Approving Use of University Quasi Federal Land Grant Endowment at UA
 - C. UAB
 - 1. Consideration of Resolution Approving Execution of a Subscription Agreement between John Wiley & Sons, Inc. and The University of Alabama at Birmingham

2. Consideration of Resolution Approving the Execution of an Agreement between Attain Partners, LLC and The University of Alabama at Birmingham
3. Consideration of Resolution Updating Signature Authority at UAB
4. Consideration of Resolution Approving the Renewal of Southern Research Institute's Line of Credit with Regions Bank

D. UAH

1. Consideration of Resolution Approving Continuation of the Tennessee Resident Scholarship Pilot Program at UAH

E. Information Item

1. 4th Quarter Purchasing Report

X. Physical Properties Committee Report

A. UA Construction Items

1. Consideration of Resolution Approving the revised project scope and budget; providing authorization to execute a construction contract for the Chi Omega Bedroom Renovation at UA (Stage IV)
2. Consideration of Resolution Approving the proposed architectural design for the University Club Restoration and Additions at UA (Stage III)
3. Consideration of Resolution Approving the revised project scope, budget, and proposed architectural design for the University Services Campus Community Safe Room at UA (Revised Stage III)
4. Consideration of Resolution Providing Authorization to negotiate an Owner/Architect Agreement for the Kappa Sigma Fraternity House New Construction at UA (Stage II)
5. Consideration of Resolution Providing Authorization to negotiate an Owner/Architect Agreement for the University Boulevard Drive-through Retail at UA (Stage II)
6. Consideration of Resolution Approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement for the Campus Steam Decommissioning at UA (Stage I and Stage II)
7. Consideration of Resolution approving the preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Delta Kappa Epsilon Addition and Renovation at UA (Stage I and Stage II)
8. Consideration of Resolution Approving the preliminary project scope and budget for the Alston Hall Renovation at UA (Stage I)

9. Consideration of Resolution approving the preliminary project scope and budget for the Student Services Addition and Renovation at UA (Stage I)

B. UA Equipment Item

1. Consideration of Resolution Approving the procurement and installation of General Collections Shelving for the Libraries Archival Facility at UA

C. UA Real Estate Items

1. Consideration of Resolution Approving the Acquisition of 700 Wallace Wade Avenue
2. Consideration of Resolution Approving the CTL Turkey Nest, LLC Conservation Easement
3. Consideration of Resolution providing authorization to execute a Real Estate Sales Contract the disposition of the Shelby 18 property (640 acres in Shelby County)
4. Consideration of Resolution Approving JaMychal Green, or his Assigns, d/b/a We Dat's Tuscaloosa, Commercial Lease Agreement

D. UAB Construction Items

1. Consideration of Resolution Approving the revised project budget for the Renovation of the 2nd - 4th floors of the McCallum Basic Health Sciences Education and Research Building at UAB (Revised Stage IV)
2. Consideration of Resolution Approving the revised project budget; providing authorization to execute a construction contract for the New Child Development Center at UAB (Stage IV)
3. Consideration of Resolution Approving the revised project budget; providing authorization to execute a construction contract for the New Parking Deck - Northern Academic Campus at UAB (Stage IV)
4. Consideration of Resolution Approving the revised project budget; providing authorization to execute a construction contract for the New Student Organization Assembly Building at UAB (Stage IV)

E. UABHS Construction Item

1. Consideration of a Resolution providing authorization to negotiate an Owner/Architect Agreement for the Construction of a Memory Disorder Clinic on the 5th Floor of UAB Callahan Eye Hospital's MOB

F. UAS Information Item

1. Report on Construction Contracts Awarded for Interior Renovation Projects

XI. Academic Affairs and Student Affairs Committee Report

A. UA Individual Action Items

1. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Master of Science in Nursing (MSN) degree in Master's Entry to the Profession of Nursing (CIP Code 51.3801) at UA
2. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Arts (B.A.) degree in Applied Liberal Arts and Sciences (CIP Code 24.0101) in the Department of New College in the College of Arts and Sciences at UA
3. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Data Science (CIP Code 30.7001) at UA
4. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Business Statistics (CIP Code 52.1302) at UA

B. UA Administrative Action Items

1. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) the Establishment of a Concentration in Advanced Drug Delivery as a Reasonable Extension of the Existing Doctor of Philosophy (Ph.D.) Degree in Interdisciplinary Studies (CIP Code 30.0000) in the Graduate School at UA
2. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) as an Information Item a CIP Code Change to the Existing Master of Science (M.S.) Program in Business Analytics from CIP Code 52.1302 to CIP Code 30.7102 in the Department of Information Systems, Statistics, and Management Science in the Culverhouse College of Business at UA

3. Consideration of Resolution Granting Approval of the Establishment of the Capstone College of Nursing Quasi-Endowed Chair at UA
4. Consideration of Resolution Granting Approval of the Appointment of Subhadra Gupta, Ph.D., as Professor Emerita of Metallurgical and Materials Engineering at UA
5. Consideration of Resolution Granting Approval of the Appointment of Karen Chapman, as Professor Emerita of University Libraries at UA
6. Consideration of Resolution Granting Approval of the Appointment of Beth S. Bennett, Ph.D., as Professor Emerita of the Department of Communication Studies in the College of Communication and Information Sciences at UA

C. UA Information Items

1. Deletion of the Undergraduate Certificate in Rural Community Health (CIP Code 51.2208) in the College of Community Health Sciences at UA
2. General Education Core Reform at UA

D. UAB Individual Action Item

1. Consideration of Resolution Granting the Approval the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Science (M.S.) Degree in Healthcare Innovation (CIP Code 51.0722) at UAB

E. UAB Administrative Action Items

1. Consideration of Resolution Granting Approval of and Submission to the Alabama Commission on Higher Education (ACHE) the Establishment of Four Concentrations within the Master of Science (M.S.) Degree in Physics at UAB
2. Consideration of Resolution Approving the Establishment of the Center for Excellence in Developmental Disabilities at UAB
3. Consideration of Resolution Establishing the Kirby I. Bland, M.D., Endowed Lectureship at UAB
4. Consideration of Resolution Establishing the Charles S. Ackerman Endowed Professorship in Parkinson's Disease at UAB
5. Consideration of Resolution Establishing the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics at UAB

6. Consideration of Resolution Granting Approval of the Conversion and Renaming of the Magic City LGBTQ Health Studies Endowed Professorship to the Magic City LGBTQ Health Studies Endowed Chair and Confirming the Appointment of Sarah MacCarthy, Sc.D., as the Holder of the Chair at UAB
7. Consideration of Resolution Granting Approval of the Conversion and Renaming of the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Scholar in the Department of Surgery to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery at UAB
8. Consideration of Resolution Granting Approval of the Conversion and Renaming of the Helen and Robert Whitley Endowed Professorship of Pediatrics to the Helen and Robert Whitley Endowed Chair of Pediatrics at UAB
9. Consideration of Resolution Granting Approval of the Renaming of the Endowed Professorship in Lung Development and Regeneration to the Endowed Professorship in Airways Disease at UAB
10. Consideration of Resolution Approving Appointment of Ray L. Watts, M.D., as the Inaugural Holder of the Charles S. Ackerman Endowed Professorship in Parkinson's Disease at UAB
11. Consideration of Resolution Approving Appointment of Joseph G. Van Matre, Ph.D., as Professor Emeritus in the Department of Management, Information Systems & Quantitative Methods at UAB
12. Consideration of Resolution Approving Appointment of Alexander J. Szalai, Ph.D., as Professor Emeritus in the Department of Medicine, Division of Clinical Immunology and Rheumatology, at UAB
13. Consideration of Resolution Approving Appointment of Dean L. Sicking, Ph.D., P.E., as Professor Emeritus in the Department Mechanical and Materials Engineering at UAB
14. Consideration of Resolution Approving Appointment of Harry William Schroeder, Jr., M.D., Ph.D., Professor Emeritus in the Department of Medicine, Division of Clinical Immunology and Rheumatology at UAB
15. Consideration of Resolution Approving Appointment of Peter J. Bellis, Ph.D., as Professor Emeritus of English in the Department of English at UAB
16. Consideration of Resolution Approving Appointment of Dean George Assimos, M.D., as Professor and Chair Emeritus in the Department of Urology at UAB

17. Consideration of Resolution Approving Appointment of David A. Schneider, Ph.D., as the First Holder of the Louise T. Chow, Ph.D.-Heersink Endowed Chair in Biochemistry and Molecular Genetics at UAB
18. Consideration of Resolution Approving Appointment of Suzanne E. Lapi, Ph.D. as the First Holder of the Emmet O'Neal II Endowed Professorship in Lung Cancer Research in the O'Neal Comprehensive Cancer Center in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine at UAB
19. Consideration of Resolution Approving Appointment of Renee Heffron, Ph.D., M.P.H., as the Second Holder of the Jim Straley Endowed Chair in AIDS Research at UAB
20. Consideration of Resolution Approving Appointment of Karen L. Gamble, Ph.D. as the Second Holder of the F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry in the Department of Psychiatry and Behavioral Neurobiology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine at UAB
21. Consideration of Resolution Approving Appointment of Karen L. Cropsey, Psy.D., as the Third Holder of the Kathy Ireland Endowed Chair for Psychiatric Research at UAB
22. Consideration of Resolution Approving Appointment of Daniel I. Chu, M.D., F.A.C.S., FASCRS to the Selwyn M. Vickers, M.D., F.A.C.S. Endowed Chair in the Department of Surgery at UAB
23. Consideration of Resolution Approving Appointment of Surya P. Bhatt, M.D., M.S.P.H. to the Endowed Professorship in Airways Disease at UAB
24. Consideration of Resolution Approving Appointment of Jane Banaszak-Holl, Ph.D., as the Next Holder of the L.R. Jordan Chair in Health Services Administration at UAB
25. Consideration of Resolution Approving Appointment of Sergey Vyazovkin, Ph.D., as Professor Emeritus of Chemistry in the Department of Chemistry in the College of Arts and Sciences at UAB

F. UAB Information Items

1. Establishment of a 15-Hour Undergraduate Certificate in Honors Student Leadership Development Academy (CIP Code 35.0103) at UAB
2. Establishment of a 15-Hour Graduate Certificate in LBGTQ Health and Well-Being (CIP Code 51.2212) at UAB

3. Establishment of a Coordinated Degree Program between UAB's Master of Public Health (M.P.H.) Degree and Presbyterian College School of Pharmacy's Pharm.D. Degree (CIP Code 51.2201) at UAB

G. UAH Individual Action Item

1. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Bachelor of Science in Business Administration (BSBA) Degree in Analytics (CIP Code 52.1301) at UAH

H. UAH Administrative Action Items

1. Consideration of Resolution Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) A Proposal to Add an Instructional Leadership Concentration to the Master of Education in Differentiated Instruction Degree (CIP Code 13.1206) at UAH
2. Consideration of Resolution Approving Appointment of Dr. Bruce Stallsmith, Ph.D., as Associate Professor Emeritus, in the Department of Biological Sciences in the College of Science at UAH

XII. Honorary Degrees and Recognition Committee Report

A. UA

1. Consideration of Resolution Approving the Establishment of the Capstone Men and Women Endowed Fund for Excellence at UA
2. Consideration of Resolution Approving Naming Opportunities in Alston Hall at UA
3. Consideration of Resolution Approving Naming Opportunities in the Student Services Center Addition at UA
4. Consideration of Resolution Approving Revisions to Endowed Funds at UA
5. Consideration of Resolution Approving a Reinvestment of Earnings into the Marie Drolet Bristol – EBSCO Quasi-Endowed Professorship in the School of Library and Information Studies at UA
6. Consideration of Resolution Approving Endowed Funds at UA

B. UAB

1. Consideration of Resolution Establishing the Ronald L. and David B. Allison Endowed Faculty Scholar in Nutrition Sciences Quasi-Endowment and the Ronald L. and David B. Allison Endowed Faculty Scholar in Nutrition Sciences at UAB

2. Consideration of Resolution Approving Naming of the Henry H. Hoffman Conference Room in the Department of Medical Education at UAB
3. Consideration of Resolution Approving Naming Opportunities in the School of Dentistry at UAB
4. Consideration of Resolution Approving Endowed Funds at UAB
5. Consideration of Resolution Approving Quasi-Endowed Funds at UAB

C. UAH

1. Consideration of Resolution Approving the Naming of the Clarence L. Watts and Inez Looney Watts Atrium at UAH
2. Consideration of Resolution Expressing Appreciation for Gifts at UAH
3. Consideration of Resolution Approving Endowed Funds at UAH

XIII. Adjourn

**The University of Alabama System Investment
Funds**

A. Name of Fund:

**The Board of Trustees of The University of Alabama Pooled
Endowment Fund**

**B. Name(s) of individuals with primary responsibility for this
fund:**

**Dr. Dana S. Keith, Senior Vice Chancellor for Finance and
Administration**

**C. Name(s) of individual(s) authorized to transfer from or otherwise
withdraw funds from this fund:**

**Mr. Finis E. St. John IV
Dr. Dana S. Keith
Mr. Sid J. Trant
Mr. Mark D. Foley, Jr.**

**The University of Alabama System Investment
Funds**

A. Name of Fund:

**The Board of Trustees of The University of Alabama Liquidity and Capital
Reserve Pool**

B. Name(s) of individuals with primary responsibility for this fund:

**Dr. Dana S. Keith, Senior Vice Chancellor for Finance and
Administration**

**C. Name(s) of individual(s) authorized to transfer from or otherwise
withdraw funds from this fund:**

**Mr. Finis E. St. John IV
Dr. Dana S. Keith
Mr. Sid J. Trant
Mr. Mark D. Foley, Jr.**

The University of Alabama System Investment
Funds

A. Name of Fund:

The Board of Trustees of The University of Alabama System Line of
Credit

B. Name(s) of individuals with primary responsibility for this
fund:

Dr. Dana S. Keith, Senior Vice Chancellor for Finance and
Administration

C. Name(s) of individual(s) authorized to transfer from or otherwise
withdraw funds from this fund:

UA System Office

Mr. Finis E. St. John IV
Dr. Dana S. Keith
Mr. Sid J. Trant
Mr. Mark D. Foley, Jr.

The University of Alabama – Request to UA System

Mr. Matthew Fajack
Ms. Julie Shelton
Dr. Adam Tate
Mr. Charles Poole

The University of Alabama at Birmingham and UA Hospital –
Request to UA System

Dr. Brian Burnett
Ms. Stephanie B. Mullins
Ms. Elizabeth Morgan

The University of Alabama in Huntsville – Request to UA System

Mr. Todd Barré
Mr. Robert Leonard



| FOR BANK USE ONLY | |
|--|---|
| Account Type: <u>Commercial loans</u> | Obligor Number: <u>[REDACTED]</u> |
| ACAPS/Account/Obligation Number: <u>[REDACTED]</u> | RCIF Customer Number: <u>[REDACTED]</u> |
| Customer Name: <u>SOUTHERN RESEARCH INSTITUTE</u> | EIN/TIN: <u>63-0288868</u> |

Certification Regarding Beneficial Owners of Legal Entity Customers

I. GENERAL INSTRUCTIONS

What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners and control person) helps law enforcement investigate and prosecute these crimes.

Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a **legal entity** includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. **Legal entity** does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

What information do I have to provide?

This form requires you to provide the name, address, date of birth and Social Security number (or passport number or other similar information, in the case of foreign persons) for the following individuals:

- (i) **Beneficial Owner:** Each individual who, directly or indirectly, owns either (a) 25 percent or more, or (b) if applicable, 10 percent or more of the equity interests of the legal entity listed below or the entities listed on Schedule A (e.g., each natural person that owns 10 percent or more, as applicable, of the shares of a corporation); and
- (ii) **Control Person:** An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer).

The number of individuals that satisfy this definition of "beneficial owner" may vary. Under section (i)(a) above, up to four individuals (but as few as zero) may need to be identified. Under section (i)(b) above, if applicable, up to ten individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii) above. It is possible that in some circumstances the same individual might be identified under both sections (e.g., the President of Acme, Inc. who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual (under section (ii)), and up to eleven individuals (i.e., one individual under section (ii) and ten 10 percent equity holders under section (i)).

The financial institution may also ask to see a copy of a driver's license or other identifying document for each beneficial owner and control person listed on this form.

II. CERTIFICATION OF BENEFICIAL OWNER(S) AND CONTROL PERSON

Persons opening an account on behalf of a legal entity must provide the following information:

(a). Name and Title of Person Opening Account:

| | |
|--------------|--------------------------|
| Name | Title |
| ALLEN BOLTON | Executive Vice President |

(b). Name, Type, Address, and Taxpayer Identification Number (TIN) of the Legal Entity for Which the Account is Being Opened:

| | | |
|---|-------------|------------|
| Entity Name | Entity Type | Entity TIN |
| SOUTHERN RESEARCH INSTITUTE ATTN JILL | Association | 63-0288868 |
| Entity Address | | |
| 2000 9TH AVE S, BIRMINGHAM, AL 35205-2708 | | |

☐ Check here if this form will be used for more than one legal entity customer. If this is checked, Schedule A, attached hereto and made a part hereof, must be completed and reference each legal entity customer for which this form relates.

(c). Beneficial Owner(s): The following information for each individual¹ who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns either (a) 25 percent or more, or (b) if applicable, 10 percent or more, of the equity interests of the legal entity listed above or the entities listed on Schedule A:

☒ Check here if there are NO individuals with either 25% or, if applicable, 10% or more ownership of the legal entity customer(s).

Owner 1 Information: _____ % of ownership

| | | | | | |
|--|--|-----------------------------|--|---|-------------|
| <input type="checkbox"/> U. S. Citizen or Permanent Resident Alien | | | | <input type="checkbox"/> Foreign Person | |
| Individual Name | | | Street Address | | |
| Title | | | Street Address Line 2 | | |
| Date of Birth | TIN Type | | City | State | Postal Code |
| | <input type="checkbox"/> SSN <input type="checkbox"/> ITIN | Number: | | | |
| Primary ID Type | | Primary ID Number | Country (If address is outside of the United States) | | |
| Primary ID State/Country/Province | | Primary ID Date of Issuance | Date of Expiration | | |

¹For a U.S. Citizen or Permanent Resident Alien with a Social Security Number (SSN) or Individual Taxpayer Identification Number (ITIN), provide the SSN/ITIN and Primary ID information. For a foreign person (including Non-Resident Alien), please provide a Passport Number and Country of Issuance. In lieu of a passport, foreign persons may also provide a U.S. government-issued Alien ID or other foreign government-issued documents evidencing nationality or residence and bearing a photograph or similar safeguard.

(d) Control Person: The following information for one individual² with significant responsibility for managing the legal entity listed above and/or entities listed in Schedule A, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

(If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

| | | | |
|--|--|--|---------------------|
| <input checked="" type="checkbox"/> U.S. Citizen or Permanent Resident Alien <input type="checkbox"/> Foreign Person | | | |
| Individual Name | | Street Address | |
| ALLEN BOLTON | | 2000 9TH AVENUE S | |
| Title | | Street Address Line 2 | |
| Executive Vice President | | | |
| Date of Birth | TIN Type | City | State Postal Code |
| 05/02/1962 | <input checked="" type="checkbox"/> SSN <input type="checkbox"/> ITIN Number: [REDACTED] | BIRMINGHAM | AL 35205 |
| Primary ID Type | Primary ID Number | Country (if address is outside of the United States) | |
| US Driver's License | [REDACTED] | | |
| Primary ID State/Country/Province | Primary ID Date of Issuance | Date of Expiration | |
| Alabama | 04/15/2019 | 04/20/2023 | |

Certified/Agreed To

I, ALLEN BOLTON, (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct and that if any change occurs in the information provided above, the legal entity(ies) will notify Regions Bank in writing of such change immediately.

Signature



Date

12/28/2021

²For a U.S. Citizen or Permanent Resident Alien with a Social Security Number (SSN) or Individual Taxpayer Identification Number (ITIN), provide the SSN/ITIN and Primary ID information. For a foreign person (including Non-Resident Alien), please provide a Passport Number and Country of Issuance. In lieu of a passport, foreign persons may also provide a U.S. government-issued Alien ID or other foreign government-issued documents evidencing nationality or residence and bearing a photograph or similar safeguard.



DOC0950300000043591600027572500000000

ASSOCIATION RESOLUTION

| Principal | Loan Date | Maturity | Bank/App | Loan No | Account | Officer |
|----------------|------------|------------|----------|---------|---------|---------|
| \$3,000,000.00 | 12-22-2021 | 12-31-2022 | 01 | | | DTJY3 |

References in the shaded area are for Lender's use only and do not limit the applicability of this document to any particular loan or item.
Any item above containing "****" has been omitted due to text length limitations.

Association: SOUTHERN RESEARCH INSTITUTE
2000 9TH AVE S
BIRMINGHAM, AL 352052708

Lender: REGIONS BANK
BIRMINGHAM: GOVT & INST BANKING
1900 5TH AVE N
ALBH30103B
BIRMINGHAM, AL 35203

I, THE UNDERSIGNED, DO HEREBY CERTIFY THAT:

THE ASSOCIATION'S EXISTENCE. The complete and correct name of the Association is SOUTHERN RESEARCH INSTITUTE ("Association"). The Association is an organization which is, and at all times shall be, duly organized, validly existing, and in good standing under and by virtue of the laws of the State of Alabama. The Association is duly authorized to transact business in all other states in which the Association is doing business, having obtained all necessary filings, governmental licenses and approvals for each state in which the Association is doing business. Specifically, the Association is, and at all times shall be, duly qualified as a foreign association in all states in which the failure to so qualify would have a material adverse effect on its business or financial condition. The Association has the full power and authority to own its properties and to transact the business in which it is presently engaged or presently proposes to engage. The Association maintains an office at 2000 9TH AVE S, BIRMINGHAM, AL 352052708. Unless the Association has designated otherwise in writing, the principal office is the office at which the Association keeps its books and records. The Association will notify Lender prior to any change in the location of the Association's state of organization or any change in the Association's name. The Association shall do all things necessary to preserve and to keep in full force and effect its existence, rights and privileges, and shall comply with all regulations, rules, ordinances, statutes, orders and decrees of any governmental or quasi-governmental authority or court applicable to the Association and the Association's business activities.

RESOLUTIONS ADOPTED. At a meeting of the officers of the Association, duly called and held on 12/7/2021, at which a quorum was present and voting, or by other duly authorized action in lieu of a meeting, the resolutions set forth in this Resolution were adopted.

OFFICER. The following named person is an officer of SOUTHERN RESEARCH INSTITUTE:

| NAMES | TITLES | AUTHORIZED | ACTUAL SIGNATURES |
|--------------|-----------------------------|------------|----------------------------|
| ALLEN BOLTON | EXECUTIVE VICE PRESIDENT | Y X | <u>Allen Bolton</u> (Seal) |

ACTIONS AUTHORIZED. The authorized person listed above may enter into any agreements of any nature with Lender, and those agreements will bind the Association. Specifically, but without limitation, the authorized person is authorized, empowered, and directed to do the following for and on behalf of the Association:

Borrow Money. To borrow, as a cosigner or otherwise, from time to time from Lender, on such terms as may be agreed upon between the Association and Lender, such sum or sums of money as in his or her judgment should be borrowed, without limitation.

Execute Notes. To execute and deliver to Lender the promissory note or notes, or other evidence of the Association's credit accommodations, on Lender's forms, at such rates of interest and on such terms as may be agreed upon, evidencing the sums of money so borrowed or any of the Association's indebtedness to Lender, and also to execute and deliver to Lender one or more renewals, extensions, modifications, refinancings, consolidations, or substitutions for one or more of the notes, any portion of the notes, or any other evidence of credit accommodations.

Grant Security. To mortgage, pledge, transfer, endorse, hypothecate, or otherwise encumber and deliver to Lender any property now or hereafter belonging to the Association or in which the Association now or hereafter may have an interest, including without limitation all of the Association's real property and all of the Association's personal property (tangible or intangible), as security for the payment of any loans or credit accommodations so obtained, any promissory notes so executed (including any amendments to or modifications, renewals, and extensions of such promissory notes), or any other or further indebtedness of the Association to Lender at any time owing, however the same may be evidenced. Such property may be mortgaged, pledged, transferred, endorsed, hypothecated or encumbered at the time such loans are obtained or such indebtedness is incurred, or at any other time or times, and may be either in addition to or in lieu of any property theretofore mortgaged, pledged, transferred, endorsed, hypothecated or encumbered.

Execute Security Documents. To execute and deliver to Lender the forms of mortgage, deed of trust, pledge agreement, hypothecation agreement, and other security agreements and financing statements which Lender may require and which shall evidence the terms and conditions under and pursuant to which such liens and encumbrances, or any of them, are given; and also to execute and deliver to Lender any other written instruments, any chattel paper, or any other collateral, of any kind or nature, which Lender may deem necessary or proper in connection with or pertaining to the giving of the liens and encumbrances.

Negotiate Items. To draw, endorse, and discount with Lender all drafts, trade acceptances, promissory notes, or other evidences of indebtedness payable to or belonging to the Association or in which the Association may have an interest, and either to receive cash for the same or to cause such proceeds to be credited to the Association's account with Lender, or to cause such other disposition of the proceeds derived therefrom as he or she may deem advisable.

Further Acts. In the case of lines of credit, to designate additional or alternate individuals as being authorized to request advances under such lines, and in all cases, to do and perform such other acts and things, to pay any and all fees and costs, and to execute and deliver such other documents and agreements, including agreements waiving the right to a trial by jury, as the officer may in his or her discretion deem reasonably necessary or proper in order to carry into effect the provisions of this Resolution.

ASSUMED BUSINESS NAMES. The Association has filed or recorded all documents or filings required by law relating to all assumed business names used by the Association. Excluding the name of the Association, the following is a complete list of all assumed business names under which the Association does business: None.

NOTICES TO LENDER. The Association will promptly notify Lender in writing at Lender's address shown above (or such other addresses as Lender may designate from time to time) prior to any (A) change in the Association's name; (B) change in the Association's assumed business name(s); (C) change in the structure of the Association; (D) change in the authorized signer(s); (E) change in the Association's principal office address; (F) change in the Association's state of organization; (G) conversion of the Association to a new or different type of business entity; or (H) change in any other aspect of the Association that directly or indirectly relates to any agreements between the Association and Lender. No change in the Association's name or state of organization will take effect until after Lender has received notice.

CERTIFICATION CONCERNING OFFICERS AND RESOLUTIONS. The officer named above is duly elected, appointed, or employed by or for the Association, as the case may be, and occupies the position set opposite his or her respective name. This Resolution now stands of record on the books of the Association, is in full force and effect, and has not been modified or revoked in any manner whatsoever.

CONTINUING VALIDITY. Any and all acts authorized pursuant to this Resolution and performed prior to the passage of this Resolution are hereby ratified and approved. This Resolution shall be continuing, shall remain in full force and effect and Lender may rely on it until written notice of its revocation shall have been delivered to and received by Lender at Lender's address shown above (or such addresses as Lender may designate from time to time). Any such notice shall not affect any of the Association's agreements or commitments in effect at the time notice is given.

IN TESTIMONY WHEREOF, I have hereunto set my hand and attest that the signature set opposite the name listed above is his or her genuine signature.

I have read all the provisions of this Resolution, and I personally and on behalf of the Association certify that all statements and representations made in this Resolution are true and correct. This Association Resolution is dated December 22, 2021.

THIS RESOLUTION IS GIVEN UNDER SEAL AND IT IS INTENDED THAT THIS RESOLUTION IS AND SHALL CONSTITUTE AND HAVE THE EFFECT OF A SEALED INSTRUMENT ACCORDING TO LAW.

ASSOCIATION RESOLUTION
(Continued)

CERTIFIED TO AND ATTESTED BY:

X 

ALLEN BOLTON, EXECUTIVE VICE PRESIDENT of
SOUTHERN RESEARCH INSTITUTE

(Seal)

NOTE: If the officer signing this Resolution is designated by the foregoing document as one of the officers authorized to act on the Association's behalf, it is advisable to have this Resolution signed by at least one non-authorized officer of the Association.

Loan File, Ver. 21.2.0.029. Copy, Finestra USA Corporation 1997, 2021. All Rights Reserved. -AL- E:\Products\CFI\PL\CFI010 FC EN-1411017 PR-CM16



DOC6450300000043591600027572500000000

REGIONS
PROMISSORY NOTE

| Principal | Loan Date | Maturity | Bank/App | Loan No | Account | Officer |
|--|------------|------------|----------|---------|---------|---------|
| \$3,000,000.00 | 12-22-2021 | 12-31-2022 | 01 | | | DTJY3 |
| References in the shaded area are for Lender's use only and do not limit the applicability of this document to any particular loan or item. Any item above containing "*****" has been omitted due to text length limitations. | | | | | | |

Borrower: SOUTHERN RESEARCH INSTITUTE
2000 9TH AVE S
BIRMINGHAM, AL 352052708

Lender: REGIONS BANK
BIRMINGHAM: GOVT & INST BANKING
1900 5TH AVE N
ALBH30103B
BIRMINGHAM, AL 35203

Principal Amount: \$3,000,000.00

Date of Note: December 22, 2021

PROMISE TO PAY. SOUTHERN RESEARCH INSTITUTE ("Borrower") promises to pay to REGIONS BANK ("Lender"), or order, in lawful money of the United States of America, the principal amount of Three Million & 00/100 Dollars (\$3,000,000.00) or so much as may be outstanding, together with interest on the unpaid outstanding principal balance of each advance. Interest shall be calculated from the date of each advance until repayment of each advance.

PAYMENT. Borrower will pay this loan in full immediately upon Lender's demand. If no demand is made, Borrower will pay this loan in accordance with the following payment schedule:

Borrower will pay the interest due on this Note in monthly installments. The first monthly installment of interest will be due on 01-31-2022, and the remaining installments will be due on the same day of every month thereafter until this Note has been paid in full, provided that, the installment for any month in which there is no day which numerically corresponds to the date on which the first installment is due shall be due on the last day of such month. If not sooner paid, Borrower will pay the principal amount of this Note, together with any unpaid interest, in full on 12-31-2022.

Unless otherwise agreed or required by applicable law, payments will be applied to accrued interest, then principal, then late charges, then miscellaneous fees; provided, that Lender reserves the right to apply payments to outstanding indebtedness and obligations in any order that Lender may determine in its sole discretion and Lender may change the methodology for the application of payments at any time without notice to Borrower. Borrower will pay Lender at Lender's address shown above or at such other place as Lender may designate in writing.

VARIABLE INTEREST RATE. The interest rate on this Note is subject to change from time to time based on changes in an independent index which is the Bloomberg Short-Term Bank Yield Index (BSBY Rate - 1 Month) for the applicable Interest Period (as defined below) (the "Index"). The Index is not necessarily the lowest rate charged by Lender on its loans. Lender will tell Borrower the current Index rate upon Borrower's request. The interest rate change will not occur more often than each month. Borrower understands that Lender may make loans based on other rates as well. Interest on the unpaid principal balance of this Note will be calculated as described in the "INTEREST CALCULATION METHOD" paragraph using a rate of 1.600 percentage points over the Index (the "Margin"). Under no circumstances will the Index be less than zero percent (0%) per annum. If the Index becomes "unavailable" during the term of this loan, Lender may designate a substitute index and notify Borrower. NOTICE: Under no circumstances will the interest rate on this Note be more than the maximum rate allowed by applicable law.

INTEREST CALCULATION METHOD. Interest on this Note is computed on a 365/360 basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this Note is computed using this method.

PREPAYMENT. Borrower may pay without penalty all or a portion of the amount owed earlier than it is due. Early payments will not, unless agreed to by Lender in writing, relieve Borrower of Borrower's obligation to continue to make payments of accrued unpaid interest. Rather, early payments will reduce the principal balance due. Borrower agrees not to send Lender payments marked "paid in full", "without recourse", or similar language. If Borrower sends such a payment, Lender may accept it without losing any of Lender's rights under this Note, and Borrower will remain obligated to pay any further amount owed to Lender. All written communications concerning disputed amounts, including any check or other payment instrument that indicates that the payment constitutes "payment in full" of the amount owed or that is tendered with other conditions or limitations or as full satisfaction of a disputed amount must be mailed or delivered to: Regions Bank, P.O. Box 2224 Birmingham, AL 35246.

LATE CHARGE. If a payment is 12 days or more late, Borrower will be charged 5.000% of the unpaid portion of the regularly scheduled payment or \$10.00, whichever is greater.

INTEREST AFTER DEFAULT. Upon default, including failure to pay upon final maturity, the interest rate on this Note shall be increased by adding an additional 2.000 percentage point margin ("Default Rate Margin"). The Default Rate Margin shall also apply to each succeeding interest rate change that would have applied had there been no default. However, in no event will the interest rate exceed the maximum interest rate limitations under applicable law.

DEFAULT. Each of the following shall constitute an event of default ("Event of Default") under this Note:

Payment Default. Borrower fails to make any payment when due under this Note.

Other Defaults. Borrower fails to comply with or to perform any other term, obligation, covenant or condition contained in this Note or in any of the related documents or to comply with or to perform any term, obligation, covenant or condition contained in any other agreement between Lender and Borrower.

Default in Favor of Third Parties. Borrower or any Grantor defaults under any loan, extension of credit, security agreement, purchase or sales agreement, or any other agreement, in favor of any other creditor or person that may materially affect any of Borrower's property or Borrower's ability to repay this Note or perform Borrower's obligations under this Note or any of the related documents.

False Statements. Any warranty, representation or statement made or furnished to Lender by Borrower or on Borrower's behalf under this Note or the related documents is false or misleading in any material respect, either now or at the time made or furnished or becomes false or misleading at any time thereafter.

Insolvency. The dissolution or termination of Borrower's existence as a going organization, the insolvency of Borrower, the appointment of a receiver for any part of Borrower's property, any assignment for the benefit of creditors, any type of creditor workout, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower.

Creditor or Forfeiture Proceedings. Commencement of foreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, repossession or any other method, by any creditor of Borrower or by any governmental agency against any collateral securing the loan. This includes a garnishment of any of Borrower's accounts, including deposit accounts, with Lender. However, this Event of Default shall not apply if there is a good faith dispute by Borrower as to the validity or reasonableness of the claim which is the basis of the creditor or forfeiture proceeding and if Borrower gives Lender written notice of the creditor or forfeiture proceeding and deposits with Lender monies or a surety bond for the creditor or forfeiture proceeding, in an amount determined by Lender, in its sole discretion, as being an adequate reserve or bond for the dispute.

Events Affecting Guarantor. Any of the preceding events occurs with respect to any guarantor, endorser, surety, or accommodation party of any of the indebtedness or any guarantor, endorser, surety, or accommodation party dies or becomes incompetent, or revokes or disputes the validity of, or liability under, any guaranty of the indebtedness evidenced by this Note.

Adverse Change. A material adverse change occurs in Borrower's financial condition, or Lender believes the prospect of payment or performance of this Note is impaired.

Insecurity. Lender in good faith believes itself insecure.

LENDER'S RIGHTS. Upon the occurrence of any default described in the "Death or Insolvency" or "Creditor or Forfeiture Proceedings" clauses, to the extent that any such default by a guarantor relates to the matters described in the clause "Death or Insolvency" of the paragraph entitled "DEFAULT", the entire unpaid principal balance under this Note and all accrued unpaid interest shall become immediately due, without notice,

**PROMISSORY NOTE
(Continued)**

Loan No: [REDACTED]

Page 2

declaration or other action by Lender, and then Borrower will pay that amount. Upon the occurrence of any other default described in that paragraph, Lender may declare the entire unpaid principal balance under this Note and all accrued unpaid interest immediately due, without notice, and then Borrower will pay that amount.

DEPOSIT AND TREASURY MANAGEMENT. Borrower agrees to maintain all deposit account(s) and Treasury Management Services it has in place with Lender from the Loan Date through the termination of this Note. If Borrower seeks additional deposit accounts or Treasury Management Services after the Loan Date, Borrower agrees to allow Lender the right of first refusal to provide such services. As used herein, "Treasury Management Services" means treasury or cash management services or products for businesses, including but not limited to, deposit accounts and liquidity services, returns services, zero balance accounts, information reporting and digital transaction origination, funds transfers, foreign exchange, imaging services, automated clearinghouse, commercial credit card services, purchasing cards, cardless e-payable services, wire transfer, controlled disbursement, lockbox, payment and data management services (e.g. integrated payables and receivables), cash services, remote deposit capture, fraud prevention services, account reconciliation and reporting and trade finance services. Without in any way limiting the scope or applicability of any other provision in this Note, Borrower understands, acknowledges, and agrees that Lender has the sole and absolute discretion to determine (1) whether or not a breach of this provision will be deemed to be an Event of Default, and (2) the timing of declaring such a breach to be an Event of Default. Borrower agrees and understands that no action, inaction, or delay on the part of Lender in declaring a breach of this provision to be an Event of Default or in exercising any right related to this provision shall operate as a waiver of (1) Borrower's obligations under this provision, (2) Lender's right to declare a breach of this provision to be an Event of Default, (3) the nonwaiver portions of this provision, (4) any other nonwaiver provision contained in this Note, or (5) Lender's right to demand strict compliance with the terms of this Note or enforce the terms of any other agreement between Borrower and Lender.

CONTINUITY OF OPERATIONS. Borrower covenants and agrees with Lender that while any amount remains outstanding under this Note or Agreement (as applicable), Borrower shall not, without the prior written consent of Lender: (1) engage in any business activities substantially different than those in which Borrower is presently engaged, (2) cease operations, liquidate, merge, or restructure as a legal entity (whether by division or otherwise), consolidate with or acquire any other entity, change its name, convert to another type of entity, or redomesticate, dissolve, or transfer (whether to a division of Borrower or otherwise) or sell its assets outside of the ordinary course of business, or (3) make any distribution with respect to any capital account, whether by reduction of capital or otherwise.

FUTURE LLC DIVISIONS. If Borrower is a limited liability company, any future limited liability company or other entity formed by the division of Borrower (1) shall be deemed a successor or assign of Borrower and shall be jointly and severally liable with Borrower for the repayment of this Note or Agreement (as applicable), (2) will not encumber its assets without the prior written consent of Lender, and (3) if requested by Lender, will pledge such of its assets and equity as collateral for the obligations owed to Lender under this Note or Agreement (as applicable) as deemed acceptable by Lender, in its sole discretion.

ATTORNEYS' FEES; EXPENSES. Lender may hire or pay someone else to help collect this Note if Borrower does not pay. Borrower will pay Lender that amount. This includes, subject to any limits under applicable law, Lender's attorneys' fees and Lender's legal expenses whether or not there is a lawsuit, including attorneys' fees and expenses for bankruptcy proceedings (including efforts to modify or vacate any automatic stay or injunction), appeals, and any anticipated post-judgment collection services. If not prohibited by applicable law, Borrower also will pay any court costs, in addition to all other sums provided by law.

JURY WAIVER. Lender and Borrower hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by either Lender or Borrower against the other.

GOVERNING LAW. This Note will be governed by federal law applicable to Lender and, to the extent not preempted by federal law, the laws of the State of Alabama without regard to its conflicts of law provisions. This Note has been accepted by Lender in the State of Alabama.

RIGHT OF SETOFF. To the extent permitted by applicable law, Lender reserves a right of setoff in all Borrower's accounts with Lender (whether checking, savings, or some other account). This includes all accounts Borrower holds jointly with someone else and all accounts Borrower may open in the future. However, this does not include any IRA or Keogh accounts, or any trust accounts for which setoff would be prohibited by law. Borrower authorizes Lender, to the extent permitted by applicable law, to charge or setoff all sums owing on the debt against any and all such accounts, and, at Lender's option, to administratively freeze all such accounts to allow Lender to protect Lender's charge and setoff rights provided in this paragraph.

LINE OF CREDIT. This Note evidences a revolving line of credit. Advances under this Note, as well as directions for payment from Borrower's accounts, may be requested orally or in writing by Borrower or by an authorized person. Lender may, but need not, require that all oral requests be confirmed in writing. Borrower agrees to be liable for all sums either: (A) advanced in accordance with the instructions of an authorized person or (B) credited to any of Borrower's accounts with Lender. The unpaid principal balance owing on this Note at any time may be evidenced by endorsements on this Note or by Lender's internal records, including daily computer print-outs. Lender will have no obligation to advance funds under this Note if: (A) Borrower or any guarantor is in default under the terms of this Note or any agreement that Borrower or any guarantor has with Lender, including any agreement made in connection with the signing of this Note; (B) Borrower or any guarantor ceases doing business or is insolvent; (C) any guarantor seeks, claims or otherwise attempts to limit, modify or revoke such guarantor's guarantee of this Note or any other loan with Lender; (D) Borrower has applied funds provided pursuant to this Note for purposes other than those authorized by Lender; or (E) Lender in good faith believes itself insecure.

WAIVER OF DEFENSES. Borrower agrees and acknowledges that Borrower does not have any claims, defenses, counterclaims, setoffs, rights of recoupment, or other claims of any nature whatsoever (including but not limited to claims arising from fraud, misrepresentation, breach of contract, breach of commitment, impairment of collateral or waiver) against Lender, and Borrower hereby expressly waives and releases any and all such claims, defenses, counterclaims, setoffs, rights of recoupment, or other claims of any nature whatsoever that it may have against Lender.

FEES AND EXPENSES FOR LOAN MODIFICATIONS. Unless prohibited by applicable law or unless it would constitute interest in excess of the maximum rate allowed under applicable law, Borrower agrees to pay upon demand all of Lender's costs and expenses, including reasonable attorneys' fees, incurred in connection with any loan modification, amendment, restatement, supplement, restructuring, waiver or consent relating hereto or thereto, whether or not any such amendment, restatement, supplement, restructuring, waiver or consent is executed or becomes effective.

UNCONDITIONALLY CANCELLABLE. Lender may, at any time, with or without cause, refuse to make advances or otherwise extend credit under this Note (to the extent permitted under applicable law).

PROHIBITED USES OF PROCEEDS. No portion of the proceeds of this Loan or any Advance shall be used (i) to finance or refinance any commercial paper issued by Borrower, or (ii) in any manner that causes or might cause this Loan or such Advance or the application of such Advance to violate Regulation T, Regulation U or Regulation X of the Board of Governors of the Federal Reserve System as in effect from time to time or any other regulation thereof or to violate the federal Securities Exchange Act.

BENEFICIAL OWNERSHIP. If Borrower is a Legal Entity Customer, Borrower shall provide Lender with all information, documentation, and certifications that Lender requests regarding beneficial owners of the Borrower pursuant to 31 C.F.R. § 1010.230. Borrower represents and warrants that the most recent of such information, documentation, and certifications submitted to Lender remains true and accurate. Further, Borrower represents and warrants that Borrower will notify Lender promptly—and in no event no later than at any loan renewal—of any changes to any information, documentation, or certifications provided pursuant to the requirements of this paragraph.

"Legal Entity Customer" has its meaning set forth in 31 C.F.R. § 1010.230(e) and includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed under the laws of a foreign jurisdiction that opens an account.

AUTHORIZATION TO OBTAIN AND PROVIDE TAX RETURN INFORMATION. Borrower hereby expressly authorizes Lender, and its successors, assigns, affiliates, agents, service providers, and their respective successors or assigns (each, an "Authorized Party"), to obtain and receive Borrower's tax return information at any time and from time to time, as Lender may, in its sole and absolute discretion, deem necessary or desirable for use in connection with the loan or any aspect of any of Lender's business relationships with Borrower whatsoever (a "Permissible Purpose"). Further, Borrower hereby expressly authorizes any Authorized Party to provide tax return information to any other person or entity for any Permissible Purpose at any time and from time to time. By example and not by way of limitation, a Permissible Purpose includes originating, maintaining, managing, monitoring, servicing, selling, collateralizing, obtaining insurance or a guaranty for or on, or securitizing a loan, line of credit, letter of credit reimbursement obligation, or any other obligation whatsoever.

UNUSED BALANCE FEE. Borrower will pay an Unused Balance Fee equal to a per annum rate of 0.100% of the difference between the maximum principal amount of this loan and the outstanding balance of this loan, computed on a daily basis. The Unused Balance Fee will be payable Quarterly in arrears.

AUTO DEBIT PROVISION.

**PROMISSORY NOTE
(Continued)**

Loan No: [REDACTED]

Page 3

Routing Number (Please call your financial
institution if you are unsure)

0000000001389483
DDARSV Account Number

Borrower authorizes Lender to initiate entries to Borrower's checking or savings account at the financial institution indicated above for the purpose of making Borrower's periodic loan payments. Borrower also authorizes the financial institution to withdraw these payments from Borrower's account. Borrower acknowledges that this authorization may be revoked at any time by providing written notice of revocation to Lender in such time and manner as to afford Lender and the financial institution reasonable opportunity to act upon it.

Borrower understands that, in accordance with the terms of this loan, Borrower's payment may change from time to time. Lender is authorized to change the amount of the charge to Borrower's checking or savings account. Borrower understands that Lender will provide prior notice of the new payment amount to Borrower to the extent required under applicable law. If more than one law requires prior notice of a payment change, Borrower agrees that notice provided pursuant to one law shall constitute notice in accordance with all laws.

CREDITING OF PAYMENTS. Payments received after Lender cut-off times established from time to time or on weekends or bank holidays will be credited as of the next Business Day.

DEFINITIONS RELATED TO INDEX (BSBY RATE - ONE MONTH). As used in this Note, the following capitalized terms will have the meanings indicated:

"BSBY Rate - 1 Month" means, with respect to any Interest Period, that rate of interest per annum which equals the BSBY Screen Rate two (2) SIFMA Business Days preceding the first day of such Interest Period; provided that if the rate is not published on such determination date then BSBY Rate - 1 Month means the BSBY Screen Rate on the first SIFMA Business Day immediately prior thereto on which such rate is so published, subject to any corrections published by Bloomberg Index Services Limited (or any successor administrator). "The "BSBY Screen Rate" means, the U.S. Dollar wholesale funding rate known as the Bloomberg Short-Term Bank Yield Index for a term of 1 month administered by Bloomberg Index Services Limited (or by such successor administrator or such other commercially available source providing such quotations as may be designated by the Lender in its sole discretion from time to time) and published on the applicable Bloomberg Screen page (or such other commercially available source providing such quotations as may be designated by the Lender in its sole discretion from time to time).

"Business Day" means a day on which the office of the Lender at which payments under this Note are to be made is open for business.

"Interest Period" means each period commencing on the last day of the immediately preceding Interest Period and ending on the same day of the month that interest is due one month thereafter; provided (i) the first Interest Period shall commence on the date hereof and end on the first day thereafter that interest is due, (ii) any Interest Period that ends in a month for which there is no day which numerically corresponds to the last day of the immediately preceding Interest Period shall end on the last day of the month and (iii) any Interest Period that would otherwise extend past the maturity date of this Note shall end on the maturity date of this Note.

"SIFMA Business Day" means any day that is not (i) a Saturday, (ii) a Sunday, or (iii) a day on which the Securities Industry and Financial Markets Association recommends that the fixed income departments of its members be closed for the entire day for purposes of trading in United States government securities.

INDEX UNAVAILABLE. As used in the "Variable Interest Rate" section above, "unavailable" means Lender has determined that (a) the Index is unavailable, (b) the Index cannot be determined, (c) the Index does not adequately reflect the cost to Lender of making, funding, or maintaining the Loan, (d) the use of the Index has become impracticable or unreliable, (e) the Index is no longer representative of the underlying market or economic reality, or (f) it is no longer lawful for Lender to lend at any rate based on the Index (any such determination is hereafter called a "Trigger Event").

SUBSTITUTE INDEX AND MARGIN ADJUSTMENT. If Lender designates a substitute index (the "Replacement Index") as provided in the "Variable Interest Rate" section above, Lender may also determine, at such time or from time to time thereafter, that a change in the rate (the "Margin") which is added to the Index under the "Variable Interest Rate" section above to determine the interest rate on the Loan is necessary to produce a reasonably comparable interest rate to the interest rate that would have applied based on the original Index. Upon such determination, Lender will designate the amount of such Margin adjustment (which may be a positive or a negative number) and adjust the Margin by that amount (the result will be the "Adjusted Margin"). Lender will provide notice to Borrower of the Replacement Index, any Margin adjustment, and the Adjusted Margin, as applicable, and their effective date. Thereafter, the Replacement Index shall be deemed to be and shall become the operative interest rate Index for purposes of this Note or Credit Agreement and any other loan documents, and this Note or Credit Agreement shall continue to bear interest on the unpaid principal amount from the effective date of such designation(s) through repayment thereof at the Replacement Index plus the Margin or the Adjusted Margin, as applicable (subject to increase by the Default Rate Margin, if any). In any event, the Replacement Index will not be less than zero percent (0%) per annum, and the interest rate under this Note or Credit Agreement will not be less than the greater of zero percent (0%) per annum or any minimum interest rate otherwise provided in this Note or Credit Agreement. The Replacement Index may not necessarily be the Lender's most favorable lending rate or interest rate index. Any determination or designation made by Lender under this "Substitute Index And Margin Adjustment" section or the "Index Unavailable" or "Variable Interest Rate" sections above may be made in Lender's sole and absolute discretion and shall be conclusive and binding absent manifest error.

CONFORMING CHANGES. In connection with the implementation and administration of the Index and Replacement Index, Lender will have the right to make any technical, administrative or operational changes from time to time and, notwithstanding anything to the contrary herein or in any other loan documents (including changes to any type of "Business Day" definition, the definition of "Interest Period," the timing and frequency of determining rates and making payments of interest, the timing of borrowing requests or prepayment, and the applicability and length of lookback periods, as well as other technical, administrative or operational matters) that Lender decides may be appropriate to reflect the adoption and implementation of the Index and Replacement Index and to permit the administration thereof by Lender in a manner substantially consistent with market practice (or, if Lender decides that adoption of any portion of such market practice is not administratively feasible or if Lender determines that no market practice for the administration of such substitute index exists, in such other manner of administration as Lender decides is reasonably necessary in connection with the administration of this agreement and the other loan documents). Any amendments implementing conforming changes will become effective without any further action or consent of any other party to this Note or Credit Agreement.

PRIOR NOTE. This note is made and executed for the purpose of continuing, modifying, amending and restating the terms of that certain promissory note in the principal amount of \$3,000,000.00, dated 12-16-2020, executed by the Borrower and payable to the Bank or its predecessor or assignor. This note shall amend and restate the original note in its entirety. This note shall not constitute a novation, payment in full or satisfaction of the original note. This note shall continue to be secured by any and all collateral securing the original note.

SUCCESSOR INTERESTS. The terms of this Note shall be binding upon Borrower, and upon Borrower's heirs, personal representatives, successors and assigns, and shall inure to the benefit of Lender and its successors and assigns.

GENERAL PROVISIONS. This Note is payable on demand. The inclusion of specific default provisions or rights of Lender shall not preclude Lender's right to declare payment of this Note on its demand. If any part of this Note cannot be enforced, this fact will not affect the rest of the Note. Lender may delay or forgo enforcing any of its rights or remedies under this Note without losing them. Borrower and any other person who signs, guarantees or endorses this Note, to the extent allowed by law, waive presentment, demand for payment, and notice of dishonor. Upon any change in the terms of this Note, and unless otherwise expressly stated in writing, no party who signs this Note, whether as maker, guarantor, accommodation maker or endorser, shall be released from liability. All such parties agree that Lender may renew or extend (repeatedly and for any length of time) this loan or release any party or guarantor or collateral; or impair, fail to realize upon or perfect Lender's security interest in the collateral; and take any other action deemed necessary by Lender without the consent of or notice to anyone. All such parties also agree that Lender may modify this loan without the consent of or notice to anyone other than the party with whom the modification is made. The obligations under this Note are joint and several.

PROMISSORY NOTE
(Continued)

PRIOR TO SIGNING THIS NOTE, BORROWER READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS NOTE, INCLUDING THE VARIABLE INTEREST RATE PROVISIONS. BORROWER AGREES TO THE TERMS OF THE NOTE.

BORROWER ACKNOWLEDGES RECEIPT OF A COMPLETED COPY OF THIS PROMISSORY NOTE.

THIS NOTE IS GIVEN UNDER SEAL AND IT IS INTENDED THAT THIS NOTE IS AND SHALL CONSTITUTE AND HAVE THE EFFECT OF A SEALED INSTRUMENT ACCORDING TO LAW.

BORROWER:

SOUTHERN RESEARCH INSTITUTE

By:  (Seal)
ALLEN BOLTON, EXECUTIVE VICE PRESIDENT of
SOUTHERN RESEARCH INSTITUTE



DOC6620300000043591600027572500000000

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING AN ACCOUNT

| Principal | Loan Date | Maturity | Bank/App | Loan No | Account | Officer |
|--|------------|------------|----------|----------------------|--------------------|---------|
| \$3,000,000.00 | 12-22-2021 | 12-31-2022 | 01 | 00000000000000000000 | 000000000000000000 | DTJY3 |
| References in the shaded area are for Lender's use only and do not limit the applicability of this document to any particular loan or item. Any item above containing "****" has been omitted due to text length limitations. | | | | | | |

Borrower: SOUTHERN RESEARCH INSTITUTE
2000 9TH AVE S
BIRMINGHAM, AL 352052708

Lender: REGIONS BANK
BIRMINGHAM: GOVT & INST BANKING
1900 5TH AVE N
ALBH30103B
BIRMINGHAM, AL 35203

This IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING AN ACCOUNT is attached to and by this reference is made a part of the Promissory Note, dated December 22, 2021, and executed in connection with a loan or other financial accommodations between REGIONS BANK and SOUTHERN RESEARCH INSTITUTE.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

THIS IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING AN ACCOUNT IS EXECUTED ON DECEMBER 22, 2021.

BORROWER:

SOUTHERN RESEARCH INSTITUTE

By:  (Seal)
ALLEN BOLTON, EXECUTIVE VICE PRESIDENT of
SOUTHERN RESEARCH INSTITUTE

LoanPro, Ver. 21.2.0.029 Copr. Frattini USA Corporation 1997, 2021. All Rights Reserved. - AL E:\Postville\CFIL\PLQ1010 FC TR-1491597 PLQ1019



DOC240030000004359160002757250000000



REGIONS

DISBURSEMENT REQUEST AND AUTHORIZATION

| Principal | Loan Date | Maturity | Bank/App | Loan No | Account | Officer |
|--|------------|------------|----------|---------|---------|---------|
| \$3,000,000.00 | 12-22-2021 | 12-31-2022 | 01 | | | DTJY3 |
| References in the shaded area are for Lender's use only and do not limit the applicability of this document to any particular loan or item. Any item above containing "****" has been omitted due to text length limitations. | | | | | | |

Borrower: SOUTHERN RESEARCH INSTITUTE
2000 9TH AVE S
BIRMINGHAM, AL 352052708

Lender: REGIONS BANK
BIRMINGHAM: GOVT & INST BANKING
1900 5TH AVE N
ALBH30103B
BIRMINGHAM, AL 35203

LOAN TYPE. This is a Variable Rate Nondisclosable Revolving Line of Credit Loan to an Unincorporated Association for \$3,000,000.00 due on demand and, if no demand, on December 31, 2022.

PRIMARY PURPOSE OF LOAN. The primary purpose of this loan is for:

- ☐ Personal, Family, or Household Purposes or Personal Investment.
☒ Business (Including Real Estate Investment).

SPECIFIC PURPOSE. The specific purpose of this loan is: Commercial Loan Purpose Code 100.

DISBURSEMENT INSTRUCTIONS. Borrower understands that no loan proceeds will be disbursed until all of Lender's conditions for making the loan have been satisfied. Please disburse the loan proceeds of \$3,000,000.00 as follows:

Note Principal:

\$3,000,000.00

AUTOMATIC PAYMENTS. Borrower hereby authorizes Lender automatically to deduct from Borrower's Checking account, numbered 0000000001389483, the amount of any loan payment. If the funds in the account are insufficient to cover any payment, Lender shall not be obligated to advance funds to cover the payment. At any time and for any reason, Borrower or Lender may voluntarily terminate Automatic Payments.

FINANCIAL CONDITION. BY SIGNING THIS AUTHORIZATION, BORROWER REPRESENTS AND WARRANTS TO LENDER THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND CORRECT AND THAT THERE HAS BEEN NO MATERIAL ADVERSE CHANGE IN BORROWER'S FINANCIAL CONDITION AS DISCLOSED IN BORROWER'S MOST RECENT FINANCIAL STATEMENT TO LENDER. THIS AUTHORIZATION IS DATED DECEMBER 22, 2021.

THIS AGREEMENT IS GIVEN UNDER SEAL AND IT IS INTENDED THAT THIS AGREEMENT IS AND SHALL CONSTITUTE AND HAVE THE EFFECT OF A SEALED INSTRUMENT ACCORDING TO LAW.

BORROWER:

SOUTHERN RESEARCH INSTITUTE

By:  (Seal)
ALLEN BOLTON, EXECUTIVE VICE PRESIDENT of
SOUTHERN RESEARCH INSTITUTE

Line 100, Ver. 21.2.0 020 Copy: Financial USA Corporation 1997, 2021. All Rights Reserved. - AL E-Politic/CFF/LPL/100 PG. TR-1411521 PPLCM19

**Metrics to Determine Continuation of Tennessee In-State Tuition Program
The University of Alabama in Huntsville**

One of the challenges associated with a scholarship program involves timing, that is, a scholarship program must be advertised for the next year's Fall semester prior to final enrollment numbers being available in the current Fall semester. The best time to determine the effectiveness of a scholarship program is after final enrollment numbers are determined early in the Fall semester, which is after the program needs to be advertised. Therefore, measures on the enrollment pipeline (applications, admits, and enrolled) must be evaluated in the Spring semester. The following table provides a proposed set of specific metrics and actions to be taken regarding the Tennessee In-state Tuition Program (TITP). These target metrics were established using data from student applications from the Tennessee counties outside the original nine for the past four years (since Fall 2019). These metrics will be reported to the System Office using the following timeline.

| Date | Metric | Target | Action |
|------------|---|--------|---|
| Feb 2023 | Applications (at any stage) from the TITP | 550 | Target met no action required. Target NOT met, cancel the TITP for freshmen entering Fall 2024 |
| April 2023 | Admits from the TITP | 300 | Target met no action required. Target NOT met then cancel the TITP for freshmen entering Fall 2024 |
| Sept 2023 | TITP students in freshman class | 150 | If target achieved, then continue TITP for freshman cohort of 2025 |
| Feb 2024 | Applications (at any stage) from the TITP | 575 | Target met no action required. Target NOT met then cancel the TITP for freshmen entering Fall 2025 |
| April 2024 | Admits from the TITP | 315 | Target met no action required. Target NOT met then cancel the TITP for freshmen entering Fall 2025 |
| Sept 2024 | TITP students in freshman class | 160 | If target achieved, then continue TITP for freshman cohort of 2026 |