

MINUTES OF THE FEBRUARY 2, 2024 MEETING
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA

The Board of Trustees of The University of Alabama met in the UAB Hill Student Center Ballroom in Birmingham on Friday, February 2, 2024. President pro tempore Scott M. Phelps chaired the meeting. Mark D. Foley, Jr. served as Secretary.

On roll call, the following Trustees were present:

The Honorable Harris V. Morrisette, Trustee from
the First Congressional District;

The Honorable Marietta M. Urquhart, Trustee from
the First Congressional District;

The Honorable Mike Brock, Trustee from
the Second Congressional District;

The Honorable W. Davis Malone III, Trustee from
the Second Congressional District;

The Honorable J. Steven Roy, Trustee from
the Third Congressional District;

The Honorable Kenneth L. Vandervoort, M.D., Trustee from
the Third Congressional District;

The Honorable Evelyn VanSant Mauldin, Trustee from
the Fourth Congressional District;

The Honorable Scott M. Phelps, President pro tempore and Trustee
from the Fourth Congressional District;

The Honorable Ronald W. Gray, Trustee from
the Fifth Congressional District;

The Honorable Jeff Gronberg, Trustee from
the Fifth Congressional District;

The Honorable W. Stancil Starnes, Trustee from
the Sixth Congressional District;

The Honorable Karen P. Brooks, Trustee from
the Seventh Congressional District;

The Honorable Barbara Humphrey, Trustee from
the Seventh Congressional District;

The Honorable Kenneth O. Simon, Trustee from
the Seventh Congressional District

The meeting was also attended by Chancellor Finis E. St. John IV; UA President Dr. Stuart R. Bell; UAB President Ray L. Watts, M.D.; UAH President Dr. Charles L. Karr; UAB Health System Authority CEO Dawn Bulgarella; and support staff from the System Office, the three campuses, and the UAB Health System. The Honorable Vanessa Leonard, Trustee from the Sixth Congressional District, was unable to attend.

Faculty representatives to the Board Dr. Matthew Hudnall from UA, Dr. Karen Cropsey from UAB, and Dr. Andrea Word from UAH were in attendance. Student representatives to the Board Collier Dobbs from UA, Kyle Adams from UAB, and Joshua Jamison from UAH were also in attendance.

Pro tem Phelps recognized and welcome Steve Roy as the new Trustee to the Board of Trustees: "Steve brings a tremendous background of experience with large organizations, and is a CPA, which is highly useful to this Board. We appreciate his willingness to serve. Thank you, Steve."

Pro tem Phelps welcomed *CBS 42 News* and any additional members of the media or the public who were viewing the livestream.

Pro tem Phelps asked if there was any objection to adopting the agenda as presented. Hearing none, the agenda, a copy of which is attached hereto as Exhibit A, was unanimously adopted.

Pro tem Phelps noted a consent agenda was provided in advance of the meeting for review by the Board. Pro tem Phelps called for a motion to approve the items on the consent agenda. Trustee Brooks moved to approve the consent agenda, which was seconded by Trustee Morrisette. Pro tem Phelps asked if there were any questions or comments. Hearing none, Pro tem Phelps then called for a vote, and the Board unanimously approved the items on the consent agenda.

Granting Authorization to Replace Omnicell Medication Dispensing
Equipment at University Hospital

RESOLUTION

WHEREAS, University Hospital, an operating entity of The Board of Trustees of The University of Alabama ("UA Board") managed by the Health System Authority ("Health System"), in furtherance of its mission to provide a continuum of health services of the highest quality, operates a system based on the Omnicell platform to acquire, manage inventory, and safely and accurately dispense medications to its patients, and comply with various regulatory and accreditation requirements; and

WHEREAS, University Hospital has determined that 164 automated medication dispensing cabinets and 3 medication dispensing carousels will reach end-of-life in 2025 and will no longer be supported by the manufacturer; and

WHEREAS, University Hospital has evaluated various alternatives and determined that replacement of this equipment with current generation Omnicell technology is the most efficient and cost-effective solution to assure safe and accurate dispensing of medication to its patients; and

WHEREAS, University Hospital has also determined the need to enhance the Omicell software platform to improve its processes to manage procurement and inventory to proactively manage the availability of drugs more vulnerable to shortages for its patients; and

WHEREAS, University Hospital will implement the replacement in phases over three years to assure the equipment replacement and software upgrades are executed safely without disruption to ongoing clinical care operations: and

WHEREAS, the Total Project Budget is established as follows:

A. MED CABINETS, CAROUSELS, REPACKAGER, SOFTWARE	\$ 15,471,242
B. CONSTRUCTION	\$ 850,000
C. HSIS INFRASTRUCTURE	\$ 6,000
D. REFRIGERATORS	\$ 474,832
E. TOTAL PROJECT COST	\$ 16,802,074

WHEREAS, the Project will be funded by the Hospital Plant fund over multiple years; and

WHEREAS, the management of UAB Medicine Enterprise has reviewed and recommend approval of this item; and

WHEREAS, the UAB Health System Authority Board of Directors have reviewed and recommend approval of the item;

NOW, THEREFORE, BE IT RESOLVED BY The Board of Trustees of The University of Alabama that Bernard Mays, University Controller, or those officers named in the most recent Board resolution granting signature authority for University Hospital, is hereby authorized to act for and in the name of the Board to procure and install the medication dispensing equipment and software from Omnicell, Inc., and undertake other services necessary to operationalize the equipment, for a Total Project Cost not to exceed \$16,802,074.

Appointing a Director to the Medical West Hospital Authority Board of Directors

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama ("UA Board") established Medical West Hospital Authority, an Affiliate of UAB Health System ("Med West") under the provisions of the University Authority Act of 2016, Ala. Code § 16-17A-1, *et seq.*; and

WHEREAS, the UA Board is the sponsoring university for Med West and has the authority to appoint the Board of Directors of Med West; and

WHEREAS, Don Lilly, a member of the Board of Directors of Med West appointed by the UA Board, has submitted his resignation as a member of the Board; and

WHEREAS, pursuant to Med West's governing documents, any vacancy created by the resignation of a member of the Board shall be filled by the party who elected such director; and

WHEREAS, UAB Health System Authority has nominated Martin J. Heslin, M.D. to fill the vacancy created by Don Lilly's resignation, subject to approval by the UA Board;

NOW THEREFORE BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA that Martin J. Heslin, M.D. be appointed to the Board of Directors of Medical West Hospital Authority, to fill the vacancy created by Don Lilly's resignation for the remainder of his term, and until such time as his successor is duly appointed and qualified.

Pro tem Phelps said a draft of the minutes of the November 3, 2023 meeting was previously distributed for review. He asked if there were any objections to approving the minutes as distributed. Hearing none, the minutes were unanimously approved.

Pro tem Phelps said the February 2, 2024 Administrative Report was previously distributed to the Board. Pro tem Phelps asked if there were objections to the report as distributed. Hearing none, the report was accepted, a copy of which is attached hereto as Exhibit B.

Pro tem Phelps then recognized Chancellor Finis E. St. John IV for the following report from The University of Alabama System:

Thank you, Mr. Pro Tem.

As we gather today for the annual UAB Institutional meeting and prepare to hear the campus reports, it seems appropriate to briefly reflect on, and put in historical perspective, the story of this remarkable institution. Fifty-five years ago, this Board created an autonomous university – The University of Alabama at Birmingham – from what had been a satellite regional extension of the University of Alabama and the home of the University of Alabama School of Medicine.

From the beginning, UAB was blessed with leaders who had vision for what UAB could be and what it could mean to this region and the state.

Back then, there was no reason to believe, assume, or even dream that UAB could be a national and international leader in its fields, nor any reason to expect that it could achieve what we now see. But those leaders, and the people who served with them, aimed for the stars. They knew that they themselves would not witness these results, but they could see what was to come if they built the foundation and worked toward their vision, block by block, every day.

The rest of the state was a little slower in recognizing what was being done here. Even 20 or so years ago, when some of us first became involved, there were other giants here – entities and businesses which made huge impacts. But as these other entities came and went, people began to understand that it was UAB which was the backbone of this area, and increasingly the whole state, healing our sickest patients, educating more and more Alabama students, many of whom would otherwise not have had that chance, and having a greater and greater impact on the economy of Birmingham and the state. Today, no one questions what is the greatest driver of the economy of this region. It is UAB.

The visionary leadership that UAB has enjoyed continues today. Dr. Watts has been here a long time and 11 years as President. A roll call of superstars has come through UAB under his leadership, and many have moved on. They are replaced by equally capable, dedicated leaders, and the trajectory of the university and the Health Care System and the economic impact of UAB marches on. We owe a debt of gratitude to Dr. Watts and his great team for continuing this amazing legacy.

Someone from UAB called my office this week and said that UAB gives a special recognition to employees at milestone years, and that this year marks Dr. Watts 20 years in service. They asked if I would like to present this recognition to Dr. Watts at our Board meeting. Dr. Watts, at the request of somebody, I am presenting you with this certificate and pin.

That concludes my remarks.

Thank you, Mr. Pro tem.

Pro tem Phelps thanked Chancellor St. John for his message.

Pro tem Phelps recognized Dr. Ray L. Watts for the UAB Institutional Presentation to the Board of Trustees, a copy of which is attached hereto as Exhibit C.

Pro tem Phelps then thanked Dr. Watts for his presentation.

Pro tem Phelps recognized Dr. Karen Cropsey to give the UAB Faculty Representative Report to the Board of Trustees, a copy of which is attached hereto as Exhibit D.

Pro tem Phelps then recognized Kyle Adams to give the UAB Student Representative Report to the Board of Trustees, a copy of which is attached hereto as Exhibit E.

Pro tem Phelps thanked all three presenters for their time and effort.

Pro tem Phelps said there were four Administrative Action Items. Prior to opening the floor for discussion, Pro tem Phelps called for a motion to consider the items. On the motion of Trustee Vandervoort, seconded by Trustee Malone, the Board opened discussion on the items.

Pro tem Phelps asked if there were questions or comments. There were none.

Pro tem Phelps then called for a vote, and the resolutions were unanimously adopted:

Approving Resolution Affirming the Mission Statement for The University of Alabama

RESOLUTION

WHEREAS, the mission of The University of Alabama is teaching, research and service; and

WHEREAS, The University of Alabama created a Mission Statement to serve as a guiding statement for students, faculty and staff; and

WHEREAS, the Missions Statement is: The University of Alabama will advance the intellectual and social condition of the people of the state, the nation and the world through the creation, translation and dissemination of knowledge with an emphasis on quality programs in the areas of teaching, research and service;

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of The University of Alabama endorses, approves and reaffirms the Mission Statement for The University of Alabama.

Reaffirming the Mission Statement for The University of Alabama at Birmingham

RESOLUTION

WHEREAS, the mission of The University of Alabama at Birmingham is teaching, research and service; and

WHEREAS, The University of Alabama at Birmingham created a Mission Statement to serve as a guiding statement for students, faculty and staff; and

WHEREAS, the Mission Statement is: The University of Alabama at Birmingham enriches society and improves health and well-being through transformational educational experiences, groundbreaking research, innovation and entrepreneurship, community engagement, and world-class patient care while serving our UAB, local and global communities;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama endorses, approves and reaffirms the Mission Statement for The University of Alabama at Birmingham.

Reaffirming the Mission Statement for The University of Alabama in Huntsville

RESOLUTION

WHEREAS, the mission of The University of Alabama in Huntsville is teaching, research and service; and

WHEREAS, The University of Alabama created a Mission Statement to serve as a guiding statement for students, faculty and staff; and

WHEREAS, the Mission Statement is: The University of Alabama in Huntsville is an internationally recognized, comprehensive research-intensive university that produces important discoveries, provides an outstanding education, and serves the workforce needs of Redstone Arsenal, the greater Huntsville area, the state and beyond. Our mission is to explore, discover, create and transfer knowledge, and to educate students from diverse backgrounds in leadership, innovation, inclusivity, critical thinking and civic responsibility, while inspiring a passion for learning.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of The University of Alabama endorses, approves and reaffirms the Mission Statement for The University of Alabama in Huntsville.

Approving the Strategic Plan for The University of Alabama at Birmingham

RESOLUTION

WHEREAS, the University of Alabama at Birmingham ("UAB") has drafted a strategic plan for 2024-2028 called "Forging Ahead"; and

WHEREAS, Forging Ahead is the result of a collaborative effort across the UAB enterprise and outlines institutional goals and strategics for achieving them; and

WHEREAS, a copy of Forging Ahead is attached hereto as Exhibit F; and

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama hereby adopts Forging Ahead, the UAB Strategic Plan for 2024-2028.

Pro tem Phelps then said a copy of the Executive Committee report had been distributed to the Board and asked if there were any objections to the report as distributed. Hearing none, the report was accepted.

EXECUTIVE COMMITTEE REPORT

Under Article IV of the Board Bylaws, the Executive Committee of the Board of Trustees may “perform any function of the Board when, in the opinion of the Executive Committee, action is advisable before the next regularly scheduled meeting of the Board.” The Executive Committee is required to “report its action to the Board at the next Board meeting.”

Pursuant to its duly delegated authority, the Executive Committee unanimously approved the following resolutions:

December 21, 2023

Granting Authorization to execute a Real Estate Sales Agreement for the acquisition of land located at 110 15th Street in Tuscaloosa, Alabama, the Landmark Collision Center

Granting Authorization to execute a Commercial Lease Agreement with Tuscaloosa Hyundai Real Estate, LLC d/b/a/ Landmark Collision Center

Pro tem Phelps then recognized Trustee Gronberg for a report from the Compensation Committee.

Trustee Gronberg reported that the Compensation Committee met on November 7, 2023, and January 22, 2024, and approved the compensation of 5 employees. Pro tem Phelps asked if there were questions or comments concerning the Committee’s actions. Hearing none, the report was accepted.

Pro tem Phelps thanked Trustee Gronberg and recognized Trustee Morrisette for a report from the Investment Committee.

Trustee Morrisette reported that the Investment Committee met on February 1, 2024, and approved the termination of three existing managers, an investment in one new fund with an existing manager, and an investment in one new fund with a new manager.

Pro tem Phelps asked if there were questions or comments concerning the Committee’s actions. Hearing none, the report was accepted.

Granting Authorization to Terminate Contract with RCH Energy MLP Fund

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Real Assets in the Pooled Endowment Fund and Liquidity and Capital Reserve Pool; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends termination of our investment with RCH Energy MLP Fund in the Pooled Endowment Fund and Liquidity and Capital Reserve Pool;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to terminate our contract with RCH Energy MLP Fund.

Granting Authorization to Terminate Contract with Seaport Global
Property Securities Fund

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Real Assets in the Pooled Endowment Fund; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends termination of our investment with Seaport Global Property Securities Fund in the Pooled Endowment Fund;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to terminate our contract with Seaport Global Property Securities Fund.

Granting Authorization to Terminate Contract with Tybourne Long Opportunities Fund

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Global Equity in the Pooled Endowment Fund and Liquidity and Capital Reserve Pool; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends termination of our investment with Tybourne Long Opportunities Fund in the Pooled Endowment Fund and Liquidity and Capital Reserve Pool;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to terminate our contract with Tybourne Long Opportunities Fund.

Granting Authorization to Negotiate and Execute Contract with Epiris Fund III, L.P.

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Global Equity in the Pooled Endowment Fund; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends an investment in Epiris Fund III, L.P. of up to £12,000,000 (approximately \$15,200,000) for the Pooled Endowment Fund;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to negotiate and execute a contract with Epiris Fund III, L.P.

Granting Authorization to Negotiate and Execute Contract with Lime Rock New Energy II, L.P.

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Real Assets in the Pooled Endowment Fund; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends an investment in Lime Rock New Energy II, L.P. of up to \$15,000,000 for the Pooled Endowment Fund;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to negotiate and execute a contract with Lime Rock New Energy II, L.P.

Trustee Morrisette added that the Committee reviewed a resolution to amend Board Rule 404. The Committee unanimously recommended approval of the item, and Trustee Morrisette moved for its approval by the Board, which was seconded by Trustee Malone.

Pro tem Phelps asked if there were questions or comments concerning the Investment Committee's actions. Hearing none, Pro tem Phelps then called for a vote, and the following resolution was unanimously adopted:

Approving Amendment of Board Rule 404

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has previously established the asset allocation for the Pooled Endowment Fund and Liquidity and Capital Reserve Pool in Board Rule 404; and

WHEREAS, the Investment Committee of the Board desires to refine the asset allocation of the Pooled Endowment Fund and Liquidity and Capital Reserve Pool; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, in consultation with Fund Evaluation Group, recommends a revision to the current asset allocation; and

WHEREAS, Board Rule 404 must be revised to reflect the changes to the asset allocation of the Pooled Endowment Fund and Liquidity and Capital Reserve Pool as detailed in Exhibit G;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the amended version of Board Rule 404, a copy of which is attached hereto, is hereby adopted and that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, is hereby authorized to execute the designated asset allocation with the System funds.

Pro tem Phelps thanked Trustee Morrisette for his report and recognized Trustee Urquhart for a report from the Physical Properties Committee.

Trustee Urquhart reported that the Physical Properties Committee met on February 1, 2024, and considered 19 agenda items for action by the Board. The Committee unanimously recommended the 19 resolutions for approval. Trustee Urquhart moved for their approval by the Board, and the motion was seconded by Trustee Vandervoort, with Trustee Starnes recusing himself from voting on item XIII.B.2. of the agenda ("Consideration of Resolution approving the revised project scope, budget, and architectural design; proving authorization to modify the Construction Management (at-risk) Agreement for the Southern Research – Phase I Campus Master Plan Improvements (Revised Stage IV)"). Pro tem Phelps asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were adopted, separately and collectively:

Approving the revised project budget; providing authorization to execute construction contracts for the Campus Steam Decommissioning at UA (Stage IV)

RESOLUTION

WHEREAS, on November 4, 2022, in accordance with Board Rule 415, the Board of Trustees of The University of Alabama ("Board") approved the Stage I submittal for the Campus Steam Decommissioning project ("Project"); and

WHEREAS, as part of the University's master plan for thermal energy distribution, the University has previously completed the East Quad Energy Plant, the interconnection of the system with the Shelby Energy Plant and numerous building connections and the Campus Energy Delivery Optimization project; and

WHEREAS, this Project will allow for the remaining buildings on the steam system to be served by the addition of local boilers or the connection to the central thermal system as necessary to allow the decommissioning of the steam plant and distribution system; and

WHEREAS, the Project will include scope at multiple locations across the University campus including B.B. Comer Hall, Bureau of Mines #1, Bureau of Mines #2, Bureau of Mines #4, ten Hoor Hall, Foster Auditorium, Reese Phifer Hall, Hardaway Hall, Rowand-Johnson Hall, Farrah Hall and East Quad Energy Plant; and

WHEREAS, as part of the University's master plan for thermal energy distribution, the University will decommission the B.B. Comer Hall Steam Plant at the completion of this Project as a separate project at that time; and

WHEREAS, the Project was previously separated into two (2) construction packages: Package A – Campus Steam Decommissioning 2023 ("Package A"); which removed B.B. Comer Hall, Bureau of Mines #1, Bureau of Mines #2, Bureau of Mines #4, and ten Hoor Hall from the campus steam system; and Package B – Campus Steam Decommissioning 2024 ("Package B"); which will remove Foster Auditorium, Reese Phifer Hall, Hardaway Hall, and Rowand-Johnson Hall from the campus steam system as well as add a new boiler to the East Quad Energy Plant; and an Owner Furnished Contractor Installed Equipment package to mitigate scheduling impacts of long-lead items; and

WHEREAS, on November 4, 2022, the Board approved a waiver of the Consultant Selection Process, the negotiated design fee and authorized the University to utilize the engineering design services of HHB for the Project; and

WHEREAS, the University negotiated a final design fee of 6.6% of Construction Package A and the OFCI Equipment Package, plus a 1.14 renovation factor, and 6.2% of Package B, plus a 1.14 renovation factor, plus \$37,050 in additional services and

reimbursable expenses, and less a discount in the amount of \$20,000, representing a significant savings to the University of approximately 11% of the standard fee; and

WHEREAS, the University negotiated a final design fee for Package C of 7.1%, plus a 1.07 renovation factor, and 7.8% of Package D, plus a 1.07 renovation factor, and \$3,400 for additional services; and

WHEREAS, on February 28, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Construction Package A – Campus Steam Decommissioning 2023 and Bradley Plumbing and Heating, Inc. of Montgomery Alabama was declared the lowest responsible bidder with a base bid in the amount of \$1,364,000, as referenced on the certified bid tab; and

WHEREAS, on April 14, 2023, the Board approved the award of the construction contract for Construction Package A – Campus Steam Decommissioning 2023 to Bradley Plumbing and Heating, Inc., for a total contract amount of \$1,364,000; and

WHEREAS, on April 14, 2023, the Board approved a Budget Reallocation to reflect the Package A bid results and the owner purchase of additional equipment to mitigate the effects of continued supply chain challenges associated with long lead equipment; and

WHEREAS, the Farrah Hall Steam Conversion is included in the June 2023 Annual Consolidated Capital Projects and Facilities Report as a Tab 4 Campus Deferred Maintenance project; and

WHEREAS, on November 3, 2023, the Board approved the Stage III submittal for the work associated with the Farrah Hall Steam Decommissioning; and

WHEREAS, on November 3, 2023 the Board approved the addition of Construction Package C – Farrah Hall Steam Decommissioning (“Package C”); and Construction Package D – Farrah Hall Selective Demolition and Utility Relocation (“Package D”), which will allow Farrah Hall to be served by the addition of a mechanical room housing a local boiler and connections to the central thermal system as necessary to allow the decommissioning of the steam plant and distribution system; and

WHEREAS, on November 3, 2023 the Board approved a Budget Revision from \$12,610,000 to \$15,107,000 to reflect the addition of Packages C and D and the associated soft costs; and

WHEREAS, on November 16, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Construction Package D – Farrah Hall Selective Demolition and Utility Relocation and McAbee Construction, Inc. of Tuscaloosa, Alabama was declared the lowest responsible bidder with a base bid in the

amount of \$397,795, and the work was authorized and approved pursuant Board Rule 415, Attachment A.11; and

WHEREAS, on December 14, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Construction Package B – Campus Steam Decommissioning 2024 with McAbee Construction, Inc. of Tuscaloosa, Alabama declared the lowest responsible bidder for East Quad Energy Plant, Hardaway Hall, Reese Phifer Hall, and Rowand Johnson Hall and Premier Service Company of Tuscaloosa, Alabama declared the lowest responsible and responsive bidder for Foster Auditorium.

WHEREAS, in accordance with University procedure, the bid was structured in a manner to enhance bidder participation by allowing contractors to submit bids for one or more individual buildings or the entire scope of work, and to increase competition by attracting smaller firms while also allowing for potential economy of scale from larger firms; and

WHEREAS, the University specified it would award the lower of the lowest cumulative total of the five individual bids or the lowest bid for all five buildings; and

WHEREAS, the University is requesting approval to accept McAbee Construction, Inc.'s base bids 1, 3, 4, 5 for a total contract amount of \$5,373,500, as referenced on the certified bid tab for Package B, for the associated work for the Package Base Bids 1, 3, 4, 5; and

WHEREAS, the University is requesting approval to accept Premier Service Company, Inc.'s base bid 2 for a total contract amount of \$1,216,927, as referenced on the certified bid tab for Package B, for the associated work for the Package Base Bid 2 (Foster Auditorium); and

WHEREAS, the Project locations and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained herein; and

WHEREAS, the University is requesting approval of the Revised and Reallocated Project Budget to reflect the bid results for Packages B and D; and their associated contract values; and the associated adjustments to soft costs; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$14,650,000 and will address campus deferred maintenance liabilities in the amount of \$82,000,000; and

WHEREAS, the revised budget for the Project is as stipulated below:

BUDGET:		REVISED
<i>Construction – Package A- Campus Steam Decommissioning 2023</i>	\$	<i>1,364,000</i>
Construction - Package B - Campus Steam Decommissioning 2024	\$	6,590,427
Construction - Package C-Farraah Hall Steam Decommissioning	\$	1,445,000
Construction - Package D-Farraah Hall Selective Demolition and Utility Relocation ¹	\$	397,795
Landscaping	\$	20,000
Owner Furnished Contractor Installed Equipment	\$	1,758,000
Telecommunication/Data	\$	50,000
Contingency ² (Lump Sum)	\$	1,206,500
UA Project Management Fee ³ (3%)	\$	383,452
Architect/Engineer Fee ⁴ (~7.5%)	\$	864,563
Commissioning	\$	85,000
Other ⁵	\$	485,263
TOTAL PROJECT COST	\$	<u>14,650,000</u>

¹ Authorized & Approved pursuant to Board Rule 415, Attachment A.11

²Contingency is calculated as a lump sum.

³UA Project Management Fee is based on 3% of the costs of the Construction Packages A-D, Landscaping, Owner Furnished Contractor Installed Equipment, and Contingency.

⁴Architect/Engineer Fee is based on 6.6% of the costs of Construction - Package A and the OFCI Equipment, plus a 1.14 renovation factor, 6.2% of the costs of Construction – Package B, plus a 1.14 renovation factor, 7.1% of the costs of Construction – Package C, plus a 1.07 renovation factor, 7.9% of the costs of Demolition Package D, plus a 1.07 renovation factor, plus \$40,450 of additional services and reimbursable expenses, and less a credit of \$20,000.

⁵Other expenses include Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs as applicable.

Work completed. Actual contract amount.

Current Package for Contract Award Approval.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Revision and Reallocation for the Project as stipulated above is hereby approved.
2. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board

Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with McAbee Construction, Inc., of Tuscaloosa, Alabama, for the Campus Steam Decommissioning – Package B – Campus Steam Decommissioning 2024 Base Bids 1, 3, 4, 5 scope, for the Project in accordance with Board Rule 415.

3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with Premier Service Company, Inc., of Tuscaloosa, Alabama, for the Campus Steam Decommissioning – Package B – Campus Steam Decommissioning 2024 Base Bid 2 work at Foster Auditorium scope, for the Project in accordance with Board Rule 415.

Approving the reallocated project budget; providing authorization to execute a construction contract for the Kirkbride Lane Parking Lot at UA (Stage IV)

RESOLUTION

WHEREAS, on June 9, 2023, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama (“Board”) approved a Stage I submittal for the Kirkbride Lane Parking Lot project (“Project”) to be located between Randall Way and Kirkbride Lane at the northwest corner of the Peter Bryce Boulevard and Randall Way intersection; and

WHEREAS, the Project will include the construction of a parking lot that will support current and future developments in that area of Campus and will allow for capacity relief in the northern residential area of campus; and

WHEREAS, the location is centralized to benefit the residential, visitor, academic, and administrative constituents of Campus and allows for continued efficiency and convenient access; and

WHEREAS, the Project will be closely coordinated with the proposed Psychology Building and Renovation project, which is immediately adjacent to the Project location, so as to ensure efficient execution and alignment of both Projects; and

WHEREAS, in accordance with Board Rule 415, on September 1, 2023, the Board approved the ranking of top firms and authorized The University of Alabama

("University") to negotiate for design services utilizing Duncan Coker Associates, PC, Tuscaloosa, Alabama ("Duncan Coker"); and

WHEREAS, upon completion of negotiations with Duncan Coker, the University established a final design fee of 6.9% of the cost of construction and landscaping, plus \$16,500 for additional services, less a credit in the amount of \$9,382 (.5% of the basic fee); and

WHEREAS, on November 3, 2023, the Board approved a Budget Reallocation to reflect the aforementioned final design fee; and

WHEREAS, on November 3, 2023, the Board approved the Stage III submittal for the Project; and

WHEREAS, on December 14, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for the Project and Price Construction Co. Inc., of Tuscaloosa, Alabama, ("Price Construction") was declared the lowest responsible bidder with a base bid in the amount of \$1,744,825, as referenced on the certified bid tab; and

WHEREAS, the University desires to accept Alternate 1 – Storm Sewer Extension to facilitate and coordinate with other planned work in the Project area, in the amount of \$118,952; and

WHEREAS, the University is requesting approval to award the construction contract for the Project to Price Construction for a total contract in the amount of \$1,863,777, inclusive of base bid and Alternate 1; and

WHEREAS, the University is requesting approval for a Budget Reallocation to reflect the Construction Contract total and related adjustments to soft costs; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from Parking Services Reserves in the amount of \$2,779,230; and

WHEREAS, the reallocated budget for the Project is as stipulated below:

BUDGET:		REALLOCATED
Construction Phase I	\$	1,863,777
Landscaping (included with construction)	\$	0
Owner Furnished Contractor Installed	\$	255,810
Security/Access Control	\$	110,000
Telecommunication/Data	\$	50,000
Contingency* (7.5%)	\$	158,969
UA Project Management Fee** (4.5%)	\$	102,535
Architect/Engineer Fee*** (6.8%)	\$	152,132
Other****	\$	86,007
Escalation*****	\$	0
TOTAL PROJECT COST	\$	<u>2,779,230</u>

*Contingency is based on 7.5% of Construction, Landscaping, and OFCI.

**UA Project Management Fee is based on 4.5% of Construction, Landscaping, OFCI, and Contingency.

***Architect/Engineer Fee is based on 6.8% of the costs of Construction, Landscaping, and OFCI less a basic design fee lump sum credit of \$8,500, plus \$16,500 for additional services.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

*****Escalation has been allocated to Construction.

Current Package for Contract Award Approval.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Reallocation is approved as stipulated above.

NOW, THEREFORE, BE IT FURTHER RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with Price Construction Co. Inc., of Tuscaloosa, Alabama for the Project in accordance with Board Rule 415.

Approving the reallocated project budget; providing authorization to execute a construction contract for the Reese Phiifer Radio and Satellite Farm Relocation at UA (Stage IV)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, on September 6, 2019, The Board of Trustees of The University of Alabama ("Board") approved the Stage I submittal for the Reese Phifer Radio and Satellite Farm Relocation project ("Project"); and

WHEREAS, the Project previously included the relocation of existing satellite dishes and antennas from the Reese Phifer Hall area to the Satellite Farm at the University of Alabama Police Department ("UAPD") Tower Site and the removal and disposal of the Reese Phifer Communications Tower; and

WHEREAS, in accordance with Board Rule 415, on February 7, 2020, the Board authorized The University of Alabama ("University") to proceed with engineering services utilizing LBYD, Inc., Birmingham, Alabama ("LBYD"); and

WHEREAS, upon completion of negotiations with LBYD, the University established a final design fee of 7.6% of the costs of construction and demolition; and

WHEREAS, in accordance with Board Rule 415, on June 5, 2020, the Board approved renderings for the Stage III submittal for the Project; and

WHEREAS, the Project was suspended at that time in alignment with pandemic budget curtailment and material inflation has been realized during the suspension period; and

WHEREAS, certain necessary scope additions were identified during the design process and in consultation with the end user given the unique nature of this work including: a larger site built equipment shelter due to lead times for prefabricated shelters and changes in the shelter market; the requirement to continuously maintain broadcast operations for multiple departments during this Project and the extended outage necessary for testing and acceptance of new installations required by the FCC emphasizes the need for replacement equipment in lieu of reinstallation of existing equipment; changes in technology and continued degradation of the existing equipment; and additional consulting services to coordinate equipment and to complete all required existing UAPD radio tower recertifications at the conclusion of the project; and

WHEREAS, on July 11, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Package A - Construction and Hall-Taylor Construction Co., Inc., Tuscaloosa Alabama, ("Hall-Taylor Construction") was declared the lowest responsible bidder with a base bid in the amount of \$1,950,000 as referenced on the certified bid tab; and

WHEREAS, on September 1, 2023, the Board approved the award of the construction contract for Package A - Construction to Hall-Taylor Construction for a contract amount of \$1,950,000; and

WHEREAS, on September 1, 2023, the Board approved a Revised and Reallocated Budget from \$1,500,000 to \$3,953,665 to reflect scope revisions, the construction bid results, demolition costs, equipment items, associated revisions to soft costs and construction escalation from March 2020 to July 2023; and

WHEREAS, on December 12, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Package B – Reese Phifer Tower Demolition and D.H. Griffin Wrecking Co., Inc., Birmingham Alabama, (“D.H. Griffin”) was declared the lowest responsible bidder with a base bid in the amount of \$275,000 as referenced on the certified bid tab; and

WHEREAS, the University desires to accept Alternate 1 – Tower Guy Anchor and Dish Foundation Demolition at a cost of \$10,000; and

WHEREAS, the total proposed contract award of \$285,000 exceeds the budgeted amount of \$200,000 included in the previously approved project budget; and

WHEREAS, in accordance with BR415, Attachment A.11, the University is requesting approval to award the construction contract for Package B – Reese Phifer Tower Demolition to D.H. Griffin in the total contract amount of \$285,000 inclusive of Alternate 1; and

WHEREAS, this work will be executed over Spring Break 2024 so as to minimize the impact to campus operations and to maximize safety as campus will be at a minimal occupancy; and

WHEREAS, the University is requesting approval of a Reallocated Budget to reflect the construction bid results and associated revisions to soft costs; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$3,953,665; and

WHEREAS, the reallocated budget for the Project is as stipulated below:

BUDGET:	REALLOCATED
Package A - Construction	\$ 1,950,000
Package B – Reese Phifer Tower Demolition	\$ 285,000
Equipment	\$ 1,043,432
Security/Access Control	\$ 30,000
Telecommunication/ Data	\$ 75,000
Contingency* (5%)	\$ 111,750
UA Project Management Fee** (3%)	\$ 70,403
Architect/Engineer Fee***	\$ 201,126
Expenses (Surveys, Consulting, Inspections)	\$ 136,954
Other****	\$ 50,000
TOTAL PROJECT COST	\$ 3,953,665

* Contingency is based on 5% of the costs of Package A - Construction and Package B – Reese Phifer Tower Demolition.

**UA Project Management Fee is based on 3% of the costs of Package A - Construction, Package B – Reese Phifer Tower Demolition, and Contingency.

*** Architect/Engineer Fee is based on the sum of:

- 1) 6.5% of the costs of Package A – Construction and \$74,367 of Equipment, plus \$46,742 in additional services.
- 2) 8% of costs of Package B – Reese Phifer Tower Demolition

**** Other includes Geotechnical, Materials Testing, UA Work Orders, Campus Bird, Advertising, Printing, and Construction Transportation and other associated project costs, as applicable.

Current Package for Contract Award Approval.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Reallocation for the Project is hereby approved as stipulated above.

BE IT FURTHER RESOLVED, that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolution granting signature authority for the University be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with D.H. Griffin Wrecking Co., Inc., Birmingham Alabama, for Package B - Reese Phifer Tower Demolition project in accordance with Board Rule 415.

Approving the revised project budget; providing authorization to execute construction contracts for the University Early Childhood Development and Education Center at UA (Stage IV)

RESOLUTION

WHEREAS, on November 3, 2023, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama ("Board") approved a Stage I submittal for the University Early Childhood Development and Education Center project ("Project") to be located at 1300 21st Street East; and

WHEREAS, accessible and quality childcare is an important and highly sought-after benefit for University employees and the existing Judy Bonner Child Development Center has consistently operated at capacity and with an extensive waiting list; and

WHEREAS, the University has evaluated childcare needs for faculty and staff and determined that expanding these services to allow for more employees to receive these benefits would increase job satisfaction, improve retention, improve the ability to recruit top faculty and staff, and improve the overall work-life balance of faculty and staff with small children; and

WHEREAS, the Project will provide additional teaching, learning and research opportunities for Students and Faculty; and

WHEREAS, the Project will be constructed to accreditation standards and will be licensed through the Alabama Department of Human Resources Child Care Services Division, Office of Child Care Licensing; and

WHEREAS, the proposed Project includes the renovation of a portion of the former Northington Elementary School, which is well-suited to adaptive reuse as a childcare facility due to it including a flat topography, sufficient space for safe and efficient drop-off and pick-up vehicular circulation, and dedicated parking; and

WHEREAS, the renovation of 23,747 square feet of the existing facility and 11,500 square feet of the adjacent gymnasium will allow for childcare services for dependents of faculty, staff and students for approximately 250 children; and

WHEREAS, the Project site and facility is accessible and vacant, and the proposed use is deemed the most appropriate and is consistent with the nature of the area; and

WHEREAS, on November 3, 2023, the Board approved a Master Services Agreement with KinderCare Education At Work, LLC. for the management and operation of the childcare facility; and

WHEREAS, on November 3, 2023, in accordance with Board Rule 415, due to CCR Architecture and Interiors, of Birmingham Alabama (“CCR”), previous engagement by the University to perform due diligence and programming services for this Project and their being the Architect of Record for the University of Alabama at Birmingham’s New Child Development Center as well as CCR’s familiarity and extensive knowledge of childcare facilities and programs and University Design Standards and procedures which will facilitate an efficient and streamlined design process, the Board authorized the University to waive the Consultant Selection Process and to utilize CCR to provide design services for the Project; and

WHEREAS, the University negotiated a final design fee for CCR’s services based on 6% of the cost of construction packages and owner furnished contractor installed material, plus a 1.05 renovation factor, plus \$9,400 for additional services, less a credit of \$50,000, which represents a 22% discount of the standard design fee; and

WHEREAS, the Project previously consisted of two construction packages to maintain an efficient and cost-effective delivery, including Construction Package A – Interior Renovation and Construction Package B – Early Plumbing Rough-in; and

WHEREAS, Construction Package C – Roof has been separated from Package A to address water intrusion and existing roof integrity concerns in advance of Package A; and

WHEREAS, to mitigate the effects of continued supply chain challenges associated with long lead equipment, the Project includes the purchase of Owner Furnished Contractor Installed (“OFCI”) Equipment; and

WHEREAS, on December 7, 2023, pursuant to Title 39, Public Works Provisions of the Code of Alabama, competitive bids were received for Construction Package B – Early Plumbing Rough-in, and Black Warrior Mechanical Contractors, Inc., of Tuscaloosa, Alabama (“Black Warrior Mechanical”), was declared the lowest responsive and responsible bidder with a base bid of \$183,561, and the work was authorized and awarded pursuant to Board Rule 415, Attachment A.11.; and

WHEREAS, on December 12, 2023, pursuant to Title 39, Public Works Provisions of the Code of Alabama, competitive bids were received for Construction Package C – Roof, and Standard Roofing of Montgomery, Inc., of Montgomery, Alabama (“Standard Roofing”), was declared the lowest responsive and responsible bidder with a base bid of \$656,178 as referenced on the certified bid tab; and

WHEREAS, the University is requesting approval to award the construction contract for Construction Package C – Roof to Standard Roofing of Montgomery, Inc. for a total contract in the amount of \$656,178; and

WHEREAS, on December 14, 2023, pursuant to Title 39, Public Works Provisions of the Code of Alabama, competitive bids were received for Construction Package A – Interior Renovations, and J. T. Harrison Construction Co. Inc., of Tuscaloosa, Alabama (“Harrison Construction”), was declared the lowest responsive and responsible bidder with an adjusted base bid in the amount of \$5,719,000, as referenced on the certified bid tab; and

WHEREAS, the University desires to take Alternate #1 – LED Fixtures for a cost of \$36,000 and Alternate #2 – Concrete Paving at Front Entrance for a cost of \$16,500; and

WHEREAS, the University is requesting approval to award the construction contract for Construction Package A – Interior Renovation to J. T. Harrison Construction Co. Inc. for a total contract in the amount of \$5,771,500, inclusive of the adjusted Base Bid and Alternates #1 and #2; and

WHEREAS, the University is requesting approval for a Budget Reallocation and Revision from \$14,180,000 to \$10,600,000, to reflect the Construction Contracts, and the related changes to associated soft costs; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$10,600,000 and will eliminate campus deferred maintenance liabilities in the amount of approximately \$9,000,000; and

WHEREAS, the revised and reallocated budget for the Project is as stipulated below:

BUDGET:**REVISED**

Construction Package A – Interior Renovation	\$	5,771,500
Construction Package B – Early Plumbing Rough-in ¹	\$	183,561
Construction Package C– Roof	\$	656,178
Owner Furnished Contractor Installed (OFCI)	\$	1,000,000
Furniture, Fixtures and Equipment	\$	350,000
Security/Access Control	\$	325,000
Telecommunication/Data	\$	100,000
Contingency ² (10%)	\$	761,124
UA Project Management Fee ³ (4.5%)	\$	376,756
Architect/Engineer Fee ⁴ (6%)	\$	464,537
Consultant Fee - KinderCare	\$	33,000
Other ⁵	\$	578,344
Escalation ⁶	\$	0
TOTAL PROJECT COST	\$	10,600,000

¹Authorized & awarded pursuant to Board Rule 415, Attachment A.11.

²Contingency is based on 10% of Construction Packages A, B, C, and OFCI Equipment.

³UA Project Management Fee is based on 4.5% of Construction Packages A, B, C, OFCI Equipment, and Contingency.

⁴Architect/Engineer Fee is based on 6.2% of the costs of Construction for packages A and B and OFCI Equipment, with a 1.05 renovation factor, plus \$9,400 additional services, less a \$50,000 credit; and 7.6% of the cost of Construction for Package C with a 1.05 renovation factor.

⁵Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

⁶Escalation included an anticipated 1% inflation per month through the bid date of December 2023 and has been released following the bids.

Current Package for Contract Award Approval.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Revised Budget, Funding and Reallocation for the Project are hereby approved as stipulated above.
2. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with J. T. Harrison Construction Co. Inc., Tuscaloosa, Alabama, for Construction

Package A – Interior Renovation of the Project in accordance with Board Rule 415.

3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned contract with Standard Roofing of Montgomery, Inc., Montgomery, Alabama, for Construction Package C – Roof of the Project in accordance with Board Rule 415.

Approving the proposed architectural design for the McLure Library Addition and Renovation at UA (Stage III)

RESOLUTION

WHEREAS, on February 3, 2023, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama (“Board”) approved a Stage I submittal for the McLure Library Addition and Renovation project (“Project”) located at 918 University Boulevard; and

WHEREAS, the Project is a continuation and critical step in the University’s realignment of Library space as necessary to address emerging trends and needs in the function and use of Libraries and provides for the reallocation of space between Colleges to enhance adjacency and provide for growth in certain programs as appropriate to better serve students and support the faculty; and

WHEREAS, the Project will entail a comprehensive renovation of the facility to support the teaching and learning environment and will include renovation of the existing 24,181 gross square foot building, and a 4,956 gross square foot addition, increased from 4,812 gross square feet, to support infrastructure and mechanical space needs; and

WHEREAS, as McLure Library was originally constructed in 1925 and renovated in 1954 for the College of Education Library, and the building has remained primarily unchanged since that time, the Project will allow for a comprehensive renovation and will fully address all deferred maintenance issues including all new mechanical, electrical, accessibility, life safety systems, technology and building envelope issues including new windows; and

WHEREAS, in order to maintain an efficient and cost-effective delivery and to execute the most disruptive work over University breaks as appropriate to minimize the impact to students, faculty, and staff, the project has been reorganized into four (4)

construction packages including (1) Construction Package A – Storm Sewer Rehabilitation, (2) Construction Package B – Abatement and Selective Demolition, (3) Construction Package C – New Elevator, and (4) Construction Package D - Addition and Renovation; and

WHEREAS, Construction Package A – Storm Sewer Rehabilitation includes slip-lining of existing storm piping that runs underneath University Boulevard and McLure Library; and

WHEREAS, Construction Package B – Abatement and Selective Demolition includes necessary abatement and select interior demolition to prepare the Project site and to expose all appropriate structural elements to ensure design coordination; and

WHEREAS, Construction Package C – New Elevator includes the design, bidding, and early procurement of a new elevator in order to mitigate extended manufacturing schedules; and

WHEREAS, Construction Package D – Addition and Renovation includes the construction of a new addition, the interior fit-out of the existing building, the demolition and reconstruction of the entry bridge and adjacent plaza; and

WHEREAS, the existing character, detailing and quality of the building is appropriate and much in keeping with campus and the building features standard University materials, including brick and solid limestone columns, cornice and window surrounds and, together with Autherine Lucy Hall, the buildings have complemented each other and been a part of the University Boulevard streetscape for almost a century; and

WHEREAS, on April 14th, 2023, in accordance with Board Rule 415, the Board approved the top ranked architectural firms and authorized officials of The University of Alabama (“University”) to proceed with negotiations with KPS Group, Inc. (“KPS Group”), of Birmingham, Alabama; and

WHEREAS, upon completion of negotiations with KPS Group, Birmingham, Alabama, the University established a final design fee of 7.5% for Construction Package B, plus a lump sum fee of \$10,000 for Construction Package C, plus 5.6% for Construction Package D plus a 20% renovation factor, and \$50,250 in additional services, for a total fee for KPS Group of \$1,343,839; and

WHEREAS, for Construction Package A – Storm Sewer Rehabilitation, the University negotiated a final design fee of 7.3% of the cost of the package plus \$1,000 in additional services with Duncan Coker Associates, PC, Tuscaloosa, Alabama, for a total design fee of \$70,303, which is below the threshold requiring Board approval; and

WHEREAS, on June 8, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Package B - Abatement and Selective Demolition and MAK Environmental, LLC of Northport, Alabama was declared the lowest responsible bidder with a total bid with alternates in the amount of \$795,000, and the work was authorized and approved pursuant to Board Rule 415, Attachment A.11; and

WHEREAS, on September 14th, 2023, pursuant to Title 39, Public Works provisions of the Code of Alabama, competitive bids were received for Package A – Storm Sewer Rehabilitation, and REV Construction, Inc., Tuscaloosa, Alabama was declared the lowest responsive and responsible bidder with a base bid amount of \$949,360, as referenced on the certified bid tab; and

WHEREAS, on November 3, 2023, in accordance with Board Rule 415, the Board authorized The University of Alabama (“University”) to award the construction contract for Construction Package A – Storm Sewer Rehabilitation to REV Construction, Inc., in the amount of \$949,360; and

WHEREAS, responsible officials of the University have received renderings for the Stage III submittal and are recommending approval of said design; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$25,730,248 and will eliminate campus deferred maintenance liabilities in the amount of approximately \$22,843,048; and

WHEREAS, the Project budget is as stipulated below:

BUDGET:**CURRENT**

Construction Package A – Storm Sewer Rehabilitation	\$	949,360
Construction Package B – Abatement and Selective Demolition ¹	\$	795,000
Construction Package C – New Elevator	\$	410,000
Construction Package D – Addition and Renovation	\$	18,213,750
Furniture, Fixtures, and Equipment	\$	1,750,000
Security/Access Control	\$	25,000
Telecommunication/Data	\$	250,000
Contingency ² (5%)	\$	1,018,405
UA Project Management Fee ³ (3%)	\$	641,595
Architect/Engineer Fee ⁴ (~6.9%)	\$	1,414,142
Other ⁵	\$	262,996
TOTAL PROJECT COST	\$	25,730,248

¹Authorized & Awarded pursuant to Board Rule 415, Attachment A.11.

²Contingency is based on 5% of the cost of Construction Packages A, B, C and D.

³UA Project Management Fee is based on 3% of the cost of Construction Packages A, B, C, D and Contingency.

⁴Architect/Engineer Fee is based on 7.3% of Construction Package A plus \$1,000 in additional services and 7.5% of Construction Package B, a lump sum fee of \$10,000 for Construction Package C, 5.6% of Construction Package D plus a 20% renovation factor, and \$50,250 in additional services.

⁵Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama:

1. The Stage III Architectural Design submittal for the Project is hereby approved.

Granting Authorization to negotiate a Master Services Agreement for Integrated Inspection Services at UA (Stage II)

RESOLUTION

WHEREAS, Heating, Ventilation, and Air Conditioning (HVAC), Electrical Structural, Plumbing, Life Safety and Architectural systems code compliance inspections ("Integrated Inspection Services") are required for all construction projects on The University of Alabama "University") campus; and

WHEREAS, Integrated Inspection Services are mandated by the State and International Building Codes to confirm that systems provided by contractors meet code and contract requirements and industry standards in terms of quality and performance; and

WHEREAS, Integrated Inspection Services are professional services that are currently outsourced to a firm that is certified to meet technical and professional qualifications to provide these services; and

WHEREAS, the University desires to continue the effectiveness and efficiency of obtaining and providing these services through a Master Services Agreement ("Agreement"); and

WHEREAS, the Agreement will continue to allow the University to leverage its entire volume of construction to reduce costs; and

WHEREAS, the Consultant Selection Committee of the University has completed Part 1 of the Consultant Selection Process in accordance with Board Rule 415 and negotiations will be conducted following Board approval as follows:

Ranking of Top Firm

1. TTL, Tuscaloosa, Alabama

WHEREAS, the University desires to contract services for an initial three-year term, with the option of two one-year extensions; and

WHEREAS, services will be provided to the University on a unit price basis that will be mutually agreed upon and charged on a per project basis; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President, Matthew M. Fajack, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of the Board of Trustees to negotiate an Agreement for Integrated Inspection Services in accordance with Board Rule 415 for an agreement with TTL, Tuscaloosa, Alabama.

Approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement; approving the proposed architectural design for the SR-215 (15th St) and 2nd Avenue Intersection Improvements at UA (Stage I, Stage II, & Stage III)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of a Stage I submittal for the SR-215 (15th Street) and 2nd Avenue Intersection Improvements project ("Project") to be located at the intersection of SR-215 ("15th Street") and 2nd Avenue; and

WHEREAS, the Project will involve a strategic partnership with the Alabama Department of Transportation ("ALDOT") and the City of Tuscaloosa to enhance safety, traffic flow and connectivity to campus and the SR-215/15th Street corridor; and

WHEREAS, the proposed Project will extend the previously completed enhancements created with the 2nd Avenue Overpass project, which was completed and opened to traffic in August 2021, by extending the existing 2nd Avenue road profile and adding much needed improvements to the intersection of 15th Street and 2nd Avenue, which are essential to decreasing traffic delays and improving safety conditions for this heavily traveled route; and

WHEREAS, the Project consists of the previously deferred widening of 2nd Avenue from the intersection of 15th Street north to 14th Street, at the southern terminus of the Overpass, and will include the installation of new decorative light poles and fixtures as well as appropriate landscaping and hardscaping to enhance safety for pedestrians utilizing the 2nd Avenue Overpass to access campus; and

WHEREAS, the Project will also include improvements along 15th Street consisting of dedicated and extended turn lanes, and University standard decorative traffic signals, poles, and wayfinding, and will be partially funded by ALDOT; and

WHEREAS, the University requests approval to complete all necessary agreements with ALDOT, the City of Tuscaloosa, Alabama Power Company ("APCO"), as necessary for the aforementioned work; and

WHEREAS, in order to preserve the substantial knowledge base gained during the course of development and design for the previously completed 2nd Avenue Overpass project and to ensure an efficient transition from the design phase to design validation and the bidding and construction phases, the University is requesting approval to waive the Consultant Selection Process and to utilize McGiffert and Associates, LLC, of Tuscaloosa, Alabama ("McGiffert and Associates") as the principle design firm for the Project; and

WHEREAS, the University has negotiated a fee of 6.5% of the total costs of the following: Construction A – 2nd Avenue from 14th to 15th Street, Construction B – Utility Relocation and Construction C – SR-215 (15th St); plus \$39,400 in additional services; less a lump sum credit of \$47,776 for previously completed design work; and

WHEREAS, responsible officials at the University have received renderings for the Stage III submittal and are recommending approval of said design; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$5,687,499 and Alabama Transportation Rehabilitation and Improvement Program II (ATRIP II) Funding secured through the City of Tuscaloosa in the amount of \$761,300 for a total project cost of \$6,448,799; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:		PRELIMINARY
Construction A – 2 nd Ave from 14 th to 15 th Street	\$	2,020,000
Construction B – Utility Relocation	\$	496,000
Alabama Power Overhead to Underground Conversion	\$	1,200,000
Construction C - SR-215 (15 th St)	\$	1,234,000
Security/Access Control	\$	100,000
Telecommunication/Data	\$	75,000
Contingency/ ¹ (10%)	\$	375,000
UA Project Management Fee/ ² (4.5%)	\$	185,625
Architect/Engineer Fee/ ³ (6.5%)	\$	235,374
Other/ ⁴	\$	527,800
TOTAL PROJECT COST	\$	<u>6,448,799</u>

^{/1} Contingency is based on 10% of the costs of Construction A, B, and C.

^{/2} UA Project Management Fee is based on 4.5% of the costs of Construction A, B, C, and Contingency.

^{/3} Architect/Engineer Fee is based on 6.5% of the total costs of the following: Construction A, Construction B and Construction C; plus \$39,400 in additional services; less a lump sum credit of \$47,776 for previously completed design work.

^{/4} Other expenses include Geotech, ALDOT Design Review, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.
2. The Stage III Architectural Design submittal for the Project is hereby approved.
3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute an owner designer agreement with McGiffert and Associates, LLC, of Tuscaloosa, Alabama, for design services for this project in accordance with Board Rule 415.
4. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute all necessary agreements with the Alabama Department of Transportation, the City of Tuscaloosa, and Alabama Power Company or its affiliates, required for the Project.

Approving the preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Alpha Delta Pi Sorority House Bedroom Renovation at UA (Stage I & Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of Stage I and Stage II submittals for the Alpha Delta Pi Bedroom Renovation project ("Project") to be located at 675 Judy Bonner Drive; and

WHEREAS, the Project will provide for the renovation of twenty-eight (28) bedroom units, consisting of single, double, and triple occupancy rooms, at the Alpha Delta Pi Sorority House ("Chapter"), providing the Chapter members with an enhanced living environment and increasing the Chapter's recruiting potential for future membership growth; and

WHEREAS, the Project consists of replacing the existing freestanding furniture with custom millwork including built-in beds, desks, storage, and updated closets as well as fresh paint and enhanced lighting throughout the rooms; and

WHEREAS, TRI Architecture + Interior Design, Birmingham, Alabama (“TRI”), recently served as the designer of record on a University project with similar size, scope, and schedule, providing insight that will facilitate an efficient design process; and

WHEREAS, due to the minimal nature of the design elements required for the project and the application of repetitive design for the bedrooms, as well as TRI’s knowledge of University Standards, design principles, and procedures that will greatly facilitate the design and administrative process, the University is requesting approval to waive the Consultant Selection Process and to utilize TRI for the Project; and

WHEREAS, the University has negotiated a final design fee based on 7.1% of the cost of construction, and a credit of the full 25% renovation factor, representing a savings of \$26,625, which is a financial benefit to the University; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from Alpha Delta Pi Chapter Reserves in the amount of \$2,020,095, which the University has verified, and the Chapter is current with their existing note; and

WHEREAS, the Project will eliminate deferred maintenance liabilities in the amount of \$275,000 or, approximately 14% of the Project costs; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:		PRELIMINARY
Construction	\$	1,500,000
Contingency* (10%)	\$	150,000
UA Project Management Fee** (4.5%)	\$	74,250
Architect/Engineer Fee*** (7.1%)	\$	106,500
Other****	\$	75,000
Escalation*****	\$	114,345
TOTAL PROJECT COST	\$	<u>2,020,095</u>

*Contingency is based on 10% of construction.

**UA Project Management Fee is based on 4.5% of Construction and Contingency.

***Architect/Engineer Fee is based on 7.1% of Construction.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

***** Escalation is currently based on an anticipated 1% inflation per month through September of 2024 and 0.5% per month thereafter. Therefore, escalation is calculated on

a 6% basis for this project based on the anticipated bid date of October of 2024 and a delivery summer of 2025 as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.
3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute an architectural agreement with TRI Architecture + Interior Design, LLC of Birmingham, Alabama, for architectural services in accordance with Board Rule 415 for this Project.

Approving the preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Beta Theta Pi Fraternity House Rear Addition at UA (Stage I & Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama (“University”) is requesting approval of Stage I and Stage II submittals for the Beta Theta Pi Rear Addition project (“Project”) to be located at 960 University Boulevard; and

WHEREAS, the Project will allow for an addition to the north elevation (“rear”) of the Beta Theta Pi (“Chapter”) fraternity house, consisting of a 1,000 square foot extension to the existing recreation room and an additional 200 square feet of needed storage space for a total increase of 1,200 square feet; and

WHEREAS, the addition will enhance the functionality of the house and the student-life experience for both existing and future members by aligning the house with current trends in Greek life, which will aid in recruitment and Chapter growth; and

WHEREAS, to mitigate the impact to Chapter members during the Fall semester and to meet the Chapter’s request to occupy the additional space by the Spring 2025 semester, the University has sequenced the Project to include a preliminary Utility and Infrastructure relocation package ahead of the main construction package; and

WHEREAS, Ellis Architects (“Ellis”) of Tuscaloosa, Alabama, was engaged by the University and the Chapter to perform due diligence and programming services for this Project, thereby gaining valuable insight to the Project and specific Chapter needs; and

WHEREAS, this insight, as well as Ellis’s knowledge of University Standards, design principles, and procedures, will greatly facilitate an efficient design and administrative process; and

WHEREAS, Ellis has agreed to accelerate design services so that the Project can be completed, and the additional space fully utilized for the Spring 2025 semester, and for the other abovementioned benefits, the University, on behalf of the Chapter, is requesting approval to waive the Consultant Selection Process and to utilize Ellis for the Project; and

WHEREAS, the University has negotiated a final design fee based on 7.7% of the cost of the main construction package, with a 1.18 renovation factor, plus additional services of a not-to-exceed amount of \$1,000, less a credit of \$9,700, which represents a discount of approximately 23% of the standard fee; and

WHEREAS, the engineering services for the Construction – Utilities & Infrastructure Package will be a direct engineering services agreement between the University and a civil engineering firm to ensure coordination with critical University utilities in the area and City of Tuscaloosa requirements; and

WHEREAS, the Project will be funded from Beta Theta Pi Chapter Reserves in the amount of \$1,171,205, which the University has verified, and the Chapter is current with their existing note; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:**PRELIMINARY**

Construction – Addition Package	\$	575,000
Construction - Utilities & Infrastructure Package	\$	125,000
Landscaping	\$	25,000
Security & Access Control	\$	50,000
Telecomm & Data	\$	50,000
Contingency* (10%)	\$	72,500
UA Project Management Fee** (4.5%)	\$	35,888
Architect/Engineer Fee*** (~8.1%)	\$	57,045
Other****	\$	125,000
Escalation*****	\$	55,772
TOTAL PROJECT COST	\$	<u>1,171,205</u>

*Contingency is based on 10% of the Construction Packages and Landscaping.

**UA Project Management Fee is based on 4.5% of the Construction Packages, Landscaping, and Contingency.

***Architect/Engineer Fee is based on 7.7% of the Construction - Addition Package plus a 1.18 Renovation Factor, plus \$1,000 in NTE Additional Services, less a \$9,700 credit, plus a lump sum engineering fee of \$11,250 for the Construction – Utilities & Infrastructure Package plus \$3,500 in NTE Additional Services, less a credit of \$1,250 that will be a direct engineering services agreement between the University and a civil engineer to ensure coordination with critical University utilities in the area.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

*****Escalation is currently based on an anticipated 1% inflation per month through September of 2024 and 0.5% per month thereafter. Therefore, escalation is calculated on a 5% basis for this project based on the anticipated bid date of July 2024 as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.
3. Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute an architectural agreement with Ellis Architects, Inc. of Tuscaloosa, Alabama, for design services in accordance with Board Rule 415 for this Project.

Approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement for the EV Bus and Charging Infrastructure at UA (Stage I & Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of Stage I and Stage II submittals for the Electric Vehicle ("EV") and Bus Charging Infrastructure project ("Project") to be located at the Transportation Maintenance Facility on the University Services Campus of the University; and

WHEREAS, the Project will allow for the purchase of electric buses, and the purchase and installation of electric bus charging equipment and related infrastructure to support the modernization of the campus transportation system and to support the campus electrification/zero emissions plan with regards to battery and traffic research; and

WHEREAS, the Project will support research in battery technology and performance, electric fleet operations, and charging infrastructure by providing University researchers access to equipment and data in a real world environment and application; and

WHEREAS, the location for the charging is immediately adjacent to the existing Transit Maintenance Facility and bus parking and the use is appropriate and consistent for that area of the University Services Campus and as the charging will take off peak it will help balance the load on the University's electric distribution system; and

WHEREAS, Stewart Engineering, Inc., Anniston, Alabama, ("Stewart Engineering"), was engaged by the University to perform due diligence and initial studies for this Project as necessary during the grant application process related to the bus purchases and provided these services at no cost to the University; and

WHEREAS, due to Stewart Engineering's familiarity and knowledge of the University's electric distribution grid and existing facilities and specific needs of the EV bus charging stations as well as their knowledge of the University's standards, design principles, and procedures; which will facilitate an efficient design process and ensure coordination with the existing infrastructure and systems; the University is requesting approval to waive the Consultant Selection Process and to utilize Stewart Engineering for design services for this Project; and

WHEREAS, the University has negotiated a final design fee for the Project based on 7.2% of the cost of construction with no application of the design fee to Owner

Furnished Contractor Installed Equipment; which is a financial benefit to the University; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from Grant Funds in the amount of \$7,890,065, Center for Advanced Vehicle Technologies funds in the amount of \$180,000 and University Central Reserves funds in the amount of \$1,530,644 for a total of \$9,600,709; and

WHEREAS, the execution and the performance of the Project is subject to award of the Federal grant; which is pending; and

WHEREAS, the Project will address approximately \$4,000,000; or 42% of the project cost; in campus capital renewal liability through the replacement of eight (8) of the existing bus fleet; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:

PRELIMINARY

Construction	\$	1,109,800
Landscaping	\$	25,000
Owner Furnished Charging Equipment	\$	650,000
Electric Buses	\$	7,440,065
Security/Access Control	\$	10,000
Telecommunication/Data	\$	10,000
Contingency* (5%)	\$	89,240
UA Project Management Fee** (4.5%)	\$	84,332
Architect/Engineer Fee*** (7.2%)	\$	79,906
Other****	\$	60,000
Escalation*****	\$	42,366
TOTAL PROJECT COST	\$	<u>9,600,709</u>

*Contingency is based on 5% of the cost of Construction, Landscaping, and Owner Furnished Charging Equipment.

**UA Project Management Fee is based on 4.5% of the cost of Construction, Landscaping, Owner Furnished Charging Equipment, and Contingency.

***Architect/Engineer Fee is based on 7.2% of the cost of Construction.

****Other expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

***** Escalation is currently based on an anticipated 1% inflation per month through September of 2024 and 0.5% per month thereafter of the subtotal project cost. The subtotal project cost in this instance excludes the cost of the Electric Buses. Therefore, escalation is calculated on a 2% basis for this project based on the anticipated bid date of April 2024 as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.

BE IT FURTHER RESOLVED that Stuart R. Bell, President; Matthew M. Fajack, Vice President for Finance and Operations and Treasurer; or, those officers named in the most recent Board Resolution granting signature authority for the University be, and hereby are, authorized for and on behalf of the Board to execute an engineering design agreement with Stewart Engineering, Inc. of Anniston, Alabama for engineering services in accordance with Board Rule 415 for this Project.

Approving the reallocated project budget, providing authorization to execute a construction contract for the Renovation of the Susan Mott Webb Nutrition Sciences Building for a Metabolic Kitchen, Learning Center and Entrance Lobby Improvements at UAB (Stage IV)

RESOLUTION

WHEREAS, UAB's School of Health Professions Department of Nutrition Sciences (Department) is a global leader in the education and research of nutrition, diet, lifestyle, and wellness, with the goal of preventing chronic disease and facilitating optimum health and wellness in the public; and

WHEREAS, the Department maintains the bulk of its programs within the Susan Mott Webb Nutrition Sciences Building (Webb Building); and

WHEREAS, the Webb Building was constructed in 1983 at 1675 University Boulevard, and has approximately 71,443 gross square feet (GSF) of space on six floors; and

WHEREAS, the Webb Building provides the Department with classroom spaces, research and instructional laboratory spaces, laboratory support spaces, and faculty and staff office and administrative spaces; and

WHEREAS, the Webb Building has not received a significant renovation since its original construction and is no longer configured to efficiently meet the instructional and research needs of the Department; and

WHEREAS, the Department has recently initiated a Biobehavioral Nutrition and Wellness (BNW) undergraduate program that will prepare students for entry into the nutrition and wellness workforce of healthcare organizations, universities, hospitals, food and nutrition providers, insurance agencies, as well as a variety of corporations; and

WHEREAS, to successfully administer the BNW program, the Department needs access to contemporary instructional space as well as a metabolic kitchen; and

WHEREAS, the Department evaluated its existing space inventory and determined that selectively renovating portions of the Webb Building would be the most efficient means of providing these specialized spaces required to support the BNW program; and

WHEREAS, to meet the Departments unique space needs, the University initiated a project to selectively renovate the Webb Building and on February 4, 2022, The Board of Trustees of The University of Alabama (Board) approved a Stage I submittal for the project; and

WHEREAS, the project will renovate approximately 3,800 GSF of space on the fifth floor of the Webb Building to create a new learning center with three large contemporary classrooms, individual and small group study spaces, and breakout rooms; and

WHEREAS, the project will also renovate approximately 3,600 GSF of space on the sixth floor to create a metabolic kitchen (Kitchen) to meet the requirements of the BNW program and support research in the areas of diet, nutrition, and wellness; and

WHEREAS, the project will allow the Department to pursue specialized grant funding that is not currently accessible due to the lack of a Kitchen capable of conducting these specialized research activities; and

WHEREAS, additionally, the project will renovate the two-story entrance lobby, with approximately 2,100 GSF of space, and construct an addition to the lobby, with approximately 1,000 GSF of new space, to update the appearance and accessibility of the Webb Building; and

WHEREAS, the enhanced entrance lobby will provide students, faculty, and researchers with informal study and collaboration space, update the appearance of the Webb Building, and will give the Department a distinct identity along University Boulevard; and

WHEREAS, on April 14, 2023 the Board approved the Stage II submittal for the project; and

WHEREAS, subsequent to the Stage II submittal, the University completed negotiations for the design of the project, executed an owner/architect agreement with CCR Architecture and Interiors, of Birmingham, Alabama, and proceeded with design of the project; and

WHEREAS, on September 1, 2023, the Board approved the Stage III (architectural design and budget modification) submittal for the project; and

WHEREAS, the Stage III submittal for the project included a construction budget of \$5,225,000 and a total project budget of \$7,900,000; and

WHEREAS, competitive bids for the construction of the project were received from pre-qualified bidders on November 21, 2023 with P&M Mechanical, Inc. of Birmingham, Alabama determined to be the lowest responsible and responsive bidder; and

WHEREAS, P&M Mechanical's bid included a base bid price of \$4,682,000 and a price for additive alternate number one of \$208,000, for a total bid price of \$4,890,000; and

WHEREAS, as the bid pricing is within the funds available for the project, the University has elected to accept additive alternate number one; and

WHEREAS, UAB now desires to award a construction contract to P&M Mechanical for their base bid and additive alternate number one for a total construction contract of \$4,890,000; and

WHEREAS, the University has re-allocated the project budget to incorporate the final bid pricing and subsequent construction contract award; however, the total approved project budget of \$7,900,000 remains unchanged; and

WHEREAS, the project will be funded by School of Health Professions reserve funds in the amount of \$7,900,000; and

WHEREAS, the revised project budget is established as follows:

Construction	\$	4,890,000
Architect/Engineer (7.85% of Construction)	\$	383,972
Surveys, Testing, & Inspection	\$	120,000
Moveable Equipment & Furnishings	\$	575,000
Kitchen Equipment	\$	425,000
Project Administration (4.5% of Project Cost)	\$	340,200
Contingency (10% of Construction)	\$	489,000
Other	\$	676,828
TOTAL PROJECT COST	\$	7,900,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget Modification, to reallocate the project budget without altering the previously approved total project budget of \$7,900,000, is hereby approved.
2. Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a construction contract for the project with P&M Mechanical, Inc., of Birmingham, Alabama for their base bid and additive alternate numbers one for a total construction contract award of \$4,890,000.
3. The Stage IV submittal for the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with construction of the project in accordance with the appropriate provisions of Board Rule 415.

Approving the revised project scope, budget, and proposed architectural design, providing authorization to modify the Construction Management (at risk) Agreement for the Southern Research – Phase I Campus Master Plan Improvements at UAB (Revised Stage IV)

RESOLUTION

WHEREAS, as a result of the strategic review of Southern Research's ("SR") business conducted in 2020, SR has divested itself of its Engineering Division, and is proposing to focus its future business development activities to be more closely aligned

with, and supportive of, the biomedical research activities conducted by the University of Alabama at Birmingham (UAB), by expanding its Scientific Platform and Contract Research Organization Services Divisions in the area of drug discovery and development; and

WHEREAS, SR was established in 1941 and its campus, comprised of 16 buildings with an aggregate of 282,000 gross square feet (GSF), was developed during the period between 1949 and 2013; there has been limited capital investment in SR's infrastructure since 2013 and a number of significant operational issues have arisen as a result of deferred maintenance and facilities renewal liabilities (DM/FR); and

WHEREAS, SR has developed a master campus improvement plan (Master Campus Plan) to address the DM/FR issues, help streamline operations, accommodate an expansion of existing drug discovery and development programs, and create the new space and resource capacity necessary to implement new scientific programs in diagnostic, data, and commercialization activities; and

WHEREAS, the Master Campus Plan is a three phase campus improvement and development plan, of which, Phase I addresses the highest priority needs of SR; and

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama (Board) approved a submittal for Phase I of the Master Campus Plan (Phase I) that included approval of the project scope, the project budget, consultant selection, and the architectural design; and

WHEREAS, the budget approved in the submittal included a construction budget of \$82,334,000 and a total project budget of \$108,600,000; and

WHEREAS, this submittal also authorized the execution of a construction management at-risk agreement with Brasfield & Gorrie General Contractors, of Birmingham, Alabama, and authorized for the award of trade contracts to the lowest responsive and responsible bidders, so long as the award of such contracts did not result in an increase to the total project budget; and

WHEREAS, as design for Phase I progressed, SR elected to make numerous changes that will impact the approved scope, budget, and architectural design of the project; and

WHEREAS, these changes included refining the project space program and infrastructure needs to better support SR's strategic goals, replacement of major infrastructure items as required, but not previously identified; reprioritizing components of Phase I to subsequent phases, moving components from future phases into Phase I, and adding critical infrastructure to support future targeted research investment; and

WHEREAS, the Cancer Cause and Prevention Building, which houses SR's vivarium spaces, was initially intended to be extensively renovated; however, after re-evaluating this portion of the project, SR determined that the scope could be reduced to only address the DM/FR needs, while still meeting their strategic goals, and the funding could be reallocated to other higher priority needs; and

WHEREAS, the Skipper Building was initially intended to be extensively renovated to provide biosafety level 2 and 3 laboratories and support space; however, after re-evaluating this portion of the project, SR determined that the need for this space was not as critical as other needs and that the renovation of this space could be postponed to later phases of the Master Campus Plan, and allow the funding to be reallocated to more immediate needs; and

WHEREAS, the Kettering-Meyer Buildings are being renovated to create newly renovated and modern research laboratories for future use as an incubator for new start-up companies; however, as design progressed, key existing infrastructure items were found to be unable to support the intended purpose requiring the purchase of a new switchgear, chiller, and generator; and

WHEREAS, during programming for the new Flagship Building (hereinafter referred to as the New Research Building), the overall size of the building floorplate was increased and changes to numerous infrastructure items were required; and

WHEREAS, also during programming for the New Research Building, SR identified the addition of pulmonary research as critical to support their strategic goals; and

WHEREAS, to allow for the recruitment of specialized pulmonary research programs, SR identified the need to add collaborative pulmonary research infrastructure into the New Research Building to facilitate the future recruitment of top investigators in this field; and

WHEREAS, the collaborative pulmonary research infrastructure includes the construction of a basement in the New Research Building to include the mechanical, electrical, and plumbing infrastructure required to house future vivarium, vivarium support, and procedure spaces; and

WHEREAS, the replacement of portions of the envelope of the Martin Library with storefront glazing and spandrel panels was planned for later phases of the Master Campus Plan; however, SR was able to identify the additional funds required to replace the envelope as part of the Phase I scope of work and now desires to add this work into the scope of Phase I; and

WHEREAS, the construction of new landscaping and hardscaping throughout the core of the SR campus was initially planned for later phases of the Master Campus Plan; however, SR was able to identify the additional funds required and now desires to add this work into the scope of Phase I; and

WHEREAS: the revised scope of work for Phase I includes nine sub-projects to include:

- (a) Cancer Cause & Prevention Building: renovation of the Cancer Cause & Prevention Building, which houses SR's vivarium spaces to address deferred maintenance and facilities renewal (DM/FR) needs.
- (b) Skipper Building: renovation of the Skipper Building to add additional biosafety laboratory level 2 and 3 space and capability (BSL2/3) and to address DM/FR needs. The project will demolish the interior of the project space, purchase key equipment, and modify key infrastructure items to facilitate the future establishment of BSL2/3 labs, procedure rooms, and adjacent research support spaces to be constructed in later phases of the Master Campus Plan.
- (c) Kettering-Meyer Buildings: renovation of the two buildings comprising the Kettering-Meyer complex to address DM/FR needs and to renovate existing research laboratory space for future use as an incubator for new start-up companies.
- (d) Construction of a New Research Building (NRB): design and construction of a new research building to provide approximately 117,000 gross square feet with four floors and a basement. The upper two floors will be fit-up to house SR's Scientific Platforms Division, which operates programs in chemistry, structural biology, high throughput screening, infectious diseases and neurosciences. The lower two floors and basement will be shelled to provide space for future program growth.
- (e) Collaborative Pulmonary Research Infrastructure: this includes the design and construction of a basement for the NRB as well as key infrastructure for the basement and first two floors that will support future pulmonary research.
- (f) Martin Library 1st Floor: renovation of the first floor of the Martin Library Building to connect to the NRB creating enhanced accessibility on SR's campus.
- (g) Martin Library Envelope Replacement: replacement of portions of the existing Martin Library Building envelope with large expanses of storefront glazing and spandrel panels to allow additional natural light into the building, enhance the interior building environment, and update the appearance of the building.

- (h) Martin Library 3rd Floor: renovation of the third floor of the Martin Library Building to address DM/FR maintenance needs and to convert existing space, currently used as a training center, to create office and administrative space for use by new start-up companies.
- (i) Phase I Landscape/Hardscape: design and construction of new landscaping and hardscaping throughout the core of the SR campus.

WHEREAS, the revised scope of Phase I will add approximately 117,000 GSF to the SR campus and renew and/or renovate approximately 44,966 GSF of outdated and underutilized space; and

WHEREAS, the project will be funded by State of Alabama Education Trust Fund (ETF) funds in the amount of \$45,000,000; proceeds from the sale of SR's Frederick, Maryland campus in the amount of \$17,500,000; proceeds from the sale of SR's engineering assets in the amount of \$41,156,023; Jefferson County Economic Development funds in the amount of \$7,500,000; ETF 2023 Supplemental Budget funds in the amount of \$7,800,000; State of Alabama Funds in the amount of \$3,000,000; and EDA Build to Scale Grant funds in the amount of \$144,182; and

WHEREAS; the revised project budget for Phase I of the Master Campus Plan is established as follows:

Cancer Cause and Prevention Building Renovation	\$	5,717,992
Skipper Building Renovation	\$	495,006
Kettering-Meyer Complex Renovation	\$	7,741,590
New Research Building	\$	75,995,231
Collaborative Pulmonary Research Infrastructure	\$	6,663,574
Martin Library Envelope Replacement	\$	1,000,000
Martin Library (3 rd Floor) Renovation	\$	799,595
Phase I Landscape/Hardscape	\$	2,300,000
Subtotal – Construction	\$	100,712,988
Architecture/Engineering Fees (6.52% of Construction)	\$	6,569,180
Furniture, Fixtures, and Equipment	\$	6,225,594
Project Administration (0.28% of Project Cost)	\$	292,032
Contingency (2.83%)	\$	2,850,000
Other Associated Project Costs	\$	5,450,411
Total Project Cost	\$	122,100,205

WHEREAS, SR has provided renderings of the project; and

WHEREAS, pursuant to the applicable portions of Board Rule 415, SR is now requesting approval from the Board for the revised project scope, a budget modification, and the revised architectural design for Phase I of the Master Campus Plan project; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The revised project scope is hereby approved.
2. The budget modification to increase the total project budget from \$108,600,000 up to \$122,100,205 is hereby approved.
3. The revised architectural design of the project is hereby approved.
4. Brasfield & Gorrie General Contractors is granted approval to award construction trade contracts to the lowest responsive and responsible bidders so long as the award of such contracts does not cause an increase in the total project budget.
5. If the award of the construction trade contracts will cause an increase in the total project budget, SR shall bring the matter before The Board of Trustees or the Executive Committee of The Board of Trustees for approval of the construction trade contracts and a revised budget for the project.

Approving the revised project scope and budget and the proposed architectural design for the New Biomedical Research and Psychology Building at UAB (Revised Stage II, Stage III)

RESOLUTION

WHEREAS, UAB has grown to become one of the nation's leading research universities with approximately 1,239 externally funded investigators and is currently ranked 26th in overall funding from the National Institutes of Health; and

WHEREAS, to support its strategic goal of Research, Innovation, and Economic Development; the University has prioritized the continuous improvement to, and growth of, the UAB research enterprise (Enterprise); and

WHEREAS, instrumental to improving and growing the Enterprise is the recruitment of new top principal investigators (PI) and research programs from around the world, as well as the retention of existing PIs and research programs; and

WHEREAS, the University has undertaken numerous efforts to create and maintain a state-of-the-art research environment that can support its existing research programs as well as provide space for new research programs; and

WHEREAS, these efforts include the consolidation and right-sizing of research programs within the existing space inventory, as well as renovating and renewing outdated research spaces to create the contemporary research environment required to conduct modern biomedical research and successfully recruit and retain top investigators; and

WHEREAS, while these efforts have been successful in allowing UAB to utilize its existing research space inventory as efficiently as possible, new research space is now needed to facilitate continued growth in the number of PIs and research programs; and

WHEREAS, to meet the growing space needs of the Enterprise, UAB initiated a project to design and construct a new biomedical research building on available property located immediately north of University Boulevard, on the eastern portion of surface parking lot 5A, and immediately west of Volker Hall; and

WHEREAS, in addition to providing the space required for growth to the Enterprise, this site will provide medical students with greater access and exposure to cutting-edge research that has become a vital component of medical education; and

WHEREAS, additionally, this site's location at the hub of the academic, medical professional education, and research districts make this location ideal to collocate the UAB College of Arts and Sciences' Department of Psychology (Psychology) with the new biomedical research spaces; and

WHEREAS, Psychology currently maintains the bulk of its faculty and programs within Campbell Hall; and

WHEREAS, Campbell Hall was constructed in phases between 1978 and 1991, is now outdated and no longer properly supports contemporary psychology instruction and research, and is currently being vacated in preparation for a future project to renovate and renew the building to provide essential administrative and student service functions in the core of the campus where they can better serve the students, faculty, and staff of the University; and

WHEREAS, Campbell Hall is also distant to the biomedical research and neuroscience research programs with which Psychology has many required collaborations that are necessary for modern psychology instruction and research; and

WHEREAS, the University determined that collocating Psychology with biomedical research spaces in a new facility adjacent to Volker Hall provided more benefits for

Psychology than the construction of a new stand-alone Psychology building or relocation into other available spaces; and

WHEREAS, among these benefits, this collocation will place Psychology faculty investigators and students in the same facility as many of the biomedical research and neuroscience research programs with which psychology faculty investigators and students must collaborate; and

WHEREAS, this collocation will also place Psychology undergraduate and graduate students in close proximity to the academic, residential, dining, and recreation facilities in the core of the academic campus; and

WHEREAS, additionally, locating Psychology within the new biomedical research building will allow for the sharing of valuable resources and facilitate greater economies of scale, during design and construction, that will ultimately provide the Heersink School of Medicine (HSOM) and Psychology with superior facilities, more economically than building separate stand-alone facilities; and

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama approved the Stage I (general information) submittal for the project; and

WHEREAS, on November 3, 2023, the Board approved the Stage II (architect ranking, project scope, and budget modification) submittal for a project to construct a new biomedical research and psychology building (Building); and

WHEREAS, subsequent to the Stage II approval, the University finalized negotiations and executed an owner/architect design agreement with Williams Blackstock Architects, of Birmingham, Alabama, and proceeded with the design of the project; and

WHEREAS, the scope of work approved in the Stage II submittal included the design and construction of the Building, which will provide approximately 228,735 GSF of new space on eight floors; and

WHEREAS, the Building will provide the HSOM with new wet and dry laboratory biomedical research, research support, and office and administrative spaces to allow for planned growth to the Enterprise; and

WHEREAS, the Building will also provide the instructional laboratory, research laboratory, laboratory support, and office and administrative spaces necessary to house the bulk of Psychology's faculty, staff, and programs; and

WHEREAS, the project will fit-up the first six floors of the Building to house Psychology and provide space for the HSOM to immediately recruit up to forty-eight new PIs' and

WHEREAS, the top two floors of the Building will be shelled to facilitate future growth of the Enterprise and allow for the future recruitment of an additional thirty-two PIs; and

WHEREAS, in addition to the construction of the Building, the Stage II scope of work included the renovation of approximately 21,265 GSF of space in the basement of Volker Hall to provide critical research support and vivarium spaces to support the research efforts within the new Building; and

WHEREAS, to allow for secure and convenient access between the new Building and the newly renovated research support and vivarium spaces in Volker Hall, the Stage II scope of the project also included the construction of an enclosed elevated connector bridge between the new Building and Volker Hall; and

WHEREAS, on April 21, 2022, UAB received bids for a project to renovate the 2nd floor of Volker Hall for an active learning center and to construct an entrance lobby and atrium (Lobby) for Volker Hall; and

WHEREAS, the new Lobby would be constructed on the southwestern corner of Volker Hall with approximately 3,500 GSF of multipurpose space for medical students to collaborate with peers, consult with faculty, or utilize as an informal break or study area; and

WHEREAS, the design of the Lobby included a three stop elevator, which would allow those with limited mobility to fully experience the new Lobby, access all of Volker Hall's facilities via the same access points as those without limited mobility, and would improve the overall accessibility of Volker Hall; and

WHEREAS, the new Lobby would also allow significant natural light into the Volker Hall, update the appearance of building, and would provide an easily identifiable and welcoming entrance into the HSOM's primary instructional facility; and

WHEREAS, the Lobby's location at southwestern corner of Volker Hall and along University Boulevard places the Lobby directly between Volker Hall and the proposed new Building; and

WHEREAS, due to unprecedented inflation and instability in the construction market at the time of bidding, the University was unable to award the entire project; and

WHEREAS, the University split the project into two phases and constructed the active learning center as the initial phase with the intent of completing the Lobby at a later date when additional funding became available, or when the construction market stabilized; and

WHEREAS, the University has now identified the additional funding necessary to complete the Lobby and desires to proceed with its construction; and

WHEREAS, to gain greater economies of scale in construction pricing, streamline construction activities, and reduce construction related congestion along University Boulevard, the University now intends to include the construction of the Lobby in the scope of work for the Building; and

WHEREAS, the Stage II submittal for the Building included a construction budget of \$141,925,000 and a total project budget of \$183,000; and

WHEREAS, the inclusion of the Lobby into the project will result in an increase to the construction budget from the previously approved \$141,925,000 up to \$148,085,000, and an increase to the total project budget from the previously approved \$183,000,000 up to \$190,000,000; and

WHEREAS, to move forward with the project, UAB now needs to seek approval from the Board to revise the project scope to include the construction of the Lobby, approval of a budget modification to increase the project budget from \$183,000,000 up to \$190,000,000, and approval of the architectural design of the project; and

WHEREAS, the University has provided renderings of the project in accordance with Board Rule 415; and

WHEREAS, the project will be funded by federal grant funds in the amount of \$152,000,000, future UAB bond funds in the amount of \$15,000,000, HSOM reserve funds in the amount of \$17,600,000, College of Arts and Sciences reserve funds in the amount of \$3,000,000, and gift funds in the amount of \$2,400,000; and

WHEREAS, officials at UAB have determined that the Board will incur certain costs in connection with the acquisition, construction, and installation of the project prior to the issuance of the Bonds, and the Board intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for certain costs incurred in connection with the acquisition, construction, and installation of the project paid prior to the issuance of the Bonds; and

WHEREAS, the revised project budget is established as follows:

Package I – Main Building Construction (Current Cost)	\$	137,520,000
Package I – Inflation (0.5% per month through Mar. 2024)	\$	1,380,000
Package II – Vivarium Renovation (Current Cost)	\$	8,960,000
Package II – Inflation (0.5% per month through July 2024)	\$	225,000
<hr/>		
Total Construction Cost (Bid Day Cost)	\$	148,085,000
Architect/Engineer (3.51% of Construction)	\$	5,200,800
Surveys, Testing, & Inspection	\$	500,000
Moveable Equipment & Furnishings	\$	5,650,000
Scientific Equipment	\$	6,750,000
Project Administration (3.5% of Project Cost)	\$	6,425,000
Contingency (5.52% of Construction)	\$	8,170,000
Other	\$	9,219,200
<hr/>		
TOTAL PROJECT COST	\$	190,000,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The revised project scope, to include the construction of the Lobby in the project, is hereby approved.
2. The Budget Modification to increase the project budget from \$183,000,000 up to \$190,000,000 is hereby approved.
3. The revised Stage II and Stage III submittals for the project are hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with the planning, design, and bidding of the project in accordance with appropriate provisions of Board Rule 415.
5. The Board does hereby declare that it intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for expenses incurred after the date that is no more than sixty days prior to the date of the adoption of this resolution, but prior to the issuance of the Bonds in connection with the acquisition, construction, and installation of the project. This portion of this resolution is being adopted pursuant to the requirements of the Treasury Regulations Section 1.150-2(e).

Approving the revised project budget, providing authorization to negotiate an Owner/Architect Agreement for the Renovation of the 7th and 9th floors of the Lyons-Harrison Faculty Office Tower for the Heersink School of Medicine at UAB (Stage II)

RESOLUTION

WHEREAS, the Heersink School of Medicine (HSOM) Department of Medicine (Medicine) drives medical education at UAB, and is tasked with providing and advancing medical education, caring for patients, and driving new discoveries in critical research initiatives; and

WHEREAS, the HSOM Department of Surgery (Surgery) is a global leader in academic surgery and provides compassionate care, innovative research, and educational excellence to students and patients; and

WHEREAS, both Medicine and Surgery are among the most high-profile departments within the HSOM, across the UAB Campus, and contribute substantially to UAB's reputation as a top research institution and a top destination for undergraduate, graduate, and professional education; and

WHEREAS, Medicine maintains much of its administrative functions on the ninth floor of the Lyons-Harrison Faculty Office Tower (FOT) and Surgery maintains the core of its administrative functions on the seventh floor of the FOT; and

WHEREAS, the FOT was constructed in 2003, at 510 20th Street South on the UAB Campus, with approximately 103,000 gross square feet (GSF) of space to support the administrative and educational needs of numerous departments within the HSOM; and

WHEREAS, the FOT is now twenty years old and has not received a significant renovation since its construction resulting in many of the interior fixtures, finishes, and equipment approaching the end of their design life; and

WHEREAS, in addition to the aging interior, office and administrative processes have become increasingly digital and have significantly altered the way modern administrative centers function resulting in significant inefficiencies due to the dated configuration of the FOT; and

WHEREAS, as a result of their success and high-profile, Medicine and Surgery (Departments) are projecting significant future growth in enrollments and programs, which will necessitate the need for additional physicians, faculty, and staff; and

WHEREAS, the Departments' existing spaces are currently occupied at full capacity and there is no space to accommodate additional physicians, faculty, or staff to facilitate this planned growth; and

WHEREAS, the HSOM has evaluated its existing space inventory to determine the most efficient and effective means to provide the Departments with the space required to allow for planned growth; and

WHEREAS, the HSOM was unable to identify sufficient space, that was available for reuse, to accommodate the additional physicians, faculty, and staff required; and

WHEREAS, the HSOM has recently completed a space utilization study (Study) to evaluate all of its existing spaces across the campus; and

WHEREAS, the Study concluded that due to changes in administrative process' over the past twenty years, a selective renovation of many areas could substantially increase the occupant capacity within many existing facilities across the campus; and

WHEREAS, utilizing the data from the Study, the HSOM has determined that conducting a selective renovation of the seventh and ninth floors of the FOT would increase the occupant capacity by between fifty and sixty individuals, which is a net utilization increase in excess of fifty percent; and

WHEREAS, to continue the support of these critical and high-profile Departments, and allow for future planned growth to enrollments and programs, the University initiated a project to renovate the seventh and ninth floors of the FOT for reuse by the Departments; and

WHEREAS, the project will selectively demolish the interior of both the seventh and ninth floors and construct new walls; floors; ceilings; finishes; and will repair or replace the buildings mechanical, electrical, plumbing, and life safety systems as required to meet the requirements of the Departments and current building and life-safety codes; and

WHEREAS, the project will provide approximately 33,856 GSF of newly renovated office and administrative spaces, conference spaces, breakout-meeting spaces, and other support spaces necessary to accommodate the Departments' staff, programs, and growth; and

WHEREAS, the renovated space will allow for an increase in occupancy from approximately 100 staff, up to approximately 156 staff; and

WHEREAS, additionally, renovating and updating the seventh and ninth floors will address approximately \$1,015,000 in deferred maintenance and facilities renewal liabilities that will alleviate the need to complete these items in the future; and

WHEREAS, on June 9, 2023, The Board of Trustees of The University of Alabama (Board) approved the Stage I submittal for the project; and

WHEREAS, subsequent to the Stage I approval, and utilizing Board Rule 415 Architectural Selection Criteria, the University appointed consultant selection committee (CSC) interviewed firms to serve as the architect of record for the project; and

WHEREAS, the CSC interviewed three firms to serve as the architect of record for the project and ranked the top three firms in the following order for negotiations: Birchfield Penuel & Associates of Birmingham, Alabama; Ghafari Associates of Birmingham, Alabama; and CCR Architecture & Interiors of Birmingham, Alabama; and

WHEREAS, the University must now request approval to negotiate an equitable owner/architect agreement consistent with the size, scope, and complexity of the project as outlined in Board Rule 415; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration and the Chair of the Physical Properties Committee have approved the submission of the architect of record firm rankings to the Board for review and approval; and

WHEREAS, the Stage I submittal for the project included a preliminary construction budget of \$2,600,000 and a preliminary total project budget of \$4,800,000; and

WHEREAS, as the schematic design for the project progressed the project team and design team were able to more accurately evaluate the project costs and determined that the construction cost for the project would decrease from the Stage I budget of \$2,600,000 down to \$2,450,000; and

WHEREAS, this evaluation also determined that the actual cost of loose furnishings, modular office furniture, and audio-visual equipment would increase from the Stage I budget of \$1,380,000 up to \$1,670,000; and

WHEREAS, the project team and design team have re-assessed the project budget, accounted for these variations in budgeted costs and have adjusted the project budget to reflect the current schematic design of the project; and

WHEREAS, these variations in budgeted costs will result in an increase in the overall project budget from the previously approved budget of \$4,800,000 up to \$5,050,000; and

WHEREAS, the Departments have evaluated their financial resources and have identified an additional \$250,000, of internal reserve funds, that is available to increase the project budget and allow for the construction of the project; and

WHEREAS, to complete design of the project, UAB now needs to seek approval from the Board for the Stage II submittal and a budget modification to increase the total project budget from \$4,800,000 up to \$5,050,000; and

WHEREAS, the project will be funded by Heersink School of Medicine reserve funds in the amount of \$5,050,000; and

WHEREAS, the revised project budget is established as follows:

Package 1 – Renovation of 7 th Floor (Current Cost)	\$	945,275
Inflation (0.5% per month through March 2024)	\$	4,725
Package 2 – Renovation of 9 th Floor (Current Cost)	\$	1,470,370
Inflation (0.5% per month through June 2024)	\$	29,630
<hr/>		
Construction (Bid Day Cost)	\$	2,450,000
Architect/Engineer (7.82 of Construction)	\$	191,620
Moveable Equipment & Furnishings	\$	1,670,000
Project Administration (4.5% of Project Cost)	\$	217,465
Contingency (10% of Construction)	\$	245,000
Other	\$	275,915
<hr/>		
TOTAL PROJECT COST	\$	5,050,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in negotiating terms of an owner/architect agreement, in accordance with Board Rule 415, with the below listed firms in the following order and thereafter advising the Senior Vice Chancellor for Finance and Administration of The University of Alabama System and the Chair of the Physical Properties Committee of the negotiated results.

Architect of Record Design Services Package:

- 1) Birchfield Penuel & Associates of Birmingham, Alabama
 - 2) Ghafari Associates of Birmingham, Alabama
 - 3) CCR Architecture & Interiors of Birmingham, Alabama
2. The Budget Modification from \$4,800,000 up to \$5,050,000 is hereby approved.

3. The Stage II submittal for the project is hereby approved.
4. The University of Alabama at Birmingham is hereby authorized to proceed with the planning, design, and construction of the project in accordance with appropriate provisions of Board Rule 415.

Approving the preliminary project scope and budget for the Renovation of the 6th Floor of the Ryals School of Public Health Building at UAB (Stage I)

RESOLUTION

WHEREAS, UAB's School of Public Health (SOPH) conducts research and educates students with the mission of making positive and lasting change in the public's health through the pursuit of excellence in scholarship, teaching, and service to the larger community; and

WHEREAS, the SOPH maintains the bulk of their operations within the Frank & Kathleen Ellis Ryals School of Public Health Building (Ryals), located at 1665 University Boulevard; and

WHEREAS, Ryals was constructed in three phases between 1996 and 2000 and provides the SOPH with 115,436 gross square feet (GSF) of space on six floors; and

WHEREAS, floors one through five primarily contain faculty and staff office and administrative spaces as well as classroom and other instructional and instructional support spaces; and

WHEREAS, the sixth floor of Ryals was constructed primarily as wet-laboratory research and research support space; and

WHEREAS, in response to the evolving needs and requirements of research funding agencies, combined with advances in research technology and protocols, the SOPH's research efforts have been transitioning from traditional wet-laboratory bench research to more contemporary computational and participant-based research; and

WHEREAS, this transition has left the wet-laboratory spaces on the sixth floor of Ryals underutilized and an inefficient use of the SOPH's limited space inventory; and

WHEREAS, the SOPH has developed, and is implementing, a strategic plan that includes growing the size of both their educational and research enterprises and increasing the number of full-time faculty from seventy faculty members in 2021 up to one-hundred faculty members by 2025; and

WHEREAS, four of these new faculty members are to be focused on traditional instruction and education, while the remaining twenty-six new faculty members are to be research focused; and

WHEREAS, to support these new research focused faculty, the SOPH has conducted and extensive evaluation of its existing space inventory to identify the best method of providing the office, administrative, computational research, and participant-based research spaces required to successfully conduct contemporary public health research; and

WHEREAS, the evaluation determined that the SOPH does not have adequate, appropriately designed and configured, space to accommodate these new faculty researchers and that the renovation and re-use of underutilized wet-laboratory spaces on the sixth floor of Ryals was the most economical and efficient method of providing the necessary spaces; and

WHEREAS, to provide the support required for newly recruited faculty to succeed, the University is now proposing a project to renovate portions of the sixth floor of Ryals; and

WHEREAS, the project will demolish the interior of the project space and construct new walls; floors; ceilings; finishes; and will repair or replace the renovated spaces mechanical, electrical, plumbing, and life safety systems as required to meet the needs of the SOPH and current building and life-safety codes; and

WHEREAS, the project will create approximately 4,110 GSF of new office, administrative, computational research, and participant-based research space required to facilitate the SOPH's strategic growth; and

WHEREAS, to gain greater economies of scale, update the overall building, and create a more contemporary work and study environment for faculty, staff, and students, the SOPH has decided to include additional building infrastructure updates into the scope of this project; and

WHEREAS, as part of the building infrastructure updates, the project will selectively renovate approximately 14,800 GSF of corridors and common spaces on all six floors of Ryals; and

WHEREAS, the corridor and common space renovations will include new flooring, ceiling tile, wall finishes, lighting, as well as mechanical, electrical, plumbing, and life-safety modification or upgrades required to meet current building and life-safety codes; and

WHEREAS, additionally, the building infrastructure updates will extensively renovate the buildings restrooms on all six floors, totaling approximately 3,190 GSF of building space; and

WHEREAS, the restroom renovation will include all new flooring, ceilings, wall finishes, lighting, plumbing fixtures, as well as updating the mechanical, electrical, plumbing, and life-safety as required to meet current building and life-safety codes; and

WHEREAS, to move forward with the planning and consultant selection for the project, the University must now seek approval from The Board of Trustees of The University of Alabama of the Stage I submittal for the project; and

WHEREAS, the project will be funded from School of Public Health reserve funds in the amount of \$5,200,000; and

WHEREAS, the preliminary project budget is established as follows:

Construction (Current Cost)	\$	3,377,000
Inflation (0.5% per month through July 2024)	\$	173,000
<hr/>		
Total Construction (Bid Day Cost)	\$	3,550,000
Architect/Engineer (8.125% of Construction)	\$	288,438
Surveys, Testing, and Inspections	\$	25,000
Moveable Equipment & Furnishings	\$	550,000
Project Administration (4.5% of Project Cost)	\$	224,000
Contingency (10% of Construction)	\$	355,000
Other	\$	207,562
<hr/>		
TOTAL PROJECT COST	\$	5,200,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal for the project is hereby approved.
2. The University of Alabama at Birmingham is authorized to proceed with the planning for the project in accordance with the provisions of Board Rule 415.

Approving the procurement and installation of a Mass Spectrometer for the Department of Biochemistry and Molecular Genetics in the Heersink School of Medicine at UAB

RESOLUTION

WHEREAS, the UAB Heersink School of Medicine's Department of Biochemistry and Molecular Genetics (Department) conducts cutting-edge basic and translational

research in critical biomedical research areas including cancer biology, chromatin and epigenetic signaling, metabolism and signaling, regulation of gene expression, structural biology, DNA synthesis and repair, and disease mechanisms; and

WHEREAS, research conducted by the Department's faculty, staff, and students is supported by more than \$7,100,000 per year in extramural, investigator initiated grant funding; and

WHEREAS, to support this research, the Department maintains a Shared Resource Laboratory (SRL) that provides mass spectrometry (MS), and other research technology, to the Departments investigators as well as investigators throughout the UAB research enterprise; and

WHEREAS, the Department has identified the need to significantly update its in-house MS technology within the SRL to accommodate the research needs of an increasing number of investigators, and their programs, at UAB; and

WHEREAS, the Department has evaluated the current and future MS needs and determined that applications in glycosylation, glycoprotein, glycoproteomics, protein, protein biochemistry, post-translational modifications, and intact protein analysis are in increasing demand and that the SRL does not currently have the capacity to meet this demand; and

WHEREAS, this evaluation also determined that there is increasing demand for a wide variety of tandem MS fragmentation techniques including electron transfer dissociation, ultra-violet photodissociation, high-energy collision dissociation, and collision induced dissociation, as well as the modern instrument control software to combine these different modes of ion selection and ion fragmentation in real time; and

WHEREAS, to support these investigators and their programs, the Department has evaluated the best method for providing the enhanced MS technology required to facilitate critical scientific discoveries; and

WHEREAS, this evaluation determined that the University does not have the required MS technology on campus and that purchasing the required equipment is the most efficient and economical means of acquiring this technology; and

WHEREAS, the University evaluated available MS equipment and determined that the Orbitrap Ascend Mass Spectrometer (Spectrometer), manufactured by Thermo Fisher Scientific, was the only equipment currently available that meets the MS needs of UAB's research enterprise; and

WHEREAS, the Spectrometer will provide significant upgrades and advantages to UAB investigators by increasing the amount of data collected per sample resulting from

the increased duty cycle/scan rate and sensitivity of the instrument compared with UAB's existing MS technology; and

WHEREAS, the Spectrometer will also provide increased sensitivity and robustness in electron transfer dissociation fragmentation, a new means of interrogating heavily glycosylated proteins, intact proteins and protein complexes, and cross-linked proteins; and

WHEREAS, the acquisition of the Spectrometer will include current state-of-the-art instrument control software that will allow mining of samples in real time based on preset parameters; and

WHEREAS, the enhanced tools and capabilities of the Spectrometer will provide investigators with the analytical capabilities to investigate and understand the causes, effects, biological markers, and potential treatments for numerous diseases including chronic kidney disease, HIV and other viral infections, cystic fibrosis, malaria infections, and osteoarthritis; and

WHEREAS, additionally, the enhanced MS capabilities will allow investigators to analyze immune response and novel immune-based reagents such as therapeutic antibodies designed for specific diseases; and

WHEREAS, this enhanced research capability will result in improved patient diagnosis, monitoring, treatment, overall outcomes, and lead to improvements in overall public health; and

WHEREAS, the Spectrometer will be located in available research support space within the Hugh Kaul Human Genetics Building (Kaul); and

WHEREAS, locating the Spectrometer within Kaul, and in the heart of the UAB research district, will make its capabilities readily accessible to investigators across the UAB research enterprise; and

WHEREAS, to enhance the capabilities of the UAB research enterprise and support critical research, the University now desires to execute a purchase agreement for the acquisition of the Spectrometer from Fisher Scientific Company, LLC, of Hanover Park, Illinois; and

WHEREAS, the Spectrometer will be purchased utilizing an existing Vizient Medical Research Authorized Distributor Agreement; and

WHEREAS, the purchase will be funded by National Institutes of Health grant funds in the amount of \$1,716,284.98 and Heersink School of Medicine reserve funds in the amount of \$125,000; and

WHEREAS, the total cost of the purchase is as follows:

Thermo Fisher Scientific Orbitrap Ascend Mass Spectrometer	\$ 1,841,284.98
TOTAL PROJECT COST	\$ 1,841,284.98

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for the University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a purchase agreement with Fisher Scientific Company, LLC, of Hanover Park, Illinois, for a Thermo Fisher Scientific Orbitrap Ascent Mass Spectrometer, in the amount of \$1,841,284.98.
2. The University of Alabama at Birmingham is hereby authorized to proceed with the implementation of the purchase in accordance with appropriate provisions of Board Rule 415.

Approving the Acquisition and Installation of an Offset Printing Press for
UAB Printing and Mailing Services

RESOLUTION

WHEREAS, UAB Printing and Mailing Services (UAB Printing) has been meeting the campus need for printed materials; including publications, recruitment materials, advertisements, promotional materials, and numerous other printed items; for over fifty years; and

WHEREAS, these printed materials often create the first impression of UAB for potential recruits, customers, prospective students and employees, patients, and others outside of the UAB community, making them a vital component of UAB's ability to communicate to various communities and maintain its standing as one of the most visible and professional destinations for education, research, and patient care; and

WHEREAS, UAB Printing currently utilizes a large-format printing press, that is now twenty-seven years old, to conduct over ninety percent of all printing; and

WHEREAS, this existing printing press is now past its design life, does not have the capacity or capabilities of modern printing presses, and has become difficult to maintain as

knowledgeable service providers lack familiarity with printing presses of this age and replacement parts have become difficult to acquire; and

WHEREAS, UAB Printing has evaluated numerous options to replace this outdated existing printing press and has identified the Graphco RMGT 970 Offset Printing Press (Graphco) as the printing press best suited to meet the University's printing needs; and

WHEREAS, the acquisition and installation of the Graphco will provide UAB Printing with the capacity to conduct bulk large-format printing in the quantity and with the quality that the University requires; and

WHEREAS, the Graphco utilizes state-of-the-art printing technology, including LED-curing technology, which will allow for faster curing times and higher quality printed materials; and

WHEREAS, additionally the Graphco offers a wide variety of desirable printing options, not available on many comparable printing presses, including soft-touch, high-gloss, and chemical embossing among others; and

WHEREAS, the Graphco also operates utilizing 25%-40% of the electricity and half the manpower of comparable printing presses currently available; and

WHEREAS, after evaluating other available and comparable printing presses, UAB Printing determined that the Graphco cost between 30% and 50% less than the comparable printing presses; and

WHEREAS, the enhanced capacity and capabilities of the Graphco will allow UAB Printing to resume in-house printing of materials that have been outsourced in recent years due capacity and quality limitations; and

WHEREAS, the Graphco will be located in UAB's print shop at Railroad Square, located at 1720 2nd Avenue South, in close proximity to the UAB Campus; and

WHEREAS, to prepare for the installation of the Graphco, the University must construct an equipment foundation, relocate electrical and mechanical infrastructure, and conduct other minor renovations within the print shop; and

WHEREAS, to enhance the capabilities and capacity of UAB Printing, the University now desires to execute a sole source purchase with Graphco, of Solon, Ohio, for the purchase and installation of the Graphco RMGT 970 Offset Printing Press; and

WHEREAS, UAB's Purchasing Department has reviewed and approved the sole source purchase documentation, and a price of \$1,390,671.22 has been negotiated for the acquisition of the Graphco; and

WHEREAS, the purchase will be funded by UAB Central reserve funds in the amount of \$1,521,134.22; and

WHEREAS, the total cost of the purchase is as follows:

Graphco RMGT 970 Offset Printing Press	\$ 1,390,671.22
Construction	\$ 100,000.00
Architect/Engineer (12.5% of Construction)	\$ 12,500.00
Project Administration (6.5% of Project Cost less Equip. Cost)	\$ 7,963.00
Construction Contingency (10% of Construction)	\$ 10,000.00
TOTAL PROJECT COST	\$ 1,521,134.22

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or those officers named in the most recent Board Resolution granting signature authority for the University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing a purchase agreement with Graphco, of Solon, Ohio, for a Graphco RMGT 970 Offset Printing Press, in the amount of \$1,390,671.22.
2. The University of Alabama at Birmingham is hereby authorized to proceed with the implementation of the purchase in accordance with appropriate provisions of Board Rule 415.

Granting Authorization to negotiate an Owner/Consultant Agreement for the Campus Mass Notification System – Phase 3 at UAH (Stage II)

RESOLUTION

WHEREAS, on November 3, 2023, The Board of Trustees of the University of Alabama approved the Stage I submittal for the preliminary project scope and budget for the Campus Mass Notification System-Phase 3 project (“project”) located at various campus locations; and

WHEREAS, in accordance with Board Rule 415, The University of Alabama in Huntsville is requesting approval for a Stage II submittal for the Campus Mass Notification System project; and

WHEREAS, the project will expand emergency communications coverage and include the replacement of aging and end-of-life, non-voice-capable fire alarm systems and will allow individuals to received specific life safety and shelter instructions in the event that a crisis or emergency situation poses an imminent threat to the University; and

WHEREAS, previous phases of this campus-wide project that were completed in 2014 and 2019 brought these capabilities to buildings with existing voice-capable fire alarm systems and facilities that could be retrofitted with add-on mass notification systems; and

WHEREAS, updating aging facilities and infrastructure to current campus standards is identified in the 2016 UAH Campus Master Plan and the FY 2024 UAH Annual Consolidated Capital Projects and Facilities Report; and

WHEREAS, utilizing Board Rule 415 Architects, Engineers and Construction Professionals selection criteria, the University-appointed Consultant Selection Committee interviewed qualified firms to provide engineering services, directly related to the design and construction project; and

WHEREAS, the Consultant Selection Committee interviewed three firms to serve as the Engineering Firm for the project and ranked firms in the following order for negotiations:

1. Rocket MEP LLC.
2. SSOE Group
3. I.C. Thomasson Associates, Inc.

WHEREAS, the university must now request approval to negotiate an equitable Owner/Architect Agreement consistent with the size, scope, and complexity of the project as outline in Board Rule 415; and

WHEREAS, the Chair of the Physical Properties Committee and the Senior Vice Chancellor for Finance & Administration approved the above ranking of firms for inclusion in the Board materials for consideration at the February 2024 Board meeting; and

WHEREAS, on November 3, 2023, the Board of Trustees approved the project budget as follows:

Project Budget		Totals
Construction Costs	\$	2,887,192
Professional Fees (8%)*	\$	300,539
UAH Project Management Fees (4.5%)	\$	144,078
Miscellaneous Project Costs	\$	59,891
Construction Contingency (10%)	\$	288,719
Escalation (10%) **	\$	334,581
Total Project Cost	\$	4,015,000

* Professional Fees include programming & planning fees, Engineer's basic services, additional services and reimbursable expenses. Engineer's Basic Services Fee is based on 8% of Construction Costs per the State (DCM) fee schedule.

** Escalation is applied to all project costs excluding the Construction Contingency to accommodate material and labor cost increases associated with the Huntsville construction market, national inflation, and the pandemic impact on global labor and supply chain. Escalation reflects a phased development approach over the course of multiple years.

WHEREAS, the Project will be funded from the University Auxiliary Funds in the amount of \$1,992,650, and the Redstone Retention Funds in the amount of \$2,022,359; and

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage II Submittal is hereby approved.
2. Dr. Charles L. Karr, President, or Todd M. Barré, Vice President for Finance and Administration, at The University of Alabama in Huntsville, is hereby authorized to act for and in the name of the Board of Trustees of The University of Alabama in negotiating terms of an Owner/Architect Agreement accordance with Board Rule 415, with the below-listed firms in the following order and thereafter advise the Chairman of the Physical Properties Committee and the Senior Vice Chancellor of Finance & Administration of The University of Alabama System of the negotiated results:
 1. Rocket MEP LLC.
 2. SSOE Group
 3. I.C. Thomasson Associates, Inc.

Granting Authorization to execute an Amendment to the Lease Agreement
with Delta Tau Corporation of Alpha Omicron Pi at UAH

RESOLUTION

WHEREAS, The University of Alabama in Huntsville (“University”) entered into a Lease Agreement with Delta Tau Corporation of Alpha Omicron Pi (“Corporation”) on July 17, 2018, to enable the Corporation to lease facilities to be used as a sorority chapter house on the campus of the University; and

WHEREAS, the Corporation requested a modification in order to modify Section 4.06 of the Agreement, and both parties desire to execute the proposed Amendment to Lease Agreement, a copy of which is attached hereto as Attachment A; and

WHEREAS, the University has had a long-standing and successful relationship with the Corporation since the chapter’s charter in 2011, and the Amendment will enable the University and the Corporation to continue their strong partnership;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama System that Charles L. Karr, President, or Ronnie Hebert, Interim Vice President for Student Affairs, or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama in Huntsville, be, and is hereby authorized to enter into First Amendment to Agreement as attached hereto as Attachment A with Delta Tau Corporation of Alpha Omicron Pi.

Trustee Urquhart added that the Committee was presented with a report from the System Office on construction contracts awarded for interior renovation projects.

Pro tem Phelps thanked Trustee Urquhart for her report and recognized Trustee Malone for a report from the Audit, Risk, and Compliance Committee.

Trustee Malone reported that the Audit, Risk, and Compliance Committee met on February 1, 2024, and heard a presentation by PricewaterhouseCoopes of audit results and required communications for the University of Alabama System for the fiscal year ending September 30, 2023. The Committee also reviewed and unanimously approved a resolution approving the Financial Statements of The University of Alabama System for

the fiscal year ending on September 30, 2023. Trustee Malone moved for its approval by the Board, which was seconded by Trustee Simon. Pro tem Phelps asked if there were questions or comments concerning any of the Audit, Risk, and Compliance Committee's actions. Hearing none, Pro tem Phelps then called for a vote, and the following resolution was unanimously adopted:

Approving FY 2023 Financial Statements

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has reviewed the Financial Statements for the fiscal year ended September 30, 2023, for The University of Alabama System.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Financial Statements for The University of Alabama System for the year ended September 30, 2023, a copy of which shall be maintained in the Office of the Secretary, be, and hereby are, approved.

Pro tem Phelps thanked Trustee Malone for his report and recognized Trustee Gray for a report from the Finance Committee.

Trustee Gray reported that the Finance Committee met on February 2, 2024, considered four resolutions, and heard a report on one information item. The Finance Committee unanimously recommended the four resolutions for approval. Trustee Gray moved for their approval by the Board, which was seconded by Trustee Brooks. Pro tem Phelps asked if there were questions or comments concerning any of the Finance Committee's actions. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving Updating Signature Authority at UA

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama that Dr. Stuart R. Bell, as President; Dr. James T. Dalton, as Executive Vice President and Provost; Ms. Cheryl Mowdy, as Interim Vice President for Finance and Operations and Treasurer; Mr. Chad Tindol, as Chief Administrative Officer; Dr. Adam Tate as Executive Director of Finance; and Ms. Julie Shelton, as Associate Vice President for Finance, as officials of The University of Alabama, are each authorized to act for and in the name of The Board of Trustees of The University of Alabama in negotiating and executing any and all contracts, agreements, instruments, reports or other documents as necessary in furtherance of the mission of The University of Alabama and the goals and objectives of The Board of Trustees of The University of Alabama.

BE IT FURTHER RESOLVED that Dr. Allen Parrish, as Interim Vice President for Research and Economic Development; Ms. Lauren Wilson, as Associate Vice President for Research Agreements and Commercialization; and Ms. Jennifer Camp, as Associate Vice President for Research Administration, are authorized to act for and in the name of the Board of Trustees of The University of Alabama in making application for and negotiating and executing contract or grant agreements with any agency of local, state, national or foreign government, foundation, corporation, or individual with regard to research, instructional, and service contracts and grants, in furnishing necessary reports or other instruments in connection therewith. Dr. Allen Parrish is further authorized to designate in writing an appropriate number of staff in the Office of Contract and Grant Accounting who are authorized to bind the University for the limited purpose of submitting official invoices, draws, and other financial information to federal agencies but only to the extent such authority is required by the regulations of the federal Office of Management and Budget.

BE IT FURTHER RESOLVED that Mr. C. Collin Rich, Executive Director, Office for Export Controls and a designated Export Control Empowered Official for the University is authorized to act for and in the name of the Board of Trustees of The University of Alabama to sign license applications or other related requests for approvals related to export control matters.

BE IT FURTHER RESOLVED that Ms. Nichole Cavin, as Associate Director of the Office of Sponsored Programs, is authorized to act for and in the name of the Board of Trustees of The University of Alabama in making application for contract and grant agreements with any agency of local, state, national, or foreign government, foundation, corporation, or individual with regard to research, instructional, and service contracts and grants, in furnishing necessary reports or other instruments in connection therewith.

BE IT FURTHER RESOLVED that Mr. Kevin Stevens, Executive Director of Procurement Services, is authorized to execute agreements on behalf of the University for the limited purpose of purchasing goods or services below \$50,000 and for permissive use agreements for use and occupancy of University facilities and spaces.

BE IT FURTHER RESOLVED that any two of the following five officials, Dr. Stuart R. Bell, Ms. Cheryl Mowdy, Dr. Adam Tate, and Ms. Julie Shelton, be, and hereby are, authorized for and in the name of the said Board, a corporation, to sell at public or private sale or exchange any or all shares of stock, bonds or private sale, or exchange any or all shares of stock, bonds or securities, in any corporation, association, trust, municipal corporation, or government which may now or hereafter stand in the name of The Board of Trustees of The University of Alabama for The University of Alabama, Tuscaloosa, Alabama, or in its name and in the name of others received in exchange, and in the name of The Board of Trustees of The University of Alabama to sign any transfers, assignments or powers of attorney that may be necessary to make the transfer or exchange, and to deliver the same, together with the stock or securities sold or exchanged, to the transferee, or his agents.

BE IT FURTHER RESOLVED that all previous resolutions granting any of the foregoing powers of authority be, and hereby are, rescinded.

Approving Updating Authorized Officials with Approved Depositary at UA

RESOLUTION

WHEREAS, The University of Alabama desires to create, maintain, and establish an account of the nature hereinafter described with Cadence Bank for the deposit of certain funds under its control, as hereinafter described; and,

WHEREAS, on February 3, 2023, The Board of Trustees granted approval for Cadence Bank to be a depositary for the funds of The University of Alabama in such accounts or account as shall be approved by the Board from time to time by resolution; and

WHEREAS, the depositary has previously been approved as a depositary for University funds by resolution of The Board of Trustees of The University of Alabama and, as such approved depositary, agrees to and accepts the creation, maintenance, and administration of the hereinafter described account upon the terms and conditions hereinafter specified.

BE IT FURTHER RESOLVED by the Board of Trustees of the University of Alabama that the individuals identified on *Exhibit H* are authorized to effect the transactions specified therein on behalf of The University of Alabama until this authorization is

removed by official action of the Board. This resolution supersedes any prior Resolutions granting such authority to act on behalf of the University of Alabama to any individual or individuals.

Approving the Execution of an Agreement between Walter P. Moore and Associates, Inc. and The University of Alabama at Birmingham

RESOLUTION

WHEREAS, Walter P. Moore and Associates, Inc. ("Walter P. Moore"), a Texas corporation, is an innovative consulting firm of engineers, scientists, managers and technical specialists within the fields of parking and transportation management, providing strategic guidance and technical solutions to organizations to move their missions forward; and

WHEREAS, The University of Alabama at Birmingham ("UAB") is carefully examining multiple factors to improve utilization of existing parking inventory and development of transportation options and new technology to ensure effective and sustainable management of resources in a consolidated approach between UAB and entities of the UAB Health System in Birmingham; and

WHEREAS, Walter P. Moore has assisted numerous higher education institutions and hospitals with the review, evaluation, and provision of recommendations for organizational structures and policies, new technology integration, and development of strategy and financial modeling in the field of transportation and parking management; and

WHEREAS, this agreement will engage the services of Walter P. Moore to conduct review, evaluate, and prioritize recommendations, to be delivered as a Comprehensive Parking and Transportation Plan including five (5) and ten (10) year budget recommendations; and

WHEREAS, the term of the agreement is for 13 months with a not to exceed value of \$377,300. The source of funding will be Central Administration funds;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the actions set forth above are hereby approved, authorized, ratified and confirmed, and Brian D. Burnett, Ph.D., Senior Vice President for Finance and Administration, or any other officer named in the most recent Board Resolution granting signature authority for UAB is hereby authorized and directed to execute and deliver the Agreement on behalf of the Board in substantially the form attached hereto and containing such terms as described in this resolution.

Approving UAB Signature Authority at UAB

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama that, effective February 2, 2024, Dr. Ray L. Watts as President of The University of Alabama at Birmingham; Dr. Janet Woodruff-Borden as Senior Vice President for Academic Affairs and Provost of The University of Alabama at Birmingham; Dr. Brian D. Burnett, as Senior Vice President for Finance and Administration of The University of Alabama at Birmingham; Ms. Stephanie Mullins as UAB Chief Financial Officer and Associate Vice President for Financial Affairs of The University of Alabama at Birmingham; Mr. Bernard Mays, Jr. as Assistant Vice President/Controller; Mr. R. Andrew Hollis as Assistant Vice President for Financial Affairs and Dr. Shadi Martin, Chief International Officer are each authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and in negotiating and executing any and all contracts, agreements, instruments, reports or other documents as necessary in furtherance of the mission of The University of Alabama and the goals and objective of The Board of Trustees of The University of Alabama.

BE IT FURTHER RESOLVED that Dr. Christopher S. Brown as Vice President of Research of The University of Alabama at Birmingham; Ms. Gina Hedberg as Associate Vice President for Research and Executive Director of Sponsored Programs; Mr. Mike Matthews as Director of Research Technology and Communications; Mr. Jonathan Miller as Assistant Vice President for Research Regulatory Oversight; and Dr. Jason J. Nichols as Senior Associate Vice President, Research Administration are authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and negotiating and executing contract and grant agreements with any agency of local, state, national or foreign government, foundation, corporation or individual with regard to research and service activities and in furnishing necessary reports or other instruments in connection therewith.

BE IT FURTHER RESOLVED that Mr. Thomas I. Brannan as Vice President for Advancement and Strategic Initiatives of The University of Alabama at Birmingham is authorized to act for and in the name of the Board of Trustees of The University of Alabama in negotiating and executing gift agreements between the University and its donors.

BE IT FURTHER RESOLVED that, Ms. Stephanie Mullins is further authorized to designate in writing an appropriate number of staff in the Office of Financial Accounting & Review Services who are authorized to bind the University for the limited purpose of submitting official invoices, draws, and other financial information to federal agencies but only to the extent such authority is required by the regulations of the federal Office of Management and Budget.

BE IT FURTHER RESOLVED that any two of the following four officials: Dr. Ray L. Watts, Dr. Brian D. Burnett, Ms. Stephanie Mullins, and Ms. Elizabeth S. Morgan, Assistant Vice President for Financial Affairs/Treasurer of The University of Alabama at Birmingham, be, and hereby are, authorized for and in the name of the said The Board of Trustees of The University of Alabama, a corporation, to sell at public or private sale or exchange any or all shares of stock, bonds, corporation, or government which may now or hereafter stand in the name of The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham or in its name and in the name of others, and to receive and receipt for the purchase price of property received in exchange, and in the name of The Board of Trustees of The University of Alabama to sign any transfers, assignments, or powers of attorney that may be necessary to make the transfer or exchange and to deliver the same or exchanged, to the transferee or his agents.

BE IT FURTHER RESOLVED that all previous resolutions granting any of the foregoing powers or authority to any persons be, and hereby are, rescinded effective February 2, 2024.

Pro tem Phelps thanked Trustee Gray for his report and recognized Trustee Humphrey for a report from the Academic Affairs and Student Affairs Committee.

Trustee Humphrey reported that the Academic Affairs and Student Affairs Committee met on February 2, 2024, considered 39 agenda items, and heard a report on 16 information items. The Committee unanimously recommended the 39 resolutions for approval. Trustee Humphrey moved for their approval by the Board, and the motion was seconded by Trustee Vandervoort. Pro tem Phelps asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Granting Approval of Amendments for Board Rule 502 at UAS

RESOLUTION

WHEREAS, the Board Manual Of the Board of Trustees of the University of Alabama includes policy statements and guidelines for establishing new academic programs at all University of Alabama System campuses via Board Rule 502; and

WHEREAS, the last revisions made to Board Rule 502 were approved by the Board on November 14, 2008; and

WHEREAS, the proposed amendments reflect many general changes in academic practice within the University of Alabama System since the current Board Rule was last updated more than 15 years; and

WHEREAS, in 2023, the Alabama Commission on Higher Education (ACHE) approved comprehensive changes in its Academic Administrative Procedures, including the statewide elimination of the Notice of Intent to Submit a Proposal (NISP) process, which directly impact UAS's current processes for establishing new academic programs; and

WHEREAS, representatives from the University of Alabama System Office of Academic and Student Affairs and all three UAS campuses were actively engaged in the review and feedback process related to the aforementioned ACHE changes; and

WHEREAS, the proposed amendments to BR 502 seek to not only add clarity to existing Board Rules and address important State-level changes in academic administrative procedures, but also reflect the overarching expectations of the University of Alabama System and its Board related to developing, enhancing, and sustaining academic excellence through effective policy and practice as detailed in Exhibit I ; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the proposed Board Rule amendments to Board Rule 502: *Establishing New Academic Programs*;

BE IT FURTHER RESOLVED that the amendments be effective upon Board approval and added to the Board Manual of the University of Alabama Board of Trustees.

Granting Approval of Amendments for Board Rule 505 at UAS

RESOLUTION

WHEREAS, the Board Manual Of the Board of Trustees of the University of Alabama includes policy statements and guidelines for making changes in the status of academic programs at all University of Alabama System campuses via *Board Rule 505: Changes in Academic Program Status*; and

WHEREAS, the last revisions made to Board Rule 505 were approved by the Board on November 14, 2008; and

WHEREAS, Board Rule amendments are now needed to add clarity regarding academic program alterations and to provide further guidance and expectations about placing existing programs on inactive status and reactivating these programs; and

WHEREAS, it has been recommended that the title of this Board Rule be expanded to include *Academic Program Alterations* such that the proposed title of Board Rule 505 becomes “Changes in Academic Program Status and Academic Program Alterations”; and

WHEREAS, additional verbiage has been recommended within the content of this Board Rule outlining steps for the termination of academic programs to ensure that campuses have followed campus protocols and are clear about System and Board expectations for addressing the needs of students in the program pipeline and issues related to faculty, financial resources, and facilities when programs are proposed for termination; and

WHEREAS, the proposed amendments are expected to add clarity to existing Board Rules regarding academic program modifications and bring the System and campuses up to date with State-level administrative procedures for academic programs as detailed in Exhibit J;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the proposed Board Rule amendments and renames the existing Board Rule 505: *Changes in Academic Program Status and Academic Program Alterations*;

BE IT FURTHER RESOLVED that the modifications be effective upon Board approval and added to the Board Manual of the University of Alabama Board of Trustees.

PowerPoint

Academic Affairs and Student Affairs Committee

February 2, 2024

THE UNIVERSITY OF
ALABAMA

A NISP for a Doctor of
Philosophy in
Criminology and Criminal
Justice (CIP Code
45.0401)

- Criminology and Criminal Justice (PhD) Degree
 - Will allow students to focus on one of three areas—violent crime, courts and corrections, or global criminology—for which they will gain a comprehensive understanding of the leading scholars, most significant work, and unanswered questions on subtopics for future study.
 - Will prepare graduates for careers as post-secondary educators and researchers, social scientists, and criminal investigators.
 - Will help address an unmet need in the state of Alabama for employees, educators, and professionals with an expertise in criminology and criminal justice to address the challenges of the criminal justice system and reduce violent crime victimization.

Govenda C.1



PowerPoint

A NISP for a Doctor of
Philosophy in
Criminology and Criminal
Justice (CIP Code
45.0401)

- Criminology and Criminal Justice (PhD) Degree
 - Will be the only PhD program in criminology and criminal justice in the state of Alabama.
 - Will build on the expertise of current faculty within the department with two new faculty members being added this year to support the undergraduate and graduate programs in the department.
 - Will be housed in the Department of Criminology and Criminal Justice in the College of Arts and Sciences.

Govenda C.1

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Doctor of Philosophy (Ph.D.) degree in Criminology and Criminal Justice (CIP Code 45.0401) in The Department of Criminology and Criminal Justice in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, the Governor of Alabama has called for multifaceted solutions driven by research and data to address challenges associated with criminal justice, prisons, and violent crime; and

WHEREAS, The University of Alabama is nationally ranked among “R1 - Doctoral Universities – Very high research activity” but does not have a doctoral program in criminology or criminal justice befitting that status; and

WHEREAS, The Department of Criminology and Criminal Justice has one of the largest undergraduate programs at The University of Alabama and a strong Master’s program; and

WHEREAS, The Department of Criminology and Criminal Justice has a young cadre of strong faculty members with nationally and internationally recognized research accomplishments who could mentor the next generation of experts; and

WHEREAS, the highest levels of education are required to train the next generation of post-secondary educators, intelligence analysts, digital forensics analysts, social scientists, and criminal justice practitioners;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of a Notification of Intent to Submit a Proposal (NISP) for a Doctor of Philosophy (Ph.D.) degree in Criminology and Criminal Justice (CIP Code 45.0401) in the Department of Criminology and Criminal Justice in the College of Arts and Sciences at The University of Alabama.

PowerPoint

A NISP for a Master of Arts in Philosophy (CIP Code 38.0101)

- Philosophy (MA) Degree
 - Will provide students with the opportunity for study and research into philosophical issues related to the practices of law, medicine, society, and ethics.
 - Will prepare students for a variety of careers in business, law, medicine, and government.
 - Will prepare students for law school, medical school, or other post-graduate studies.
 - Will be the only graduate program in philosophy in the state of Alabama.

Govenda C.2

PowerPoint

A NISP for a Master of Arts in Philosophy (CIP Code 38.0101)

- Philosophy (MA) Degree
 - Will provide students with the option of three concentrations: Philosophy, Medicine, and Ethics; Law, Ethics and Society; and Mind and Brain.
 - Will build on the expertise of current faculty within the department with a new faculty member being added this year to support the undergraduate philosophy program and the proposed master's program.
 - Will be housed in the Department of Philosophy in the College of Arts and Sciences.

Govenda C.2

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Arts (M.A.) degree in Philosophy (CIP Code 38.0101) in the Department of Philosophy in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, the Department of Philosophy currently offers a Bachelor of Arts (B.A.) degree in Philosophy with a collection of courses in philosophical issues related to the conception, theories and practices of medicine, law and the study of the mind and brain; and

WHEREAS, continued post-graduate study in these areas will help prepare students for study in Medical School, Law School, and Ph.D., programs in philosophy, psychology, and neuroscience; and

WHEREAS, the proposed Master's of Arts (M.A.) degree in Philosophy program will provide philosophical training in these areas to prepare students for professional practice in medical and law related professions; and

WHEREAS, the proposed Master's of Arts (M.A.) degree Philosophy will be the only graduate level philosophy program in the state of Alabama; and

WHEREAS, this program builds on the widely recognized skills and expertise of the current faculty in the Department of Philosophy;


NOW, THEREFORE, BE IT BE RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of a Notification of Intent to Submit a Proposal (NISP) for a Master of Arts (M.A.) degree in Philosophy (CIP code 38.0101) in the Department of Philosophy in the College of Arts and Sciences at The University of Alabama.

PowerPoint

A NISP for a Bachelor of
Science in Informatics
(CIP Code 11.0104)

- Informatics (BS) Degree
 - Will enable students to assess information technology impacts and design information structures to solve complex social and organizational problems.
 - Will help meet a growing need for professionals who approach information technology from a user-centered perspective with a focus on the analysis and design of socio-technical systems that store, process, and communicate information.
 - Will prepare graduates for entry into a variety of information technology positions such as web developers and digital interface designers, computer systems analysts, and software quality assurance analysts.

Govenda C.3




PowerPoint

A NISP for a Bachelor of Science in Informatics (CIP Code 11.0104)

- Informatics (BS) Degree
 - Will provide a curriculum that combines foundational knowledge, practical skills, and professionally relevant experiences.
 - Will build on the expertise of faculty in the college with the addition of two new faculty prior to implementing the program.
 - Will be housed in the School of Library & Information Studies in the College of Communication & Information Sciences.

Govenda C.3



Approving the Submission of a Notification for Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) Degree in Informatics (CIP 11.0104) in the School of Library & Information Studies in the College of Communication & Information Sciences at UA

RESOLUTION

WHEREAS, information technologies such as social media, artificial intelligence, and interactive media have moved from the periphery to center stage; and

WHEREAS, there is a growing need for professionals who approach information technology from a user-centered perspective with a focus on the analysis and design of socio-technical systems that store, process, and communicate information; and

WHEREAS, the School of Library & Information Studies (SLIS) is poised to leverage existing expertise in informatics and information technology to create an initial undergraduate degree offering unanimously supported by the faculty; and

WHEREAS, the Informatics (B.S.) degree program will enable students to assess information technology impacts and design information structures to solve complex social and organizational problems; and

WHEREAS, the Informatics (B.S.) degree program will enable students to benefit from interdisciplinary expertise across the entirety of the College of Communication & Information Sciences; and

WHEREAS, the creation of a new undergraduate degree program will improve access and opportunity for students while growing the enrollment of the College of Communication & Information Sciences;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Informatics (CIP 11.0104) in the School of Library & Information Studies in the College of Communication & Information Sciences at The University of Alabama.

PowerPoint

A NISP for a Bachelor of Science in Real Estate (CIP Code 52.1501)

- Real Estate (BS) Degree
 - Will prepare students to develop, purchase, sell, lease, appraise, and manage real estate.
 - Will prepare graduates for roles in property and facility management, brokerage and leasing, appraisal and advisory, real estate finance and investments, corporate real estate advisory services, and development and project management.
 - Will allow graduates the opportunity to take the Alabama Real Estate Salesperson License exam and qualifies graduates for the fast-track program in the Institute of Real Estate Management's Certified Property Manager (CPM).

Govenda C.4



PowerPoint

A NISP for a Bachelor of Science in Real Estate
(CIP Code 52.1501)

- Real Estate (BS) Degree
 - Will provide students with the option of three concentrations: Finance and Investments; Brokerage, Property and Asset Management; and Development and the Build Environment.
 - Will build on the curriculum of the Real Estate Minor within the department.
 - Will be housed in the Department of Economics, Finance, and Legal Studies in the Culverhouse College of Business.

Govenda C.4

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Real Estate (CIP Code 52.1501) in the Department of Economics, Finance, and Legal Studies in the Culverhouse College of Business at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business currently offers a Real Estate minor; and

WHEREAS, the Bachelor of Science (B.S) degree in Real Estate is designed to allow students to move into multi-disciplinary entry-level roles, such as property and facility management, brokerage and leasing, appraisal and advisory, real estate finance and investments, corporate real estate advisory services, and development and project management; and

WHEREAS, students will have the opportunity to select and specialize in one of the concentrations: Finance and Investments; Brokerage, Property and Asset Management; or Development and the Built Environment; and

WHEREAS, the Culverhouse College of Business will utilize existing courses, resources, and faculty for the Real Estate degree program; and

WHEREAS, the demand from students and industry for a degree program in Real Estate is evident;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Real Estate (CIP Code 52.1501) in the Department of Economics, Finance, and Legal Studies in the Culverhouse College of Business at The University of Alabama.

Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (English Language Arts, Mathematics, Social Studies, and Mathematics Specialist) as Reasonable Extensions of the Existing Education Specialist (Ed.S.) in Secondary Education (CIP Code 13.1205) in the Department of Curriculum and Instruction in the College of Education at UA

RESOLUTION

WHEREAS, the College of Education currently offers an Education Specialist (Ed.S.) degree in Secondary Education; and

WHEREAS, the faculty of the Department of Curriculum and Instruction in the College of Education have approved designated extensions within the existing Secondary Education (Ed.S.) program; and

WHEREAS, the College of Education has documented and consistently demonstrated the need for individuals with specific content discipline expertise; and

WHEREAS, the proposed Concentrations in English Language Arts, Mathematics, Social Studies, and Mathematics Specialist, contain existing courses and allow students to have a formal designation on their transcript; and

WHEREAS, all concentrations utilize existing expertise in the department and can be deployed without additional resources;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a set of concentrations (English Language Arts, Mathematics, Social Studies, and Mathematics Specialist) as reasonable extensions of the existing Education Specialist (Ed.S.) degree in Secondary Education (CIP Code

13.1205) in the Department of Curriculum and Instruction in the College of Education at The University of Alabama.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (Early Childhood Special Education, Gifted Education, and Collaborative Special Education) as Reasonable Extensions of the Existing Education Specialist (Ed.S.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at UA

RESOLUTION

WHEREAS, the College of Education currently offers an Education Specialist (Ed.S.) degree in Special Education; and

WHEREAS, the faculty of the Department of Special Education in the College of Education have approved designated extensions within the existing Special Education (Ed.S.) program; and

WHEREAS, the College of Education has documented and consistently demonstrated the need for individuals with specific content discipline expertise; and

WHEREAS, the proposed Concentrations in Early Childhood Special Education, Gifted Education, and Collaborative Special Education comprise existing courses and allow students to have a formal designation on their transcript; and

WHEREAS, all concentrations utilize existing expertise in the department and can be deployed without additional resources;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a set of concentrations (Early Childhood Special Education, Gifted Education, and Collaborative Special Education) as reasonable extensions of the existing Education Specialist (Ed.S.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at The University of Alabama.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (Early Childhood Special Education, Gifted Education, Severe Disabilities, Autism, Collaborative Special Education K-6, and Collaborative Special Education 6-12) as Reasonable Extensions of the Existing Master of Arts (M.A.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at UA

RESOLUTION

WHEREAS, the College of Education currently offers a Master of Arts (M.A.) degree in Special Education; and

WHEREAS, the faculty of the Department of Special Education in the College of Education have approved designated extensions within the existing Special Education (M.A.) program; and

WHEREAS, the College of Education has documented and consistently demonstrated the need for individuals with specific content discipline expertise; and

WHEREAS, the proposed Concentrations in Early Childhood Special Education, Gifted Education, Severe Disabilities, Autism, Collaborative Special Education K-6, and Collaborative Special Education 6-12, comprise existing courses and allow students to have a formal designation on their transcript; and

WHEREAS, all concentrations utilize existing expertise in the department and can be deployed without additional resources;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a set of concentrations (Early Childhood Special Education, Gifted Education, Severe Disabilities, Autism, Collaborative Special Education K-6, and Collaborative Special Education 6-12) as reasonable extensions of the existing Master of Arts (M.A.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at The University of Alabama.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) as an Information Item the name change of the existing Nursing Administration Concentration to Nurse Executive Concentration in the existing Nursing (MSN) degree (CIP Code 51.3801) in the Capstone College of Nursing at UA

RESOLUTION

WHEREAS, the Capstone College of Nursing currently offers a concentration in its Nursing MSN program named "Nurse Administration"; and

WHEREAS, this name has been determined to be inconsistent with national standards and competitive institutions; and

WHEREAS, the focus of the concentration is on the nurse as an executive; and

WHEREAS, changing the concentration title to "Nurse Executive" will make this focus clearer and differentiate the concentration from those targeting lower-level nurse managers;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) as an information item the name change of the existing Nurse Administration concentration to Nurse Executive concentration in the existing Nursing (MSN) degree (CIP Code 51.3801) in the Capstone College of Nursing at The University of Alabama.

Approving the Establishment of the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance and Approving the Appointment of Dominic Yeager as the Inaugural Lucy and Steve Weinstein Endowed Chair of Theatre and Dance at UA

RESOLUTION

WHEREAS, Dr. and Mrs. L. Steve Weinstein of Mobile, Alabama, have contributed \$1,500,000 to The Board of Trustees of The University of Alabama to promote faculty excellence in the College of Arts and Sciences at The University of Alabama; and

WHEREAS, Dr. and Mrs. Weinstein desire that this gift be accepted and maintained by The University of Alabama as a permanent fund to be named the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance in the College of Arts and Sciences for the purposes and upon the conditions set out below:

1. The Lucy and Steve Weinstein Endowed Chair of Theatre and Dance shall be used to attract and/or retain nationally recognized scholars and/or experts in the fields of theatre and dance who will make a difference in the quality of teaching and research in the Department of Theatre and Dance. The holder of the Weinstein Chair shall also be the chair of the Department of Theatre and Dance. Earnings shall be used to

support the salary of the holder of the Weinstein Chair and to enhance the department's national reputation by bringing in experts in the fields of theatre and dance to provide seminars, lectures, presentations, performances and other learning programs (e.g. Master Class programs) to the faculty, students and members of the public at the University.

2. Criteria and procedures for recruiting and selecting the chair holder shall follow those set out in the most current edition of The University of Alabama Faculty Handbook regarding appointments to endowed chairs and professorships and shall be made in accordance with University policies and procedures adopted from time to time, subject to the approval of the Dean of the College of Arts and Sciences and the President of the University and coordinated with the Provost.
3. Persons or entities desiring to contribute to the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance in the College of Arts and Sciences shall be free to do so provided they accept the conditions governing this fund; and

WHEREAS, Dr. L. Steve Weinstein earned his bachelor of science degree in chemistry from The University of Alabama in 1965 and his doctor of medicine degree from the University of Pennsylvania in 1969; and

WHEREAS, Dr. and Mrs. Weinstein are generous supporters of the Department of Theatre and Dance in the College of Arts and Sciences; and

WHEREAS, through this endowment, Dr. and Mrs. Weinstein wish to support academic excellence within the College of Arts and Sciences at The University of Alabama in perpetuity; and

WHEREAS, Dominic Yeager is the Chair of the Department of Theatre and Dance and is an Associate Professor of Theatre, heads the Arts Management program, and serves as Business and Operations Manager for the Department of Theatre and Dance; and

WHEREAS, the Dean of the College of Arts and Sciences endorses Department of Theatre and Dance Chair Dominic Yeager's selection as the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance and also recommends this appointment to the Provost; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donor and establishes the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance in the College of Arts and Sciences as a restricted endowed fund.

BE IT FURTHER RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the establishment of the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance in the College of Arts and Sciences at The University of Alabama.

BE IT FURTHER RESOLVED by the Board of Trustees of The University of Alabama that the initial gifts and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowment earnings shall be used for needs that most closely relate to the donors' intent as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds.

BE IT FURTHER RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Dominic Yeager to the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance in the College of Arts and Sciences at The University of Alabama.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Dr. and Mrs. L. Steve Weinstein, Dominic Yeager, and to appropriate officials of The University of Alabama.

Approving the Appointment of John Baker, Ph.D., as Professor Emeritus in the Department of Aerospace Engineering and Mechanics in the College of Engineering at UA

RESOLUTION

WHEREAS, Professor John Baker retired from The University of Alabama on July 1, 2023, after 22 years of distinguished service as a scholar, teacher, mentor, and colleague; and

WHEREAS, Professor Baker served effectively as the Department Head of Aerospace Engineering and Mechanics for five years; and

WHEREAS, during his time at The University of Alabama, Professor Baker authored 97 journal articles and conference papers; and

WHEREAS, Professor Baker served as the primary advisor for 62 graduate students receiving Ph.D., and M.S., degrees from The University of Alabama; and

WHEREAS, Professor Baker increased externally funded research in his department by more than 70% while serving in the role of Department Head; and

WHEREAS, Professor Baker was awarded the T. Morris Hackney Endowed Faculty Leadership Award from the College of Engineering in 2016; and

WHEREAS, Professor Baker was recognized by his institutional peers as the recipient of the UA National Alumni Outstanding Commitment to Teaching Award in 2007; and

WHEREAS, Professor Baker was a valued leader at The University of Alabama for his recognized leadership with student engagement and learning as Faculty Advisor to nationally active student competition teams, resulting in multiple national awards, recognitions, and substantial external funding;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of John Baker, Ph.D., as Professor Emeritus of Aerospace Engineering and Mechanics in the College of Engineering at The University of Alabama, with all designated rights and privileges.

BE IT FURTHER RESOLVED that a copy of this resolution be recorded in the minutes of the Board, become a matter of public record, and that copies be given to Professor Baker and members of his family in grateful acknowledgment of his distinguished career as scholar, teacher, mentor, center director, and colleague.

Approving Appointment of Thomas Fox, Ph.D., as Professor Emeritus of German in the Department of Modern Languages and Classics in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, Professor Thomas Fox retired from the Department of Modern Languages and Classics in 2022 with more than 27 years of distinguished service to The University of Alabama as a researcher, teacher, mentor, and colleague; and

WHEREAS, Professor Fox is a scholar who has gained national prominence, having received distinguished scholarly awards such as the German-American Friendship Award from the Federal Republic of Germany, UA Arts and Sciences Jim Salem Chair Award, Alabama-Germany Partnership Culture/Education Award, Fulbright German Studies grant and others; and

WHEREAS, Professor Fox has earned an illustrious reputation within his profession, both regionally and internationally, as a highly regarded scholar in the field

of German studies, with an impressive body of work that includes the publication of several books, such as *In the Shadow of the Holocaust: Jewish-Communist Writers in East Germany* and *A Companion to Gotthold Ephraim Lessing*, as well as numerous articles and book chapters; and

WHEREAS, Professor Fox's contributions have significantly advanced the understanding of German literature and culture and his contributions to his department extend across various administrative roles, including as the Professor and Chair of the Department of Modern Languages and Classics, as well as Associate Professor, showcasing his leadership and dedication to the academic community; He has also held the position of Director of the German Program, Graduate Director, and as the Chair of the Department, providing invaluable guidance and expertise;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Thomas Fox, Ph.D., as Professor Emeritus of German in the Department of Modern Languages and Classics in the College of Arts and Sciences and The University of Alabama, with all designated rights and privileges.

BE IT FURTHER RESOLVED that the resolution be spread upon the permanent minutes of the Board and that copies be sent to Professor Emeritus Thomas Fox and a copy be placed in the library of The University of Alabama.

Approving the Appointment of Viola Acoff, Ph.D., as Associate Dean Emerita in the College of Engineering at UA

RESOLUTION

WHEREAS, Professor Viola Acoff retired from The University of Alabama on July 1, 2023 after 28 years of distinguished service as an administrator, scholar, teacher, mentor, and colleague; and

WHEREAS, Professor Acoff served effectively as the Associate Dean for Undergraduate and Graduate Studies for the College of Engineering for nine years; and

WHEREAS, Professor Acoff served effectively as the Department Head of Metallurgical and Materials Engineering for five years; and

WHEREAS, during her time at The University of Alabama Professor Acoff authored more than 85 journal articles, conference papers, and books; and

WHEREAS, Professor Acoff served as the primary advisor for sixteen graduate students receiving Ph.D. and/or M.S. degrees from The University of Alabama; and

WHEREAS, Professor Acoff was awarded numerous awards for her teaching, research, service, and academic leadership efforts including the T. Morris Hackney Endowed Faculty Leadership Award from the College of Engineering in 2009; and

WHEREAS, Professor Acoff was recognized by her institutional peers as the recipient of the UA National Alumni Outstanding Commitment to Teaching Award in 2014; and

WHEREAS, Professor Acoff was recognized regionally as the Engineering Educator of the Year in 2016 by the Engineering Council of Birmingham; and

WHEREAS, Professor Acoff was recognized as a leader in her field through her award as the 2023 ASM & TMS Distinguished Lectureship in Materials & Society as presented by The Minerals, Metals, & Materials Society (TMS) and ASM International (ASM); and

WHEREAS, Professor Acoff was a valued leader at The University of Alabama for her recognized leadership at both the departmental and college levels;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Viola Acoff, Ph.D., as Associate Dean Emerita in the College of Engineering at The University of Alabama, with all designated rights and privileges.

BE IT FURTHER RESOLVED, that a copy of this resolution be recorded in the minutes of the Board, become a matter of public record, and that copies be given to Professor Acoff and members of her family in grateful acknowledgment of her distinguished career as a scholar, teacher, mentor, and colleague.

Approving Appointment of Robin Behn, M.F.A., as Professor Emerita of English in the Department of English in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, Professor Robin Behn retired from the Department of English in May of 2023 after more than three decades of distinguished service to The University of Alabama as a researcher, teacher, mentor, and colleague; and

WHEREAS, Professor Behn has consistently and creatively fulfilled the programmatic needs of her home department; and

WHEREAS, Professor Behn's dedication is exemplified through her active involvement on search committees for high-level administrative positions, including deans, two vice-presidents, and a president; and

WHEREAS, Professor Behn made substantial contributions to the Blount Undergraduate Initiative and took on numerous other pivotal service roles, including work as a dedicated mentor to countless students, overseeing the successful completion of well over 100 poetry MFA theses; and

WHEREAS, Professor Behn has earned an immense reputation within her profession, both regionally and internationally, as a highly regarded creative writer, and her body of work includes the publication of nine books, co-authoring two libretti, and sharing an abundance of poems with the world; and

WHEREAS, Professor Behn's contributions to her department span various administrative roles, including: Director of the MFA Program on multiple occasions, including from 1993-1996, 2000-2002, 2009-2011, 2015-2017, and in an interim capacity during the Spring of 2021; Additionally, she held the position of Director of the Undergraduate Creative Writing Program from 2020-2022 and took on the role of interim director for UA's Collaborative Arts Research Initiative;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Robin Behn, M.F.A., as Professor Emerita of English in the Department of English in the College of Arts and Sciences, with all designated rights and privileges.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board and that copies be sent to Professor Emerita Robin Behn and a copy be placed in the library of The University of Alabama.

Academic Affairs and Student Affairs Committee

February 2, 2024

Proposal M.S. Artificial Intelligence in Medicine (CIP Code 11.0102)

- Purpose
 - Produce a technical medical workforce specializing in the integration of artificial intelligence in medical applications.
- Need
 - Future Artificial Intelligence (AI) in healthcare market size expected to hit \$12.22 billion from 2022 to 2030. (MRF)
- Other Programs
 - None in Alabama.
- Resources
 - No new resources required.
- Relationship to Strategic Goals
 - Goal: Offer a world-class, socially conscious education to diverse students to prepare the next generation of citizens and leaders.
 - Objective 1: Strengthen and expand innovative academic programs to enhance UAB's national and global reputation.
- Administration
 - Heersink School of Medicine

Govenda F.1

Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) Degree in Artificial Intelligence in Medicine (CIP Code 11.0102) at UAB

RESOLUTION

WHEREAS, The University of Alabama at Birmingham (UAB) Marnix E. Heersink School of Medicine strives to deliver quality programs that are relevant and innovative; and

WHEREAS, the Board of Trustees approved the Notice of Intent to Submit a Proposal (NISP) during its November 3, 2023, meeting; and

WHEREAS, the intended Master of Science (M.S.) degree in Artificial Intelligence in Medicine will be designed to enable engineers, computer scientists, and future clinical practitioners to adopt an integrated approach to improving human health which leverages artificial intelligence; and

WHEREAS, this will be a unique program in the Birmingham medical community; and

WHEREAS, the program is designed to provide a comprehensive training through four key areas including foundations, applications, integrations, and design through thesis research; and

WHEREAS, the program curriculum is developed in collaboration with the Schools of Engineering, Business and Health Professions;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves granting approval of and submission to the Alabama Commission on Higher Education (ACHE) a proposal for a Master of Science (M.S.) degree in Artificial Intelligence in Medicine (CIP Code 11.0102) by The University of Alabama at Birmingham.

PowerPoint

NISP M.S. Nuclear Medicine Advanced Associate (CIP Code 51.0905)

15

- Purpose
 - This program produces board certified advanced practitioners who serve as physician extenders to licensed physicians and authorized users of radioactive materials, in multi-level healthcare organizations.
- Need
 - This is a burgeoning area of professional practice, providing an advanced opportunity for NM Technologists and other health professions, similar to the evolutionary growth of Nurse Practitioners and Physician Assistants.
- Other Programs
 - No similar programs in the nation.
- Resources
 - Current faculty support the program, within a unique consortium model.
- Relationship to Goals
 - Assure that everything we do is for the purpose of improving the lives and health of the citizens of the state of Alabama.
 - Meet the workforce needs of the state.
- Administration
 - School of Health Professions

Govenda F.2

 THE UNIVERSITY OF
ALABAMA AT BIRMINGHAM

© UAB. All Rights Reserved.

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for the Master of Science (M.S.) Degree in Nuclear Medicine Advanced Associate (CIP Code 51.0905) at UAB

RESOLUTION

WHEREAS, the School of Health Professions at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs, and there are no other Nuclear Medicine Advanced Associate (NMAA) programs in the state; and

WHEREAS, the master's in Nuclear Medicine Advanced Associate program is designed to prepare individuals to employ radioactive and stable nuclides in diagnostic evaluations and therapeutic applications while monitoring for patient health and safety; and

WHEREAS, the program is one-of-a-kind consortium program collaborating with Massachusetts College of Pharmacy and Health Sciences, Augusta University, and Saint Louis University to bring excellence to this exclusive health-care profession; and

WHEREAS, the rigorous curriculum will prepare students upon successful completion, to sit for the national NMAA board certification offered by the Nuclear Medicine Technology Certification Board (NMTCB);

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Science (M.S.) degree in Nuclear Medicine Advanced Associate (CIP Code: 51.0905) at The University of Alabama at Birmingham.

PowerPoint

16

NISP M.S. Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406)

- Purpose
 - Produce athletic administrators with administrative skills to manage college and/or university sports and/or eSports.
- Need
 - The most recent projection for intercollegiate athletics administrators is a 9% rise in employment. (BLS)
- Other Programs
 - None specific to application in higher education.
- Resources
 - Minimal resources required.
- Relationship to Goals
 - Assure that everything we do is for the purpose of improving the lives and health of the citizens of the state of Alabama.
 - Meet the workforce needs of the state.
- Administration
 - School of Education.

Govenda F.3

UAB THE UNIVERSITY OF ALABAMA AT BIRMINGHAM

© UAB / All Rights Reserved.

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for the Master of Science (M.S.) Degree in Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406) at UAB

RESOLUTION

WHEREAS, the School of Education at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs, and there are no other Higher

Education Intercollegiate Athletics Administration programs in the state focused specifically on athletic administration at the collegiate level; and

WHEREAS, the Master of Science (M.S.) degree program in Higher Education Intercollegiate Athletics Administration (HEIAA) is designed to prepare future leaders for higher education management and leadership of sport and eSport activities; and

WHEREAS, the program is poised to provide an in-depth examination of the historical path and significance of intercollegiate athletics within the context of higher education; and

WHEREAS, the program's design will be grounded in theoretical concepts to guide organizational leadership while focusing on practical application; and

WHEREAS, the rigorous curriculum will include guidance in policy, governance, risk management, college-student development, principles of athletic performance, and social aspects and challenges of intercollegiate athletics;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Science (M.S.) degree in Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406) at The University of Alabama at Birmingham.

PowerPoint

NISP B.S. Medical Imaging & Therapeutics (MIT) (CIP Code 51.0905)

- Purpose
 - Produce healthcare professionals who use cutting -edge technologies to perform diagnostic imaging procedures on patients and treat diseases.
- Need
 - Over the next ten years the market for Nuclear Medicine Technologists will grow by approximately 25%. (HRSA)
- Other Programs
 - No similar programs in Alabama.
- Resources
 - Current faculty support the program.
 - Minimal resources needed.
- Relationship to Goals
 - Assure that everything we do is for the purpose of improving the lives and health of the citizens of the state of Alabama.
 - Meet the workforce needs of the state.
- Administration
 - School of Health Professions

Govenda F.4

Approving the Submission of a Notice of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) Degree in Medical Imaging and Therapeutics (CIP Code 51.0905) at UAB

RESOLUTION

WHEREAS, The University of Alabama at Birmingham (UAB) School of Health Professions strives to deliver quality programs that are relevant and innovative; and

WHEREAS, the program is designed to train healthcare professionals to use cutting-edge technologies to perform diagnostic imaging procedures on patients and treat diseases; and

WHEREAS, this program allows students to gain first-hand clinical practice experiences, professional development, and inter-professional simulations to become a leader among others in their field; and

WHEREAS, upon completion, graduates will be eligible to sit for the Nuclear Medicine Technology Board Certification Exam through the Nuclear Medicine Technology Certification Board (NMTCB);

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves submission of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Medical Imaging and Therapeutics (CIP Code 51.0905) by The University of Alabama at Birmingham.

PowerPoint

16

NISP B.S. eSports Performance Management and Coaching in Kinesiology (CIP Code 31.0504)

- Purpose
 - Produce coaching, management, and communication skills for students interested in pursuing eSports careers
- Need
 - Over the last six months, 366 job postings with the “eSports” keyword. (Hanover Research)
- Other Programs
 - No other programs specifically focus on eSports.
- Resources
 - Minimal new resources required.
- Relationship Goals
 - Assure that everything we do is for the purpose of improving the lives and health of the citizens of the state of Alabama.
 - Meet the workforce needs of the state.
- Administration
 - School of Education

Govenda F.5

UAB THE UNIVERSITY OF
ALABAMA AT BIRMINGHAM

© UAB. All Rights Reserved.

Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for Bachelor of Science (B.S.) degree in eSports Performance Management and Coaching in Kinesiology (CIP Code 31.0504) at UAB

RESOLUTION

WHEREAS, the School of Education at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs; and

WHEREAS, eSports, also known as electronic sports, is a form of competitive video gaming where professional gamers and teams compete in organized tournaments, combining the experience of traditional sports with the digital world; and

WHEREAS, current labor market research indicates a solid student demand in the Southeast region for an eSports-related bachelor’s degree program, and the only other program in the region exists in Virginia; and

WHEREAS, the proposed bachelor’s program is designed to address the rapidly growing number of eSports activities in high school, college, and professional arenas to prepare coaches and leaders to direct these activities; and

WHEREAS, the program curriculum will focus on eSport-specific courses along with courses in human and motor development, mental health and performance, and areas in coaching in addition to electives in enhancing communication and organizational skills;

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves submission of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in eSports Performance Management and Coaching in Kinesiology (CIP Code 31.0504) by The University of Alabama at Birmingham.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) the Establishment of a Music Therapy Concentration within the Bachelor of Art (B.A.) Degree in Music (CIP Code 50.0901) at UAB

RESOLUTION

WHEREAS, the College of Arts and Sciences at UAB wishes to add a Music Therapy concentration to the existing Bachelor of Art (B.A.) degree in Music that will allow students to learn a broad scope of skills including music theory and history, composition and arranging, major performance medium, functional music, conducting, movement, and applications in therapeutic relationship, client assessment, treatment planning, therapy implementation, therapy evaluation, professional role and ethics, interprofessional collaboration, supervision and administration and research methods; and

WHEREAS, UAB's designation as the nation's first Health Promoting University, and the emphasis on whole life health from birth to death argue for Music Therapy as an integral part of that mission; and

WHEREAS, UAB has a long-established Arts in Medicine program through the Alys Stephens Performing Arts Center, which connects artists in the community and the university to patient care experiences within the UAB Health System; and

WHEREAS, the concentration allows UAB to meet an expected need for qualified professionals in music therapy;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants approval of and submission to the Alabama Commission on Higher Education (ACHE) the establishment of a Music Therapy concentration in the Bachelor of Arts (B.A.) degree in Music (CIP Code 50.0901) at The University of Alabama at Birmingham.

Granting Approval for Changing the Name of The University of Alabama at
Birmingham School of Education to The University of Alabama at
Birmingham School of Education and Human Sciences

RESOLUTION

WHEREAS, The University of Alabama at Birmingham (UAB) School of Education has been a distinguished institution of learning for many years, contributing significantly to the advancement of education and human development; and

WHEREAS, the UAB School of Education has a longstanding commitment to preparing educators and professionals who excel in the education and human science fields and make a positive impact on individuals and communities; and

WHEREAS, the field of education and human sciences has evolved to encompass a broader range of disciplines, reflecting the complex interplay between education, psychology, counseling, kinesiology, learning sciences, and other related fields, all of which are vital for the holistic development of individuals and communities; and

WHEREAS, the UAB School of Education has made substantial contributions to research and practice in various fields associated with human sciences, kinesiology, counseling, educational psychology, health education, and social sciences, in addition to its focus on education; and

WHEREAS, a change in the name of the UAB School of Education to the UAB School of Education and Human Sciences would better reflect the diverse areas of expertise and academic programs it offers, and promote a more inclusive and comprehensive understanding of its mission and activities; and

WHEREAS, the proposed name change will position the UAB School of Education and Human Sciences as a leader in the field of education and human sciences, highlighting its commitment to addressing the multifaceted needs of individuals and society;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama formally approves the change of name for The University of Alabama at Birmingham School of Education to The University of Alabama at Birmingham School of Education and Human Sciences.

BE IT FURTHER RESOLVED that all necessary administrative, promotional, and official actions shall be taken to implement this name change effectively, and the change shall take effect at the beginning of the next academic year.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Teresa Taber Doughty, Ph.D., Dean of The University of Alabama at Birmingham School of Education, and to other appropriate officials of UAB.

Approving Renaming of the Division of Memory Disorders to the Division of Cognitive and Behavioral Neurology in the Department of Neurology at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine

RESOLUTION

WHEREAS, the Department of Neurology at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine became an independent department in 1974; and

WHEREAS, the Department of Neurology has had a renowned and notable history of excellence in clinical service, education, and research, led initially by Dr. James H. Halsey, one of the first neurologists in the state of Alabama; subsequently by Dr. John N. Whitaker and Dr. Ray L. Watts, and currently by Dr. David G. Standaert; and

WHEREAS, the Department of Neurology provides care that spans all the major subspecialties of the field, including stroke and cerebrovascular disease, epilepsy, memory disorders and neuropsychology, movement disorders, multiple sclerosis, neuromuscular disease, neuro-oncology, neuro-critical care and pain medicine, for inpatient and outpatient populations, provides patients with access to a comprehensive range of modern diagnostic services, and additionally uses telemedicine services to support patients at over twenty other hospitals around the state; and

WHEREAS, UAB Hospital is recognized nationally for excellence in Neurology, supporting a Comprehensive Stroke Center, a Level Four Epilepsy Center, and many other nationally and internationally recognized programs; and

WHEREAS, the Department of Neurology is a national leader in research that consistently pushes the field forward in discovery and clinical implementation and seeks to expand the knowledge of the neurologists and neuroscientists while exploring new treatments and cures for the future; and

WHEREAS, the Department of Neurology has exceptional educational programs for medical students, postgraduate students, and learners of all levels to expand the skills of all clinicians and train the next generation of experts in neurology; and

WHEREAS, the Department of Neurology desires to rename the Division of Memory Disorders to the Division of Cognitive and Behavioral Neurology in order to more accurately reflect the Division's scholarship in teaching, research and service, especially as the discipline moves toward dementia prevention rather than symptom management; and

WHEREAS, the renaming of the Division is in alignment with other major national and international academic neurology departments;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the renaming of the Division of Memory Disorders to the Division of Cognitive and Behavioral Neurology within the Department of Neurology at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine

Granting Approval of the Closure of UAB Centers

RESOLUTION

WHEREAS, The University of Alabama at Birmingham (UAB) would like to request the formal closure of the following centers as they are no longer viable: Science, Technology, Engineering, and Mathematics (STEM) Education Center, Center for Free Radical Biology, James and John Kirklin Institute for Research in Surgical Outcomes (KIRSO), the Maryann Manning Family Literacy Center, and the Hypertension Research Center;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves closure of the Science, Technology, Engineering, and Mathematics (STEM) Education Center, Center for Free Radical Biology, and James and John Kirklin Institute for Research in Surgical Outcomes (KIRSO), Maryann Manning Family Literacy Center, and Hypertension Research Center.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board and that copies be sent to Ray L. Watts, M.D., President of The University of Alabama at Birmingham.

Establishing the John Durr Elmore, M.D., Endowed Chair in Psychiatry at UAB

RESOLUTION

WHEREAS, in memory of her father John Durr Elmore, M.D., Mrs. Mildred Elmore Hulsey, of Birmingham, Alabama, has given \$1,500,000 to establish an endowed

chair in the Department of Psychiatry and Behavioral Neurobiology within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, this endowed chair will be used to support the work and the mission of the Depression and Suicide Center through the recruitment and retention of an expert faculty member who will provide the highest standard of care, pursue improved therapies, and offer hope to families impacted by mental health challenges; and

WHEREAS, it is most fitting that this endowment be named in memory of John Durr Elmore, M.D., and

WHEREAS, in 1951, Dr. Elmore began his private practice with the Frank Kay Clinic of Birmingham, a commitment he upheld until his retirement in 1989; and

WHEREAS, Dr. Elmore contributed to medical education as a part-time faculty member in the Department of Psychiatry and earned the title of Clinical Professor of Psychiatry before concluding his academic service in 1972; and

WHEREAS, Dr. Elmore's legacy is one of unwavering dedication to the well-being of his patients, his community, and his family; and

WHEREAS, through the establishment of this endowment, his impact on the field of psychiatry and his commitment to making the world a better place will be remembered and cherished for generations to come; and

WHEREAS, this endowment will have a lasting effect on the work performed by the renowned scientists and physicians who occupy the endowed position and on the lives of the patients and students touched by their medical breakthroughs, research, teaching, and clinical care; and

WHEREAS, the ongoing contributions of such an endowment will be of fundamental and lasting value to UAB, the community, the state of Alabama, and beyond;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts with gratitude this generous gift and herewith approves the establishment of the John Durr Elmore, M.D., Endowed Chair in Psychiatry.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Mrs. Mildred Elmore Hulsey; Dr. Adrienne C. Lahti, Chair of the Department of Psychiatry and Behavioral Neurobiology; to Dr. Anupam Agarwal, Senior Vice President and Dean of the School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Establishing the Benjamin Russell Endowed Chair in Geriatrics at UAB

RESOLUTION

WHEREAS, Benjamin S. Russell and Luanne R. Russell, of Alexander City, Alabama, have given \$1,500,000 to establish an endowed chair in the Benjamin Russell Center for Advanced Care within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, this endowed chair will be used to support the work and the mission of the Division of Gerontology, Geriatrics, and Palliative Care through the recruitment and retention of an expert faculty member to serve as Medical Director of the Benjamin Russell Center for Advanced Care; and

WHEREAS, this endowed chair will help Russell Medical Center carry out its mission to maximize health and eliminate suffering by caring for people, teaching, and creating knowledge; and

WHEREAS, this endowment will have a lasting effect on the work performed by the renowned scientists and physicians who occupy the endowed position and on the lives of the patients and students touched by their medical breakthroughs, research, teaching, and clinical care; and

WHEREAS, the ongoing contributions of such an endowment will be of fundamental and lasting value to UAB, the community, the state of Alabama, and beyond;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts with gratitude this generous gift and herewith approves the establishment of the Benjamin Russell Endowed Chair in Geriatrics, a pure endowment of the University.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Benjamin S. Russell and Luanne R. Russell; Kenneth Boockvar, M.D., M.S., Director of the Division of Gerontology, Geriatrics, and Palliative Care; to Anupam Agarwal, M.D., Senior Vice President of Medicine and Dean of the UAB Heersink School of Medicine; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Brian Samuels, M.D., Ph.D., as the Second Holder of the EyeSight Foundation of Alabama Endowed Chair of Ophthalmology at UAB

RESOLUTION

WHEREAS, on November 19, 1999, a resolution was approved by The Board of Trustees of The University of Alabama formally establishing the EyeSight Foundation of Alabama Endowed Chair of Ophthalmology; and

WHEREAS, recommended by Anupam Agarwal, M.D., Dean of The University of Alabama at Birmingham (UAB) Marnix E. Heersink School of Medicine, with the concurrence of the leadership of UAB, the UAB administration now wishes to appoint Brian Samuels, M.D., Ph.D., Professor and Chairman of Ophthalmology, as the holder of this endowed chair; and

WHEREAS, Dr. Samuels completed his medical degree at the prestigious Indiana University School of Medicine in addition to a transitional internship. He completed three years of his ophthalmology residency at UAB and his clinical and research fellowship in Glaucoma at Duke University. In 2010, he was appointed as Assistant Professor of Ophthalmology at Indiana University. He was subsequently recruited to UAB in 2013 as an Assistant Professor of Ophthalmology and was promoted to Associate Professor in 2017. Dr. Samuels was promoted to Professor and Chairman of Ophthalmology in 2022; and

WHEREAS, Dr. Samuels has been a recipient of numerous prestigious awards throughout his academic career for his research, teaching, and clinical service. Dr. Samuels was selected for the American Glaucoma Society Clinician Award in 2010 and was selected as the Inaugural holder of the Dennis Endowed Professorship in Glaucoma Research in 2018. He was appointed Diplomate of the American Board of Ophthalmology in 2009 and continues to serve in this capacity; and

WHEREAS, Dr. Samuels is committed to the education of medical students, graduate students, and postdoctoral fellows as is evident through his numerous teaching activities. His mentoring efforts have fostered the development of numerous young researchers, who have made significant contributions to the field; and

WHEREAS, Dr. Samuels has had numerous publications in high-profile journals. He has authored 46 manuscripts, 49 book chapters, invited reviews and editorials. Dr. Samuels has been an invited speaker at several national and international meetings as well as at prominent institutions; and

WHEREAS, Dr. Samuels is a superb clinician and an outstanding teacher; and

WHEREAS, members of this Board concur with the selection of Dr. Samuels as the holder of this prestigious professorship;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Brian Samuels, M.D., Ph.D., as the second holder of the EyeSight Foundation of Alabama Endowed Chair in Ophthalmology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Brian Samuels, M.D., Ph.D., to share with members of his family; to Anupam Agarwal, M.D., Senior Vice President, and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of Karin M. Hardiman, M.D., Ph.D., to the Strange Family Endowed Professorship in Surgical Sciences Research in the Department of Surgery at UAB

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama approved the establishment of the Strange Family Endowed Professorship in Surgical Sciences Research at The University of Alabama in Birmingham (UAB) on June 9, 2023; and

WHEREAS, as recommended by Herbert Chen, M.D., F.A.C.S., Chair of the UAB Department of Surgery, with the concurrence of Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), and the University's leadership, the UAB administration now wishes to appoint Karin M. Hardiman, M.D., Ph.D., Associate Professor of Surgery, as the new holder of this endowed professorship; and

WHEREAS, Dr. Hardiman earned her Bachelor of Arts degree in 1997 from Smith College. She then completed her Doctor of Medicine degree from UAB, in 2004. Dr. Hardiman received her Master of Science and Doctor of Philosophy degrees from UAB in 2000 and 2002, respectively. Dr. Hardiman completed her residency in General Surgery at Oregon Health and Science University in 2010; and later, she completed the Colon and Rectal Surgery fellowship program in 2011 at Oregon Health and Science University; and

WHEREAS, Dr. Hardiman worked as an Assistant Professor in Surgery at the University of Michigan from 2011-2019. In 2019, she started her current appointment as an Associate Professor of Surgery at UAB, Department of Surgery, Division of Gastrointestinal Surgery. In 2021, Dr. Hardiman received a Center Appointment as a Scientist within the Center for Clinical and Translational Science; was named the Associate Director for Quality at the O'Neal Comprehensive Cancer Center; and the

Assistant Program Director for Resident Research, UAB General Surgery Residency Program; and

WHEREAS, Dr. Hardiman served on the Medical Director Partnering to Lead Along with Nurse Managers, University of Michigan (2013-2015); as the Surgical Director, Multidisciplinary Colon and Rectal Cancer Clinic, University of Michigan Comprehensive Cancer Center (2016-2019); and Co-Organizer and Moderator, Translational Research Symposium in gastrointestinal Oncology, UAB (2020); and

WHEREAS, Dr. Hardiman serves on many councils and committees. She has served on the Accreditation Council for Graduate Medical Education Review Committee of Colon and Rectal Surgery (2010-2012); Colorectal Surgery Milestone Committee for Accreditation Council for Graduate Medical Education (2011-2013); American Society of Colon and Rectal Surgeons, Young Surgeons Committee (2013-2016); American Society of Colon and Rectal Surgeons, Program Committee for Annual Meeting (2015-present); American Society of Colon and Rectal Surgeons, Clinical Practice Guidelines Committee (2016-2021); American Society of Colon and Rectal Surgeons, Awards Committee (2019-2022); Association for Academic Surgery, Program Committee (2020-present); Association for Academic Surgery, Basic and Translational Science Committee (2021-present); Cancer Committee, O'Neal Comprehensive Cancer Center, Chair (2021-present); Society for University Surgeons, Research Committee (2022-present); Quality Improvement Committee, American College of Surgeons, Commission on Cancer (2022-present); National Surgeon Scientist Program Committee, American College of Surgeons (2022-present); and

WHEREAS, Dr. Hardiman has many professional memberships and has been a part of several clinical activities. She is a member of the American College of Surgeons; American Society of Colon and Rectal Surgeons; Society for Surgery of the Alimentary Tract; Association for Academic Surgery; American Association for Cancer Research, Northwest Society of Colon and Rectal Surgeons, and Society of University Surgeons; and

WHEREAS, at the O'Neal Comprehensive Cancer Center, Dr. Hardiman is a Scientist for the Experimental Therapeutics Program (2019-present) and the Cancer Liaison Physician for Commission on Cancer (2021-present). She is the also Co-Director, Department of Surgery Undergraduate Research Experience (SURE) Program, UAB (2019-present); Director, Department of Surgery Research Resident Development Series, UAB (2019-present); Co-Moderator, Weekly Morbidity and Mortality Conference (2021-present); Nascent Project Panel Reviewer, Center for Clinical and Translational Science (2022-present); and on the VA Goals of Care/Life Sustaining Treatment Ad Hoc Working Group, Birmingham Veterans Affairs Medical Center (2022-present); and

WHEREAS, Dr. Hardiman has received many awards and honors. In 2000, Dr. Hardiman received the Recognition Award for Meritorious Achievement by Young Investigator, American Physiology Society. In 2001, she received first place in the

National Medical Scientists Training Program Research Competition. Dr. Hardiman was awarded Chief Resident of the Year from Oregon Health and Science University, in 2010. From 2011-2019, she received the “Making a Difference” award from Michigan Medicine. Additionally, Dr. Hardiman accepted the Research Forum “Works in Progress” award, American Society of Colon and Rectal Surgeons, in 2013. From 2014-2018, Dr. Hardiman was honored the Recipient Loan Repayment Program Award in Clinical Research, National Institutes of Health. She was named an Expert User for Bioinformatics Core at University of Michigan, in 2016. Lastly, her mentee, Mary Smithson was awarded the Best Basic Science Presentation, Resident Research Day, UAB (2020) and Mary Smithson was also awarded the Society of University Surgeons (SUS) Best Resident/Fellow Presentation, Academic Surgical Congress, 2021 Annual Meeting; and

WHEREAS, Dr. Hardiman has 12 completed grants; continuous NIH funding, a total of 49 lectures and visiting professorships; has seven current mentees; and has been a career advisor from 2014-present; and

WHEREAS, Dr. Hardiman has served as an Editorial Board Member for *Journal of Surgical Oncology* from 2018-2021. Additionally, she has served as an ad hoc reviewer for *Disease of the Colon and Rectum*, *PLOS ONE*, *Cancer Research*, *Laboratory Investigation*, *Annals of Surgery*, *Journal of Clinical Oncology*, *Oncotarget*, and *Translational Oncology*. Dr. Hardiman has published 55 manuscripts, seven book chapters, and 41 abstracts; and

WHEREAS, Dr. Hardiman is dedicated to cultivating program growth and excellence with a firm commitment to providing quality patient care as well as to promote outstanding research and education; and

WHEREAS, this Board believes it is most appropriate for Dr. Hardiman to be named the Strange Family Endowed Professorship in Surgical Sciences Research in the UAB Department of Surgery and honored as one of the Heersink School of Medicine’s leaders in Gastrointestinal Surgery;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Karin M. Hardiman, M.D., Ph.D., as holder of the Strange Family Endowed Professorship in Surgical Sciences Research in the Department of Surgery, in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to Karin M. Hardiman, M.D., Ph.D., Associate Professor of Surgery, at The University of Alabama Heersink School of Medicine, in UAB Department of Surgery, in the Division of Gastrointestinal Surgery; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Herbert Chen,

M.D., F.A.C.S., Professor and Chair of the UAB Department of Surgery; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Glenn Eidson Peters, M.D., FACS, as Professor Emeritus of Otolaryngology/Head and Neck Surgery in the Department of Otolaryngology at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB), the Department of Otolaryngology, and The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), UAB wishes to acknowledge Glenn Eidson Peters, M.D., by appointing him as Professor Emeritus of Otolaryngology/Head and Neck Surgery in the Department of Otolaryngology; and

WHEREAS, Dr. Peters, Professor in the Department of Otolaryngology, Vice Chair of Quality and Patient Safety and Associate Chief Medical Quality Officer of UAB Medicine, retired on September 30, 2023, after providing 40 years of faithful service to UAB; and

WHEREAS, Dr. Peters earned his Bachelor of Science degree from Louisiana State University in 1976 and received his Doctor of Medicine degree in 1980 from the LSU Medical Center; and

WHEREAS, after earning his medical degree, Dr. Peters began his postgraduate training and completed an internship in general surgery in 1981 at UAB; a residency program in otolaryngology head and neck surgery in 1984 at UAB; a fellowship in head and neck oncologic and reconstructive surgery at Johns Hopkins Medical School, Baltimore, Maryland, in 1987; and

WHEREAS, Dr. Peters became board certified by the American Board of Otolaryngology in 1985; and

WHEREAS, Dr. Peters began his career as an Assistant Professor of Surgery, Division of Otolaryngology at UAB in 1984 and was promoted to Associate Professor in 1990. Dr. Peters was subsequently promoted to Professor of Surgery in 1994; and

WHEREAS, Dr. Peters became Division Director of the Division of Otolaryngology in the Department of Surgery in 1992, holding that position for 20 years; Vice Chair of Patient Safety and Quality for the Department of Otolaryngology in 2016; and has served as Associate Chief Medical Quality Officer for the health system since 2018; and held the John S. Odess Endowed Chair in Otolaryngology/Head and Neck Surgery from 1992 until 2021; and

WHEREAS, Dr. Peters exemplified service through his participation on the UAB Operating Room Committee 2003-2023, the Medical Executive Committee 2011 to 2016; the Medical Leadership Committee 2011 to 2016; the HS Perioperative Service Oversight Committee 2010 to 2015, the OR Executive Committee; the UAB Health System Medical Dental Staff Credentials Committee 2006-2023; and served as chairman of the Product Technology Assessment Team Committee 2009-2018, the Quality Council Committee 2011-2023, the Peer Review Committee 2011-2017 serving as chairman 2014-2016, and the Promotion and Tenure Committee of the Department of Otolaryngology 2016-2023; and

WHEREAS, Dr. Peters published 60 manuscripts; and 10 book chapters; and presented 30 presentations and 34 invited lectures over his career; and was a Senior Scientist at the UAB O'Neal Comprehensive Cancer Center since 1994; and

WHEREAS, Dr. Peters is highly regarded and respected locally, regionally and nationally in his field of expertise; he is passionate about excellent patient care, teaching the next generation and has mentored countless faculty, staff and trainees in various capacities at UAB;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Glenn Eidson Peters, M.D., as Professor Emeritus of Otolaryngology/Head and Neck Surgery in the Department of Otolaryngology at The University of Alabama at Birmingham, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Peters for his dedication and decades of service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Dr. Glenn Peters to share with members of his family; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine at UAB; and to other appropriate officials at UAB.

Approving Appointment of James K. Kirklin, M.D., as Professor Emeritus,
of the Department of Surgery, Division of Cardiothoracic Surgery at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB), the Department of Surgery, and the Heersink School of Medicine, UAB wishes to acknowledge James K. Kirklin, M.D., by appointing him as Professor Emeritus in the Department of Surgery, Division of Cardiothoracic Surgery; and

WHEREAS, Dr. Kirklin earned his Bachelor's Degree, with high distinction, from The Ohio State University, and a Doctor of Medicine degree from Harvard Medical School. After his residency training at Massachusetts General Hospital and The University of Alabama School of Medicine, he was an appointed Assistant Professor in the UAB Department of Surgery. Following his appointment as an Associate Professor for Surgery, Dr. Kirklin was appointed Professor at UAB; and

WHEREAS, Dr. Kirklin moved to UAB in 1981 as an Assistant Professor. In 1986, he became the Director of Cardiothoracic Transplantation. In 1992, he was named the Co-Medical Director of the UAB Heart Transplant Intensive Care Unit. Later, in 2005, Dr. Kirklin was named Associate Medical Director of the Alabama Organ Center; and, in 2006, he was promoted to Division Director of Cardiothoracic Surgery; and

WHEREAS, during his tenure in the UAB Department of Surgery, Dr. Kirklin served as tenured Professor for the Department of Surgery, Division of Cardiothoracic (1987- 2022); Director, Division of Cardiothoracic Surgery (2006-2016); Co-Director, UAB Comprehensive Cardiovascular Center (2011-2017); and Director, James and John Kirklin Institute for Research in Surgical Outcomes (KIRSO) (2016-2022); and

WHEREAS, Dr. Kirklin has consistently demonstrated his passion for teaching and dedication to students and has ultimately served as an invaluable and influential mentor to students; and

WHEREAS, Dr. Kirklin has provided leadership for the Department in truly extraordinary ways and led the Department into a nationally and internationally recognized group of surgeons focused on research and improving outcomes that comprise the Department today; and

WHEREAS, Dr. Kirklin continued his service as Professor to the Division of Cardiothoracic Surgery, Department of Surgery until his retirement on December 31, 2022, after a total of 41 years of loyal and dedicated service; and

WHEREAS, Dr. Kirklin served on the American Association for Thoracic Surgery, Membership Committee (1989-1991 and 2006-2009), and served as Chairman for the Evarts A. Graham Memorial Traveling Fellowship Committee (1999); American Heart Association Birmingham Heart Ball, Open Your Heart Chairman (2011-2012); United Network of Organ Sharing, Chairman of the Scientific Advisory Committee (1997-1999), World Society for Pediatric and Congenital Heart Surgery, Co-Chair of Program Committee, 5th Scientific Meeting WSPCHS Meeting Abu Dhabi (2016), and is currently serving as President (2022-2024); and

WHEREAS, Dr. Kirklin served on the Editorial Board for *Cardiac Chronicle* (1990-1993); *Congestive Heart Failure* (1993-2005); *Journal of Heart and Lung Transplantation*, Associate Editor (1995-2000); *American Heart Journal* (1996-2006);

Graft (1998-2001); *World Journal of Heart and Lung Transplantation*, Consulting Editor/Editor Emeritus (2009-present); *Annals of Thoracic Surgery* (2011-2014); *Journal of Thoracic and Cardiovascular Surgery* (2015-present); as Editor for *Journal of Heart and Lung Transplantation* (2000-2009); and

WHEREAS, Dr. Kirklin received the Lifetime Achievement Award – International Society for Heart and Lung Transplantation (2020) and Pediatric Heart Transplant Society (2021); the UAB Most Prolific Innovator Award – Harbert Entrepreneurship Center (2022); the William Glenn Lecture Award – American Heart Association (2018); the Founding Fathers Award – Pediatric Heart Transplant Society (2013); the Arnold G. Diethelm Celebration of Life Distinguished Service Award – Alabama Coalition for Organ and Tissue Donation (2000); voted Best Doctors in America, consistently for the past 20 years; was the first recipient of the Distinguished Educator Award – International Society for Heart and Lung Transplantation (2014); and was named the John W. Kirklin Endowed Chair in Cardiovascular Surgery (2006-2017); and

WHEREAS, Dr. Kirklin has served on a total of 23 professional societies, Alpha Omega Alpha Honor Society, Harvard Medical School; International Society of Heart and Lung Transplantation, President (2009-2010); Congenital Heart Surgeons' Society, Chair of the Research Committee (2014-2023); World Society for Pediatric and Congenital Heart Surgery, Co-Chair of Program Committee, 5th Scientific Meeting WSPCHS Meeting Abu Dhabi (2016), and is currently serving as President (2022-2024); Jefferson County Medical Society; Society of University Surgeons; and American Society of Transplant Surgeons; and

WHEREAS, Dr. Kirklin has more than 20 research grants; over 700 peer-reviewed scientific publications to his credit; he has attended a total of 21 visiting professorships and named lectureships; and is listed in 17 textbook editorships, commentaries, and book reviews combined; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of James K. Kirklin, M.D., as Professor Emeritus of The Department of Surgery, Division of Cardiothoracic Surgery at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Kirklin for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Kirklin to share with his family and to other appropriate University officials.

Approving Appointment of Pamela Benoit, Ph.D., as Senior Vice President for Academic Affairs and Provost Emerita at UAB

RESOLUTION

WHEREAS, Pamela Benoit, Ph.D., retired as Senior Vice President for Academic Affairs and Provost of The University of Alabama at Birmingham (UAB) on December 31, 2023, after completing outstanding service at UAB in that capacity; and

WHEREAS, in special recognition of her distinguished service to the University, the UAB administration wishes to acknowledge Pamela Benoit, Ph.D., by appointing her Senior Vice President for Academic Affairs and Provost Emerita; and

WHEREAS, Dr. Benoit earned a Bachelor of Science degree in Speech and English in 1975 from Ball State University, a Master of Arts degree in Communication in 1976 from Central Michigan University, and a Doctor of Philosophy degree in Communication in 1979 from Wayne State University; and

WHEREAS, upon receiving her doctoral degree, Dr. Benoit began her academic career at Miami University as a Visiting Assistant Professor of Communication, and then as an Assistant Professor at Bowling Green State University; and

WHEREAS, in 1984, Dr. Benoit began a 25-year faculty career at the University of Missouri, where she progressed from an Assistant Professor of Speech and Dramatic Art, to Associate Professor of Communication, to Professor of Communication; and

WHEREAS, Dr. Benoit assumed increasing administrative duties at the University of Missouri, beginning as Director of Undergraduate Studies in the Department of Communication, followed by serving as Chair of the Department of Communication, Assistant and Associate Dean of the Graduate School, and Vice Provost for Advanced Studies, Interim Dean of the Graduate School, and then Dean of the Graduate School; and

WHEREAS, Dr. Benoit joined the faculty and senior leadership of Ohio University in 2009 as Professor of Communication Studies and Executive Vice President and Provost, where, among other achievements, she led the university's growth from 30,000 students when she arrived to 43,000 in fall 2016, and she facilitated the development of an Innovation Strategy that invested in several interdisciplinary areas, including immersive media, an academic accelerator, and a program on a shale economy; and

WHEREAS, Dr. Benoit was recruited to join UAB in July 2017 as Professor of Communication Studies in the College of Arts and Sciences, and Senior Executive Vice President for Academic Affairs and Provost; and

WHEREAS, Dr. Benoit had many exceptional achievements at UAB, all built on her commitment to robust collaboration and deep commitment to the well-being of faculty, staff, and students, including:

- The launch of the first complete update of UAB's core curriculum requirements since it was founded in 1969, called the Blazer Core Curriculum;
- Growth in student population of 17% between fall 2012 and fall 2022, with a 34% increase in first-generation students and a 41% increase in international students during that period;
- Substantial improvement in the rankings of the UAB Libraries within their peer group of research libraries, including through investments provided by the UAB Strategic Investment Fund;
- Leadership in the creation of the new strategic plan, Forging Ahead, for the University for the period 2023 to 2028, to continue the success of the UAB strategic plan which began in 2018 called Forging the Future;
- Hiring new deans for nine of UAB's schools or colleges;
- A dramatic increase in student usage of the UAB University Writing Center;
- Creation of a new unit called Innovative Teaching and Academic Engagement to deliver new and improved forms of teaching to UAB students;
- Reorganization of the University's resources in furtherance of UAB's commitment to be a global university, by creation of new units to provide a comprehensive immigration strategy and support services, and a new unit called the Graduate School and Global Affairs;
- A substantial increase in the number and type of national and international fellowships and scholarships, including 30 Benjamin A. Gilman International Scholarships (meriting recognition for UAB by the U.S. Department of State as a Top Producing Institution) and 45 Fulbright award recipients;
- Consolidation of strategic leadership for all arts activities at UAB into the Office of the Provost to help ensure that art is for everyone at UAB, including the Abrams-Engel Institute for Visual Arts, the Alys Stephens Center, ArtPlay, and the Arts in Medicine program;
- Reorganization of the Graduate School, Office of Global Engagement, and INTO UAB into the merged Graduate School and Office of Global Engagement, to optimize services and educational experiences for graduate and international students;
- Advancing UAB's engagement with evolving technologies through the creation of the Artificial Intelligence (AI) Task Force and Advisory Committee, resulting in the production of guiding principles and an AI Task Force report that will guide UAB's use of the technology into the future; and

WHEREAS, Dr. Benoit's people-centered leadership style was evidenced by her tireless efforts to protect faculty, staff, students, and the community at large during the

COVID-19 pandemic, through constant communication, conflict resolution, innovation, and problem-solving; and

WHEREAS, Dr. Benoit's advocacy for diversity, equity, and inclusion has been shown by such achievements as her serving as Program Coordinator-Director for the Missouri Alliance for Graduate Education and the Professoriate to support underrepresented students in STEM fields, her board service at the Hispanic Coalition of Alabama and at the HERS Leadership Institute, and through her strong support for and close collaboration with the UAB Office of the Vice President for Diversity, Equity and Inclusion; and

WHEREAS, Dr. Benoit has excelled in creating new knowledge in teaching, including in Online Education, Communication Theory, Interpersonal Communication, Persuasive Speaking, Language and Discourse, Family Communication, and Qualitative Research Methods, winning various awards including the Outstanding Young Teacher Award in 1980 at the start of her career and the Spirit of Martha Award in 2007, given to the individual at the University of Missouri with the most significant impact on alumni and students through mentoring and leadership activities; and

WHEREAS, Dr. Benoit has been a productive author over the course of her career in many of the same scholarly areas in which she focused her teaching, with five books and research reports (two in collaboration with her husband William Benoit, Ph.D., a retired Professor of Communication Studies at UAB), six scholarly articles or book chapters, and numerous presentations or papers at professional meetings; and

WHEREAS, Dr. Benoit received several grants in her career, both in her research in communication studies as well as in teaching; and

WHEREAS, Dr. Benoit's commitment to the Birmingham community has been further evidenced by her participation in the Leadership Birmingham Class of 2021; and

WHEREAS, Dr. Benoit has earned the admiration and respect of her students, faculty, staff, and colleagues for her dedication and service to UAB; and

WHEREAS, Dr. Benoit has worked diligently to become an accomplished painter, in a variety of media, and intends to find more time for those pursuits during her retirement from her academic career;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Pamela Benoit, Ph.D., as Senior Vice President for Academic Affairs and Provost Emerita at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its appreciation to Dr. Benoit for her dedication, and hereby conveys to her its sincere gratitude for her many contributions to the UAB academic community and to the teaching, research, and service programs of the University.

BE IT FURTHER RESOLVED that this Resolution be spread upon the permanent minutes of this Board and that copies be given to Dr. Benoit to share with her family, and to other appropriate University officials.

Approving Appointment of Rebecca S. Miltner, Ph.D., RN, NEA-BC, FAAN,
as Professor Emerita of Nursing in the UAB School of Nursing

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and the School of Nursing, UAB wishes to acknowledge Rebecca S. Miltner, Ph.D., RN, NEA-BC, FAAN, by appointing her as Professor Emerita of Nursing in the Department of Family, Community and Health Systems; and

WHEREAS, Dr. Miltner earned her Bachelor of Science degree in Nursing from the Medical College of Georgia in 1982, her Master of Science degree in Nursing from the University of Wisconsin in 1990, and her Doctor of Philosophy degree in Nursing from the University of Maryland in 2001; and

WHEREAS, Dr. Miltner completed an Executive Fellowship in Patient Safety at Virginia Commonwealth University in 2007, and the Veterans Administration (VA) National Quality Scholars Program at the Birmingham VA Health System in 2012; and

WHEREAS, Dr. Miltner served as Assistant Director for the Doctor of Nursing Practice (DNP) Nurse Executive Pathway in 2023 at the UAB School of Nursing; Donna Brown Banton Endowed Professor in Nursing at the UAB School of Nursing from 2021-2023; Director of Educationally Focused Partnerships at the UAB School of Nursing from 2017-2023; Associate Professor of Nursing at the UAB School of Nursing from 2016 to 2021; and Assistant Professor at the UAB School of Nursing from 2012 to 2016; and

WHEREAS, Dr. Miltner continued her service to the UAB School of Nursing until she retired on October 31, 2023, after 11 years of dedicated, exemplary service; and

WHEREAS, Dr. Miltner provided the leadership, expertise and forethought which has been integral to the success of the UAB School of Nursing; and

WHEREAS, Dr. Miltner, a visionary leader and champion for Quality Improvement and Improvement Science, has demonstrated exceptional

accomplishments in nursing education, leadership, practice, and research over the span of her career at the UAB School of Nursing; and

WHEREAS, Dr. Miltner, an international expert in patient safety, quality health care, and leadership and improvement science, has multiplied her impact through her mentorship of countless students in nursing and medicine and other health professions; and

WHEREAS, Dr. Miltner has proven to be an invaluable member of the healthcare community, having been a VA Nurse Scientist from 2012 to 2023, working with interprofessional health care pre- and post-doctoral fellows to use improvement and implementation strategies and methods to improve care for our Veterans; Associate Senior Nurse Scholar at the Birmingham Veterans Affairs Health System Veterans Affairs Quality Scholar (VAQS) program; co-leading the Core Methods and Analysis section for the national Veterans Affairs Quality Scholar (VAQS) program; co-leading the Birmingham Veterans Affairs Health System Veterans Affairs Quality Scholar (VAQS) program; having been a board member of the Quality and Safety Education Nurses (QSEN) Institute Board Member; and co-leading the Deep South Quality and Safety Education Nurses (QSEN) Regional Collaborative; all while fulfilling the tripartite mission of the UAB School of Nursing; and

WHEREAS, Dr. Miltner created a legacy of leadership at the UAB School of Nursing, nationally and internationally that has significantly impacted the numbers of nurse leaders prepared to take on quality improvement and safety science in healthcare and in nursing; and

WHEREAS, Dr. Miltner played a key role in the development and expansion of nursing leadership education at the UAB School of Nursing, helping to develop the Doctor of Nursing Practice (DNP) Nurse Executive Pathway, and positioning UAB for growth in recognition of its excellence in nursing leadership education; and

WHEREAS, Dr. Miltner is a Veteran of the United States Army Nurse Corps, serving as an Officer at both the Wynn Army Community Hospital at Fort Stewart, Georgia, and at Beaumont Army Medical Center in El Paso, Texas; and having served in numerous roles for Veterans and their families at other military medical complexes, including as a staff nurse and project director at Madigan Army Medical Center in Tacoma, Washington; and as Nursing Performance Improvement Manager and then as Chief of Nursing Performance Improvement at Walter Reed Army Medical Center in Washington, D.C.; and

WHEREAS, Dr. Miltner has published numerous peer-reviewed manuscripts, (many with students) books and book chapters, and delivered numerous international and national presentations; and

WHEREAS, Dr. Miltner is the recipient of numerous honors and awards including the 2022 UAB President's Excellence in Teaching Award; the 2022 Suzanne Smith Memorial Award for Scholarly Writing Excellence from the Association of Leadership Science in Nursing, recognizing her for advancing leadership knowledge and healthcare improvement science for her research in how to improve health care quality through workforce development; induction in 2021 as a Fellow in the American Academy of Nursing; the 2019 Linda Cronenwett QSEN Leadership Award honoring a nurse leader in quality and safety education who has significantly contributed to nursing education through exemplary vision, influence, critical thinking, communication and role modeling; the first Paul Batalden Award in 2019 at the VA Quality Scholars Summer Institute, which recognizes VAQS graduates with outstanding accomplishments in the field of health care improvement and patient safety;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Rebecca S. Miltner, Ph.D., RN, NEA-BC, FAAN, as Professor Emerita of Nursing in the Department of Family, Community and Health Systems at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its sincere appreciation to Dr. Miltner for her dedication and service to The University of Alabama at Birmingham, the UAB community, the state of Alabama and beyond.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Miltner to share with members of her family, and to other appropriate University officials.

Approving Appointment of Susanne Fogger, DNP, CRNP, CARN-AP, PMHNP-BC, FAANP, FAAN, as Professor Emerita of Nursing in the UAB School of Nursing

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and the School of Nursing, UAB wishes to acknowledge Susanne Fogger, DNP, CRNP, CARN-AP, PMHNP-BC, FAANP, FAAN, by appointing her as Professor Emerita of Nursing in the Department of Family, Community and Health Systems; and

WHEREAS, Dr. Fogger earned her Diploma in Nursing from Peter Bent Brigham School of Nursing in 1978; a Certificate in Military Education from the United States Air Force Squadron Officer School in 1981; a Certificate in Nursing from the United States Air Force Nursing Service in 1987; a Certificate in Addiction Counselor from the United States Air Force in 1989; her Bachelor of Science degree in Occupational Education from

Wayland Baptist University in 1989; her Master of Science degree in Nursing from the University of South Alabama in 2000, and her Doctorate in Nursing Practice from the University of South Alabama in 2007; and

WHEREAS, Dr. Fogger served as Professor in the UAB School of Nursing from 2017 to 2023; Content Expert on Addictions at the UAB School of Nursing from 2017 to 2023; Co-Director of the Veterans Affairs Nursing Academic Partnership-Graduate Education (VANAP-GE) at the UAB School of Nursing from 2014 to 2017; Associate Professor of Nursing at the UAB School of Nursing from 2013 to 2017; Coordinator for the Psychiatric Mental Health Nurse Practitioner Specialty Track at the UAB School of Nursing from 2012 to 2017; and Assistant Professor at the UAB School of Nursing from 2010 to 2013; and

WHEREAS, Dr. Fogger has maintained a robust clinical practice at the UAB 1917 HIV Outpatient Clinic since 2011, caring for individuals with HIV with psychiatric and substance use disorders so that individuals with HIV can live healthy long lives; and has been providing psychiatric care to patients with chronic pain at Cooper Green Mercy Health Services since 2021, this academic-practice partnership is a mechanism for advancing nursing practice to provide pain management services and integrate mental and behavioral health care; and

WHEREAS, Dr. Fogger continued her service to the UAB School of Nursing until she retired on October 31, 2023, after 13 years of dedicated, exemplary service; and

WHEREAS, Dr. Fogger has provided leadership, expertise and forethought in the area of Psychiatric Mental Health Nursing which has been integral to the success of the UAB School of Nursing; and

WHEREAS, Dr. Fogger, has demonstrated exceptional accomplishments in nursing education, leadership, practice, and research over the span of her more than 45-year career and her career at the UAB School of Nursing; and

WHEREAS, Dr. Fogger, an international expert in psychiatric mental health nursing and addictions, has led and supported the development and education of hundreds of psychiatric nurse practitioners and has helped improve access to mental health care in Alabama and the South; and

WHEREAS, Dr. Fogger has been an invaluable member of the UAB community, having served in a number of roles, including the UAB Faculty Senate as a Senator; the UAB School of Nursing as Faculty Organization Chair and Vice Chair, and Support and Recognition Committee Chair; the UAB School of Nursing Task Force on Violence Prevention; and 1917 Clinic Chronic Pain Patient Provider Advisory Board Member; and

WHEREAS, Dr. Fogger has proven to be an invaluable member of the psychiatric mental health and addiction nursing community, having served in numerous national and state roles, including with the International Nurses Society on Addictions as Treasurer, Chair of several Abstract Review Committees, Chair of the Bylaw Review Committee, and Editorial Board Member for the *Journal of Addictions Nursing*; the American Association of Nurse Practitioners as Co-Chair of the Psychiatric Special Interest Group; the Nurse Practitioners Alliance of Alabama as Member at Large; and

WHEREAS, Dr. Fogger is a Veteran of the United States Air Force Nurse Corps, serving from 1979 to 1992 as a Psychiatric Nurse at Sheppard Air Force Base, Texas; and

WHEREAS, Dr. Fogger has published numerous peer-reviewed manuscripts, books and book chapters, co-edited the American Nurses Association's *Scope and Standards for Addictions Nursing Practice*, and delivered numerous international and national presentations; and

WHEREAS, Dr. Fogger is the recipient of numerous honors and awards including induction in 2023 as a Fellow in the American Academy of Nursing; the 2021 Outstanding Care Provider Award from UAB Medicine; 2019 UAB President's Excellence in Teaching Award; the 2017 International Nurses Society on Addictions President's Award for Service, recognizing her many years of contribution to the organization; induction in 2015 as a Fellow of the American Academy of Nurse Practitioners; and the 2015 Educator Award from the International Nurses Society on Addictions, recognizing her exemplary teaching efforts that have brought attention to addictions issues and stimulated knowledge development in addictions nursing through teaching;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Susanne Fogger, DNP, CRNP, CARN-AP, PMHNP-BC, FAANP, FAAN, as Professor Emerita of Nursing in the Department of Family, Community and Health Systems at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its sincere appreciation to Dr. Fogger for her dedication and service to The University of Alabama at Birmingham, the UAB community, the state of Alabama and beyond.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Fogger to share with members of her family, and to other appropriate University officials.

Approving a Proposal to Add a Concentration in Chemistry and Chemical Engineering within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH

RESOLUTION

WHEREAS, the College of Science at The University of Alabama in Huntsville proposes to add a Chemistry and Chemical Engineering concentration to the Doctor of Philosophy degree in Biotechnology Science and Engineering; and

WHEREAS, the Chemistry and Chemical Engineering concentration will include 12 hours of core curriculum track courses, three credit hours of seminar courses, and six additional credit hours in the specialized curriculum track, in addition to elective courses and dissertation research hours; and

WHEREAS, the Chemistry and Chemical Engineering concentration will accommodate students' individual research and experimentation goals and enhance their individual educational pathway with specialized focus; and

WHEREAS, the proposed extension will utilize existing courses, space, equipment, and faculty, and will not require additional resources.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a concentration in Chemistry and Chemical Engineering in the Doctor of Philosophy (Ph.D.) degree in Biotechnology Science and Engineering at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Approving a Proposal to Add a Concentration Chemical Engineering and Biological Sciences within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH

RESOLUTION

WHEREAS, the College of Science at The University of Alabama in Huntsville proposes to add a Chemical Engineering and Biological Sciences concentration to the Doctor of Philosophy degree in Biotechnology Science and Engineering; and

WHEREAS, the Chemical Engineering and Biological Sciences concentration will include 12 hours of core curriculum track courses, three credit hours of seminar courses, and six additional credit hours in the specialized curriculum track, in addition to elective courses and dissertation research hours; and

WHEREAS, the Chemical Engineering and Biological Sciences concentration will accommodate students' individual research and experimentation goals and enhance their individual educational pathway with specialized focus; and

WHEREAS, the proposed extension will utilize existing courses, space, equipment, and faculty, and will not require additional resources.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a concentration in Chemical Engineering and Biological Sciences in the Doctor of Philosophy (Ph.D.) degree in Biotechnology Science and Engineering at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Approving a Proposal to Add a Concentration in Biological Sciences and Chemistry within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH

RESOLUTION

WHEREAS, the College of Science at The University of Alabama in Huntsville proposes to add a Biological Sciences and Chemistry concentration to the Doctor of Philosophy degree in Biotechnology Science and Engineering; and

WHEREAS, the Biological Sciences and Chemistry concentration will include 12 hours of core curriculum track courses, three credit hours of seminar courses, and six additional credit hours in the specialized curriculum track, in addition to elective courses and dissertation research hours; and

WHEREAS, the Biological Sciences and Chemistry concentration will accommodate students' distinct research and experimentation goals and enhance their individual educational pathway with specialized focus; and

WHEREAS, the proposed extension will utilize existing courses, space, equipment, and faculty, and will not require additional resources.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a concentration in Biological Sciences and Chemistry in the Doctor of Philosophy (Ph.D.) degree in Biotechnology Science and Engineering at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) A Proposal to Add a Concentration in Sport Coaching and Leadership to the Bachelor of Science (B.S.) Degree in Sport and Fitness Management (CIP 31.0504) at UAH

RESOLUTION

WHEREAS, the College of Education at The University of Alabama in Huntsville proposes to add a concentration in Sport Coaching and Leadership to the Bachelor of Science Degree in Sport and Fitness Management; and

WHEREAS, the Sport Coaching and Leadership concentration will include 21 hours of concentration-specific coursework; and

WHEREAS, the Sport Coaching and Leadership concentration was developed as a result of students' expressed interest in separate business-oriented and leadership-oriented tracks in the sport and fitness management program; and

WHEREAS, by offering a Sport Coaching and Leadership concentration, the department expects to strengthen the value of the program to students and intends to seek accreditation through the Commission on Sport Management Accreditation; and

WHEREAS, the proposed extension will include the development of three new courses, but the budgetary impact will be minimal and the program will utilize existing space and equipment and will not require additional resources.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a concentration in Sport Coaching and Leadership in the Bachelor of Science (B.S.) degree in Sport and Fitness Management at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Granting Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) A Proposal to Add a Concentration in Sport and Fitness Business to the Bachelor of Science (B.S.) Degree in Sport and Fitness Management (CIP 31.0504) at UAH

RESOLUTION

WHEREAS, the College of Education at The University of Alabama in Huntsville proposes to add a concentration in Sport and Fitness Business to the Bachelor of Science Degree in Sport and Fitness Management; and

WHEREAS, the Sport and Fitness Business concentration will include 21 hours of concentration-specific coursework; and

WHEREAS, the Sport and Fitness Business concentration was developed as a result of students' expressed interest in separate business-oriented and leadership-oriented tracks in the sport and fitness management program; and

WHEREAS, by offering a Sport and Business concentration, the department expects to strengthen the value of the program to students and intends to seek accreditation through the Commission on Sport Management Accreditation; and

WHEREAS, the proposed extension will include the development of three new courses, but the budgetary impact will be minimal and the program will utilize existing space and equipment and will not require additional resources.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a concentration in Sport and Fitness Business in the Bachelor of Science (B.S.) degree in Sport and Fitness Management at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Approving Appointment of Mark J. Pekker, Ph.D., as Professor Emeritus in the Department of Mathematical Sciences in the College of Science at UAH

RESOLUTION

WHEREAS, Dr. Mark J. Pekker has given 35 years of meritorious service to the Mathematical Sciences Department in the College of Science at The University of Alabama in Huntsville; and

WHEREAS, Dr. Pekker's retirement became effective on December 31, 2022; and

WHEREAS, Dr. Pekker received a master's degree in Physics in 1971 from the Moscow Institute of Physics and Technology, a master's degree in Mathematics in 1974 from Rostov University, a master's degree in Mathematics in 1981 from Cornell University, and a doctoral degree in Mathematics in 1982 from Cornell University; and

WHEREAS, Dr. Pekker joined the faculty of The University of Alabama in Huntsville in 1987; and

WHEREAS, Dr. Pekker has authored numerous publications, developed software, presented many talks, and served on several editorial boards; and

WHEREAS, Dr. Pekker received the UAH Distinguished Research and Creative Achievement Award in 2004; and

WHEREAS, Dr. Pekker, throughout his years of service at The University of Alabama in Huntsville, has contributed to the life of the institution through research and teaching; and

WHEREAS, over the period of his service, Dr. Pekker has helped to maintain the standards of academic excellence in the Department of Mathematical Sciences, the College of Science, The University of Alabama in Huntsville, and the community.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it expresses gratitude to Dr. Mark J. Pekker and that he be named Professor Emeritus in recognition of his service to the Department of Mathematical Sciences, the College of Science, and The University of Alabama in Huntsville.

Trustee Humphrey also reported that the Committee reviewed 16 information items:

- Annual review of Centers and Institutes per Board Rule 503 for UA, UAB, and UAH
- SACSCOC Updates for University of Alabama System Campuses at UA, UAB and UAH
- Post-Implementation Report Summary at UAS
- Establishment of a Post-Baccalaureate Certificate in Supply Chain Analytics (CIP Code 52.1301) at UA
- Establishment of a Post-Baccalaureate Certificate in Information Systems Solutions (CIP Code 52.1201) at UA
- Establishment of a Post-Baccalaureate Certificate in Digital/Social Media Marketing (CIP Code 52.1401) at UA
- Establishment of a Post-Baccalaureate Certificate in Data Analytics (CIP Code 30.7102) at UA
- Establishment of a Post-Baccalaureate Certificate in Business Cyber Security (CIP Code 52.1201) at UA

- Deletion of the Bachelor of Science (B.S.) degree in Geology (CIP Code 40.0601) in the College of Arts and Sciences from The University of Alabama Academic Program Inventory at UA
- Establishment of a 15-Hour Graduate Certificate in eSports Organization and Management (CIP Code 31.0504) at UAB
- Establishment of a New Section of Translational Gerontology within the Division of Gerontology, Geriatrics, and Palliative Care in the Department of Medicine at UAB
- Closure of the School Counseling Concentration in the Master of Arts (M.A.) Degree in Counseling (CIP Code 13.1101) at UAB
- Notice of Award for Center for Additively Manufactured Complex Systems under Extremes (CAMCSE) at UAB
- New College of Science 13-hour Graduate Certificate in Computational Physics (CIP Code 30.3001) at UAH
- Graduate Certificate Name Change in the College of Arts, Humanities, and Social Sciences (CIP Code 45.0902) at UAH
- Modeling, Virtual Environments, & Simulation (MOD) Graduate Certificate Inactivated in the College of Science (CIP Code 11.0804) at UAH

Pro tem Phelps asked if there were questions or comments concerning any of the information items. There were none.

Pro tem Phelps thanked Trustee Humphrey for her report and recognized Trustee Simon for a report from the Honorary Degrees and Recognition Committee.

Trustee Simon reported that the Honorary Degrees and Recognition Committee met on February 2, 2024, and considered 23 agenda items. The Committee unanimously recommended the 23 resolutions for approval. Trustee Simon moved for their approval by the Board, and the motion was seconded by Trustee Brooks. Pro tem Phelps asked if

there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving the Establishment of the Shelby Institute for Policy and Leadership Quasi-Endowed Support Fund at UA

RESOLUTION

WHEREAS, Dr. Stuart R. Bell, President of The University of Alabama, has requested that \$5,000,000 of reserve funds be designated by The Board of Trustees of The University of Alabama to provide support for the Shelby Institute for Policy and Leadership; and

WHEREAS, Dr. Bell requests that these funds be maintained by The University of Alabama as a quasi-endowed fund to be named the Shelby Institute for Policy and Leadership Quasi-Endowed Support Fund for the purposes and upon the conditions set out below:

1. Quasi-endowment earnings shall be used to provide discretionary support for the Shelby Institute for Policy and Leadership at the University. The support fund shall be used for a variety of purposes in support of the program's mission including, but not limited to, staffing, operational expenses, professional development and travel expenses, lectures and speaker series, expenses for students to take part in internship opportunities and conferences, scholarships and other academic and educational initiatives of the Institute.
2. If this fund is used to award scholarships, the scholarships shall be given to students who are participating in the Shelby Scholars Program that includes specialized coursework in policy and unique experiences outside of the classroom, including internship opportunities, national conferences and interactions with prominent political leaders and policy analysts on a local, state and national level.
3. Earnings shall be expended by the Director of the Shelby Institute for Policy and Leadership and the Provost in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University.

4. Persons or entities desiring to contribute to the Shelby Institute for Policy and Leadership Quasi-Endowed Support Fund shall be free to do so provided they accept the conditions governing this fund; and

WHEREAS, through this quasi-endowment, the President wishes to support the Shelby Institute for Policy and Leadership at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the new designation of the funds and establishes the Shelby Institute for Policy and Leadership Quasi-Endowed Support Fund as an unrestricted quasi-endowed support fund;

BE IT FURTHER RESOLVED that this fund and any future additions to this fund shall constitute a quasi-endowed corpus, which will be held, invested, maintained, and administered by the University according to its policies and procedures adopted from time to time for quasi-endowed funds;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving the Establishment of the Edward O. and Irene K. Wilson Endowed Fund for Biological Research and Education at UA

RESOLUTION

WHEREAS, Dr. Edward O. Wilson Jr., deceased, formerly of Lexington, Massachusetts, bequeathed \$2,000,000 through his last will and testament to The Board of Trustees of The University of Alabama to support the College of Arts and Sciences at The University of Alabama; and

WHEREAS, the donor desired that this gift be accepted and maintained by The University of Alabama as a permanent fund to be named the Edward O. and Irene K. Wilson Endowed Fund for Biological Research and Education for the purposes and upon the conditions set out below:

1. Endowment earnings shall be used to provide fellowships for postdoctoral students in taxonomy, museum collections, curating and science of natural history in the College of Arts and Sciences at the University. Fellowship awards may be used for expenses including, but not limited to, stipends, technical and travel support.
2. Earnings shall be expended by the Dean of the College of Arts and Sciences in accordance with University policies and procedures adopted

from time to time, subject to the approval of the President of the University.

3. Persons or entities desiring to contribute to the Edward O. and Irene K. Wilson Endowed Fund for Biological Research and Education shall be free to do so provided they accept the conditions governing this fund; and

WHEREAS, Dr. Edward O. Wilson Jr. earned his bachelor of science degree and his master of science in biology from The University of Alabama; and

WHEREAS, Dr. Wilson was an American biologist, naturalist, ecologist, and entomologist known for developing the field of sociobiology; and

WHEREAS, through this endowment, the donor wished to support the College of Arts and Sciences at The University of Alabama in perpetuity;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contribution of the donor and establishes the Edward O. and Irene K. Wilson Endowed Fund for Biological Research and Education;

BE IT FURTHER RESOLVED that the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowment earnings shall be used for needs that most closely relate to the donor's intent as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving the Naming of the Dr. Robert E. Witt University Fellows Program and Establishment of the Dr. Robert E. Witt University Fellows Program Quasi-Endowed Support Fund at UA

RESOLUTION

WHEREAS, Reta A. Mudd, deceased, formerly of Mountain Brook, Alabama, has bequeathed \$4 million, \$2 million of which has been received via a partial distribution, through her last will and testament to The Board of Trustees of The University of Alabama to support the Honors College at The University of Alabama; and

WHEREAS, after consultation with Mrs. Mudd's heirs, Dr. Stuart R. Bell, President of the University of Alabama, recommends that the gift support the University Fellows Experience in the Honors College; and

WHEREAS, in accordance with Board Rules 104 and 411, and after consultation with Mrs. Mudd's heirs, Dr. Bell also recommends that the University Fellows Experience in the Honors College be named the Dr. Robert E. Witt University Fellows Program after Dr. Robert E. Witt, who was instrumental in the founding of the University Fellows Experience; and

WHEREAS, it is the desire of Dr. Tiffany Sippial, Dean of the Honors College, that the gift be accepted and maintained by The University of Alabama as a quasi-endowed fund to be named the Dr. Robert E. Witt University Fellows Program Quasi-Endowed Support Fund for the purposes and upon the conditions set out below:

1. Quasi-endowment earnings shall be used to provide support for the Dr. Robert E. Witt University Fellows Program in the Honors College at the University. The quasi-endowed support fund shall be used at the discretion of the Director of the Dr. Robert E. Witt University Fellows Program to support the program's mission, including, but not limited to, travel expenses, conference fees, tuition and any expenses related to the program.
2. If this fund is used to award scholarships, priority of consideration shall be given to students who are enrolled in the Honors College and participating in the Dr. Robert E. Witt University Fellows Program.
3. Earnings shall be expended by the Dean of the Honors College in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University.
4. Persons or entities desiring to contribute to the Dr. Robert E. Witt University Fellows Program Quasi-Endowed Support Fund shall be free to do so provided they accept the conditions governing this fund; and

WHEREAS, Reta A. Mudd, a former University of Alabama student and member of Kappa Delta sorority, passed away on October 20, 2022; and

WHEREAS, having served nine years as president of The University of Alabama, Dr. Robert E. Witt is a professor and president emeritus at the University; and

WHEREAS, in 2012, Dr. Witt became chancellor of The University of Alabama System, and after serving four years as chancellor, retired from administration and joined the faculty of the Honors College; and

WHEREAS, through this quasi-endowment, Dean Sippial wishes to support academic excellence within the Honors College at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contribution of Mrs. Mudd and names the University Fellows Experience in the Honors College the Dr. Robert E. Witt University Fellows Program and establishes the Dr. Robert E. Witt University Fellows Program Quasi-Endowed Support Fund;

BE IT FURTHER RESOLVED that the initial gift and any future contributions to this fund shall constitute a quasi-endowed corpus, which will be held, invested, maintained, and administered by the University according to its policies and procedures adopted from time to time for quasi-endowed funds, and that the quasi-endowment earnings shall be used for needs that most closely relate to the donor's intent as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving the Naming of the Hudson Family Laryngeal Imaging Room at
UA

RESOLUTION

WHEREAS, Bradley D. Hudson and Jennifer D. Hudson of Alpharetta, Georgia, have contributed \$15,000 to The Board of Trustees of The University of Alabama to support the College of Arts and Sciences at The University of Alabama; and

WHEREAS, the University of Alabama also has received a \$15,000 matching gift;
and

WHEREAS, in accordance with Board Rules 104 and 411, and in recognition of Mr. and Mrs. Hudson's generous support of the College of Arts and Sciences at the University, Dr. Stuart R. Bell, President of The University of Alabama, recommends that the Laryngeal Imaging Room in the Speech and Hearing Clinic be named the Hudson Family Laryngeal Imaging Room;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of the President and names the Laryngeal Imaging Room in the Speech and Hearing Clinic the Hudson Family Laryngeal Imaging Room;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving the Naming of the Shuryvonne Shambley Dixon Doctoral Programs Office at UA

RESOLUTION

WHEREAS, by resolution dated November 3, 2016, the Board of Trustees of the University of Alabama previously approved naming opportunities for Little Hall; and

WHEREAS, Dr. Harold D. Shambley of Mitchellville, Maryland, has contributed \$25,000 toward a pledge of \$35,000 to The Board of Trustees of The University of Alabama to support the School of Social Work at The University of Alabama; and

WHEREAS, Shuryvonne Shambley Dixon earned her bachelor's degree in social work in 1975 and her master's degree in social work in 1977 from The University of Alabama; and

WHEREAS, Mrs. Dixon retired from the Muskegon Public Schools in Muskegon, Michigan, and is currently a member of the School of Social Work Board of Friends; and

WHEREAS, Dr. Harold D. Shambley is a 1971 graduate of Tuscaloosa's Druid High School and a 1977 graduate of the School of Social Work at The University of Alabama; and

WHEREAS, a veteran of the Vietnam conflict, Dr. Shambley reentered the military as an officer after graduating from the University, retiring in 1995 with the rank of major; and

WHEREAS, in accordance with Board Rules 104 and 411, and in recognition of Dr. Shambley's generous support of the School of Social Work at the University, Dr. Stuart R. Bell, President of The University of Alabama, recommends that a large administrative office in Little Hall be named the Shuryvonne Shambley Dixon Doctoral Programs Office;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of the President and

names a large administrative office in Little Hall the Shuryvonne Shambley Dixon Doctoral Programs Office;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Naming Opportunities in Adams Hall at UA

RESOLUTION

WHEREAS, Dr. Stuart L. Usdan, Dean of the College of Human Environmental Sciences, desires to undertake a fundraising plan in which definable portions of Adams Hall would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Usdan has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the College of Human Environmental Sciences' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference Exhibit K;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities in Capital Hall at UA

RESOLUTION

WHEREAS, Dr. Stuart L. Usdan, Dean of the College of Human Environmental Sciences, desires to undertake a fundraising plan in which definable portions of Capital Hall would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Usdan has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the College of Human Environmental Sciences' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference Exhibit L;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities in Russell Hall at UA

RESOLUTION

WHEREAS, Dr. Stuart L. Usdan, Dean of the College of Human Environmental Sciences, desires to undertake a fundraising plan in which definable portions of Russell Hall would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Usdan has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the College of Human Environmental Sciences' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference Exhibit M;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities in Wade Hall at UA

RESOLUTION

WHEREAS, Dr. Joyce Alexander, Dean of the College of Education, desires to undertake a fundraising plan in which definable portions of Wade Hall would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dr. Alexander has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the College of Education's ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference Exhibit N;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities for Facilities and Academic Programs at
The University of Alabama

RESOLUTION

WHEREAS, Robert D. Pierce II, Vice President for Advancement, desires to undertake a fundraising plan through which facilities and academic programs on the campus of The University of Alabama would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Mr. Pierce has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the Division of Advancement's comprehensive fundraising initiative;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts is made a part of this resolution by reference Exhibit O;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a facility or academic program named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving the Revision of Naming Opportunities in the Capstone College
of Nursing Facility at UA

RESOLUTION

WHEREAS, Dr. Julie T. Sanford, Dean of the Capstone College of Nursing, is engaged in a fundraising plan in which definable portions of the Capstone College of Nursing would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Sanford has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the revised naming opportunities and gift amounts be approved as part of the Capstone College of Nursing's ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the revised naming opportunities and gift amounts is made a part of this resolution by reference Exhibit P;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving the Revision of Naming Opportunities in the Performing Arts Academic Center and the Bryce Main Building at UA

RESOLUTION

WHEREAS, Dr. Joseph P. Messina, Dean of the College of Arts and Sciences, is engaged in a fundraising plan in which definable portions of the Performing Arts Academic Center and the Bryce Main Building would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Messina has submitted to Dr. Stuart Bell, President of The University of Alabama, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the revised naming opportunities and gift amounts be approved as part of the College of Arts and Sciences' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list detailing the revised naming opportunities and gift amounts is made a part of this resolution by reference Exhibit Q;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving the Revision of Endowed Funds at UA

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama recommends that the following funds, having been previously established by action of The Board of Trustees of The University of Alabama through duly adopted resolutions, be revised by The Board of Trustees of The University of Alabama:

H. Ray Cole Jr. and Johanna R. Cole of Tuscaloosa, Alabama, request the revision of the purpose of the Ray and Johanna Cole Endowed Scholarship previously stated in the June 20, 2003, resolution as "Priority of consideration shall be given to full-time students enrolled in The University of Alabama who graduated with a minimum grade average of "B" from Patrician Academy in Butler, Alabama, or Hewitt-Trussville High School in Birmingham. Recipients shall demonstrate strong leadership qualities, have participated in two or more high school extracurricular activities, and show evidence of philanthropic and community involvement" be revised to "The scholarship shall be awarded to incoming freshmen who graduated from Tuscaloosa Academy in Tuscaloosa, Alabama, with at least a 3.5 grade point average on a 4.0 scale. It is the Donor Representative's intent that recipients were members of an honors society, participated in two or more high school extracurricular activities, exhibit strong leadership qualities and talents on and off the field, court or stage, and show evidence of philanthropic and community involvement."; and

Virginia S. Liles of Daphne, Alabama, requests the revision of the name of the "Owen and Virginia Liles Endowed Scholarship" to the "Owen and Virginia Liles Endowed Teacher Education Scholarship" and the revision of the purpose previously stated in the April 8, 2016, resolution as "Priority of consideration shall be given to students undertaking a program of study in the College of Education who have earned a bachelor's degree, have two to five years' teaching experience with middle school-aged students, and plan to pursue a career in middle school education. Among qualified applicants, first preference shall be given to residents of the following Alabama counties: Baldwin, Conecuh, Escambia or Monroe. If a qualifying applicant is not available from those

counties, next preference shall be given to residents of these additional Alabama counties: Greene, Hale, Sumter, Marengo or Perry” be revised to “Priority of consideration shall be given to students who graduated from a high school in Alabama, are enrolled in the College of Education and are admitted to the Teacher Education Program with a concentration in K-12.”; and

Debra Sims McCrary of Tuscaloosa, Alabama, requests the revision of the name of the “Joe T. McCrary Endowed Scholarship” be revised to the “Joe and Debra McCrary Endowed Communicative Disorders Graduate Scholarship.”; and

Dr. Alton B. Sturtevant Jr. and Elizabeth B. Sturtevant of Birmingham, Alabama, request the revision of the name of the “Sturtevant Rural Medical Student Endowed Scholarship” to the “Sturtevant Rural Medical Student Endowed Support Fund” and the revision of the purpose previously stated in the April 8, 2022, resolution as “The scholarship will benefit a third-year or fourth-year medical student enrolled in the College of Community Health Sciences who demonstrates financial need, although not necessarily need as defined by federal guidelines, and who has expressed an interest in practicing rural medicine” be revised to “The endowment earnings shall be used to provide support for students in the College of Community Health Sciences at the University who have expressed an interest in practicing rural medicine. The support fund shall be used for a variety of purposes in support of the program’s mission. If this fund is used to award scholarships, priority of consideration shall be given to third-year or fourth-year medical students enrolled in the College of Community Health Sciences who have expressed an interest in practicing rural medicine and who demonstrate financial need, although not necessarily need as defined by federal guidelines.”; and

H. Clark West Jr. of Sarasota, Florida, requests the revision of the name of the “Herbert Clark West Jr. Endowed Support Fund” to the “Herbert Clark West Jr. Endowed Gender and Race Studies Scholarship” and the revision of the purpose previously stated in the November 5, 2004, resolution as “Endowment earnings shall be used to help create, establish, and support SummerTide Theatre, a summer production of The University of Alabama theatre in Gulf Shores, Alabama, which will provide an excellent opportunity for students in the College of Arts and Sciences theatre and dance program to showcase their talents and gain significant professional experience. Uses for the fund’s earnings may include, but not be limited to, expenses incurred by faculty and students involved with production of the main event and with conducting workshops for children in Gulf Shores; costumes, props, and sceneries; and publicity materials” be revised to “To the extent permitted by law, priority of consideration shall be given to students enrolled full-time in the College of Arts and Sciences and pursuing a degree in the Department of Gender and Race Studies who have worked in the LGBTQ+ community or have an interest in LGBTQ+ issues or promote and advocate for the needs of LGBTQ+ individuals, who have a history of high academic achievement and/or intellectual promise, a track record of leadership positions in school and/or community, record of involvement, experience within the LGBTQ+ community, clear goals and objectives, initiative and independence, motivation,

high self-management, and social awareness. It is the Donor Representative's intent to consider all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected by a committee of faculty from the Department of Gender and Race Studies headed by the Chair of the Department of Gender and Race Studies in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder. The scholarship may be renewable for each of the subsequent years of a recipient's undergraduate education provided the scholarship committee deems the recipient's performance and academic progress satisfactory and provided the recipient is pursuing a degree in the Department of Gender and Race Studies in the College of Arts and Sciences."

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby adopts the conditions described herein for future governance of these restricted endowed funds.

BE IT FURTHER RESOLVED that the initial gifts and all past and future contributions to these funds constitute endowed corpora, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowment earnings shall be used for needs that most closely relate to the donors' intents as stated herein, under the policies and procedures of the University adopted from time to time to regulate and administer such funds.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Endowed Funds at UA

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama recommends that the following funds, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Dr. Beth S. Bennett of Tuscaloosa, Alabama, and others have contributed \$26,027.51, including earnings, to endow the *Dr. Beth S. Bennett Outstanding Graduate Student Endowed Fund*. The fund will be used by the Department of Communication Studies to recognize outstanding academic achievement by full-time graduate students completing their degrees in the Communication Studies Master of Arts program or are enrolled in the C&IS doctoral program pursuing research in departmental areas of

emphasis: rhetoric and public address, communication and culture or social justice, organizational communication and leadership, or interpersonal communication.

William H. Hicks and Judy B. Hicks of Tuscaloosa, Alabama, have contributed \$59,942.25 to endow the *Bigham-Hicks First Generation Endowed Business Scholarship*. The scholarship will benefit first generation college students enrolled in the Culverhouse College of Business who maintain at least a 3.0 grade point average on a 4.0 scale.

The family of Camille Louise Humes Davenport has contributed \$25,013.50, including earnings, to endow the *Camille Louise Humes Davenport Endowed Support Fund*. The fund will be used to provide support for the Gadsden Early Learning Initiative in the College of Education at the University. The support fund will be used for a variety of purposes in support of the program's mission.

Dr. and Mrs. Faron L. Hollinger of Spanish Fort, Alabama, have contributed \$27,129.77, including earnings, to endow the *Dr. Faron L. Hollinger Endowed Scholarship*. The scholarship will benefit certified teachers who are enrolled in the College of Education and are pursuing a master's degree (MA), an Educational Specialist degree (Ed.S.), or a doctorate degree (Ed.D.) in Educational Leadership. First preference shall be given to students from Baldwin County, Alabama, second preference to students who are residents of the state of Alabama, and third preference to any student in the above programs.

Shelley E. Jones of Tuscaloosa, Alabama, has contributed \$25,000 to endow the *Shelley E. Jones and Thomas L. Jones Endowed Adapted Athletics Scholarship*. The scholarship will benefit student athletes participating in an adapted sport in the Adapted Athletics program.

Kirk P. Lakeman and Karen S. Lakeman of Dawsonville, Georgia, have contributed \$61,124.70 to endow the *Lakeman Family Endowed Business Scholarship*. The scholarship will benefit entering sophomore level students who are enrolled in the Culverhouse College of Business, active in the College's community, graduated from a high school in the state of Alabama and demonstrate financial need, although not necessarily need as defined by federal guidelines.

Rick Looser of Brandon, Mississippi, has contributed \$54,000 to endow the *Rick Looser Plank Center Emerging Leaders Endowed Support Fund*. The fund will be used to provide key discretionary support for the Plank Center for Leadership in Public Relations in the College of Communication and Information Sciences at the University. The support fund will be used for a variety of purposes in support of the program's mission, including, but not limited to, student expenses to attend conferences, continuing education programs or any expenses and initiatives that support the mission and vision of the Plank Center.

Herbert A. Maloney of Montgomery, Alabama, has contributed \$25,200, including earnings, to endow the *Herbert A. Maloney Endowed Nurse Practitioner Scholarship*. The scholarship will benefit students enrolled in the graduate program of the Capstone College of Nursing who intend to become a mental health nurse practitioner. Preference is given to those students who intend to practice in rural or underserved areas.

Dorothy J. Martin of Tuscaloosa, Alabama, has contributed \$25,196.88, including earnings, to endow the *Dot Martin Endowed Support Fund for OLLI*. The fund will be used to provide key discretionary support for the Osher Lifelong Learning Institute (OLLI) in the Office of Teaching Innovation and Digital Education (OTIDE) at the University. The support fund will be used for a variety of purposes in support of the program's mission, including, but not limited to, transportation and conference costs, speakers and audio/video aids.

Mr. and Mrs. Hunt M. Sproull have contributed \$100,000 to endow the *J. Barry Mason Finish Line Student Endowed Business Scholarship*. The scholarship will benefit junior and senior level students enrolled in the Culverhouse College of Business who have faced hardships in either their personal lives or at home and may not otherwise be able to attend college due to financial need. Among qualified applicants, the deciding factor shall be financial need, although not necessarily need as defined by federal guidelines.

Dr. Michael A. Mayo and Mrs. Jennifer B. Mayo have contributed \$25,000 to endow the *Mayo Family Health Care Analytics Endowed Support Fund*. The fund will be used to provide key discretionary support for the health care analytics concentration in the Department of Management in the Culverhouse College of Business at the University. The support fund shall be used for a variety of purposes in support of the program's mission, including, but not limited to, experiential learning opportunities.

Susan M. Green of Houston, Texas, has contributed \$20,000, with an additional matching gift of \$5,000 and earnings of \$429.81, to endow the *McCaleb Family Endowed Business Scholarship*. The scholarship will benefit students enrolled in Culverhouse College of Business who graduated from Huntsville High School in Huntsville, Alabama, and who demonstrate financial need, although not necessarily need as defined by federal guidelines. The scholarship may be renewable for each of the subsequent years of a recipient's undergraduate education provided the scholarship committee deems the recipient's performance and academic progress satisfactory and provided the recipient remains enrolled in the Culverhouse College of Business.

Dr. Amanda K. McCracken and William A. McCracken, III of Westerville, Ohio, have contributed \$8,020, with additional matching gifts of \$15,476 and earnings of \$1,821.58, to endow the *McCracken Family German Study Abroad Endowed Scholarship*. The scholarship will benefit full-time students majoring or minoring in German who graduated high school in rural counties in the state of Alabama and are

studying abroad for at least one semester. Second priority of consideration shall be given to full-time students who are considering study abroad and immersion programs within the German Program.

Carol E. Medders of Birmingham, Alabama, has contributed \$25,163.32, including earnings, to endow the *Carol E. Medders Endowed Scholarship in Education #2*. The scholarship will benefit students enrolled in the College of Education who graduated from a high school in the state of Alabama, who maintain a minimum 3.0 grade point average on a 4.0 scale, who have been admitted to the Teacher Education Program, and who demonstrate financial need, although not necessarily need as defined by federal guidelines.

Dr. Balakrish Roger Natarajan of Saline, Michigan, and others have contributed \$21,000, with an additional matching gift of \$2,500 and earnings of \$2,508.66, to endow the *Natarajan Family Endowed Scholarship in Mathematics*. The scholarship will benefit students who are majoring in mathematics and intend to study actuarial science in the College of Arts and Sciences.

J. Michael O'Brien of Hoover, Alabama, has contributed \$32,569.78, including earnings, to endow the *J. Michael O'Brien and Donna McCain O'Brien Endowed Engineering Scholarship*. The scholarship will benefit students who are enrolled in the College of Engineering, who have exhibited strong leadership abilities both inside and outside of the classroom and who demonstrate financial need, although not necessarily need as defined by federal guidelines.

Anonymous donors have contributed \$25,619.57 to endow the *Open World Endowed Scholarship*. The scholarship will benefit students enrolled in the College of Arts and Sciences who are participating in studying abroad, community engagement, or internships and externships, and who demonstrate financial need, although not necessarily need as defined by federal guidelines.

The Beth Sarver Psalmonds Family Foundation of Denton, Texas, has contributed \$50,686.61, including earnings, to endow the *Psalm 103 Endowed Support Fund*. The scholarship will benefit graduate students who are enrolled in the College of Human Environmental Sciences and are pursuing a master's degree or a doctorate in human nutrition.

ROAR LLC of Tuscaloosa, Alabama, and Dr. and Mrs. Joseph W. Perkins Jr. have contributed \$26,308.65, including earnings, to endow the *Joseph Winters Perkins Jr. Endowed Scholarship*. The scholarship will benefit students who are enrolled in the College of Communication and Information Sciences, are involved in the Alabama Forensics program, maintain a 3.0 grade point average on a 4.0 scale and demonstrate financial need, although not necessarily need as defined by federal guidelines.

Regina Y. Rose of Chattanooga, Tennessee, has contributed \$25,000 to endow the *Regina Yerby Rose Endowed Support Fund*. The fund will be used to provide key discretionary support for the Director of the Culverhouse School of Accountancy in the Culverhouse College of Business at the University. The endowment will be used to promote and support educational and research excellence in accounting. The support fund earnings will be used for a variety of purposes in support of the program's mission, including but not limited to various forms of student support, program support and faculty support.

Michael D. Shanahan and Rebecca R. Shanahan of Encinitas, California, have contributed \$25,000 to endow the *Michael and Rebecca Shanahan Endowed Arts and Sciences Scholarship*. The scholarship will benefit students enrolled in the College of Arts and Sciences who maintain a 3.0 grade point average on a 4.0 scale and have overcome and/or currently face physical and/or mental impairments or challenges in their lives and/or those that are current or former foster youth, legal orphans, wards of the state, current or former kinship/guardianship youth or homeless youth.

Mark S. Shapiro and Kimberly C. Shapiro of Westport, Connecticut, have contributed \$247,000 to endow the *Kimberly Copeland Shapiro Endowed Broadcast, Film and Creative Media Scholarship*. The scholarship will benefit one student per academic year who graduated from a high school outside of the state of Alabama, who is enrolled in the College of Communication and Information Sciences, majoring in Broadcast, Film and Creative Media, and who demonstrates financial need, although not necessarily need as defined by federal guidelines. The scholarship may be renewable for each of the subsequent years of a recipient's undergraduate education provided the scholarship committee deems the recipient's performance and academic progress satisfactory and provided the recipient remains enrolled in the College of Communication and Information Sciences majoring in Broadcast, Film and Creative Media.

The Poarch Band of Creek Indians and family and friends of D. Timothy Speegle have contributed \$33,475 to endow the *D. Timothy Speegle Memorial Endowed Accounting Scholarship*. The scholarship will benefit first generation junior or senior-level students majoring in accounting in the Culverhouse School of Accountancy.

George E. Wilbanks and Elizabeth Banks of Mountain Brook, Alabama, have contributed \$42,000 to endow the *Wilbanks Family REACH Endowed Scholarship*. The scholarship will benefit students participating in the Alabama REACH Program who work part-time to help fund their education.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes the endowed funds listed above.

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such funds.

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the funds listed above be notified that the funds have been endowed in perpetuity.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Establishment of the Michael and Elaine Morrissey Endowed Doctoral Award and the Michael and Elaine Morrissey Endowed Doctoral Award Quasi-Endowment at UAB

RESOLUTION

WHEREAS, Dr. Michael and Mrs. Elaine Morrissey, of Birmingham, Alabama, have given \$12,500 to establish the Michael and Elaine Morrissey Endowed Doctoral Award in the School of Public Health at The University of Alabama at Birmingham ("UAB"); and

WHEREAS, the University wishes to use philanthropic funds of \$12,500 from the Strategic Initiative Fund for the establishment of a quasi-endowment related to the existing pure endowment titled the Michael and Elaine Morrissey Endowed Doctoral Award Quasi-Endowment; and

WHEREAS, this endowed award will be used to support dissertation research for third-year students in a degree-granting doctoral program in the Department of Health Policy and Organization;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the establishment of the Michael and Elaine Morrissey Endowed Doctoral Award and the Michael and Elaine Morrissey Endowed Doctoral Award Quasi-Endowment, a pure endowment with a related quasi-endowment of the University totaling \$25,000;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Dr. Michael and Mrs. Elaine Morrissey; Paul C. Erwin, Dr.PH., M.D., Dean of the School of Public Health; and to other appropriate officials of UAB.

Approving Naming Opportunities in Frances and Miller Gorrie Hall at UAB

RESOLUTION

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama granted permission for the School of Engineering to begin fundraising efforts for naming opportunities for Frances and Miller Gorrie Hall; and

WHEREAS, on June 9, 2023, The Board of Trustees of The University of Alabama approved the revised project budget and provided authorization to execute a construction contract for the Phase IIA - Science and Engineering Complex (Stage IV); and

WHEREAS, as a result of the revised project budget, the School of Engineering requests permission to update the pricing plan for naming opportunities for Frances and Miller Gorrie Hall;

NOW, THEREFORE, BE IT RESOLVED, by The Board of Trustees of The University of Alabama that it hereby grants approval to update the pricing plan for naming opportunities on behalf of the School of Engineering, a copy of which is attached hereto as Exhibit R;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Jeffrey W. Holmes, M.D., Ph.D., and other appropriate officials of The University of Alabama at Birmingham.

Approving Naming Opportunities in the Susan Mott Webb Nutrition Sciences Building at UAB

RESOLUTION

WHEREAS, on September 1, 2023, The Board of Trustees of The University of Alabama approved the reallocated project budget and proposed architectural design for the renovation of the Susan Mott Webb Nutrition Sciences Building for a Metabolic Kitchen, Learning Center, and Entrance Lobby Improvements at The University of Alabama at Birmingham (Stage III); and

WHEREAS, The School of Health Professions requests permission to begin fundraising efforts for naming opportunities in the Susan Mott Webb Nutrition Sciences Building;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby grants approval of the naming opportunities in the Susan Mott Webb Nutrition Sciences Building, a copy of which is attached hereto as Exhibit S;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Andrew J. Butler, MPT, MBA, Ph.D., Dean of the UAB School of Health Professions, and other appropriate officials of The University of Alabama at Birmingham.

Approving Endowed Funds at UAB

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama at Birmingham (UAB) recommends that the following funds, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and provide quality programs in the areas of teaching, research, and service:

NaphCare Charitable Foundation, Inc., based in Vestavia Hills, Alabama, has given \$25,000 to establish the *NaphCare Endowed Scholarship in Computer Science*, which will be used to support incoming Junior or Senior students in the Department of Computer Science within the College of Arts and Sciences.

Gordon and Cindy Ferguson, of Murfreesboro, Tennessee, have given \$25,000 to establish the *Gordon and Cindy Ferguson Endowed Scholarship*, which will be used to support students in the Residential Master of Science in Health Administration Program within the School of Health Professions.

On November 4th, 2022, The Board of Trustees of the University of Alabama accepted a gift of \$100,000 from The University of Alabama Health Services Foundation and approved the establishment of the Endowed Scholar in Nephrology. UAB, in consultation and with agreement by The University of Alabama Health Services Foundation, wishes to rename the Endowed Scholar in Nephrology to the *Endowed Scholar in Cardio-Renal Physiology and Medicine*.

On February 3rd, 2023, The Board of Trustees of the University of Alabama accepted a gift of real property from Mrs. Lessley Oliver Hynson and authorized UAB to market the property and execute a Real Estate Sales Agreement for the disposition of the property. The University now requests to use funds totaling \$461,539.05 from the disposition of the property to establish the *Lessley Oliver Hynson Endowed Research*

Fund, which will be used to support pancreatic cancer research in the O'Neal Comprehensive Cancer Center within the Heersink School of Medicine.

On September 19th, 2014, The Board of Trustees of the University of Alabama accepted gifts of \$25,000 from members of the UAB Women's Club and approved the establishment of the UAB Women's Club Endowed Scholarship. UAB, in consultation and with agreement by the current members of the UAB Women's Club, wishes to rename the UAB Women's Club Endowed Scholarship to the *Annie Cornwall Endowed Scholarship*. Ms. Annie Cornwell, a longstanding member of the UAB Women's Club, wholeheartedly embraced the Club's initiative and contributed a substantial lead gift in support of the fund, citing her enduring passion for education as the inspiration for her generosity. This scholarship will be used to support students returning to school to complete their education on either a half-time or full-time basis.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes the endowed funds listed above;

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such awards;

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the funds listed above be notified that the funds have been endowed in perpetuity;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Quasi-Endowed Funds at UAB

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama at Birmingham recommends that the following funds be quasi-endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

The University wishes to add philanthropic funds of \$10,000 from the Strategic Initiative Fund to enhance the corpus of the quasi-endowment titled the *Theresa A. Habshey Endowed Scholarship*, which was previously established on April 9, 2021;

The University wishes to add philanthropic funds of \$2,500 from the Strategic Initiative Fund to enhance the corpus of the quasi-endowment titled the *Virginia Howard Endowed Scholarship in Public Health*, which was previously established on April 9, 2021;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the additions to the quasi-endowed funds listed above;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Acknowledgement and Appreciation of Gifts at UAH

RESOLUTION

WHEREAS, the President of The University of Alabama in Huntsville (“UAH”) recommends acknowledgment of the following gifts, to be used as part of the University’s ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service; and

The Bonnie Lee Bolding Charitable Foundation has generously given \$40,000 to the College of Education to support the *Harper-Hipp Scholarship* within the UAH Early Learning Center, which offsets tuition costs for children enrolled in the UAH Rise Program;

The Boeing Company has given \$70,500 to support the College of Engineering Dean’s Excellence Fund, the Integrated Product Team, the Boeing Engineering Annual Scholarship in the College of Engineering, the Boeing New Venture Challenge, the Boeing Business Annual Scholarship in the College of Business, and the Boeing Computer Science Annual Scholarship in the College of Science;

Bryant Bank has given \$50,000 to support Charger Athletics and its Athletics Performance Enhancement Center, \$100,000 to further support the Bryant Bank UAH Business College Support Fund in the College of Business, and \$100,000 to further support the Bryant Bank UAH Excellence in Nursing Scholarship in the College of Nursing;

Mrs. Sangeeta Singhal has given \$550,000 to the College of Engineering to support the future establishment of an Endowed Chair in Mechanical and Aerospace Engineering, which will provide supplemental funding to support Graduate Research Assistantships

(GRA), equipment for research or other professionally-related expenses, or other programmatic support;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby expresses its appreciation for the generosity demonstrated by the donors presented above and herein conveys its gratitude for these gifts to support UAH;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Naming of the James Blair Scholarship at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville recommends that the following gift, having met the minimum standards for naming, be used as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Ms. Patricia M. Blair has generously given \$50,000 along with an anticipated annual commitment to UAH to establish the non-endowed *James Blair Scholarship in the College of Engineering*, which will support upper-level undergraduate students pursuing degrees in the College of Engineering;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves naming of the above-mentioned scholarship and expresses its appreciation for the generosity demonstrated by the donor above;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Naming Opportunities in Charger Park at UAH

RESOLUTION

WHEREAS, Dr. Cade Smith, Director of Intercollegiate Athletics, desires to undertake a fundraising plan in which definable portions of Charger Park would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dr. Smith has submitted to Dr. Charles L. Karr, President of The University of Alabama in Huntsville, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Karr recommends that the naming opportunities and gift amounts be approved as part of Charger Athletics' fundraising plan;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Karr and approves the naming opportunities contained within the attached list; and

BE IT FURTHER RESOLVED that the attached list detailing the naming opportunities and gift amounts be made a part of this resolution by reference Exhibit T; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Endowed Funds at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville (UAH) recommends that the following funds, having met the minimum standards for endowed gifts, be endowed as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Colonel Milton A. Looney has given \$25,000, and has pledged a future bequest, for the establishment of the *Leigh Ann Looney Endowed Memorial Scholarship* in loving memory of his daughter. The scholarship will be used to support students pursuing a degree in the College of Business or the College of Nursing with a preference given to Accounting or Nursing students. Recipients must have a minimum GPA of 2.5 on a 4.0

scale and must have graduated from a public or private high school in Limestone County, AL.

Mr. F. Clark Boles has previously generously given for the establishment and ongoing support of the *Carol Perkins Memorial Endowed Scholarship for Elementary Education*. Mr. Boles now has given an additional \$25,000 to endow the fund and has further pledged a future bequest to continue to support a junior and senior pursuing a degree in Elementary Education who demonstrate good character and financial need.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes the endowed funds listed above;

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to this fund shall constitute endowed corpora, which will be held, invested, maintained and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such awards;

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the fund listed above be notified that the funds have been endowed in perpetuity;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Pro tem Phelps thanked Trustee Simon for his report and recognized Trustee Brooks for a report from the Nominating Committee.

Trustee Brooks reported that the Nominating Committee met on February 2, 2024. The Committee reviewed one item, which was appointing individuals to serve as directors on the Crimson Tide Foundation Board. The Committee unanimously recommended the individuals listed in the resolution. Trustee Brooks moved for approval by the Board, which was seconded by Trustee Urquhart.

Pro tem Phelps asked if there were any question or comments regarding the Committee's action. Hearing none, Pro tem Phelps then called for a vote, and the Board unanimously approved the item.

Appointing Individuals to Serve as Directors on the Crimson Tide
Foundation Board

RESOLUTION

WHEREAS, the Crimson Tide Foundation supports The University of Alabama and its Athletics Department; and

WHEREAS, Article II, Section 5 of the Bylaws of the Crimson Tide Foundation authorizes The Board of Trustees of The University of Alabama to appoint members of the Board of Directors of the Crimson Tide Foundation;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby appoints the following individuals as members of the Board of Directors of the Crimson Tide Foundation:

Angus R. Cooper, II
John J. McMahon, Jr.
William R. Battle, III
John Russell Thomas
Thomas L. Patterson
Robert W. Moore
William B. Sexton
Jamie Tisch
Karen P. Brooks
Chancellor Finis E. St. John, IV
DeMeco Ryans

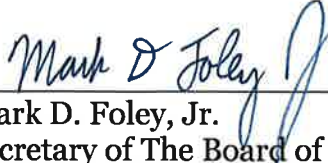
Scott Phelps
John D. Johns
President Stuart R. Bell
Grayson Hall
W. Stancil Starnes
William Best
Robert C. (Mike) Brock
Antonio London
Barbara Humphrey

BE IT FURTHER RESOLVED that all appointments made in this resolution are considered to be effective immediately.

Pro tem Phelps thanked Trustee Brooks for her report.

Pro tem Phelps asked if there was any further business to come before the Board.

There being no further business to come before the Board, the meeting was adjourned.



Mark D. Foley, Jr.
Secretary of The Board of Trustees



University of Alabama System
UAB Institutional Board of Trustees Meeting
February 02, 2024
10:30 am-11:30 am Central Time

- I. Roll Call
(Mark Foley)
- II. Introduction of Press
(Lynn Cole)
- III. Adoption of Agenda
(Pro tem Phelps)
- IV. Approval of Consent Agenda
(Pro tem Phelps)
- V. Approval of the November 3, 2023 Minutes
(Pro tem Phelps)
- VI. Administrative Report
- VII. Report from Chancellor Finis E. St. John IV
- VIII. Institutional Reports
 - A. UAB President Ray L. Watts, M.D.
 - B. UAB Faculty Representative Karen Cropsey
 - C. UAB Student Representative Kyle Adams
- IX. Administrative Action Items
 - A. Consideration of Resolution Reaffirming the Mission Statement for The University of Alabama
 - B. Consideration of Resolution Reaffirming the Mission Statement for The University of Alabama at Birmingham
 - C. Consideration of Resolution Reaffirming the Mission Statement for The University of Alabama in Huntsville
 - D. Consideration of Resolution Approving UAB Strategic Plan [Separate Cover]
- X. Executive Committee Report
- XI. Compensation Committee Report
- XII. Investment Committee Report
- XIII. Physical Properties Committee Report
 - A. UA Construction Items
 - 1. Consideration of Resolution approving the revised project budget; providing authorization to execute construction contracts for the Campus Steam Decommissioning at UA (Stage IV)

2. Consideration of Resolution approving the reallocated project budget; providing authorization to execute a construction contract for the Kirkbride Lane Parking Lot at UA (Stage IV)
3. Consideration of Resolution approving the reallocated project budget; providing authorization to execute a construction contract for the Reese Phifer Radio and Satellite Farm Relocation at UA (Stage IV)
4. Consideration of Resolution approving the revised project budget; providing authorization to execute construction contracts for the University Early Childhood Development and Education Center at UA (Stage IV)
5. Consideration of Resolution approving the proposed architectural design for the McLure Library Addition and Renovation at UA (Stage III)
6. Consideration of Resolution providing authorization to negotiate a Master Services Agreement for Integrated Inspection Services at UA (Stage II)
7. Consideration of Resolution approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement; approving the proposed architectural design for the SR-215 (15th St) and 2nd Avenue Intersection Improvements at UA (Stage I, Stage II, & Stage III)
8. Consideration of Resolution approving the preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Alpha Delta Pi Sorority House Bedroom Renovation at UA (Stage I & Stage II)
9. Consideration of Resolution approving the preliminary project scope and budget; providing authorization to execute an Owner/Architect Agreement for the Beta Theta Pi Fraternity House Rear Addition at UA (Stage I & Stage II)
10. Consideration of Resolution approving the preliminary project scope and budget; providing authorization to execute an Owner/Consultant Agreement for the EV Bus and Charging Infrastructure at UA (Stage I & Stage II)

B. UAB Construction Items

1. Consideration of Resolution approving the reallocated project budget; providing authorization to execute a construction contract for the Renovation of the Susan Mott Webb Nutrition Sciences Building for a Metabolic Kitchen, Learning Center, and Entrance Lobby Improvements at UAB (Stage IV)

2. Consideration of Resolution approving the revised project scope, budget, and architectural design; providing authorization to modify the Construction Management (at risk) Agreement for the Southern Research - Phase I Campus Master Plan Improvements (Revised Stage IV)
3. Consideration of Resolution approving the revised project scope and budget, and the proposed architectural design for the New Biomedical Research and Psychology Building at UAB (Revised Stage II, Stage III)
4. Consideration of Resolution approving the revised project budget; providing authorization to negotiate an Owner/Architect Agreement for the Renovation of the 7th and 9th floors of the Lyons-Harrison Faculty Office Tower for the Heersink School of Medicine at UAB (Stage II)
5. Consideration of Resolution approving the preliminary project scope and budget for the Renovation of the 6th floor of the Ryals School of Public Health Building at UAB (Stage I)

C. UAB Equipment Items

1. Consideration of Resolution approving the procurement and installation of a Thermo Fisher Scientific Orbitrap Ascend Mass Spectrometer for the Department of Biochemistry and Molecular Genetics in the Heersink School of Medicine at UAB
2. Consideration of Resolution approving the procurement and installation of a Graphco RMGT 970 Offset Printing Press for UAB Printing and Mailing Services

D. UAH Construction Item

1. Consideration of Resolution providing authorization to negotiate an Owner/Consultant Agreement for the Campus Mass Notification System - Phase 3 at UAH (Stage II)

E. UAH Real Estate Item

1. Consideration of Resolution providing authorization to execute an Amendment to the Lease Agreement with Delta Tau Corporation of Alpha Omicron Pi

F. UAS Information Item

1. Report on Construction Contracts Awarded for Interior Renovation Projects

XIV. Audit, Risk, and Compliance Committee Report

XV. Finance Committee Report

A. UA

1. Consideration of Resolution Updating Signature Authority at UA

2. Consideration of Resolution Updating Authorized Officials with Approved Depositary at UA

B. UAB

1. Consideration of Resolution Approving Walter P Moore Consulting Agreement at UAB
2. Consideration of Resolution Updating Signature Authority at UAB

C. Information Item

1. 1st Quarter Purchasing Report

XVI. Academic Affairs and Student Affairs Committee Report

A. UAS Administrative Action Items

1. Consideration of Resolution Approving Amendments to Board Rule 502 for UAS
2. Consideration of Resolution Approving Amendments to Board Rule 505 for UAS

B. UAS Information Items

1. Annual Review of Centers and Institutes per Board Rule 503 for UA, UAB, and UAH
2. SACSCOC Updates for University of Alabama System Campuses at UA, UAB, and UAH
3. Post-Implementation Report Summary at UAS

C. UA Individual Action Items

1. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Doctor of Philosophy (Ph.D.) degree in Criminology and Criminal Justice (CIP Code 45.0401) in The Department of Criminology and Criminal Justice in the College of Arts and Sciences at UA
2. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Master of Arts (M.A.) degree in Philosophy (CIP Code 38.0101) in the Department of Philosophy in the College of Arts and Sciences at UA
3. Consideration of Resolution Approving the Submission of a Notification for Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) Degree in Informatics (CIP 11.0104) in the School of Library & Information Studies in the College of Communication & Information Sciences at UA

4. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) degree in Real Estate (CIP Code 52.1501) in the Department of Economics, Finance, and Legal Studies in the Culverhouse College of Business at UA

D. UA Administrative Action Items

1. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (English Language Arts, Mathematics, Social Studies, and Mathematics Specialist) as Reasonable Extensions of the Existing Education Specialist (Ed.S.) in Secondary Education (CIP Code 13.1205) in the Department of Curriculum and Instruction in the College of Education at UA
2. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (Early Childhood Special Education, Gifted Education, and Collaborative Special Education) as Reasonable Extensions of the Existing Education Specialist (Ed.S.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at UA
3. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Set of Concentrations (Early Childhood Special Education, Gifted Education, Severe Disabilities, Autism, Collaborative Special Education K-6, and Collaborative Special Education 6-12) as Reasonable Extensions of the Existing Master of Arts (M.A.) in Special Education (CIP Code 13.1001) in the Department of Special Education in the College of Education at UA
4. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) as an Information Item the name change of the existing Nursing Administration Concentration to Nurse Executive Concentration in the existing Nursing (MSN) degree (CIP Code 51.3801) in the Capstone College of Nursing at UA
5. Consideration of Resolution Approving the Establishment of the Lucy and Steve Weinstein Endowed Chair of Theatre and Dance and Approving the Appointment of Dominic Yeager as the Inaugural Lucy and Steve Weinstein Endowed Chair of Theatre and Dance at UA
6. Consideration of Resolution Approving Appointment of John Baker, Ph.D., as Professor Emeritus in the Department of Aerospace Engineering and Mechanics in the College of Engineering at UA

7. Consideration of Resolution Approving Appointment of Thomas Fox, Ph.D., as Professor Emeritus of German in the Department of Modern Languages and Classics in the College of Arts and Sciences at UA
8. Consideration of Resolution Approving Appointment of Viola Acoff, Ph.D., as Associate Dean Emerita in the College of Engineering at UA
9. Consideration of Resolution Approving Appointment of Robin Behn, M.F.A., as Professor Emerita of English in the Department of English in the College of Arts and Sciences at UA

E. UA Information Items

1. Establishment of a Post-Baccalaureate Certificate in Supply Chain Analytics (CIP Code 52.1301) at UA
2. Establishment of a Post-Baccalaureate Certificate in Information Systems Solutions (CIP Code 52.1201) at UA
3. Establishment of a Post-Baccalaureate Certificate in Digital/Social Media Marketing (CIP Code 52.1401) at UA
4. Establishment of a Post-Baccalaureate Certificate in Data Analytics (CIP Code 30.7102) at UA
5. Establishment of a Post-Baccalaureate Certificate in Business Cyber Security (CIP Code 52.1201) at UA
6. Deletion of the Bachelor of Science (B.S.) degree in Geology (CIP Code 40.0601) in the College of Arts and Sciences from The University of Alabama Academic Program Inventory at UA

F. UAB Individual Action Items

1. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) Degree in Artificial Intelligence in Medicine (CIP Code 11.0102) at UAB
2. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for the Master of Science (M.S.) Degree in Nuclear Medicine Advanced Associate (CIP Code 51.0905) at UAB
3. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for the Master of Science (M.S.) Degree in Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406) at UAB
4. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISP) for a Bachelor of Science (B.S.) Degree in Medical Imaging and Therapeutics (CIP Code 51.0905) at UAB

5. Consideration of Resolution Approving the Submission of a Notification of Intent to Submit a Proposal (NISIP) for Bachelor of Science (B.S.) degree in eSports Performance Management and Coaching in Kinesiology (CIP Code 31.0504) at UAB

G. UAB Administrative Action Items

1. Consideration of Resolution Approving Permission to Submit to the Alabama Commission on Higher Education (ACHE) the Establishment of a Music Therapy Concentration within the Bachelor of Art (B.A.) Degree in Music (CIP Code 50.0901) at UAB
2. Consideration of Resolution Approving Changing the Name of The University of Alabama at Birmingham School of Education to The University of Alabama at Birmingham School of Education and Human Sciences
3. Consideration of Resolution Approving Renaming of the Division of Memory Disorders to the Division of Cognitive and Behavioral Neurology in the Department of Neurology at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine
4. Consideration of Resolution Approving the Closure of the following UAB Centers: Science, Technology, Engineering, and Mathematics (STEM) Education Center, Center for Free Radical Biology, James and John Kirklin Institute for Research in Surgical Outcomes (KIRSO), the Maryann Manning Family Literacy Center, and the Hypertension Research Center
5. Consideration of Resolution Approving the Establishment of the John Durr Elmore, M.D., Endowed Chair in Psychiatry at UAB
6. Consideration of Resolution Approving the Establishment of the Benjamin Russell Endowed Chair in Geriatrics at UAB
7. Consideration of Resolution Approving Appointment of Brian Samuels, M.D., Ph.D. as the Second Holder of the EyeSight Foundation of Alabama Endowed Chair of Ophthalmology at UAB
8. Consideration of Resolution Approving Appointment of Karin M. Hardiman, M.D., Ph.D. to the Strange Family Endowed Professorship in Surgical Sciences Research in the Department of Surgery at UAB
9. Consideration of Resolution Approving Appointment of Glenn Eidson Peters, M.D., FACS, as Professor Emeritus of Otolaryngology/Head and Neck Surgery in the Department of Otolaryngology at UAB

10. Consideration of Resolution Approving Appointment of James K. Kirklin, M.D., as Professor Emeritus, of the Department of Surgery, Division of Cardiothoracic Surgery at UAB
11. Consideration of Resolution Approving Appointment of Pamela Benoit, Ph.D. as Senior Vice President for Academic Affairs and Provost Emerita at UAB
12. Consideration of Resolution Approving Appointment of Rebecca S. Miltner, Ph.D., RN, NEA-BC, FAAN, as Professor Emerita of Nursing in the UAB School of Nursing at UAB
13. Consideration of Resolution Approving Appointment of Susanne Fogger, DNP, CRNP, CARN-AP, PMHNP-BC, FAANP, FAAN, as Professor Emerita of Nursing in the UAB School of Nursing at UAB

H. UAB Information Items

1. Establishment of a 15-Hour Graduate Certificate in eSports Organization and Management (CIP Code 31.0504) at UAB
2. Establishment of a New Section of Translational Gerontology within the Division of Gerontology, Geriatrics, and Palliative Care in the Department of Medicine at UAB
3. Closure of the School Counseling Concentration in the Master of Arts (M.A.) Degree in Counseling (CIP Code 13.1101) at UAB
4. Notice of Award for Center for Additively Manufactured Complex Systems under Extremes (CAMCSE) at UAB

I. UAH Administrative Action Items

1. Consideration of Resolution Approving a Proposal to Add a Concentration in Chemistry and Chemical Engineering within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH
2. Consideration of a Resolution Approving a Proposal to Add a Concentration in Chemical Engineering and Biological Sciences within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH
3. Consideration of a Resolution Approving a Proposal to Add a Concentration in Biological Sciences and Chemistry within the Doctor of Philosophy (Ph.D.) Degree in Biotechnology Science and Engineering (CIP Code 26.1201) at UAH
4. Consideration of a Resolution Approving a Proposal to Add a Concentration in Sport Coaching and Leadership within the Bachelor of Science (B.S.) Degree in Sport and Fitness Management (CIP Code 31.0504) at UAH

5. Consideration of a Resolution Approving a Proposal to Add a Concentration in Sport and Fitness Business within the Bachelor of Science (B.S.) Degree in Sport and Fitness Management (CIP Code 31.0504) at UAH
6. Consideration of a Resolution Approving Appointment of Mark J. Pekker, Ph.D., as Professor Emeritus in the Department of Mathematical Sciences in the College of Science at UAH

J. UAH Information Items

1. New College of Science 13-hour Graduate Certificate in Computational Physics (CIP Code 30.3001) at UAH
2. Graduate Certificate Name Change in the College of Arts, Humanities, and Social Sciences(CIP Code 45.0902) at UAH
3. Modeling, Virtual Environments, & Simulation (MOD) Graduate Certificate Inactivated in the College of Science (CIP Code 11.0804) at UAH

K. UAB Student Life Spotlight

XVII. Honorary Degrees and Recognition Committee Report

A. UA

1. Consideration of Resolution Approving the Establishment of the Shelby Institute for Policy and Leadership Quasi-Endowed Support Fund at UA
2. Consideration of Resolution Approving the Establishment of the Edward O. and Irene K. Wilson Endowed Fund for Biological Research and Education at UA
3. Consideration of Resolution Approving the Naming of the Dr. Robert E. Witt University Fellows Program and Establishment of the Dr. Robert E. Witt University Fellows Program Quasi-Endowed Support Fund at UA
4. Consideration of Resolution Approving Naming of the Speech and Hearing Laryngeal Imaging Room at UA
5. Consideration of Resolution Approving the Naming of the Shuryvonne Shambley Dixon Doctoral Programs Office at UA
6. Consideration of Resolution Approving Naming Opportunities in Adams Hall at UA
7. Consideration of Resolution Approving Naming Opportunities in Capital Hall at UA
8. Consideration of Resolution Approving Naming Opportunities in Russell Hall at UA
9. Consideration of Resolution Approving Naming Opportunities in Wade Hall at UA

10. Consideration of Resolution Approving Naming Opportunities for Facilities and Academic Programs at The University of Alabama
11. Consideration of Resolution Approving the Revision of Naming Opportunities in the Capstone College of Nursing Facility at UA
12. Consideration of Resolution Approving the Revision of Naming Opportunities in the Performing Arts Academic Center and the Bryce Main Building at UA
13. Consideration of Resolution Approving the Revision of Endowed Funds at UA
14. Consideration of Resolution Approving Endowed Funds at UA

B. UAB

1. Consideration of Resolution Approving Establishment of the Michael and Elaine Morrissey Endowed Doctoral Award and the Michael and Elaine Morrissey Endowed Doctoral Award Quasi-Endowment at UAB
2. Consideration of Resolution Approving Naming Opportunities in Frances and Miller Gorrie Hall at UAB
3. Consideration of Resolution Approving Naming Opportunities in the Susan Mott Webb Nutrition Sciences Building at UAB
4. Consideration of Resolution Approving Endowed Funds at UAB
5. Consideration of Resolution Approving Quasi-Endowed Funds at UAB

C. UAH

1. Consideration of Resolution Acknowledging and Expressing Appreciation for Gifts at UAH
2. Consideration of Resolution Approving Naming of the James Blair Scholarship at UAH
3. Consideration of Resolution Approving Naming Opportunities in Charger Park at UAH
4. Consideration of Resolution Approving Endowed Funds at UAH

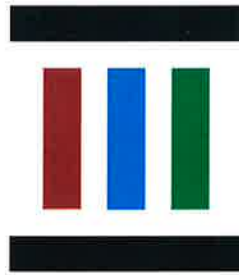
XVIII. Nominating Committee Report

XIX. Adjourn

ADMINISTRATIVE REPORT

A DIGEST OF CURRENT INFORMATION

PREPARED FOR THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA



University of Alabama System®

Individually Distinct. Altogether Stronger.

FEBRUARY 2, 2024
TUSCALOOSA, ALABAMA

TABLE OF CONTENTS

STUDENT/FACULTY ACHIEVEMENTS 1

ADVANCES IN RESEARCH..... 5

NEWS ABOUT DEVELOPMENT 10

CONFERENCES/SYMPOSIA/PROGRAMS 12

CAMPUS HIGHLIGHTS 14

STUDENT/FACULTY ACHIEVEMENTS

TWO UA SENIORS AWARDED MITCHELL SCHOLARSHIPS

Anika Cho, of Beaverton, Oregon, and Owen Emerson, of Huntsville, are two of 12 college students from around the nation to receive the U.S.-Ireland Alliance's George J. Mitchell Scholarship. The Mitchell Scholarship, named to honor former U.S. Senator George Mitchell's contribution to the Northern Ireland peace process, is designed to introduce and connect generations of future American leaders to the island of Ireland, while recognizing and fostering intellectual achievement, leadership, and a commitment to community and public service.

WHEELCHAIR BASKETBALL ATHLETES EARNED GOLD MEDAL IN THAILAND

Five student-athletes on the UA Adapted Athletics wheelchair basketball team represented the U.S. in the women's under-25 International Wheelchair Basketball Championships in Thailand in October where Team USA took the World Champion title. Abby Bauleke of Savage, Minnesota, Erin Buckles of Stafford, Virginia, Ixhelt Gonzalez of Chicago, Bailey Moody of Johns Creek, Georgia, and Moira Paulus of Menomonee Falls, Wisconsin, joined Team USA in competition against teams from South Africa, Canada, Australia, China, Japan, Thailand, Germany, Spain and Great Britain.

BEAT AUBURN BEAT HUNGER FOOD DRIVE COMPLETED

UA students raised 326,644 pounds of food, enough to provide more than 272,000 meals to West Alabamians

struggling with food insecurity, during the 30th annual Beat Auburn Beat Hunger food drive. Together the two schools raised more than 1 million pounds of food for the second consecutive year. According to Feeding America, more than 710,000 Alabamians struggle with food insecurity.

UAB NAMES JANET WOODRUFF-BORDEN

SENIOR VICE PRESIDENT AND PROVOST

Janet Woodruff-Borden, Ph.D., has been named senior vice president of Academic Affairs and provost at UAB following a national search, effective Feb. 1, 2024.

Woodruff-Borden will join UAB from the University of Oregon, where she most recently served as interim provost and executive vice president for 15 months. She will now lead UAB's education mission as the chief academic officer and play a crucial role in supporting the university's mission and the soon-to-launch updated strategic plan, Forging Ahead.

LACEY JOHNSON WINS 2024 MISS UAB SCHOLARSHIP COMPETITION

The new Miss UAB is senior Lacey Johnson. Nine students competed for the title in the Miss UAB Scholarship Competition on Nov. 12, in UAB's Alys Stephens Performing Arts Center. The competition, presented by Student Involvement and Leadership, is an official preliminary to the Miss Alabama and Miss America competitions.

PRCA/PRSSA AT UAB WINS NATIONAL TEAHAN AWARD FOR OUTSTANDING CHAPTER FIRM

UAB's student chapter in public relations won the national Dr. F.H. Teahan Award for Outstanding Chapter Firm from the Public Relations Student Society of America. The Teahan awards recognize outstanding work and achievements by students in public relations from across the country.

This is the first time that UAB's Public Relations Council of Alabama/Public Relations Student Society of America has won for outstanding chapter.

UAB STUDENT ONE OF 30 CHOSEN FROM HUNDREDS FOR NATIONAL VOICE CONTEST

A Florence, Alabama, student is a national semifinalist in The American Pops Orchestra "NextGen National: Finding the Voices of Tomorrow" competition.

Jalen Kirkman is among 30 singers chosen for the competition from hundreds of auditioners. He is a junior, pursuing his Bachelor of Fine Arts degree in musical theater on track to graduate in spring 2025.

ENGLISH PROFESSOR PUBLISHES BOOK ON POSTCOLONIAL NATURALISM

Dr. Eric Smith has published a new book titled *Postcolonial Naturalism: Periodization, World-Literature, and the Anglophone Novel*. The prestigious Liverpool University Press released it on November 17, 2023. This is Dr. Smith's second book on postcolonial literature - he previously published *Globalization, Utopia, and*

Postcolonial Science Fiction: New Maps of Hope.

DIRECTOR OF INFORMATION TECHNOLOGY AND SYSTEMS CENTER (ITSC) LEADS NOAA ADVISORY COMMITTEE

Dr. Sara James Graves, ITSC Director and Computer Science Professor, chaired the December 2023 NOAA Integrated Ocean Observing System Federal Advisory Committee in Washington, DC. The Advisory Committee brings ocean and coastal experts from federal, academic, industry, and non-profit sectors to advise the Under Secretary of Commerce for Oceans and Atmosphere. The recommendations are used to develop annual priorities aligned with the NOAA FY22-26 Strategic Plan.

FACULTY MEMBER'S ORIGINAL COMPOSITION RECORDED FOR INTERNATIONAL RELEASE

Department Chair of Music, Theatre, and Film Dr. Joshua Burel's recent composition, "Voyage," was recorded by the Elicio Winds Trio for international release on the album "Convergence: Music and Cultural Legacy" on Blue Griffin Records.

MUSIC STUDENTS SELECTED TO PRESENT AT NATIONAL SYMPOSIUM

Will Owens and Brody Dunston, students and members of the UAH Music Teachers National Association (MTNA) Collegiate Chapter, have been chosen to present their research at the MTNA Collegiate Chapter Symposium in Ann Arbor, Michigan.

ADVANCES IN RESEARCH

UAB'S RESEARCH AWARDS REACH RECORD

\$774.5 MILLION FOR FISCAL YEAR 2023

UAB reached yet another monumental research milestone, carrying on the most successful era of research funding in UAB history.

UAB received \$774.5 million in research grants and extramural awards for the 12-month period ending Sept. 30, 2023, an 8.2 percent increase from fiscal year 2022, according to data from its Office of Sponsored Programs. This milestone marks a \$247.5 million increase in funding over the past five years and a 73 percent growth over the past nine years.

UAB RESEARCHERS AWARDED \$1 MILLION

TO STUDY WAYS TO REDUCE EMERGENCY

DEPARTMENT OVERCROWDING

A group of UAB researchers were awarded \$1 million to develop and implement solutions to reduce emergency department overcrowding.

The research will use artificial intelligence, machine learning and health information technology to analyze the full capacity protocol in managing patient flow in the ED and beyond. The FCP consists of multiple levels, each triggered by specific criteria. When a level is activated, various interventions can be implemented to address ED crowding.

\$6.4 MILLION GRANT AWARDED TO EVALUATE NOVEL TREATMENTS OF

RECURRENT PANCREATITIS

ACUTE

Acute pancreatitis can affect a patient's quality of life because, for some, pancreatitis is not a one-time scenario. Instead they experience recurring episodes, and there is no indication as to when they will happen.

Vikas Dudeja, M.D., was awarded a \$6.4 million grant from the United States Department of Defense to identify a possible treatment for acute pancreatitis. The grant will fund a clinical trial to study the effect of pirfenidone, a medication currently used for idiopathic pulmonary fibrosis, on patients with recurrent acute pancreatitis.

PASSION FOR VASCULAR DISEASE RESEARCH

YIELDS \$5 MILLION IN NIH FUNDING

Yabing Chen, Ph.D., was awarded two National Institutes of Health grants totaling more than \$5 million to further her research into vascular diseases ranging from hardening of the arteries to dementia.

Vacuolar calcification, which leads to the hardening of blood vessels and increased vascular stiffness, is a hallmark of the aging process in the cardiovascular system.

UAH RESEARCHER PARTNERS WITH THE NATO SCIENCE FOR PEACE AND SECURITY PROJECT

Dr. Bryan L Mesmer, College of Engineering Associate Professor and Researcher, was selected to team up with academics, other researchers, and postgraduate science and technology students from four countries on a \$1.26 million project supported by the North

Atlantic Treaty Organization (NATO) Science for Peace and Security Program to develop pioneering solutions for disaster relief using multi-agent drone systems. Drones can provide real-time images and data of disaster-stricken areas, allowing first responders to quickly and effectively assess situations and prioritize activities in civil emergencies, typically involving searching for survivors, assessing damage, and delivering assistance to the affected areas.

ACCOUNTING PROFESSOR APPEARS ON *THE SPACE SHOW*

Dr. Hank Alewine, Associate Professor of Accounting, was the featured speaker on *The Space Show*, a broadcast focused on issues influencing the development of outer space commerce and space tourism. Of the twenty years of reporting, Dr. Alewine's topics of space accounting and space commerce have never been discussed, evidence of his ground-breaking research.

RESEARCHERS DESIGN LIMESTONE PUTTY NANOGENERATOR TO HARVEST ENERGY

Gang Wang, Professor of Mechanical Engineering; Dr. Moonhyung Jang, Postdoctoral Research Assistant; Sean P. Rabbitte, Undergraduate Research Assistant; and Dr. Yu Lei, Chair and Associate Professor of Chemical and Materials Engineering, developed a new kind of triboelectric nanogenerator (TENG) that produces electricity through the use of limestone putty. This breakthrough invention promises considerable cost savings over conventional manufacturing methods. TENGs are small devices that convert mechanical or thermal energy into

electricity for small, wireless autonomous devices like wearable electronics, condition monitoring, and wireless sensor networks. Examples include heart monitor implants, biochip transponders for farm animals, or sensors alerting drivers when tire pressure is low. TENGs harvest power for these devices by transferring an electric charge between two objects when they contact or slide against one another through motions such as walking, vibration, rotating tires, moving wind, or flowing water, all with minimal environmental impact. Compared to existing TENGs, which use expensive nanotechnology-based fabrication methods, the UAH invention is a new type of TENG that employs "tacky" materials like double-sided adhesive tape or limestone putty to generate a charge, making it far more cost-effective and more straightforward to build.

RESEARCHERS WIN NASA AWARD TO STUDY HOW LIGHTNING DETECTED IN SPACE RELATES TO THUNDERSTORM STRENGTHENING

Two researchers from the Earth System Science Center were recently awarded \$402K from the National Aeronautics and Space Administration (NASA) Research Opportunities in Space and Earth Science to discover what aspects of lightning flashes are captured by NASA's Lightning Imaging Sensor on the International Space Station and how these characteristics can provide information about how thunderstorms strengthen in intensity. Over the three-year award period, UAH Research Associate Dr. Sarah Stough, principal investigator on the project, along with co-investigators UAH Research Scientist Dr. Daniel Walker and NASA Marshall Space Flight Center Dr. Mason Quick, will

analyze the frequency and type of lightning emissions NASA's space-based optical lightning sensors can detect. By understanding atmospheric conditions that influence the initiation of a lightning flash from a storm cloud and determining the characteristics of lightning seen from space, Stough and Walker expect this research will help influence how the next generation of NASA's space-based lightning detection instrumentation is developed.

A DECADE OF GROWTH FOR RESEARCH AND DISCOVERY

For the 10th straight year, outside support of research and other sponsored activities at The University of Alabama increased. One of the fastest growing major research institutions in the nation, the University saw a 21% increase in sponsored awards in fiscal year 2023 over the previous year. In all, nearly \$256 million in support from external partners boosted research and discovery activities across campus.

UA, PARTNERS AIM TO IMPROVE HEALTH IN TUSCALOOSA COUNTY

An effort led by UA aims to improve health, prevent chronic diseases and reduce health disparities in Tuscaloosa County children and their families with the highest burden of chronic disease. Funding from the Centers for Disease Control and Prevention's Racial and Ethnic Approaches to Community Health, or REACH, program to the Capstone College of Nursing supports a community coalition among UA, Alabama Department of Public Health, Tuscaloosa Public Library, Tuscaloosa County School System and a family healthy weight program to enhance

existing resources, address Tuscaloosa County's health needs and reduce health disparities. Assistant professors Drs. Janet Brown and Leigh Ann Bray Dayton received over \$784,000 for the first year of the five-year REACH program.

MATERIALS RESEARCH ORGANIZED UNDER NEW INSTITUTE

A new institute at UA will boost efforts from across campus in materials science and materials engineering. The Alabama Materials Institute recognizes the University's established reputation at the forefront of materials-centric activities, crossing multiple departments and colleges. It joins three other campus institutes organized around broad research themes of water, transportation and human life that leverage campus expertise to enhance discovery and education. At the outset, AMI's core analytical facilities serve more than 63 faculty member research groups from 12 different departments that span four colleges. With nearly 160 active projects totaling \$20 million in annual research awards, AMI contributes to the growing research enterprise at UA.

UA PALEONTOLOGIST DISCOVERED RARE SOFT TISSUE IN FOSSIL

In a recently published paper, Dr. Adiel Klompmaker, UA Museums' curator of paleontology, and colleagues reported on a remarkable crab with multiple mineralized soft tissues preserved. This crab lived 75 million years ago during the Cretaceous in the area of present-day South Dakota in an ancient sea known as the Western Interior Seaway. Prior to the new paper, only four other fossil crab specimens had gills preserved.

UA SELECTED FOR STATE INNOVATION NETWORK

The University is an inaugural designee in the Innovate Alabama Network. It is a comprehensive resource connecting communities, nonprofits and higher education institutions that foster innovation in their local footprint. UA is one of 10 higher education institutions in the network. The designation includes \$125,000 for support of the University's research mission. There is also an opportunity for more funding as the program continues. Innovate Alabama is Alabama's first statewide public-private partnership focusing on entrepreneurship, technology and innovation.

UA LEADS PROJECT TO GIVE EV BATTERIES SECOND LIFE

With \$4 million in support from the U.S. Department of Energy, UA is partnering with Alabama Power and Mercedes-Benz U.S. International Inc. to develop and demonstrate ways to use older EV batteries, extending their usable life and reducing their carbon footprint while lessening demand on power grids. The project is also supported by another \$4 million in cost-share, bringing the project budget to a total of \$8 million. As more EVs are sold, the demand for critical battery minerals, such as lithium and graphite, is projected to increase by as much as 4,000% in coming decades. The federal funding overseen by the energy department is intended to support the recycling and reuse segment of the domestic battery supply chain.

CORAL RESEARCHERS TEST NEW RESTORATION TECHNOLOGY

A collaboration of efforts from five organizations focuses on using novel technology in the field to aid coral

restoration practices across the globe. The efforts have resulted in two grant-funded projects of more than \$2 million. Researchers coordinating efforts on these projects include Dr. Kenneth Hoadley with UA and Dauphin Island Sea Lab, among others. The two projects funded by the 2022 Coral Research and Development Accelerator Platform, or CORDAP, and NOAA's Ruth Gates Coral Restoration and Innovation Grant will allow researchers to test a tool created to determine the thermal tolerance of a species of coral in the field as opposed to in the laboratory. The goal of the tool is to determine coral thermal tolerance rapidly and non-destructively and then incorporate non-destructive bleaching prediction into ongoing restoration efforts.

PROJECT ADVANCES TOOLS TO REMOTELY MONITOR WATER QUALITY

A project led by UA is developing software to enable monitoring and assessing the quality of freshwater reservoirs using satellite and drone technology, potentially saving time and cost. Supported by the Water Research Foundation, the research is a partnership with the University of Cincinnati for a new generation of adaptive software tools to evaluate and demonstrate the use of satellite observations and cutting-edge drone remote sensing technology in drinking water quality monitoring and ecological assessment of freshwater resources. This innovative project brings together comprehensive field assessments of drinking water reservoirs in Alabama, Ohio and Georgia.

GAME-CHANGER: PROJECT COMPILED 200 YEARS OF CONFLICT DATA

Research led by UA to describe and categorize more than 30,000 international events occurring over nearly 200 years will be a gold mine for those hoping to learn from the past. The International Conflict Data Project should help policymakers and political scientists better understand and predict the outcomes of high-stakes, international confrontations. Available online and described in a series of papers published this year, the project produced eight datasets presenting a consistent identification of all threats, displays and uses of force between states from 1816 to 2014. It draws from about 35,000 historical sources to show 30,000 events and 1,900 international confrontations.

NEWS ABOUT DEVELOPMENT

The College of Science received a \$2 million gift from the **William Henry McMullen III Trust** to fund three initiatives in the Department of Chemistry. **Barbara Wadsworth**, McMullen's daughter, selected UAH for the donation to honor the memory of her father, an analytical chemist.

Mrs. Sangeeta Singhal donated \$550,000 to support the Ashok K. Singhal Endowed Chair in Mechanical and Aerospace Engineering.

Bryant Bank has given \$250,000 as follows: \$100,000 to the UAH College of Business Support Fund, \$100,000 to the UAH Excellence in Nursing Scholarship, \$25,000 to the UAH Athletics Excellence Fund, and \$25,000 to the Athletics Performance Enhancement Center.

The Dr. Andrew J. Ventre Estate bequested \$104,000 to the Andrew and Betty Ventre Memorial Fellowship Fund.

Ms. Patricia Blair donated \$50,000 to establish the James Blair Scholarship in the College of Engineering.

Mr. F. Clark Boles donated a total of \$50,000. \$25,000 to the College of Education Student Emergency Fund and \$25,000 to the Perkins Memorial Endowed Scholarship for Elementary Education.

The Bonnie Lee Bolding Charitable Foundation has given

\$40,000 to support the Harper-Hipp Scholarship.

NEW ENDOWED CHAIR CREATED IN GERIATRICS

Benjamin and Luanne Russell have given \$1.5 million to establish an endowed chair in the Benjamin Russell Center for Advanced Care within the UAB Heersink School of Medicine. In addition to supporting a position in the Division of Gerontology, Geriatrics and Palliative Care, the holder of this endowed chair will serve as the medical director of the Benjamin Russell Center for Advanced Care in Tallapoosa County, Alabama.

ENDOWED CHAIR HONORS FATHER'S MEMORY

Mildred Elmore Hulsey has given \$1.5 million to establish the John Durr Elmore, M.D., Endowed Chair in Psychiatry in memory of her father. This endowed chair in the Department of Psychiatry and Behavioral Neurobiology in the UAB Heersink School of Medicine will support the work and mission of the Depression and Suicide Center through the recruitment and retention of an expert faculty member who will provide the highest standard of care, pursue improved therapies and offer hope to families impacted by mental health challenges.

GIFT OF PROPERTY LEADS TO ESTABLISHMENT OF RESEARCH FUND

The sale of property given to UAB by Lessley Oliver Hynson has created the Lessley Oliver Hynson Endowed Research Fund for pancreatic cancer in the O'Neal Comprehensive Cancer Center.

The condominium had been given to the university for use as a guest house for the O'Neal Comprehensive Cancer Center in February 2019 with the agreement that, if the university chose to sell the property, the proceeds would be used to establish an endowed research fund for pancreatic cancer research. The donor made the gift in appreciation of Dr. Martin J. Heslin's career of providing excellent care to his patients, including Lessley Hynson. The university Board of Trustees approved selling the property in February 2023.

SCHOLARSHIP ESTABLISHED FOR UPPERCLASSMEN IN DEPARTMENT OF COMPUTER SCIENCE

The NaphCare Charitable Foundation has given \$25,000 to establish the NaphCare Endowed Scholarship in Computer Science. Application to the scholarship is open to incoming junior and senior students in the Department of Computer Science in the College of Arts and Sciences. The NaphCare Charitable Foundation is based in Vestavia Hills, Alabama.

ALUMNUS AND SPOUSE ESTABLISH ENDOWED SCHOLARSHIP

Gordon Ferguson, a 1984 Health Professions graduate of UAB, and his wife, Cindy, have given \$25,000 to establish the Gordon and

Cindy Ferguson Endowed Scholarship. Application for the scholarship is open to students enrolled on the residential Master of Science in Health Administration Program in the School of Health Professions.

UA BROKE GROUND AT SMITH FAMILY CENTER FOR THE PERFORMING ARTS

Construction for The University of Alabama's new performing arts center on the renovated Bryce Campus officially began Oct. 20. The Smith Family Center for the Performing Arts will feature cutting-edge rehearsal and performance venues comparable to major regional theaters and Broadway.

CONFERENCE/SYMPOSIA/PROGRAMS

CENTER FOR APPLIED OPTICS HOSTS ANNUAL HIGH- ENERGY LASER OPTICS METROLOGY WORKSHOP

The second annual workshop on Metrology of Optics for High-energy Laser (HEL) Systems was organized by Dr. James Hadaway, Principal Research Scientist in the Center for Applied Optics, along with logistical support from the Office of Professional and Continuing Education. The workshop's goal was to continue last year's work to forge a common path forward in improving the metrology processes and technologies to support more efficient movement of defense HEL weapons systems from prototypes to deployed systems. Thirty-five organizations participated in the on-campus event, representing DoD, prime contractors, system integrators, subsystem suppliers, optics and coating manufacturers, metrology service providers, and universities. Lieutenant General Robert Rasch, Jr., Director of the Army's Rapid Capabilities and Critical Technologies Office, was the keynote speaker.

NASA EARTH OBSERVING SYSTEM PROGRAM SUPPORT WORKING GROUP MEETS AT UAH

The Information Technology and Systems Center (ITSC) recently hosted NASA professionals and scientists from several research universities as part of the annual review of the Global Hydrology Resource Center (GHRC) Distributed Active Archive Center (DAAC) in support of the Earth Science Data

Information System (ESDIS) Project. GHRC serves as NASA's Earth science data stewards for scientific, educational, commercial, and governmental communities, focusing on severe weather data, including precipitation, lightning, hurricanes, and other severe storms, and the global hydrologic cycle. GHRC's team has played a leading role in developing standards for cloud-based activities that will move all ESDIS operational activities to the cloud and in developing cloud-based and open-source systems for three-dimensional data exploration, visualization, and analysis.

ARTBLINK GALA RETURNS FOR 39TH YEAR TO SUPPORT CANCER RESEARCH

The advisory board of the UAB O'Neal Comprehensive Cancer Center will host its 39th annual ArtBLINK Gala on Feb. 3 to raise money for the O'Neal Fund for Excellence, which promotes broad areas of basic, translational and population science research relevant to cancer and helps the O'Neal Comprehensive Cancer Center further its mission of advancing the understanding of cancer to improve prevention, detection, treatment and survivorship for all people.

HEERSINK SCHOOL OF MEDICINE HOSTED A PREVIEW DAY

The UAB Marnix E. Heersink School of Medicine hosted an on-campus Preview Day on Wednesday, Dec. 6. Preview Days provide

prospective students and their families an opportunity to visit UAB's Heersink School of Medicine to learn more about its programs, admissions process and school.

REALIZING THE DREAM 2024

"Realizing the Dream Through Vision and Leadership" is the focus of this year's Realizing the Dream celebration and was selected by a committee of representatives from Shelton State Community College, Stillman College, the Tuscaloosa chapter of the Southern Christian Leadership Conference and The University of Alabama. Realizing the Dream events will occur throughout the year, starting with the January concert and banquet.

CAMPUS HIGHLIGHTS

NEW COMMITMENT BRINGS HEERSINK NAMING GIFT TO \$100 MILLION

In December 2023, longtime UAB supporter Marnix E. Heersink, M.D., committed an additional \$5 million to his transformational \$95 million gift that named the UAB Marnix E. Heersink School of Medicine in 2021, bringing the total naming gift to \$100 million.

The gift, which also named the Marnix E. Heersink Institute of Biomedical Innovation and the Mary Heersink Institute for Global Health, was already the single largest philanthropic commitment in university history, and it will now have even greater impact.

UAB ONE OF THE FIRST US MEDICAL SCHOOLS TO GIVE PORTABLE ULTRASOUND UNIT ACCESS TO ALL STUDENTS

For the first time, every student at the UAB Marnix E. Heersink School of Medicine has access to a portable point-of-care ultrasound unit — or POCUS unit — thanks to \$2 million in federal grant money awarded to the Department of Family and Community Medicine. UAB is one of the first medical schools in the United States to grant each of its medical students access to these devices.

In addition to the 600 devices for medical students, the POCUS Initiative includes 100 units for the community preceptors who teach UAB medical students in their clinics.

UAB MEDICINE NAMED THE PRESENTING MEDICAL PARTNER OF THE 2024 TRANSPLANT GAMES OF AMERICA

UAB Medicine, home to Alabama's leading transplant program, the UAB Comprehensive Transplant Institute, was named the presenting medical partner of the Transplant Games of America when the biennial event comes to Birmingham in July 2024. Additionally, UAB's Sports and Exercise Medicine team will be providing medical coverage for all athletes at the games.

The Transplant Games of America gathers thousands of transplant recipients, living donors, donor families, individuals on the waiting list, caregivers, transplant professionals, supporters and spectators for the world's largest celebration of life, as transplant recipients and living donor athletes compete in 20 athletic and recreational competitions.

UAB NAMED BEST-IN-STATE HOSPITAL BY NEWSWEEK

UAB Hospital claimed yet another top ranking, this time being recognized as the top hospital in Alabama.

Newsweek published a ranking of America's best-in-state hospitals for 2024. The list includes 600 of the country's leading hospitals in all 50 states and the District of Columbia, sorted by a score that factors recommendations from peers, patient experience, quality metrics and patient-reported outcome

measures. UAB Hospital received a rank of 89.91 percent.

FEDERAL RESERVE VICE PRESIDENT VISITS COLLEGE OF BUSINESS

The UAH College of Business hosted Mr. Anoop Mishra, Vice President and Regional Executive for the Federal Reserve Bank of Atlanta. Mr. Mishra shared highlights of his career path and presented a federal reserve update to business faculty and students.

THE ART OF AIR GUITAR SHOWCASED IN THEATRE PRODUCTION

Dr. Amy Guerin, Theatre Department Associate Professor, directed the theatrical production *Airness*, which was dedicated to the art of air guitar. The production gave the Huntsville community a much-needed dose of humor and fun!

UAH PSYCHOLOGY LAB COLLABORATES WITH THE CITY OF HUNTSVILLE POLICE DEPARTMENT

The Psychology and Law Laboratory, run by Jeff Neuschatz, collaborated with the Huntsville Police Department to train cadets to conduct interviews and interrogations more effectively. The four-hour training reviewed personal and situational risk factors for a false confession. This training aimed to aid police in soliciting evidence without increasing false confessions.

PROFESSORS IN THE COLLEGE OF ARTS, HUMANITIES, AND SOCIAL SCIENCES WIN PRESTIGIOUS GRANT

Huntsville Shakespeare has been awarded a significant grant by the Alabama State Council for the Arts. Co-founded by UAH professors Amy Guerin, Theatre Department, and Chad Thomas, English Department, Huntsville Shakespeare has performed plays by the Bard since 2018. This grant will support *Shakespeare in the Garden* in Summer 2024 - a collaboration with the Huntsville Botanical Garden. The company will pair the famous tragedy *Romeo and Juliet* with the lesser-known romance *The Winter's Tale*. Performances will run from late July through early August in the amphitheater at the Huntsville Botanical Garden.

UA HOSTED GOP DEBATE

The fourth Republican primary debate was held in the concert hall of Moody Music Building at UA Dec. 6. The University provided a neutral site for the debate, which was managed by the Republican National Committee.

UA BOLSTERS NATION'S WORKFORCE IN PRECISION TIMING

A one-of-a-kind graduate program at UA will pave the way for highly skilled professionals to enter the ranks of the critical field of precision timing. With nearly \$3 million in support from the National Science Foundation, UA is offering 24 graduate school fellowships. The fellowships pay tuition and a stipend for students to concentrate in precision timing, the field of hyper-accurate timekeeping traditionally based on atomic clocks. The Alabama Collaborative for Contemporary Education in Precision Timing, or ACCEPT, program is open

to students seeking master's and doctoral degrees in mathematics, physics and electrical engineering.

UA EXCEEDED UNITED WAY CAMPAIGN GOAL

The UA United Way campaign leadership announced that the campus community exceeded the \$400,000 goal by raising \$401,643.09 to support families and individuals in need.

RECOGNIZED CIVIC, BUSINESS LEADER NAMED AVP FOR ALUMNI AFFAIRS

Jimmy E. Warren has been named associate vice president for Alumni Affairs at UA and executive director of the National Alumni Association. Warren brings decades of professional experience and extensive University service to his role at the association. He currently serves as president of TotalCom Marketing Communications, an award-winning marketing and advertising firm with offices in Tuscaloosa and Huntsville. In addition to his professional experience, Warren brings a long tenure of University support and service with him to the role.

COLLEGE OF EDUCATION DEAN ANNOUNCED

Dr. Joyce Alexander has been named the next dean of The University of Alabama College of Education. Alexander came to UA from the American Educational Research Association in Washington, D.C., where she served in a dean-in-residence education research policy fellowship. She previously served as dean of the Texas A&M University

College of Education and Human Development. Alexander earned her bachelor's degree in psychology from Texas Wesleyan University, and master's degree in education and doctorate in educational psychology from the University of Georgia.

BRUNO BUSINESS LIBRARY EARNED NATIONAL RECOGNITION

The Angelo Bruno Business Library was featured in the American Libraries magazine 2023 library design showcase issue for its stunning renovation completed last year. American Libraries is the leading trade publication of the library profession, and the annual showcase celebrates the best new and renovated libraries around the country that meet patron needs in exciting and effective ways.

**Executive Summary
UAB Institutional Presentation
President Ray L. Watts
February 2, 2024**

Building on Success and Forging Ahead

Building on unprecedented success over the past decade, UAB continues to reach new heights mission-wide. We are growing educational opportunity and training a 21st century workforce for Alabama; attracting record research funding and driving innovation and economic development; treating more patients and improving more lives than ever; and engaging and revitalizing communities statewide. Now we're setting our sights even higher, with ambitious goals and thoughtful strategic planning.

EDUCATION

- We launched our **new Blazer Core Curriculum** in fall 2023.
 - UAB initiated in 2017 a campuswide effort to reinvent its undergraduate core curriculum, a nationally distinctive curriculum teaching competencies vital to success in a global, knowledge economy.
 - All schools offering undergrad degrees have courses in the Core, and every school has representation on Core Committee
 - 190+ courses, with 28 “City as Classroom” courses, ranging from “Urban Geology” to “Aging—from Cells to Society” to “Mural Arts in Birmingham.”
 - Core Fellows program supporting 70 faculty.
- We continue **training undergraduate entrepreneurs** in our Collat School of Business.
 - **Barefield Entrepreneurship Program (BIP):** 152 majors after just three years.
 - Emerging University Entrepreneurship Program Award (2022) from U.S. Assn. for Small Business & Entrepreneurship (USASBE).
 - New state-of-the-art spaces in CSOB and Innovation Depot.
 - BIP recently hosted the USASBE 2024 Conference (~800 educators from across the U.S. and ~15 countries), which had an economic impact of nearly \$1M on Birmingham.¹

¹ Per Birmingham Convention and Visitor's Bureau.

- **UAB academic programs continue to rank among the best nationally.**
 - Nation's number one Master's in Health Administration since 2019 per *U.S. News* and number one Cybersecurity Master's Program per *Fortune* magazine (2023).
 - Record 22 graduate programs in U.S. News top 25, doubling from 11 in 2013.
 - UAB among the top eight percent of world universities per *U.S. News*.
 - UAB has launched these and other novel programs in recent years:
 - Biotechnology PhD, only one in U.S.
 - Cancer Biology BS, first in U.S.
 - Neuro-engineering PhD, first stand-alone program in U.S.
 - Immunology BS, first in Southeast
 - Bioinformatics BS, first in AL
 - Global Health MS, first in AL

RESEARCH, INNOVATION & ECONOMIC DEVELOPMENT

- UAB is carrying on the **most successful era of research funding in our history.**²
- **Another record year of research awards in FY23: \$774.5M (73% growth over nine years).**
 - All schools actively participate in sponsored research.
 - Record NIH awards (\$413.7M), and we remain in the top 1% of all NIH-funded organizations (public, private, and international).
 - Record awards from CDC, DoD, and Dept. of Energy, and for industry-sponsored clinical trials.

UAB Rank Among U.S. Universities	AMONG PUBLIC U.S. UNIVS	AMONG ALL U.S. UNIVS
NIH Awards <small>(Source: BRIMR FY2022)</small>	11 <small>(of 308; top 4%)</small>	25 <small>(of 498; top 5%)</small>
Federal R&D Expenditures <small>(Source: NSF HERD FY2022)</small>	21 <small>(of 410; top 5%)</small>	38 <small>(of 633; top 6%)</small>

**All six UAB health-related schools are
TOP 15 among publics in NIH funding (FY22)**

- | | |
|-------------------------|----------------------------|
| ▶ HEALTH PROF: 2 | ▶ MEDICINE: 11 |
| ▶ NURSING: 2 | ▶ PUBLIC HEALTH: 13 |
| ▶ OPTOMETRY: 6 | ▶ DENTISTRY: 14 |

² Sources for all research data: National Science Foundation, Higher Education Research and Development (HERD) Survey, 2022; Blue Ridge Institute for Medical Research 2022, NIH RePORTER 2022; UAB Office of the Vice President for Research, Nov. 2023.

- This success is (as in all aspects of our mission) the result of setting and reaching ambitious goals, and we recently set another one with our **Research Strategic Initiative: *Growth with Purpose***.
 - Reaching \$1B in expenditures is a bold goal that will embody *Growth with Purpose* and impact countless lives; only 29 universities have reached \$1B (FY22).
 - Building on momentum with strategic investment in people and infrastructure.
 - Partnering with Huron Consulting Group and Urban Impact Advisors.
 - Working with Campus Research Design Working Group to identify strategic research opportunity areas.
 - This initiative will increase exponentially our impact on lives locally and globally.



- We continue translating research into **commercialization success**.
 - From 2012-2022: \$52M+ in commercialization revenues (an average of \$5.2M annually); 900 inventions disclosed; 428 licenses executed; 238 U.S. patents issued; 33 startups launched.
 - In FY23, Harbert Institute for Innovation & Entrepreneurship:
 - Achieved ninth straight year with a positive bottom line (among top 20% nationally)
 - Generated \$6M+ in revenues; 123 Intellectual Property Disclosures; 40 licenses; 18 U.S. patents
 - Launched 3 startups, with 12 others approved and poised for launch.

- UAB among only four universities³ to win an APLU Innovation and Economic Prosperity (IEP) Award (the 2023 IEP Innovation Award).
- Working with **Southern Research** to become the biotech commercialization leader in the Southeast. SR is investing to modernize its campus and grow biotech.
 - SR made strategic shift in 2022 to focus explicitly on life sciences to complement UAB.
 - Pursuing a “3-D” strategy: Drug discovery and development; Diagnostics and data; Drivers of commercialization.
 - New flagship building under construction.
 - Recently opened Station 41 biotech incubator: wet lab and office space, lab equipment, and services to support 12 startups.

PATIENT CARE

- We are also translating research into **world-class patient care**.
 - 8th largest hospital in the U.S.⁴ (nearly 2M patient visits annually), ranked 9th for case mix index (severity of illness).⁵
 - Expanding Emergency Dept. to meet 20% volume increase in past five years—\$50M in state support as part of \$73M expansion to serve our state and educate future health care professionals.
 - *U.S. News*: UAB again the top hospital in AL, with eight specialties in nation’s top 50.
 - Uniquely equipped for rare, high-risk pregnancies requiring specialized, interdisciplinary care.
 - Only Level 4 Neonatal ICU in AL; one of 15 universities in NIH-funded Maternal-Fetal Medicine Units Network.
- We are improving health statewide via UAB Grand Challenge: **Live HealthSmart Alabama** (LHSA)
 - Goal: raise Alabama out of the bottom 10 nationally in key health metrics by 2030
 - Improvements to built environment (blight removal, improved parks and sidewalks, etc.), physical activity, nutrition, wellness, education, and access to primary care.
 - Mobile Wellness: 2,600+ comprehensive health screenings.
 - 28% did not have a Primary Care Physician.
 - 75% had abnormal values; 6% had an undiagnosed chronic disease/condition.
 - Connected 900+ residents to primary care, preventive screenings.

³ Kansas State University, Virginia Commonwealth University, and Iowa State University.

⁴ *Becker’s Hospital Review*, December 15, 2023.

⁵ Among Vizient large AMC comparison group; score of 2.38.

- Mobile Market: served 7,000+ customers in 25 communities
- Tremendous progress in Birmingham neighborhoods and now extending statewide: Kick-off event in Selma last fall and now assessing community readiness in Demopolis, Camden, and Dothan.
- 100+ corporate, civic, and community partners, an outstanding example of continued community support for UAB's efforts....

COMMUNITY ENGAGEMENT

- A decade of **stalwart support** from our community and state: A total of **\$1.15B raised over the past 10 years.**
 - 2021: Most successful fundraising year ever (\$193M), with largest gift ever to name Heersink School of Medicine.
 - 2022: Most alumni giving ever (\$26M) and largest-ever alumni gift (\$10M from Frank Barefield); Gorrie Hall named (over \$13M raised to date).
 - 2023: \$93M+ raised (more than a fourth from alumni), bolstered by \$21M+ in planned gifts.
 - 2024: Additional \$5M gift from the Heersinks to bring naming gift to \$100M total for HSOM.
- Continued community support for **UAB Athletics.**
 - Since 2015: \$71M+ raised; 25 new or renovated facilities, including new Protective Stadium; graduation rate up 19% (currently 94%); even conference championships; three bowl wins.
 - Academic and service excellence (AY 22-23):
 - C-USA Institutional Academic Award for highest cumulative GPA across all sports (3.33).
 - Student-athletes performed nearly 4,000 community service hours (and won the C-USA Community Service Award for third straight year).
 - Protective Stadium and American Athletic Conference membership raising national visibility of all sports.

Campus Master Plan: Continuing to Build One of the Most Vibrant, State-of-the-Art, and Sustainable Urban Campuses in the Nation

- **Science & Engineering Complex:** Phase I ribbon-cutting Aug. 17, 2023; projected completion of Phase II (Gorrie Hall) in June 2025.

- Robust Growth of Research Infrastructure and Impact:
 - **New Interdisciplinary Biomedical Research and Psychology Building** (breaks ground in summer 2024) to house the research-intensive departments of Heersink School of Medicine and our Psychology Department. 225,000 GSF, eight stories; wet and dry labs. Projected completion in summer 2026.
 - **Altec/Styslinger Genomic Medicine & Data Sciences Building:** 175,000 SF facility that will further position UAB at the vanguard of precision medicine, biomedical innovation, and global health. Will house (among other entities) Hugh Kaul Precision Medicine Institute; Dept. of Biomedical Informatics & Data Sciences; Heersink Institute for Biomedical Innovation; Heersink Institute for Global Health; Center for Clinical & Translational Science.

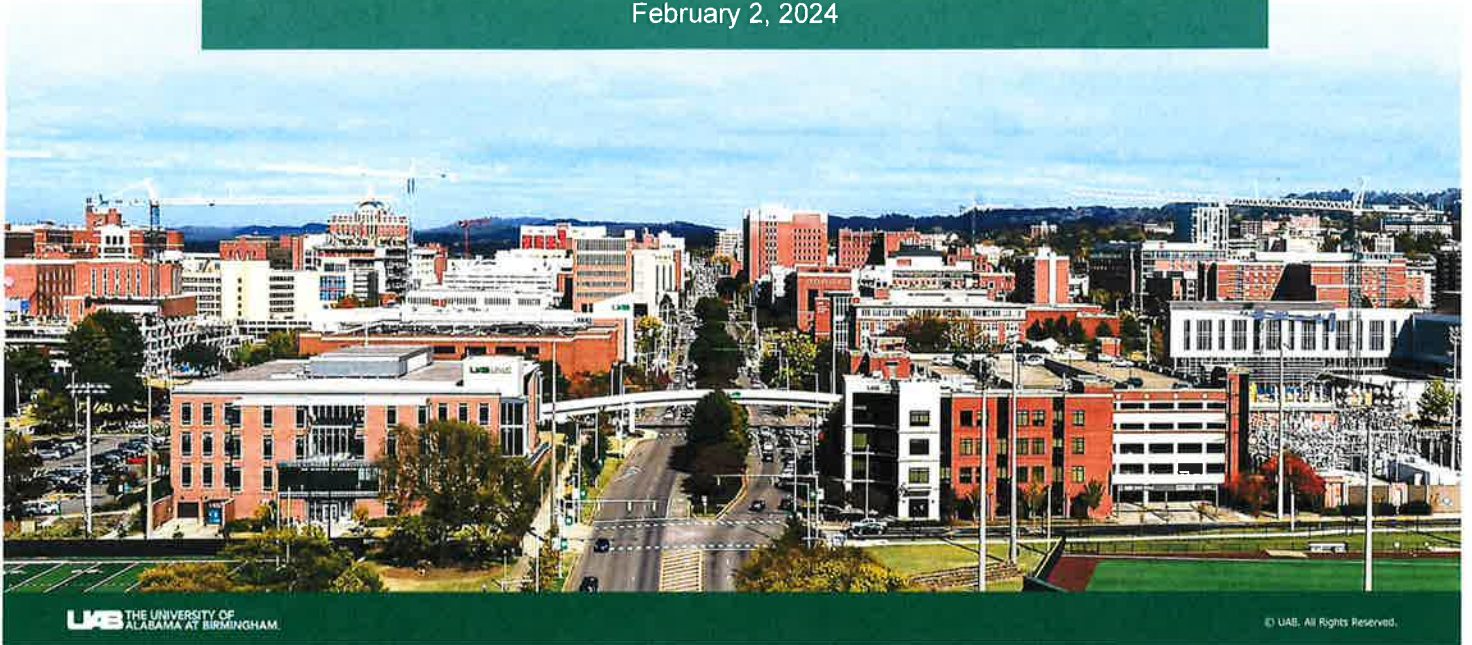
Planning for an Even Bolder Future

Building on the success of *Forging the Future* (2018-2023), we have developed our next plan, ***Forging Ahead*** (2024-2028). The Board has had the opportunity to review; thank you for your feedback and unwavering support. This plan is the product of a deliberate and inclusive process that began in early 2022 involving our Strategic Planning Council and associated committees; University and UABHS subject matter experts; and a comment period/online form and townhall. Each school, college and unit will update their specific, localized plans using *Forging Ahead* as a guide. These plans will help us build on the remarkable success and impact we're having together. We are grateful for the continued support of the Board and System Office as we continue to collaborate on the great accomplishments and positive impacts ahead for UAB, Birmingham, the state of Alabama, and beyond.

UAB Institutional Presentation

President Ray L. Watts

February 2, 2024



2

Building on Success and *Forging Ahead*

- Building on **unprecedented success over the past decade**, UAB continues to reach **new heights missionwide**
 - Growing educational opportunity and training a 21st century workforce for Alabama
 - Attracting **record research funding** and driving innovation and economic development
 - Treating more patients and improving more lives than ever
 - Engaging and revitalizing communities statewide
- Now ***setting our sights even higher***, with ambitious goals and thoughtful strategic planning



Blazer Core Curriculum Launched Fall 2023



- UAB initiated in 2017 a **campuswide effort to reinvent its undergraduate core curriculum**
- **A nationally distinctive curriculum** teaching competencies vital to **success in a global, knowledge economy**
 - All schools offering undergrad degrees have courses in the Core, and every school has representation on Core Committee
 - 190+ courses, with 28 “City as Classroom” courses, ranging from “Urban Geology” to “Aging—from Cells to Society” to “Mural Arts in Birmingham”
 - Core Fellows program supporting 70 faculty

Training Undergraduate Entrepreneurs in Our Collat School of Business



- **Barefield Entrepreneurship Program (BIP): 152 majors after just three years**
 - *Emerging University Entrepreneurship Program Award* (2022) from U.S. Assn. for Small Business & Entrepreneurship (USASBE)
 - New state-of-the-art spaces in CSOB and Innovation Depot
 - BIP recently hosted the **USASBE 2024 Conference** (~800 educators from across the U.S. and ~15 countries), which had an **economic impact of nearly \$1M** on Birmingham*

* Per Birmingham Convention and Visitor's Bureau.

Academic Programs Continue to Rank Among the Best Nationally

NATION'S

#1

Master's in Health Administration
since 2019 per *U.S. News*

Cybersecurity Master's Program
per *Fortune* magazine (2023)

RECORD

22

GRADUATE PROGRAMS
in *U.S. News* **top 25**,
doubling from 11 in 2013

TOP

8%

of world universities
per *U.S. News*

NOVEL PROGRAMS LAUNCHED IN RECENT YEARS

Biotechnology PhD, only one in U.S.

Cancer Biology BS, first in U.S.

Neuro-engineering PhD,
first stand-alone program in U.S.

Immunology BS, first in Southeast

Bioinformatics BS, first in AL

Global Health MS, first in AL

Carrying on the Most Successful Era of Research Funding in UAB History

- Another **record year of research awards** in FY23:
\$774.5M (73% growth over nine years)
 - **All schools actively participate** in sponsored research
 - **Record NIH awards (\$413.7M)**, and we remain in the **top 1% of all NIH-funded organizations** (public, private, and international)
 - **Record awards from CDC, DoD, and Dept. of Energy**, and for **industry-sponsored clinical trials**
- This success is (as in all aspects of our mission) the **result of setting and reaching ambitious goals....**

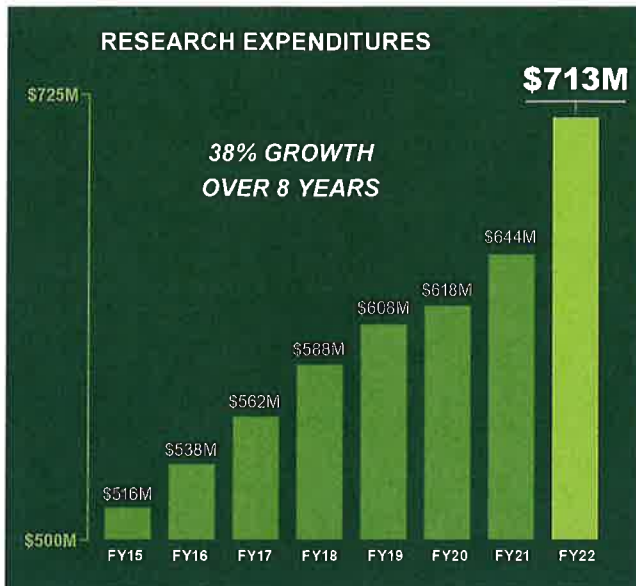
UAB Rank Among U.S. Universities	AMONG PUBLIC U.S. UNIVS	AMONG ALL U.S. UNIVS
NIH Awards <small>(Source: BRIMR FY2022)</small>	11 <small>(of 308; top 4%)</small>	25 <small>(of 498; top 5%)</small>
Federal R&D Expenditures <small>(Source: NSF HERD FY2022)</small>	21 <small>(of 410; top 5%)</small>	38 <small>(of 633; top 6%)</small>

**All six UAB health-related schools are
TOP 15 among publics in NIH funding (FY22)**

- ▶ **HEALTH PROF: 2**
- ▶ **NURSING: 2**
- ▶ **OPTOMETRY: 6**
- ▶ **MEDICINE: 11**
- ▶ **PUBLIC HEALTH: 13**
- ▶ **DENTISTRY: 14**

(Sources: National Science Foundation, Higher Education Research and Development (HERD) Survey, 2022; Blue Ridge Institute for Medical Research 2022, NIH RePORTER 2022)

Research Strategic Initiative: *Growth with Purpose*



- Reaching **\$1B in expenditures** is a bold goal that will **embody Growth with Purpose** and **impact countless lives**; only **29 universities** have reached \$1B (FY22)
- **Building on momentum with strategic investment in people and infrastructure**
 - Partnering with **Huron Consulting Group** and **Urban Impact Advisors**
 - Working with **Campus Research Design Working Group** to identify strategic research opportunity areas
- This initiative **will increase exponentially our impact on lives** locally and globally

Translating Research into Commercialization Success



- In **FY23, Harbert Institute for Innovation & Entrepreneurship**:
 - Achieved **ninth straight year with a positive bottom line** (among **top 20%** nationally)
 - Generated **\$6M+** in revenues; **123** Intellectual Property Disclosures; **40** licenses; **18** U.S. patents
 - Launched **3 startups**, with **12 others approved and poised for launch**
- UAB among **only four universities*** to win an **APLU Innovation and Economic Prosperity (IEP) Award** (the 2023 IEP Innovation Award)
- Working with **Southern Research** to become the **biotech commercialization leader in the Southeast....**

* The three other awardees were Kansas State University, Virginia Commonwealth University, and Iowa State University

Southern Research: Investing to Modernize Campus, Grow Biotech

180
ACTIVE
PATENTS

\$300M
ECONOMIC
IMPACT

41
NEW DRUG
CANDIDATES

HAS TESTED
50% OF ALL ACTIVE
CHEMOTHERAPIES
IN U.S.



- Made **strategic shift** in 2022 to **focus explicitly on life sciences** to complement UAB
- Pursuing a **“3-D” strategy**:
Drug discovery and development;
Diagnostics and data;
Drivers of commercialization
- **New flagship building** under construction
- Recently opened **Station 41 biotech incubator**: wet lab and office space, lab equipment, and services to **support 12 startups**

Translating Research into **World-Class Patient Care**

- **8th largest hospital in the U.S.** (nearly **2M patient visits** annually), ranked **9th for case mix index** (severity of illness)*
 - **Expanding Emergency Dept.** to meet **20% volume increase** in past five years—**\$50M in state support** as part of **\$73M expansion** to serve our state and educate future health care professionals
- **U.S. News**: UAB again the **top hospital in AL**, with **eight specialties in nation’s top 50**
- Uniquely equipped for **rare, high-risk pregnancies** requiring **specialized, interdisciplinary care**
 - **Only Level 4 Neonatal ICU in AL**; one of **15 universities** in **NIH-funded Maternal-Fetal Medicine Units Network**



Improving Health Statewide via UAB Grand Challenge: Live HealthSmart Alabama (LHSA)

- **Goal:** raise Alabama out of the **bottom 10 nationally in key health metrics** by 2030
 - Improvements to **built environment** (blight removal, improved parks and sidewalks, etc.), **physical activity, nutrition, wellness, education, and access to primary care**
- **Mobile Wellness:** 2,600+ comprehensive health screenings
 - 28% did not have a Primary Care Physician
 - 75% had abnormal values; 6% had an undiagnosed chronic disease/condition
 - Connected 900+ residents to primary care, preventive screenings
- **Mobile Market:** served 7,000+ customers in 25 communities
- **Tremendous progress in Birmingham neighborhoods** and now **extending statewide**
 - Kick-off event in **Selma** last fall and now assessing community readiness in Demopolis, Camden, and Dothan
- **100+ corporate, civic, and community partners**, an outstanding example of continued community support for UAB's efforts....



Ten Years of Stalwart Support from Our Community and State

A total of
\$1.15B
raised
over the past
10 years

2021: **Most successful fundraising year ever (\$193M), with largest gift ever to name Heersink School of Medicine**



2024: **Additional \$5M gift from the Heersinks to bring naming gift to \$100M total for HSOM**

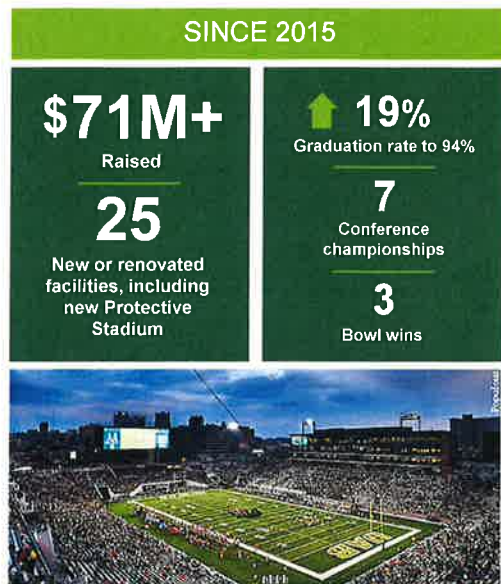
2022: **Most alumni giving ever (\$26M) and largest-ever alumni gift (\$10M from Frank Barefield); Gorrie Hall named (over \$13M raised to date)**



Groundbreaking for Gorrie Hall (July 31, 2023)

2023: **\$93M+ raised (more than a fourth from alumni), bolstered by \$21M+ in planned gifts**

Continued Community Support for UAB Athletics



- **Academic and service excellence (AY 22-23)**
 - C-USA Institutional Academic Award for **highest cumulative GPA across all sports (3.33)**
 - Student-athletes performed nearly **4,000 community service hours** (and won the C-USA Community Service Award for third straight year)
- **Protective Stadium and American Athletic Conference membership raising national visibility of all sports**



Campus Master Plan: Continuing to Build One of the Most Vibrant, State-of-the-Art, and Sustainable Urban Campuses in the Nation



Science & Engineering Complex

Phase I: ribbon-cutting Aug. 17, 2023

Phase II (Gorrie Hall): projected completion June 2025



Robust Growth of Research Infrastructure and Impact

- **New Interdisciplinary Biomedical Research and Psychology Building**
(breaks ground in summer 2024)
 - To house the **research-intensive departments of Heersink School of Medicine** and our **Psychology Department**
 - **225,000 GSF; eight stories; wet and dry labs**
 - Projected completion in **summer 2026**



Altec/Styslinger Genomic Medicine & Data Sciences Building

- **175,000 SF** facility that will further position **UAB** at the **vanguard of precision medicine, biomedical innovation, and global health**
- Will house (among other entities):
 - **Hugh Kaul Precision Medicine Institute**
 - **Dept. of Biomedical Informatics & Data Sciences**
 - **Heersink Institute for Biomedical Innovation**
 - **Heersink Institute for Global Health**
 - **Center for Clinical & Translational Science**



Planning for an Even Bolder Future



- Building on the success of *Forging the Future* (2018-2023), we have developed our next plan, *Forging Ahead*
- The Board has had the opportunity to review; **thank you for your feedback and unwavering support**
- This plan is the product of a **deliberate and inclusive process** that began in early 2022
 - Strategic Planning Council and associated committees
 - University and UABHS subject matter experts
 - Comment period/online form and townhall

Planning for an Even Bolder Future

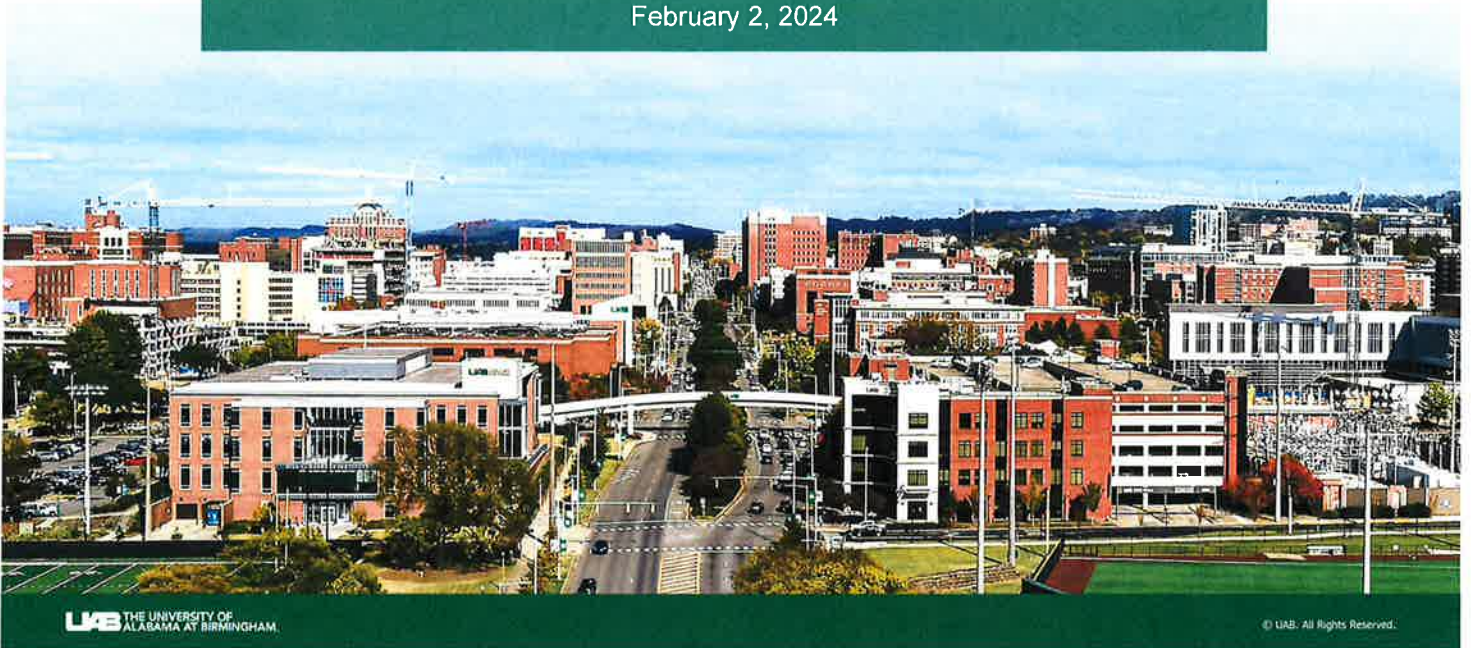


- Each **school, college and unit** will **update their specific, localized plans** using *Forging Ahead* as a guide
 - These plans will help us **build on the remarkable success and impact** we're having together
- We are **grateful for the Board's and System Office's continued support** as we continue to collaborate on the **great accomplishments and positive impacts ahead for UAB, Birmingham, the state of Alabama, and beyond**

UAB Institutional Presentation

President Ray L. Watts

February 2, 2024



**Board of Trustees Meeting
UAB Faculty Representative
Dr. Karen Cropsey
February 2, 2024**

Slide 1: Dear Members of the Board of Trustees, Chancellor St. John, President Watts, and Distinguished Guests: I appreciate the opportunity to speak with you today. I am honored to represent the faculty at UAB as the Chair of the Faculty Senate and to recognize our faculty's talent, dedication, and hard work in achieving excellence in all that they do. As we look forward to launching, with your support, our next strategic plan, Forging Ahead, I would like to highlight some of the remarkable achievements of our faculty over the past year. Our educational mission continues to thrive with the launch of the Blazer Core this past fall (as Dr. Watts mentioned) and the immersive experiences that are offered to our students including the City as Classroom. I would like to thank former Provost Pam Benoit, Core Director Dr. Chris Minnix, the Core Committee, and faculty and students campuswide for the successful development and implementation of this innovative curriculum.

Slide 2: Clinically, I will mention just one of many impactful programs: our robust outpatient program for treating substance use disorders in our community. This program provided assessment and treatment services for over 9,000 patients last year and serves an uninsured population of people in need of substance use treatment. I would also like to thank all the faculty and staff who provide clinical care every day, from the headlining medical breakthroughs to the ordinary medical care that makes an individual impact. These medical successes and breakthroughs are why we do all that we do at UAB—to improve the lives of the people in Alabama and all around the world.

Slide 3: I would like to briefly highlight just a few examples of the outstanding research being conducted at UAB. Over the past few years, Alabama and the rest of the US have been struggling with two main crises that have led to high rates of deaths in our state: COVID and overdoses fueled by fentanyl. UAB has responded and has been at the forefront of clinical and research innovations targeting these significant epidemics. For COVID, Drs. Nathaniel Erdmann and Jeanne Marrazzo, who as you heard is now the Director of the National Institutes of Allergy and Infectious Diseases, have been awarded a \$17 million NIH grant and UAB is one of 15 sites enrolling 900 persons to examine long COVID and other sequelae. In terms of addiction research, UAB is a site for the NIH National Institutes on Drug Abuse Clinical Trials Network (CTN) initiative. One of our CTN trials is being led by Dr. Ellen Eaton and targets hospitalized patients with severe bacterial or fungal infections related to injecting drugs and provides an integrated, comprehensive intervention using medications and behavioral treatments to engage and retain these patients in treatment. Finally, Dr. Peter Hendricks is conducting a trial testing the use of a novel psychedelic, psilocybin, for persons with cocaine use disorder. This trial is the first in the world to test psilocybin for cocaine use disorders which, if successful, could provide a medication for cocaine. Currently we have no medications for cocaine and other stimulants. I am excited to see UAB continue to grow in research, especially with the recent launch of the Research Strategic Initiative: Growth with Purpose, to increase our impact by reaching \$1B in research expenditures, putting us among (as Dr. Watts noted) only 29 universities nationally at this prestigious level.

Slide 4: Of course, all this growth is not without challenges and *opportunities*. Securing NIH and other research dollars is extremely competitive but is essential for growth. Recruiting and retaining top faculty, staff and students will need to remain a priority for continued success. Ensuring we have the infrastructure to support this incredible growth has been a particular challenge. While building space for fulfilling our missions is an obvious need, particularly for research, continuing to make sure we have streamlined systems in place, updated computing and technology and the staff to support our missions remains critical.

Slide 5: To address these challenges, the Faculty Senate has worked closely with Dr. Watts's and his leadership team in effective shared governance to work together to produce solutions. One example of this is the development of the faculty research incentive plan to provide reinvestment back into research labs for highly productive research faculty. Other examples of shared governance include ensuring faculty representation

Board of Trustees Meeting
UAB Faculty Representative
Dr. Karen Cropsey
February 2, 2024

across university wide committees and participation in the search processes for leadership positions. The UAB Finance team led by Dr. Brian Burnett has been hosting a Finance Academy every year to provide an overview of the financial health of our institution and Faculty Senate leadership attend this meeting. Our leaders at UAB meet with our Faculty Senate leadership monthly and are responsive to the issues we put before them. I would like to thank President Watts and other senior administrators including those from the UA System Office for their efforts and participation in the shared governance processes of our institution. I want to especially acknowledge the outstanding leadership that Dr. Benoit provided during her tenure at UAB and wish her the best on her retirement. The Faculty Senate looks forward to working with Provost Woodruff-Borden as she begins her transition to UAB. I want to also acknowledge and thank the Faculty Senate Leadership who have a critical role in shared governance. Finally, on behalf of the faculty, I also want to thank this Board for the substantial investments that have helped transform our campus and provide the resources to fulfill all pillars of our mission.

The future for UAB is bright. Our unique culture of interdisciplinary collaboration and being an institution “without walls” continues to fuel tremendous growth and achievement campuswide. In my 25-year career, I have been faculty at three institutions, and no other institution even comes close to the highly collaborative and integrative culture that I have found during my 16 years at UAB. By continuing to maintain and build on this spirit of collaboration along with strong shared governance, we can overcome any challenges that may come our way while seizing the opportunities to continue to educate the future leaders of our county, deliver world-class clinical care, and make life-saving research discoveries to improve the lives of all people in Alabama and beyond. Thank you again for the opportunity to share my thoughts with you today.

Faculty Senate Chair Presentation

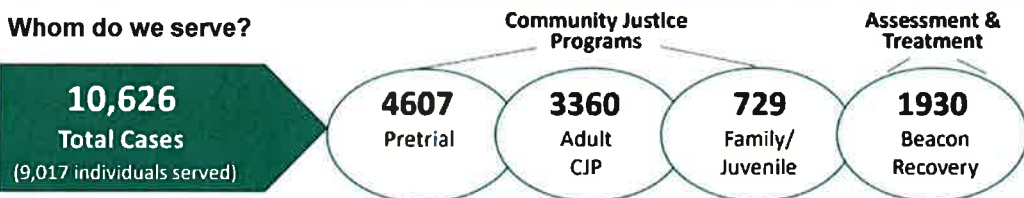
Karen Cropsey, Psy.D.
Professor, Department of Psychiatry
UAB Heersink School of Medicine

1

Clinical: Improving Lives

Substance Abuse Division - Quick Reference

Whom do we serve?



What do we do?



2

Research

COVID RECOVER Trial



Dr. Nathaniel Erdmann, Assoc. Prof.,
UAB Division of Infectious Diseases (HSOM)



Dr. Jeanne Marrazzo, Director,
NIH NIAID

Addiction



Dr. Peter Hendricks, Professor,
Dept. of Psychiatry (HSOM)



Dr. Ellen Eaton, Assoc. Prof.,
Division of Infectious Diseases (HSOM)

3

Challenges and Opportunities



4

Shared Governance: Faculty Senate



Eric Ford
Chair-Elect



Joe March
Past Chair



Matt King
Assoc Chair



Merida Grant
DEI



Wei Li
G&O



**Muhammad
Maqbool**
SAL



Girish Melkani
SAL



Peggy Biga
Research



Dan Givens
Pol & Proc



Pete Jones
Finance



Sarah Culver
Curriculum



Kelly Hill
Fac Dev



Mike Wyss
Parliamentarian

**Board of Trustees Meeting
UAB Student Representative
Kyle Adams
February 2, 2024**

Chancellor St. John, esteemed members of the Board, distinguished university presidents, and honored guests, thank you for the privilege of addressing you this morning. I am truly excited to welcome you once again to the ever-evolving landscape of the University of Alabama at Birmingham.

As we gaze upon the seven cranes rising above our campus and punctuating the Birmingham skyline, each a beacon of growth and transformation, we are reminded that the physical expansion of our campus is a testament to the innovative spirit that defines UAB. For many of us students, these cranes represent not just new structures rising, but the promise of a future shaped by progress and success.

As a senior myself, I can attest to the collective experience of our students, watching our campus blossom before our eyes. New buildings emerge, accolades accumulate, and each year brings fresh evidence of our programs' success.

Yet, behind the bricks and mortar lies the heart of UAB—the faces that propel our collective achievement. I am compelled today to consider the unique stories and perspectives that compose the mosaic of UAB's success—the Faces of UAB.

Historically, the faces of pioneers like Bracie Watson Jr. set the tone for our university's values. His courageous leadership, the first black student government president of any non-HBCU in the South, set the stage for a more inclusive UAB, paving the way for a culture of student leadership that values every voice and background on our campus.

Today on our campus, you might see the faces of Grace Williams and Connie Weng as they walk to their chemistry or public health classes. But it is what they do off campus that exemplifies the core values of community participation embedded in our university's creed. Their dedication to the non-profit, Big Brothers, Big Sisters of Greater Birmingham, is shown through the hours they spend with a single student each week helping with homework, taking them to museums, or just talking over dinner.

Board of Trustees Meeting
UAB Student Representative
Kyle Adams
February 2, 2024

Students at UAB seeking to find community-based experience to complement their classroom education have found a resonant answer in the partnership between our campus community and the city in which we learn. The university has been responsive to this experience and its impact by including the City as a Classroom element in our new Blazer Core.

Sumedha Bobba is another face of UAB. A face that many on our campus recognize as Ms. UAB 2022. Through a pre-med student organization, she found herself connected to research opportunities. Most notably, Sumedha embodies our priorities of research and innovation through her work with Southern Research. Her aspiration to be a physician that can provide solutions to her patients' most serious problems encouraged her to develop her research skills. What was once a semester long research interest for her, has become a topic that she remains invested in.

Our organization, the Undergraduate Student Government Association, and our Graduate counterpart, have oriented ourselves in a way to support the continued success of the Faces of UAB. It is our belief that our investment in the heart of UAB plays an important role in the growth we witness on campus.

Sometimes we practice this investment directly, as we allocate most of our funds to the organizations that are responsible for the student experience at UAB. Much of the remainder of our organization's funding goes to support \$15,000 dollars in student aid that assists students in buying their textbooks, going to research conferences, and launching new student organizations. Post-COVID, we also continue to support the Emergency Medical Aid Grants for students that incur unforeseen medical expenses.

Most of our investment in the Faces of UAB, however, is less literal, taking the form of advocacy and outreach. This year we are preparing to host an inaugural organization summit that will provide our student organizations with financial education and student government resources as they aim to grow and become permanent fixtures of our campus community. We also are preparing to launch a lobby board on our campus, that will allow a student face to be attached to our university's governmental advocacy. Beyond this, our organization's members

Board of Trustees Meeting
UAB Student Representative
Kyle Adams
February 2, 2024

have become an intricate part of the conversation with administrators as we seek to define what spirit and tradition mean at UAB.

In conclusion, the success of our university is intricately woven into the nearly 100,000 faces that grace our campus each day. I'm happy to be part of a student organization that supports their achievement. As we continue to grow and evolve, let us embrace the richness of our community and the diversity of its stories. I am excited about the future, and I am confident that the faces of UAB will continue to shine brightly as the driving force behind our collective success.

Thank you.

UNIVERSITY OF ALABAMA AT BIRMINGHAM STRATEGIC PLAN 2024-2028 FORGING AHEAD

The University of Alabama at Birmingham's Strategic Planning Council was launched in January 2022 and charged by President Ray Watts to refresh UAB's 2018-2023 strategic plan, Forging the Future, to guide the institution's continued growth, unprecedented success and positive impact for the next five years, 2024-2028.

President Watts — who often says UAB's most valuable resource is its students, faculty and staff and celebrates their ability to influence people's lives for the better — stressed the importance of the plan's positive impact on the people who make up the UAB community, as well as those in Birmingham, across Alabama and around the world.

"At our core, we are here to make people's lives better, and that starts right here at UAB with our own students, faculty and staff," Watts said. "We are committed to supporting their success and empowering them to make a positive difference in our local and global communities."

In the spring of 2022, the Strategic Planning Council expanded with the addition of several sub-committees and additional subject matter experts who provided research, analysis and insights that informed the development of each section of the 2024-2028 plan, Forging Ahead. By the time the draft plan was presented to the campus community on Oct. 25, 2023, a representative group of at least 55 people from across the university and Health System had contributed to the process.

Following the town hall, the campus community was encouraged to provide feedback during a widely publicized comment period. All feedback received was reviewed, considered, and weighed against similar and competing feedback from other members of the campus community, and several revisions were incorporated in the final plan.

Some feedback was too narrowly focused or specific to a particular area to be included in UAB's overall institutional strategic plan, which is intended to be a big-picture, overarching plan that leads the institution. That feedback will be shared with the appropriate schools, colleges or units for their consideration as they develop their more targeted, localized strategic plans. Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

"I join the Strategic Planning Council in sincerely thanking everyone who reviewed the plan, watched the town hall and provided feedback," Watts said. "I am confident this plan — Forging Ahead — will guide our talented and dedicated students, faculty and staff in building on the momentum of Forging the Future and increasing UAB's positive influence in improving lives."

FORGING AHEAD

STRATEGIC PLAN 2024-2028



VISION, MISSION AND VALUES

Vision: UAB is a world-class research university and academic health system committed to understanding and improving the human experience.

Mission: UAB enriches society and improves health and well-being through transformational educational experiences, groundbreaking research, innovation and entrepreneurship, community engagement, and world-class patient care while serving our UAB, local and global communities.

Values:

UAB Shared Values: We CARE

Collaborate: Work as a team for the greater good.

Act with integrity: Be accountable and always do the right thing.

Respect all: Champion diversity and opportunities for all, with civility.

Excel: Innovate and strive for excellence in everything we do.

PEERS

Higher-education institutions, as is the case in many other sectors, designate peers as a source for comparative data and for identifying other institutions to review for best practices, advice and potential collaboration. Comparable peers share multiple common attributes, while aspirational peers provide an opportunity to identify gaps and direction for where an institution hopes to advance in the future.

UAB is a traditional research-intensive public university and comprehensive academic medical center, located in an urban setting. A list of peer and aspirational institutions was identified

after an extensive review of other institutions. Over 100 unique and current metrics and characteristics of similar types of institutions were used in extensive data modeling procedures. Examples of some metrics included, but were not limited to: enrollments, research expenditures, numbers of employees, retention and graduation rates, admission rates, degree levels and percentages in each, operational expenditures, public status, and city size. These metrics were sourced from the Integrated Postsecondary Education Data System (IPEDS), the National Student Clearinghouse, the National Science Foundation (NSF) Higher Education Research and Development Survey (HERD), U.S. News & World Report, Blue Ridge Institute for Medical Research, Southern Association of Colleges and Schools Commission on Colleges (SACSCOC), Association of American Universities (AAU), Southern University Group (SUG), Association of Public and Land-grant Universities (APLU), National Association of College and University Business Officers (NACUBO), and the U.S. Census Bureau. Consideration was also given to the population size of the urban settings of candidate institutions relative to the population of the Birmingham metropolitan area, as well as competition in NCAA sports. Given the multitude of metrics assessed, UAB may exceed or lag a comparable peer institution on a given metric.

The six institutions listed below were identified as UAB's comparable peers.

- **University of Utah*** (Salt Lake City)
- **University of Colorado – Denver/Anschutz Medical Campus*** (Denver and Aurora)
- **Virginia Commonwealth University (VCU)** (Richmond)
- **University of Cincinnati**
- **University of Illinois – Chicago**
- **University of South Florida (USF)** (Tampa)

**Newly identified peers of UAB*

Three institutions were identified as UAB's aspirational peers. These institutions are currently much larger in student population than UAB, and all are in larger metropolitan areas.

- **University of Pittsburgh – Pittsburgh, Pa.**
- **University of California – Irvine, Calif.**
- **Rutgers University – New Brunswick, N.J.**

For the following data, it is important to note — as outlined above — that many factors were considered by the Council in assessing peers. The following assessment of NSF HERD rankings was important to the process, but was not the sole criterion in identifying peers and aspirational peers. As UAB is Alabama's largest research institution by the total value of annual expenditures, it was important to identify peers that had a research profile similar to UAB's, which is commonly indexed by NSF HERD rankings. Based on the FY22 HERD rankings, the two new institutions on our list, the University of Utah (#29) and the University of Colorado – Denver/Anschutz Medical Campus (#33), are close to UAB (#27). Additionally, their student demographics are closely aligned with UAB metrics. While the Denver metro area is much larger than Birmingham, the Salt Lake City metro area is similar in size to the population of the

Birmingham metro area. The HERD rankings for the remaining peers are VCU (#47), Cincinnati (#31), Ill-Chicago (#42) and USF (#49). The HERD rankings for the University of Pittsburgh, UC Irvine and Rutgers University are #9, #38 and #28, respectively.

FOUNDATIONS

Financial Sustainability

Strategic Goal: Increase giving from alumni and supporters and ensure that all resources available to UAB are used and managed effectively.

Strategic Objective 1: Increase fiscal year giving.

Activities:

- 1.1. Increase estate planning gifts.
- 1.2. Increase giving for scholarships and endowments.

Strategic Objective 2: Increase alumni giving.

Activities:

- 2.1. Engage alumni in campus activities and events, including but not limited to the arts and athletics.
- 2.2. Connect alumni with the recipients of their gifts.

Strategic Objective 3: Promote financial stewardship and increase spendable cash and investments to operations.

Activities:

- 3.1. Explore additional revenue opportunities consistent with the mission of the university.
- 3.2. Continually assess opportunities to increase efficiencies to best utilize limited resources responsibly and reduce expenses.

Strategic Objective 4: Maintain or enhance the credit rating for UAB.

Activities:

- 4.1. Using revenue projections, assess the ongoing debt capacity for UAB.
- 4.2. Monitor the market for promising time periods for debt issuance.

SMART Criteria for Dashboard Metrics for Financial Sustainability Foundation – By 2028:

1. Increase philanthropic gift amounts received by \$12.5 million (FY24 baseline = \$80 million).
2. Increase alumni giving by \$1,250,000 (FY24 baseline = \$9.0 million).
3. Improve the spendable cash and investment to operations ratio to 0.75 (FY22 baseline with recent negative equity market fluctuation = 0.57).
4. Maintain or enhance the credit rating of UAB with the external rating agencies with respect to the long-term debt issued by the campus {current ratings are Aa2 (Moody's) and AA+, stable (S&P)}.

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

Diversity

Collaboration, integrity, respect and excellence are UAB shared values and at the core of what it means to be a UAB community member. At UAB, everybody counts every day; this means the practice of valuing each member of our community and the richly different perspectives, characteristics and life experiences associated with the many communities, identities, races, ethnicities, backgrounds, abilities, cultures, socioeconomic backgrounds and beliefs that contribute to UAB's unique educational, patient care and professional environments. UAB is committed to fostering a diverse, respectful and inclusive campus environment that values all community members. The wide-ranging makeup of our students, faculty, staff and patients enriches the learning, work and care environments and is reflective of the communities we serve. UAB serves a diverse population and aims to create a respectful and inclusive environment, including through education, training and awareness programs.^a

Strategic Goal: Support a campus community that values wide-ranging experiences and perspectives.

Strategic Objective 1: Strengthen pathways to institutional access and educational success for all students.

- 1.1. Prepare students to thrive in contexts and institutions that are increasingly diverse.
- 1.2. Attract a diverse student body, recognizing the many ways in which students add to our community through wide-ranging life experiences and perspectives.
- 1.3. Cultivate new alliances and capitalize on existing partnerships throughout campus and surrounding communities to promote diversity in student enrollment.
- 1.4. Attract a diverse faculty and staff base, recognizing the many ways in which employees add value to our community through diverse life experiences and perspectives.

Strategic Objective 2: Nurture an educational climate that embraces wide-ranging perspectives.

- 2.1. Promote freedom of speech and civil discourse, as well as the value that different life experiences and perspectives provide in enriching the learning environment.
- 2.2. Provide opportunities for ongoing civil discourse.
- 2.3. Offer educational opportunities and resources on engaging in civil discourse for all students, faculty and staff.

^a In the implementation of our goals and objectives, UAB will comply with all applicable policies (e.g., Equal Opportunity and Discriminatory Harassment Policy, Title IX, etc.) and all applicable laws including, but not limited to, Title VII, Title VI, Title IX, the Americans with Disabilities Act and the Equal Protection Clause. In accordance with these policies and laws, UAB will not make employment or admissions decisions or offer any benefits or advantages based on race, gender, national origin or any other legally protected category.

- 2.4. Promote awareness of the richly different perspectives, beliefs and life experiences of everyone UAB serves in developing curricular and co-curricular activities to promote well-being and all students, faculty and staff feeling part of the UAB community.

Strategic Objective 3: Engage in research, scholarship and creative activities that explore the impact of wide-ranging perspectives and life experiences that improve the quality of life for all people across diverse populations.

- 3.1. Incorporate options for research, scholarship and creative activities in the curriculum that recognize the many ways in which students and employees add valuable diversity to our community.
- 3.2. Offer workshop opportunities for faculty and research staff to design and implement research, scholarship and creative activity projects that recognize the many ways in which students and employees add valuable diversity to our community.
- 3.3. Recognize and publicize faculty and research staff engaging in research, scholarship and creative activities that recognize the many ways in which students and employees add valuable diversity to our community.

Strategic Objective 4: Enhance excellence and connection to wide-ranging perspectives and life experiences through community engagement.

- 4.1. Increase and strengthen partnerships with diverse businesses, industries, organizations and community-based entities.
- 4.2. Cultivate community-university partnerships to understand obstacles faced by individuals with differing perspectives and life experiences.

Strategic Objective 5: Deliver excellence to a diverse patient base.

- 5.1. Identify and remove barriers to world-class health care for any patient.
- 5.2. Offer workshop opportunities focused on delivering care to all patients specific to their unique needs.
- 5.3. Identify and remove barriers to patient satisfaction for patients of all backgrounds.

Diversity Dashboard Metrics – By 2028:

1. Encourage participation among all students in success-supporting programs and reduce the graduation rate gap for students with diverse life experiences and/or who require financial assistance (e.g., first-generation, socioeconomic background, Birmingham Promise program participants) by 5%.
2. Sustain and enhance a variety of optional trainings, workshops, programs, courses and speakers with wide-ranging perspectives for faculty, staff and students.
3. Sustain hiring, recruitment and retention practices that adhere to state and federal employment laws while increasing faculty and staff awareness of the value each member of the UAB community contributes to UAB's unique professional environment.

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

Environmental Stewardship

Strategic Goal: Educate the UAB community on environmental stewardship and sustainability, and encourage sustainable practices.

Strategic Objective 1: Promote environmental stewardship/sustainability-related learning outcomes in applicable courses and majors.

Activities:

- 1.1. Pursue academic department-level collaboration for incorporating learning outcomes related to environmental stewardship and sustainability.
- 1.2. Explore opportunities to increase participation in sustainability activities through Blazer Core.

Strategic Objective 2: Support interdisciplinary and interprofessional research, scholarship and creative activities related to environmental stewardship/sustainability and encourage sustainable research practices.

Activities:

- 2.1. Recognize interdisciplinary and interprofessional sustainability research.
- 2.2. Encourage a Green Labs culture through partnerships with Environmental Health and Safety and individual departments.

Strategic Objective 3: Engage the community in environmental stewardship/sustainability education and programs.

Activities:

- 3.1. Develop formal and informal partnerships with area municipalities and other stakeholders to support sustainability.
- 3.2. Develop and share educational publications and programs that address sustainability for a community audience.

Strategic Objective 4: Design, construct and maintain buildings to provide a safe and healthy indoor environment for students, faculty, staff and patients while mitigating the building's impact on the outdoor environment.

Activities:

- 4.1. Increase the number of buildings receiving LEED-certified or Energy Star 75-plus scoring standards.
- 4.2. Analyze energy use and environmental impacts of construction and renovation projects.

SMART Criteria for Dashboard Metrics for Environmental Stewardship Foundation – By 2028:

1. Increase the number of students earning a Sustainability flag in the Blazer Core by 8% (FY23 baseline TBD).
2. 80% of the new square footage constructed will meet LEED standards, and LEED certification will be pursued on projects over 20,000 gross square feet.

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

PILLARS

Education

Strategic Goal: Prepare UAB's student body to become healthy, engaged global citizens and leaders by providing a world-class, socially conscious education.

Strategic Objective 1: Enroll, retain and graduate a high-achieving, diverse student body of global and local citizens empowered to think critically and act civically and professionally at UAB and beyond.

Activities:

- 1.1. Grow recruitment activities focused on increasing a diverse student body with richly different perspectives, characteristics and life experiences.
- 1.2. Enrich student belonging through enhanced engagement opportunities for all students, with an emphasis on innovative programs and providing flexibility with course offerings for various types of students (e.g., adult learners, opt out students, online, prior learning, short courses, etc.).
- 1.3. Promote high-impact practices (e.g., experiential learning, interdisciplinary learning, global learning; First Year Experience; learning communities; and undergraduate research) and innovative academic programming.

Strategic Objective 2: Recruit, develop and retain a diverse faculty and staff to lead, teach and support UAB's innovative educational programs.

Activities:

- 2.1. Retain, recruit and hire a diverse faculty and staff body who will lead in scholarly inquiry, teaching, interdisciplinarity, global citizenship and leadership.
- 2.2. Foster a culture of lifelong learning, critical thinking and global awareness that supports and encourages academic, professional and personal development and mentorship for faculty and staff.
- 2.3. Provide best-in-class teaching, learning resources and co-curricular activities for faculty and staff with emphasis on the use of high-impact practices and student success.

Strategic Objective 3: Provide innovative and experiential curriculum and co-curricular experiences through "Blazer Core" that places community and connection at the heart of education.

Activities:

- 3.1. Provide a coherent, intellectually stimulating and community-connected undergraduate curriculum (Blazer Core and majors) to address 21st century needs/skills that is delivered through high-impact teaching and experiential learning practices.

- 3.2. Provide co-curricular opportunities that support the academic mission of the university and enrich the student experience.
- 3.3. Provide world-class graduate and professional programs that prepare future leaders in academic research, public service, creative entrepreneurship and professional practice.

Strategic Objective 4: Engage students, faculty and staff in UAB's emphasis on health and well-being.

Activities:

- 4.1. Integrate health and well-being in curricular and co-curricular educational experiences.
- 4.2. Incorporate health and well-being concepts into faculty and staff activities.
- 4.3. Promote UAB as a signatory of the Okanagan Charter and Health Promoting University.

SMART Criteria for Dashboard Metrics for Education Pillar – By 2028:

1. Increase total enrollment by 5% (1% per year; baseline 22,164).
2. Increase the first-time, full-time freshmen retention rate by 2.9 percentage points (baseline 84.2%).
3. Improve the six-year graduation rate to 70% (baseline 62.6%).
4. Increase the number of students earning a Wellbeing flag in the Blazer Core by 8% (FY23 baseline TBD).

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

Research, Innovation and Economic Development

Strategic Goal: Empower innovative research, scholarship and creative activities that drive knowledge and job creation focused on improving society.

It is important to note that, at the time Forging Ahead was drafted, UAB was engaged on a parallel track in its Research Strategic Initiative — Growth With Purpose, guided by faculty and staff input and third-party experts to inform specific actions UAB will take across each of the following strategic objectives.

Strategic Objective 1: Enhance UAB's institutional culture of collaboration and innovation.

Activities:

- 1.1. Foster an environment that facilitates collaboration and promotes innovation.
- 1.2. Promote successful interdisciplinary and interprofessional research collaborations internally and externally.
- 1.3. Expand and strengthen collaborations with external partners, sponsors and funders.

Strategic Objective 2: Drive research and innovation across the enterprise.

Activities:

- 2.1. Strengthen and grow priority areas of research, scholarship and creative activities.
- 2.2. Grow and diversify sources of funding and support.

- 2.3. Retain, recruit and develop outstanding, innovation-focused faculty, staff and students.
- 2.4. Grow infrastructure (talent, technology, space and equipment) to support research excellence.
- 2.5. Expand access, participation and expenditures in clinical trials.
- 2.6. Continue to grow productive collaborations with Southern Research.

Strategic Objective 3: Improve society through processes and products.

Activities:

- 3.1. Facilitate intellectual property (IP) development.
- 3.2. Streamline internal processes to lower barriers to realizing innovative ideas.
- 3.3. Foster economic development in the city of Birmingham to transform and sustain a thriving local hub for life sciences innovation and creativity.

Strategic Objective 4: Expand UAB's economic impact on the state.

Activities:

- 4.1. Strategically invest in student enrollment and retention to increase economic impact.
- 4.2. Facilitate faculty and staff hiring and retention to positively impact the state economy.
- 4.3. Increase patient volume to serve the state.
- 4.4. Expand research funding to expand UAB's economic impact on the state.

SMART Criteria for Dashboard Metrics for Research, Innovation and Economic Development Pillar – By 2028:

1. Increase external research expenditures by 28% to \$913 million (baseline \$713 million).
2. Increase IP disclosures by 25% (baseline 104 on a three-year average).
3. Increase the economic impact of UAB to \$15 billion (FY22 baseline = \$12.1 billion).

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

Community Engagement

Strategic Goal: Engage with UAB and external communities in meaningful and mutually beneficial collaborations that contribute to the public good.

Strategic Objective 1: Enhance the climate for student community engagement.

Activities:

- 1.1. Increase the proportion of students engaging in community activities.
- 1.2. Increase the number of community engagement service hours completed by graduating students.

Strategic Objective 2: Enhance the climate for faculty and staff community engagement.

Activities:

- 2.1. Elevate and reward community engagement activity, research and scholarship throughout UAB for faculty and staff.

- 2.2. Formalize the value of community engagement in faculty and staff roles and responsibilities in recruitment, evaluation, nomination and promotion procedures.

Strategic Objective 3: Build an educated citizenry and workforce.

Activities:

- 3.1. Retain graduating students in-state (employment, graduate school, military service, volunteerism).
- 3.2. Address the state's workforce needs with degree programs and non-degree certificates.

Strategic Objective 4: Support and maintain the UAB Grand Challenge program, "Live HealthSmart Alabama," and its goals to improve the health of all Alabamians and serve as a model for the United States and beyond.

Activities:

- 4.1. Align internal resources to enable action.
- 4.2. Identify and secure ongoing external support.
- 4.3. Expand inclusive processes for participation within UAB and the collaborating communities.
- 4.4. Expand "Live HealthSmart Alabama" beyond Birmingham.

SMART Criteria for Dashboard Metrics for Community Engagement Pillar – By 2028:

1. Increase the number of community engagement hours completed by enrolled undergraduates, graduate/professional students, faculty, and staff to over 27,000 hours (baseline 24,704 hours).
2. Increase the number of graduating students who remain in-state for employment, graduate/professional education, volunteerism, or military service to 77% (baseline 69%).
3. Expand "Live HealthSmart Alabama" to five additional sites (baseline five sites in Birmingham area).

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

Patient Care

Strategic Goal: Provide the highest-quality patient-centered integrative care and translate discoveries into revolutionary therapies.

Strategic Objective 1: Improve patient access and satisfaction through integration of clinical services and preventive care across UAB's health care delivery entities.

Activities:

- 1.1. Develop a phased process of integrating care.
- 1.2. Enhance patient experience, from appointment process to wayfinding, to post-care communication.

- 1.3. Achieve national recognition as a place where patients experience highly satisfying health care services.

Strategic Objective 2: Invest in signature treatments that will be delivered through recognized flagship programs.

Activities:

- 2.1. Identify distinctive areas of expertise that generate prestige and set UAB apart from competitors.
- 2.2. Retain and recruit world-class faculty and staff to develop and provide these treatments.

Strategic Objective 3: Develop infrastructure to ensure statewide access to telehealth services.

Activities:

- 3.1. Create a centralized system to support existing and new telehealth programs at UAB.
- 3.2. Develop a network of partnerships across the state to enhance patient access.

SMART Criteria for Dashboard Metrics for Patient Care Pillar – By 2028:

1. Increase patient visits across all health-related entities by 15% (baselines vary by entity).
2. Improve or maintain patient satisfaction scores above 90% as defined by health-related entity (baselines vary by entity).
3. Increase the number of telemedicine patient interactions by 25% (FY23 baseline visits 3,974).

NOTE: Forging Ahead metrics are intended to be key, high-level metrics; additional data will be tracked as appropriate by the institution and/or schools, colleges and units to support UAB and localized strategic plan goals.

The University of Alabama at Birmingham — as one of three doctoral research universities in the [University of Alabama System](#) — maintains a strategic plan that is aligned with the [UA System's vision, mission, values and core principles](#) and is approved by the [University of Alabama System Board of Trustees](#).

404. **Investment Policy and Guidelines**

I. **Statement of Purpose**

The purpose of this investment policy statement is to assist The Board of Trustees of The University of Alabama ("Board of Trustees") in effectively supervising and monitoring the investment activities of The University of Alabama System ("System") and to provide guidance to investment advisors employed to manage assets on its behalf. This statement represents the current investment philosophy consensus of the Board of Trustees and shall be reviewed from time-to-time to ensure that it continues to reflect the appropriate expectations, goals, and objectives for the different funds managed on behalf of the Board of Trustees.

II. **Definition of Responsibilities**

The Board of Trustees has delegated to the Investment Committee responsibility for recommending investment objectives, broad asset allocation changes, policies, and practices. The Investment Committee is responsible for overseeing investment activities and performance; for ensuring the proper control and safekeeping of the investment funds; for making changes within the broad asset allocation guidelines; and for the selection and approval of managers and custodians, and such advisors/consultants as are necessary to properly manage these funds.

III. **Reporting**

The System Office reports on the investments and performance of the investment pools monthly to the Investment Committee of the Board of Trustees, the Chancellor, University Presidents, and University Vice Presidents for Finance and Advancement. The investment pools consist of the Pooled Endowment Fund ("PEF") and the Liquidity and Capital Reserve Pool ("LCRP"). The PEF is comprised of all participating endowed funds and strategic long-term reserve funds. The LCRP is comprised of operating reserves.

Periodically, the advisor/consultant will make a presentation to the Investment Committee and show performance relative to benchmarks and peer institutions. The advisor/consultant will also provide other reporting as deemed necessary by the Committee.

A. **Investment Policy for Pooled Endowment Fund**

I. **Investment Objectives**

The purpose of the PEF is to support the System universities, hospital, related foundations, and their missions over the long-term. Accordingly, the primary investment objectives of the PEF are to:

- A. Preserve the real purchasing power of the principal; and
- B. Provide a stable source of perpetual financial support to the Endowment's beneficiaries.

To preserve the real purchasing power of the principal and provide a stable source of income to the beneficiaries of the PEF, the long-term annualized total rate of return objective for the PEF will be targeted at inflation as defined by the Consumer Price Index (CPI) plus the PEF spending rate plus all fees and expenses. To satisfy its long-term rate of return objective, the PEF relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and from natural income (interest, dividends, royalties, and rents). Asset allocation guidelines and the investment manager structure should be established to meet the target return while providing adequate diversification to minimize the volatility of investment returns.

The PEF assets are intended to support the System in perpetuity. For investment purposes, the PEF Portfolio has a long-term investment horizon, and performance will be reviewed over full market cycles.

II. Pooled Endowment Fund Spending Policy

The Board of Trustees shall attempt to balance the long-term objective of maintaining the purchasing power of the PEF with the goal of providing a reasonable, predictable, stable, and sustainable level of income to support current objectives. The Board has established a Spending Rate for the PEF of 4.5% of the 60-month moving average of unit market values. The Investment Committee will review the spending rate periodically.

In determining whether it is appropriate to make distributions, the System Office will comply with Section 4 of the Uniform Prudent Management of Institutional Funds Act (UPMIFA), as adopted by the State of Alabama and codified at Code of Alabama, 19-3C-1, et seq., or any successor statute or statutes governing such distributions. UPMIFA requires, if relevant, consideration of seven factors when determining when spending is prudent: “(1) the duration and preservation of the endowment fund; (2) the purposes of the institution and the endowment fund; (3) general economic conditions; (4) the possible effect of inflation or deflation; (5) the expected total return from income and the appreciation of investments; (6)

other resources of the institution; (7) and the investment policy of the institution.”¹

At the institutional level, university financial and advancement officers shall establish internal policies that temporarily prohibit distributions from an endowment fund that has a current market value less than its historical dollar value based on the UPMIFA guidelines. Historical value or corpus is defined as the original donor contribution plus any additional contributions, and any additions to historic dollar value as prescribed by the donor’s gift instrument. In all instances, the donor’s intent must be met.

To prevent spending before earnings have accumulated, effective October 1, 2017, any new endowment fund shall be invested in the PEF for a consecutive period of 12 months prior to the distribution of a spending allocation of realized gains.

Strategic long-term reserve funds may not be redeemed for a minimum of ten years, and all spending distributions for strategic long-term reserve funds are required to be reinvested in the PEF, unless prior approval has been received from the Senior Vice Chancellor for Finance and Administration and Chair of the Investment Committee. For any System Office strategic long-term reserve funds, the Chancellor and Chair of the Investment Committee must approve redemptions or spending distributions.

III. Pooled Endowment Fund Asset Allocation

To achieve its investment objectives, the PEF shall be allocated among a number of asset classes. The primary asset classes may include: global equity, global fixed income and cash, real assets, and diversifying strategies. The purpose of allocating among asset classes is to ensure the proper level of diversification within the PEF. Further descriptions of the asset classes are below:

Global Equity – The allocation will consist of public equity, private equity, and equity-oriented hedge funds. Global Equity is expected to be the highest risk, highest return asset category of the four. The allocation will be diversified by factors including security, sector, geography, market capitalization, and manager style.

Global Fixed Income and Cash – The allocation will consist of two broad categories: high quality/rate sensitive and global/private credit/distressed.

1) High quality/rate sensitive investments are defined as managers where the

¹ Code of Alabama Section 19-3C-4(a)

majority of the portfolio is rated investment grade by S&P and Moody's (Baa3/BBB- and above). These bonds provide equity risk mitigation, deflation protection, and liquidity to the portfolio.

- 2) Global/private credit/distressed allocation will include high yield bonds, bank loans, emerging market debt, structured or asset backed bonds, debt oriented hedge funds, and private partnerships investing in debt (mezzanine loans, distressed debt, etc.). This allocation provides investment opportunities to generate a substantial real return but is more correlated with equity markets than high quality fixed income.

Real Assets – The allocation will consist primarily of real estate, natural resources, and infrastructure assets. Investments will include public securities, hedge funds, and private partnership structures. These investments are expected to provide inflation protection as well as generate positive real rates of return.

Diversifying Strategies – The allocation will consist of investments whose primary source of risk and return is not a constant allocation to one of the three asset classes listed above. This includes, but is not limited to, hedge funds and private partnerships whose approach can be described as “absolute return,” multi-strategy, event driven, relative value, or global macro.

Direct Co-Investments – The allocation will consist of direct investments sourced from existing investment managers within the private capital portfolio where skill and alignment have been identified. Co-investments benefit the PEF by 1) investing at a lower cost, and 2) providing additional transparency to the sponsor General Partner's (“GP”) underwriting process. Co-investment opportunities must be executed in a short timeframe. As such, authorization for co-investment budgets will be pre-approved by the Investment Committee at the same time as approval for commitments to the respective GP's fund. The GP will be authorized to invest up to the approved amount of co-investment capacity over the course of that fund's investment period, at the GPs discretion.

The target for the portfolio level of co-investments is 2% of the PEF. Co-investments will not exceed 50% of the GP's fund commitment at cost. Direct co-investment opportunities outside of pre-approved authorized amounts must be approved by the Investment Committee.

The Investment Committee shall periodically review asset allocation targets, ranges, investment objectives, policies, and make changes in investment managers to reflect changes in market conditions, as well as changes in asset class valuations or investment manager performance.

IV. Liquidity for Pooled Endowment Fund

Liquidity is necessary not only to meet the spending policy payout requirements but also to satisfy demands in case of an extraordinary event. In many instances, investment opportunities come with liquidity constraints. The tradeoff between opportunity and liquidity will be considered throughout the portfolio construction process. Sufficient liquidity should be maintained to fulfill the spending and operating objectives. Portfolio liquidity will be monitored using a three-tier system:

- Liquid: available within 90 days
- Semi-liquid: available in 90 days or more but less than 2 years
- Illiquid: available only in 2 years or more

New commitments will be made to illiquid/private capital investments with the intent to progress to a 43% allocation to private capital. A minimum of 30% of the portfolio will be held in liquid securities.

V. In-Kind Gift Policy

- A. As a general rule, securities received as gifts will be sold on receipt and the proceeds added to the pooled fund, unless the endowment documents require separate management, in which case the proceeds will be allocated to the investment managers. In those cases where the Investment Committee decides not to sell securities received as a gift, those securities will not be commingled with the rest of the endowment and will be accounted for separately until sold.
- B. Gifts of real estate will be held at the individual universities as separately managed assets until liquidated. Unless the real estate has strategic long term importance to the universities or can be managed with existing land, timber, and mineral holdings for investment purposes, it should be liquidated as quickly as practical. Once the real estate is liquidated, the funds will be invested in the appropriate investment pool.
- C. Annually, universities are to provide to the System Office a report on all university managed investments.
- D. Annually, each university will report on non-campus land and natural resources held for investment.

VI. Life Income and Annuity Funds Investments

The Board of Trustees assigns to university presidents the responsibility for direct investment and administration of life income and annuity funds. Investments are to be made in accordance with payout agreements determined at

the time of initiation, subject to investment criteria for endowment funds, as described above. With the approval of The Board of Trustees, external investment advisors may be retained to manage certain life income and annuity funds. Upon the death of the respective beneficiaries, the principal becomes available to the respective university for the purposes described in each individual trust conveyance; investment of such funds will then be determined by the applicable funds investment policy.

B. Investment Policy for Liquidity and Capital Reserve Pool

I. Investment Objectives

The System Office, with the guidance and advice of the universities and hospital financial officers, is charged with developing and implementing an investment strategy that is consistent with matching the duration of the universities' working capital with their projected needs. Because the reserves also serve as collateral for each institution's debt, care is taken to make sure that investment risk levels and liquidity are appropriate for the financial condition of each individual university. It is the responsibility of the System Office to ensure that cash is available to meet the liquidity needs of the universities and the hospital. As all cash needs cannot be anticipated, the portfolio shall consist largely of securities with active secondary or resale markets.

System reserves are to be managed in one pooled fund, the Liquidity and Capital Reserve Pool, except for monies that are ineligible for inclusion in this pool, such as endowment assets and life and annuity trusts, and cash held for operations at banks. The goals of the pool are to preserve and grow capital, maximize returns without undue exposure to risk, and maintain sufficient liquidity for credit ratings.

II. Liquidity and Capital Reserve Pool Asset Allocation

The Liquidity and Capital Reserve Pool shall be allocated among a number of asset classes as described in the PEF Asset Allocation, excluding private equity, private debt, and private real assets. The pool does not distribute a spending rate and reinvests all income, but universities are able to withdraw reserves as needed for operations with sufficient notice to the System Investment Office, described in III. Liquidity for Liquidity and Capital Reserve Pool.

III. Liquidity for Liquidity and Capital Reserve Pool

In many instances, investment opportunities come with liquidity constraints. The tradeoff between opportunity and liquidity will be considered throughout the portfolio construction process. Sufficient liquidity should be maintained to fulfill

operating objectives with consideration to time horizons for the need of the reserves established between the universities, hospital, and the System Office.

Universities should provide the System Office with one business day notice for withdrawal requests up to \$10 million. For requests greater than \$10 million, requests should be made at a minimum of five business days in advance of receipt of funds.

Universities may also request internal loans rather than liquidate invested assets. Universities must submit an internal loan request at least five business days in advance of the requested date of receipt of funds. The System Office will analyze the pool's liquidity, asset allocation and any available credit facilities when considering internal loan requests. Internal loans for working capital purposes will not exceed twelve months. Internal loans for capital purposes may also be considered.

Liquidity Policy guidelines for the Liquidity and Capital Reserve Pool are:

<u>Classification of Asset</u>	<u>Liquidity</u>
Liquid (within 30 days)	no less than 60%
Semi-liquid (31 days to 1 year)	no more than 40%
Illiquid (greater than 1 year)	no more than 15%

(Adopted as Rule 420, Investment Policy and Procedures on May 12, 1979; amended December 14, 1979; amended November 19, 1980; revised to Rule 420, Investment Funds Policy on September 16, 1981; amended February 3, 1983; amended January 12, 1984; revised to Rule 420, Asset Management Policy on September 20, 1984; amended December 8, 1989; revised to Rule 420, Investment Management Policy on April 30, 1993; amended October 29, 1993; amended April 21, 1995; amended and renumbered as Rule 404 on December 5, 1997; amended November 19, 1999.

Adopted as Rule 416, Investment Committee Operations on November 19, 1999; amended and merged with Rule 404, Investment Management Policy on June 17, 2005 as Rule 404, Investment Policy and Guidelines; amended April 7, 2006; amended February 2, 2007; amended November 14, 2008; amended February 6, 2009; amended June 15, 2012; amended September 19, 2014; amended June 16, 2017; amended September 21, 2018; amended June 7, 2019; amended April 9, 2020; amended February 4, 2022 amended April 14, 2023; amended February 2, 2024.)

APPENDIX 1

POOLED ENDOWMENT FUND (PEF) ASSET ALLOCATION

	<u>Long Term Target</u>	<u>Ranges</u>
Equities	-61 62%	45-70%
Fixed Income and Cash	40 12%	5-25%
Real Assets	13%	5-25%
Diversifying Strategies	16 13%	5-25%
	100%	

LIQUIDITY AND CAPITAL RESERVE POOL (LCRP) ASSET ALLOCATION

	<u>Long Term Target</u>	<u>Ranges</u>
Equities	45 40%	35-55 30-50%
Fixed Income and Cash	35 42.5%	20-50 30-50%
Real Assets	10 7.5%	5-20 0-15%
Diversifying Strategies	10%	5-20%
	100%	

The advisor working with the System Office has the responsibility of rebalancing assets periodically to stay within the current asset allocation targets.

MANAGER DIVERSIFICATION

To ensure sufficient diversification for the PEF and the LCRP, there will not be an allocation of more than 10% to any active manager, and 15% to a passive manager at the time of investment.

404. Investment Policy and Guidelines

I. Statement of Purpose

The purpose of this investment policy statement is to assist The Board of Trustees of The University of Alabama ("Board of Trustees") in effectively supervising and monitoring the investment activities of The University of Alabama System ("System") and to provide guidance to investment advisors employed to manage assets on its behalf. This statement represents the current investment philosophy consensus of the Board of Trustees and shall be reviewed from time-to-time to ensure that it continues to reflect the appropriate expectations, goals, and objectives for the different funds managed on behalf of the Board of Trustees.

II. Definition of Responsibilities

The Board of Trustees has delegated to the Investment Committee responsibility for recommending investment objectives, broad asset allocation changes, policies, and practices. The Investment Committee is responsible for overseeing investment activities and performance; for ensuring the proper control and safekeeping of the investment funds; for making changes within the broad asset allocation guidelines; and for the selection and approval of managers and custodians, and such advisors/consultants as are necessary to properly manage these funds.

III. Reporting

The System Office reports on the investments and performance of the investment pools monthly to the Investment Committee of the Board of Trustees, the Chancellor, University Presidents, and University Vice Presidents for Finance and Advancement. The investment pools consist of the Pooled Endowment Fund ("PEF") and the Liquidity and Capital Reserve Pool ("LCRP"). The PEF is comprised of all participating endowed funds and strategic long-term reserve funds. The LCRP is comprised of operating reserves.

Periodically, the advisor/consultant will make a presentation to the Investment Committee and show performance relative to benchmarks and peer institutions. The advisor/consultant will also provide other reporting as deemed necessary by the Committee.

A. Investment Policy for Pooled Endowment Fund

I. Investment Objectives

The purpose of the PEF is to support the System universities, hospital, related foundations, and their missions over the long-term. Accordingly, the primary investment objectives of the PEF are to:

- A. Preserve the real purchasing power of the principal; and
- B. Provide a stable source of perpetual financial support to the Endowment's beneficiaries.

To preserve the real purchasing power of the principal and provide a stable source of income to the beneficiaries of the PEF, the long-term annualized total rate of return objective for the PEF will be targeted at inflation as defined by the Consumer Price Index (CPI) plus the PEF spending rate plus all fees and expenses. To satisfy its long-term rate of return objective, the PEF relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and from natural income (interest, dividends, royalties, and rents). Asset allocation guidelines and the investment manager structure should be established to meet the target return while providing adequate diversification to minimize the volatility of investment returns.

The PEF assets are intended to support the System in perpetuity. For investment purposes, the PEF Portfolio has a long-term investment horizon, and performance will be reviewed over full market cycles.

II. Pooled Endowment Fund Spending Policy

The Board of Trustees shall attempt to balance the long-term objective of maintaining the purchasing power of the PEF with the goal of providing a reasonable, predictable, stable, and sustainable level of income to support current objectives. The Board has established a Spending Rate for the PEF of 4.5% of the 60-month moving average of unit market values. The Investment Committee will review the spending rate periodically.

In determining whether it is appropriate to make distributions, the System Office will comply with Section 4 of the Uniform Prudent Management of Institutional Funds Act (UPMIFA), as adopted by the State of Alabama and codified at Code of Alabama, 19-3C-1, et seq., or any successor statute or statutes governing such distributions. UPMIFA requires, if relevant, consideration of seven factors when determining when spending is prudent: “(1) the duration and preservation of the endowment fund; (2) the purposes of the institution and the endowment fund; (3) general economic conditions; (4) the possible effect of inflation or deflation; (5) the expected total return from income and the appreciation of investments; (6)

other resources of the institution; (7) and the investment policy of the institution.”¹

At the institutional level, university financial and advancement officers shall establish internal policies that temporarily prohibit distributions from an endowment fund that has a current market value less than its historical dollar value based on the UPMIFA guidelines. Historical value or corpus is defined as the original donor contribution plus any additional contributions, and any additions to historic dollar value as prescribed by the donor’s gift instrument. In all instances, the donor’s intent must be met.

To prevent spending before earnings have accumulated, effective October 1, 2017, any new endowment fund shall be invested in the PEF for a consecutive period of 12 months prior to the distribution of a spending allocation of realized gains.

Strategic long-term reserve funds may not be redeemed for a minimum of ten years, and all spending distributions for strategic long-term reserve funds are required to be reinvested in the PEF, unless prior approval has been received from the Senior Vice Chancellor for Finance and Administration and Chair of the Investment Committee. For any System Office strategic long-term reserve funds, the Chancellor and Chair of the Investment Committee must approve redemptions or spending distributions.

III. Pooled Endowment Fund Asset Allocation

To achieve its investment objectives, the PEF shall be allocated among a number of asset classes. The primary asset classes may include: global equity, global fixed income and cash, real assets, and diversifying strategies. The purpose of allocating among asset classes is to ensure the proper level of diversification within the PEF. Further descriptions of the asset classes are below:

Global Equity – The allocation will consist of public equity, private equity, and equity-oriented hedge funds. Global Equity is expected to be the highest risk, highest return asset category of the four. The allocation will be diversified by factors including security, sector, geography, market capitalization, and manager style.

Global Fixed Income and Cash – The allocation will consist of two broad categories: high quality/rate sensitive and global/private credit/distressed.

1) High quality/rate sensitive investments are defined as managers where the

¹ Code of Alabama Section 19-3C-4(a)

majority of the portfolio is rated investment grade by S&P and Moody's (Baa3/BBB- and above). These bonds provide equity risk mitigation, deflation protection, and liquidity to the portfolio.

- 2) Global/private credit/distressed allocation will include high yield bonds, bank loans, emerging market debt, structured or asset backed bonds, debt oriented hedge funds, and private partnerships investing in debt (mezzanine loans, distressed debt, etc.). This allocation provides investment opportunities to generate a substantial real return but is more correlated with equity markets than high quality fixed income.

Real Assets – The allocation will consist primarily of real estate, natural resources, and infrastructure assets. Investments will include public securities, hedge funds, and private partnership structures. These investments are expected to provide inflation protection as well as generate positive real rates of return.

Diversifying Strategies – The allocation will consist of investments whose primary source of risk and return is not a constant allocation to one of the three asset classes listed above. This includes, but is not limited to, hedge funds and private partnerships whose approach can be described as “absolute return,” multi-strategy, event driven, relative value, or global macro.

Direct Co-Investments – The allocation will consist of direct investments sourced from existing investment managers within the private capital portfolio where skill and alignment have been identified. Co-investments benefit the PEF by 1) investing at a lower cost, and 2) providing additional transparency to the sponsor General Partner's (“GP”) underwriting process. Co-investment opportunities must be executed in a short timeframe. As such, authorization for co-investment budgets will be pre-approved by the Investment Committee at the same time as approval for commitments to the respective GP's fund. The GP will be authorized to invest up to the approved amount of co-investment capacity over the course of that fund's investment period, at the GPs discretion.

The target for the portfolio level of co-investments is 2% of the PEF. Co-investments will not exceed 50% of the GP's fund commitment at cost. Direct co-investment opportunities outside of pre-approved authorized amounts must be approved by the Investment Committee.

The Investment Committee shall periodically review asset allocation targets, ranges, investment objectives, policies, and make changes in investment managers to reflect changes in market conditions, as well as changes in asset class valuations or investment manager performance.

IV. Liquidity for Pooled Endowment Fund

Liquidity is necessary not only to meet the spending policy payout requirements but also to satisfy demands in case of an extraordinary event. In many instances, investment opportunities come with liquidity constraints. The tradeoff between opportunity and liquidity will be considered throughout the portfolio construction process. Sufficient liquidity should be maintained to fulfill the spending and operating objectives. Portfolio liquidity will be monitored using a three-tier system:

- Liquid: available within 90 days
- Semi-liquid: available in 90 days or more but less than 2 years
- Illiquid: available only in 2 years or more

New commitments will be made to illiquid/private capital investments with the intent to progress to a 43% allocation to private capital. A minimum of 30% of the portfolio will be held in liquid securities.

V. In-Kind Gift Policy

- A. As a general rule, securities received as gifts will be sold on receipt and the proceeds added to the pooled fund, unless the endowment documents require separate management, in which case the proceeds will be allocated to the investment managers. In those cases where the Investment Committee decides not to sell securities received as a gift, those securities will not be commingled with the rest of the endowment and will be accounted for separately until sold.
- B. Gifts of real estate will be held at the individual universities as separately managed assets until liquidated. Unless the real estate has strategic long term importance to the universities or can be managed with existing land, timber, and mineral holdings for investment purposes, it should be liquidated as quickly as practical. Once the real estate is liquidated, the funds will be invested in the appropriate investment pool.
- C. Annually, universities are to provide to the System Office a report on all university managed investments.
- D. Annually, each university will report on non-campus land and natural resources held for investment.

VI. Life Income and Annuity Funds Investments

The Board of Trustees assigns to university presidents the responsibility for direct investment and administration of life income and annuity funds. Investments are to be made in accordance with payout agreements determined at

the time of initiation, subject to investment criteria for endowment funds, as described above. With the approval of The Board of Trustees, external investment advisors may be retained to manage certain life income and annuity funds. Upon the death of the respective beneficiaries, the principal becomes available to the respective university for the purposes described in each individual trust conveyance; investment of such funds will then be determined by the applicable funds investment policy.

B. Investment Policy for Liquidity and Capital Reserve Pool

I. Investment Objectives

The System Office, with the guidance and advice of the universities and hospital financial officers, is charged with developing and implementing an investment strategy that is consistent with matching the duration of the universities' working capital with their projected needs. Because the reserves also serve as collateral for each institution's debt, care is taken to make sure that investment risk levels and liquidity are appropriate for the financial condition of each individual university. It is the responsibility of the System Office to ensure that cash is available to meet the liquidity needs of the universities and the hospital. As all cash needs cannot be anticipated, the portfolio shall consist largely of securities with active secondary or resale markets.

System reserves are to be managed in one pooled fund, the Liquidity and Capital Reserve Pool, except for monies that are ineligible for inclusion in this pool, such as endowment assets and life and annuity trusts, and cash held for operations at banks. The goals of the pool are to preserve and grow capital, maximize returns without undue exposure to risk, and maintain sufficient liquidity for credit ratings.

II. Liquidity and Capital Reserve Pool Asset Allocation

The Liquidity and Capital Reserve Pool shall be allocated among a number of asset classes as described in the PEF Asset Allocation, excluding private equity, private debt, and private real assets. The pool does not distribute a spending rate and reinvests all income, but universities are able to withdraw reserves as needed for operations with sufficient notice to the System Investment Office, described in III. Liquidity for Liquidity and Capital Reserve Pool.

III. Liquidity for Liquidity and Capital Reserve Pool

In many instances, investment opportunities come with liquidity constraints. The tradeoff between opportunity and liquidity will be considered throughout the portfolio construction process. Sufficient liquidity should be maintained to fulfill

operating objectives with consideration to time horizons for the need of the reserves established between the universities, hospital, and the System Office.

Universities should provide the System Office with one business day notice for withdrawal requests up to \$10 million. For requests greater than \$10 million, requests should be made at a minimum of five business days in advance of receipt of funds.

Universities may also request internal loans rather than liquidate invested assets. Universities must submit an internal loan request at least five business days in advance of the requested date of receipt of funds. The System Office will analyze the pool's liquidity, asset allocation and any available credit facilities when considering internal loan requests. Internal loans for working capital purposes will not exceed twelve months. Internal loans for capital purposes may also be considered.

Liquidity Policy guidelines for the Liquidity and Capital Reserve Pool are:

<u>Classification of Asset</u>	<u>Liquidity</u>
Liquid (within 30 days)	no less than 60%
Semi-liquid (31 days to 1 year)	no more than 40%
Illiquid (greater than 1 year)	no more than 15%

(Adopted as Rule 420, Investment Policy and Procedures on May 12, 1979; amended December 14, 1979; amended November 19, 1980; revised to Rule 420, Investment Funds Policy on September 16, 1981; amended February 3, 1983; amended January 12, 1984; revised to Rule 420, Asset Management Policy on September 20, 1984; amended December 8, 1989; revised to Rule 420, Investment Management Policy on April 30, 1993; amended October 29, 1993; amended April 21, 1995; amended and renumbered as Rule 404 on December 5, 1997; amended November 19, 1999.

Adopted as Rule 416, Investment Committee Operations on November 19, 1999; amended and merged with Rule 404, Investment Management Policy on June 17, 2005 as Rule 404, Investment Policy and Guidelines; amended April 7, 2006; amended February 2, 2007; amended November 14, 2008; amended February 6, 2009; amended June 15, 2012; amended September 19, 2014; amended June 16, 2017; amended September 21, 2018; amended June 7, 2019; amended April 9, 2020; amended February 4, 2022 amended April 14, 2023; amended February 2, 2024.)

APPENDIX 1

POOLED ENDOWMENT FUND (PEF) ASSET ALLOCATION

	<u>Long Term Target</u>	<u>Ranges</u>
Equities	62%	45-70%
Fixed Income and Cash	12%	5-25%
Real Assets	13%	5-25%
Diversifying Strategies	<u>13%</u>	5-25%
	100%	

LIQUIDITY AND CAPITAL RESERVE POOL (LCRP) ASSET ALLOCATION

	<u>Long Term Target</u>	<u>Ranges</u>
Equities	40%	30-50%
Fixed Income and Cash	42.5%	30-50%
Real Assets	7.5%	0-15%
Diversifying Strategies	<u>10%</u>	5-20%
	100%	

The advisor working with the System Office has the responsibility of rebalancing assets periodically to stay within the current asset allocation targets.

MANAGER DIVERSIFICATION

To ensure sufficient diversification for the PEF and the LCRP, there will not be an allocation of more than 10% to any active manager, and 15% to a passive manager at the time of investment.

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

- A. Name of Account:
The University of Alabama Master Account
- B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.
- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
 - Julie Shelton, Associate Vice President for Finance
- C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

- A. Name of Account:
The University of Alabama Payroll Account
- B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.
- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
 - Julie Shelton, Associate Vice President for Finance
- C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:
- Checks:
- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
 - Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of Account:

The University of Alabama Credit Card Clearing Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of Account:

The University of Alabama Depository Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of Account:

The University of Alabama Controlled Disbursement Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Checks:

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of Account:

The University of Alabama Shopping Center Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

Checks:

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of the Account:

The University of Alabama Blackboard Clearing Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Name of Account:

The University of Alabama Advancement Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

A. Purpose of Account:

The University of Alabama Contracts and Grants Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

Name and address of Depository:

Cadence Bank
Tuscaloosa, AL

- A. Purpose of Account:
The University of Alabama Student Account Services
- B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.
- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
 - Julie Shelton, Associate Vice President for Finance
- C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

Name and address of Depository:

Bank of Moundville
Moundville, MS

A. Purpose of Account:

The University of Alabama Moundville Archaeological Park Depository Account

B. Name(s) of university personnel who shall have the authority and responsibility for the account and specifically charge and direct the named individuals with the authority and responsibility for the opening and closing of the account, for making deposits in and withdrawals from the account, and for otherwise supervising and managing the account, to the extent that those authorizations and responsibilities shall be appropriate to the particular kind or nature of account opened.

- Cheryl Mowdy, Interim Vice President for Finance and Operations and Treasurer
- Julie Shelton, Associate Vice President for Finance

C. Name(s) of university personnel authorized to perform transactions involving the account and limitations on the authority given to each including dollar limitations and use of mechanical or facsimile system for the execution of checks, drafts or other documents:

Wire & Electronic Transfers:

- Julie Shelton, Associate Vice President for Finance
- Charles Poole, Director, Financial Accounting & Reporting
- Marcia Stanley, Accounting Analyst
- Starr Deas, Accounting Analyst
- Betsy Jarnigan, Accounting Analyst

502 Establishing New Programs

1. Policy Statements

- A. Primary responsibility for the planning and execution of academic programs begins with the faculty and staff of each System campus.
- B. The Board and the Chancellor encourage adaptation of resources to meet changing academic needs in the State.
- C. The institutions of The University of Alabama System are required to submit requests for new programs to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review and approval. Upon the approval of the Chancellor, the new program request will be submitted to the Board of Trustees for final approval.
- D. Efforts at collaboration and sharing, including cooperative, joint, and shared programs, must should be explored at the campus and System levels whenever a new degree program of instruction is considered. Campuses are expected to address this when notifying the Board that a proposal is pending.
- E. Guidelines and procedures for the development, submission, review, and approval of new programs are developed and administered by the System's Office of Academic Affairs.

2. ~~The~~ Guidelines for Establishing a New Degree Program With the elimination of the Alabama Commission on Higher Education's *Notice of Intent to Submit a Proposal* (NISP) process, University of Alabama System campuses are expected to present a *Notification of Pending Proposal* (NPP) form (Attachment A) to the System Office of Academic and Student Affairs at least one full month prior to the deadline for receiving Board items for the meeting agenda on which the campus will be requesting the new program proposal to appear. This will allow time to confirm that the need and/or demand for a new academic

Formatted: Indent: Left: 0.5"

Formatted: Font: Italic

Formatted: Font: Italic

program has been properly assessed at the campus and System levels and ensure that an opportunity for review and feedback has been provided to other UAS campuses and appropriate System administrators before a full program proposal is presented to the Board of Trustees for initial approval.

F.A. Notification of Intent to Submit a Proposal
Pre-proposal Process

1. The faculty ~~develops a Notification of Intent to Submit a Proposal (NISP)~~ completes the UAS Notification of Pending Proposal (NPP) form. (Please see Attachment A)
2. Campus committees review the ~~NISP~~ NPP.
3. The Provost/Vice President for Academic Affairs reviews the ~~NISP~~ NPP.
4. The ~~NISP~~ NPP is reviewed and approved by the President and forwarded to the Chancellor, with a copy to the System Vice Chancellor for Academic and Student Affairs, for review no later than one month prior to the deadline for Board materials for the meeting at which the proposal will be considered by the Board of Trustees.
5. ~~The Vice Chancellor for Academic and Student Affairs reviews the NISP, which will include a meeting with the provost, program administrator, and the lead faculty member.~~
6. ~~5.~~ The System Office sends the ~~NISP~~ NPP to the other System campuses for review and feedback.
7. ~~The Vice Chancellor presents the NISP to the System Academic Council (SAC) for review and discussion.~~

8.6. The ~~NISP-NPP~~ is adjusted, as necessary, ~~approved by the President, and recommended submitted by the Vice Chancellor for Academic and Student Affairs to the Chancellor for review and feedback. If there are no further concerns, the Vice Chancellor for Academic and Student Affairs will notify the campus that the proposal may be submitted to the Board portal for inclusion on the agenda and recommendation to the Board of Trustees for initial approval.~~

9. ~~The Vice Chancellor presents the NISP as an action item to the Academic Affairs, Student Affairs, and Planning Committee for its review, approval, and recommendation to the Board for authorization to submit it to the Alabama Commission on Higher Education (ACHE).~~

10. ~~Following the Board's approval, the Vice Chancellor sends the NISP to ACHE for review.~~

11. ~~The originating campus sends undergraduate program NISPs to the Chief Academic Officers of the other senior institutions in the state. NISPs for graduate programs are sent to the Graduate Deans.~~

12. ~~After two months the full Program Proposal may be submitted to ACHE.~~

It is expected that proposed degree programs that are submitted as part of the pre-proposal process are already listed on the campus's Three-Year Academic Program Planning Report that is submitted annually to the Board. If the proposed program is not currently listed, an explanation is to be provided in the appropriate area on the NPP form (Attachment A).

Formatted: Indent: Left: 0.5", No bullets or numbering

G.B. Program Proposal

1. The faculty develops a full program proposal, which must be inclusive of the completion of: (1) The ACHE "Proposal for a

New Degree Program" form, which supports both undergraduate and graduate programs. (For undergraduate programs please see Attachment B-1); ACHE's New Academic Degree Program Summary and Business Plan (Please see Attachment B-2); and (3) The updated University of Alabama System "New Academic Program Proposal Supplement" for graduate programs (please see Attachment C).

2. Campus committees review the proposal.
3. The Provost/Vice President for Academic Affairs reviews the proposal.
4. The President approves the proposal and forwards it to the Chancellor for review.
5. The Vice Chancellor for Academic and Student Affairs reviews the proposal, which ~~will ordinarily~~ could include a meeting with the provost, program administrator, and the lead program faculty member(s).
6. The System Office sends the proposal to the other System campuses for review and feedback.
- ~~7. The Vice Chancellor presents the proposal to the SAC for review and discussion.~~

8.7. If substantive proposal modifications are necessary after System-wide review, the System Office of Academic and Student Affairs will work with the Provost's Office of the proposing campus to discuss steps for addressing the concerns and/or to determine if more time is needed before the proposal is placed on the Board's agenda. The campus provost will determine if any recommended modifications of the proposal require additional review and approval by the proposing department, faculty, etc. proposal is adjusted, as necessary, All new program proposals that appear on the

Formatted: Not Highlight

Formatted: Not Highlight

Board's agenda for consideration must be approved by the proposing campus's President, and recommended to the Chancellor for review and recommendation submission to the Board of Trustees as part of the Academic Affairs and Student Affairs Committee agenda.

Formatted: Not Highlight

9.8. During the meeting at which the proposal is to be formally considered, The Vice Chancellorthe campus provost will presents the full proposal as an action item to the Academic Affairs, and Student Affairs, and Planning Committee and the Board for its review, initial approval, and recommendation to the Board for authorization to submit it to ACHE with a recommendation for ACHE approval by the Commission. In addition to program the required UAS and ACHE proposal information, the proposal includes the summary sheet required by ACHE that contains budget information, enrollment projections, and degree completion projections each proposal should be accompanied by a resolution for individual action and a copy of a letter of approval and support for the proposed program from the campus Provost to the President and from the President to the System Chancellor. A copy of the completed Notification of Pending Proposal (NPP) form should also be included as a supplemental document, along with the letters of support and any other relevant materials endorsing the program.

10.9. Following the Board's initial approval of the program, the Vice Chancellor sends the each campus submits the proposal to the ACHE web portal where it is subsequently locked by System personnel, signaling that it has been authorized for submission to ACHE by the Board for its review and approval. (At least two months after the NISP has been submitted.) ACHE will notify the UA System Office of Academic & Student Affairs and/or the respective campus if there are questions or further adjustments are needed.

11. The originating campus sends a copy of any undergraduate program proposal to the Chief Academic Officer of the other senior institutions in the state. A copy of

any graduate program proposal is sent to the Council of Graduate Deans.

~~12.10.~~ With Upon ACHE approval, the proposal is ~~sent~~ presented to the Academic Affairs and Student Affairs Committee and the Board of Trustees for its final review and approval. Following final Board approval, the campus then implements the program.

~~13.11.~~ If ACHE fails to approve the proposal, the Board may then choose to have the campus revise the proposal and resubmit it to ACHE, approve the proposal, or discontinue consideration of the proposal.

~~2.3.~~ The Guidelines for Establishing a New For-Credit Non-Degree Certificate Program

Certificate programs require Board action (and an appropriate resolution) if they are 30 or more undergraduate credit hours, or 18 or more graduate credit hours in length. A certificate program with less than the above criteria should meet individual campus guidelines and be presented to the Board as an information item.

1. The faculty develops a For-Credit Non-Degree Certificate program proposal.
2. Campus committees review the proposal.
3. The Provost/Vice President for Academic Affairs reviews the proposal.
4. The President approves the proposal and it, along with a completed ACHE "Non-Degree Certificate" form (See Attachment D), is are forwarded to the Chancellor and the Vice Chancellor for Academic and Student Affairs for review.
5. ~~The Vice Chancellor for Academic and Student Affairs reviews the proposal.~~

~~6. The System Office sends the proposal to the other System campuses for review.~~

~~7. The Vice Chancellor presents the proposal to the SAC for review and discussion.~~

~~8. The proposal is adjusted, as necessary, approved by the President, and recommended to the Chancellor for review and recommendation to the Board of Trustees.~~

~~9.5. Depending on the number of credits hours and the level of the program as previously noted, the Vice Chancellor for Academic and Student Affairs presents the full-For-Credit Non-Degree certificate proposal as an action item or information item to the Academic Affairs, and Student Affairs, and Planning Committee for its review, approval, and recommendation to of the Board of Trustees.~~

~~10.6. Following Board approval or presentation of the certificate program to the Board, the Vice Chancellor UAS Office of Academic and Student Affairs notifies ACHE, as an information item, of the Board's approval or review of the For-Credit Non-Degree certificate program by "locking" the item in the ACHE Web portal. (Campuses should consult ACHE policy for additional information regarding certificate levels and expectations for Commission review of For-Credit Non-Degree Certificates.)~~

(Adopted October 15, 1986; Amended June 28, 1993; Revised November 1, 1996, May 7, 1999, April 21, 2000, February 15, 2002; Amended November 14, 2008; Amended February 2, 2024.)

~~Download Attachment A to Board Rule 502: Notification of Intent to Submit a Proposal (NISP) for a New Program of Instruction~~

Formatted: Strikethrough

~~Download Attachment B to Board Rule 502: Proposal for a New Baccalaureate Degree Program~~

~~Download Attachment C to Board Rule 502: Proposal for a New Graduate Degree Program~~

- [Download Attachment A to Board Rule 502: Notification of a Pending Proposal \(NPP\) for a New Program of Instruction](#)
- [Download Attachment B1 form to Board Rule 502: ACHE "Proposal for a New Degree Program"](#)
- [Download Attachment B2 form to Board Rule 502: ACHE's New Academic Degree Program Summary and Business](#)
- [Download Attachment C to Board Rule 502: The University of Alabama System New Program Proposal Supplement for Undergraduate and Graduate Degree Programs](#)
- [Download Attachment D to Board Rule 502: ACHE "Non-Degree Certificate" form](#)

Formatted: Font:

Formatted: List Paragraph, Bulleted + Level: 1 +
Aligned at: 0.25" + Indent at: 0.5"



Attachment C to Board Rule 502 New Program Proposal Supplement

In addition to the items ACHE has requested for program proposals, please include the following additional items when developing and submitting academic program proposals to the System Office and the Board of Trustees for approval.

1. Institution:

☐ UA

☐ UAB

☐ UAH

2. Program Identification

Program name:

Degree Nomenclature:

Date of NPP submission:

3. Six-digit CIP Code:

4. Executive Summary (not to exceed two pages)

5. Steps taken to determine if other UA System institutions might be interested in collaborating in the program.

6. Summary of other campus comments, internal to the UA System or external (if any), regarding your plans for developing this program. Please include substantive feedback from the pre-proposal process.

7. Describe the process that will be used by your institution for routine internal and/or external program review.

8. Describe the process that will be used in assessing program outcomes (to include student learning outcomes).

9. Other pertinent information, if any.



**University of
Alabama System®**

Board Rule 502

Notice of Pending Proposal (NPP) for a New Program of Instruction
(To be completed by the Provost's Office)

1. Institution:

☐ UA

☐ UAB

☐ UAH

2. Date of NPP Submission (mm/dd/yyyy):

3. Contact Information

Institutional Contact Person:

Telephone:

Email:

4. Program Identification

Program Name:

Degree Nomenclature:

5. 6-digit CIP Code:

6. Program Mode of Delivery

- ☐ Distance
- ☐ On-site
- ☐ Other, please describe:

7. Select a meeting for Board consideration:

- ☐ April 11-12, 2024
- ☐ June 6-7, 2024
- ☐ September 12-13, 2024
- ☐ November 7-8, 2024
- ☐ February 6-7, 2025
- ☐ April 3-4, 2025
- ☐ June 5-6, 2025
- ☐ September 11-12, 2025
- ☐ November 6-7, 2025
- ☐ February 5-6, 2026
- ☐ April 2-3, 2026
- ☐ June 4-5, 2026

8. Is the proposed academic degree program currently listed on your campus Three-Year Academic Program Planning Report that is annually submitted to the Board of Trustees?

☐ Yes

☐ No

If no, please explain.

9. Provide a brief description of the program.

10. Relationship of program to other programs within the institution.

10.1. How will the program support or be supported by other programs within the institution?

10.2. Will this program replace any existing program(s) or specialization(s), option(s) or concentration(s) within existing programs?

☐ Yes

☐ No

If yes, please explain:

11. If this program is similar or duplicative of any other programs in the system or the state, please give your rationale for program duplication.

12. Do you plan to explore possible program collaboration with other institutions? Please explain.

13. Please describe the need and/or level of student demand for this program.

502. Establishing New Programs

I. Policy Statements

- A. Primary responsibility for the planning and execution of academic programs begins with the faculty and staff of each System campus.
- B. The Board and the Chancellor encourage adaptation of resources to meet changing academic needs in the State.
- C. The institutions of The University of Alabama System are required to submit requests for new programs to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review and approval. Upon the approval of the Chancellor, the new program request will be submitted to the Board of Trustees for final approval.
- D. Efforts at collaboration and sharing, including cooperative, joint, and shared programs, should be explored at the campus and System levels whenever a new degree program of instruction is considered. Campuses are expected to address this when notifying the Board that a proposal is pending.
- E. Guidelines and procedures for the development, submission, review, and approval of new programs are developed and administered by the System's Office of Academic Affairs.

II. The Guidelines for Establishing a New Degree Program

With the elimination of the Alabama Commission on Higher Education's Notice of Intent to Submit a Proposal (NISP) process, University of Alabama System campuses are expected to present a Notification of Pending Proposal (NPP) form to the System Office of Academic and Student Affairs at least one full month prior to the deadline for receiving Board items for the meeting agenda on which the campus will be requesting the new program proposal to appear. This will allow time to confirm that the need and/or demand for a new academic program has been properly assessed at the campus and System levels and ensure that an opportunity for review and feedback has been provided to other UAS campuses, and appropriate System administrators, before a full program proposal is presented to the Board of Trustees for initial approval.

A. Pre-proposal Process

- 1. The faculty completes the UAS Notification of Pending Proposal (NPP) form.
- 2. Campus committees review the NPP.
- 3. The Provost/Vice President for Academic Affairs reviews the NPP.
- 4. The NPP is reviewed and approved by the President and forwarded to the Chancellor, with a copy to the System Vice Chancellor for Academic and Student Affairs, for review no later than one month prior to the deadline for Board materials for the meeting at which the proposal will be considered by the Board of Trustees.

5. The System Office sends the completed NPP forms to the other System campuses for review and feedback.
6. The NPP is adjusted, as necessary, and submitted by the Vice Chancellor for Academic and Student Affairs to the Chancellor for review and feedback. If there are no further concerns, the Vice Chancellor for Academic and Student Affairs will notify the campus that the proposal may be submitted to the Board portal for inclusion on the agenda and recommendation to the Board of Trustees for initial approval.

It is expected that proposed degree programs that are submitted as part of the pre-proposal process are already listed on the campus's Three-Year Academic Program Planning Report that is submitted annually to the Board. If the proposed program is not currently listed, an explanation is to be provided in the appropriate area on the NPP form.

B. Program Proposal

1. The faculty develops a full program proposal, which must be inclusive of the completion of: (1) The ACHE "Proposal for a New Degree Program" form, which supports both undergraduate and graduate programs; (2) ACHE's New Academic Degree Program Summary and Business Plan; and (3) The updated University of Alabama System "New Academic Program Proposal Supplement".
2. Campus committees review the proposal.
3. The Provost/Vice President for Academic Affairs reviews the proposal.
4. The President approves the proposal and forwards it to the Chancellor for review.
5. The Vice Chancellor for Academic and Student Affairs reviews the proposal, which could include a meeting with the provost, program administrator, and the lead faculty member(s).
6. The System Office sends the proposal to the other System campuses for review and feedback.
7. If substantive proposal modifications are necessary after System-wide review, the System Office of Academic and Student Affairs will work with the Provost's Office of the proposing campus to discuss steps for addressing the concerns and/or to determine if more time is needed before the proposal is placed on the Board's agenda. The campus provost will determine if any recommended modifications of the proposal require additional review and approval by the proposing department, faculty, etc. All new program proposals that appear on the Board's agenda for consideration must be approved by the proposing campus's President and recommended to the Chancellor for review and submission to the Board of Trustees as part of the Academic Affairs and Student Affairs committee agenda.
8. During the meeting at which the proposal is to be formally considered, the campus provost will present the full proposal as an action item to the Academic Affairs and

Student Affairs Committee and the Board for initial approval and authorization to submit it to ACHE for approval by the Commission. In addition to the required UAS and ACHE proposal information, each proposal should be accompanied by a resolution for individual action and a copy of a letter of approval and support for the proposed program from the campus Provost to the President and from the President to the System Chancellor. A copy of the completed Notification of Pending Proposal (NPP) form should also be included as a supplemental document, along with the letters of support and any other relevant materials endorsing the program.

9. Following the Board's initial approval of the program, each campus submits the proposal to the ACHE web portal where it is subsequently locked by System personnel, signaling that it has been authorized for submission to ACHE by the Board. ACHE will notify the UA System Office of Academic & Student Affairs and/or the respective campus if there are questions or adjustments are needed.
10. Upon ACHE approval, the proposal is presented to the Academic Affairs and Student Affairs Committee and the Board of Trustees for its final review and approval. Following final Board approval, the campus then implements the program.
11. If ACHE fails to approve the proposal, the Board may then choose to have the campus revise the proposal and resubmit it to ACHE, approve the proposal, or discontinue consideration of the proposal.

III. The Guidelines for Establishing a New For-Credit Non-Degree Certificate Program

Certificate programs require Board action (and an appropriate resolution) if they are 30 or more undergraduate credit hours or 18 or more graduate credit hours in length. A certificate program with less than the above criteria should meet individual campus guidelines and be presented to the Board as an information item.

1. The faculty develops a For-Credit Non-Degree Certificate program proposal.
2. Campus committees review the proposal.
3. The Provost/Vice President for Academic Affairs reviews the proposal.
4. The President approves the proposal and it, along with a completed ACHE "Non-Degree Certificate" form, are forwarded to the Chancellor and the Vice Chancellor for Academic and Student Affairs for review.
5. Depending on the number of credit hours and the level of the program as previously noted the Vice Chancellor for Academic and Student Affairs presents the For-Credit Non-Degree certificate program by "locking" the item in the ACHE Web portal. (Campuses should consult ACHE policy for additional information regarding certificate levels and expectations for Commission review of For-Credit Non-Degree Certificates.)
6. Following Board approval or presentation of the certificate program to the Board, the UAS Office of Academic and Student Affairs notifies ACHE of the Board's approval of the For-

Credit Non-Degree certificate program as an information item, of the Board's approval or review of the certificate program by "locking" the item in the ACHE Web portal. (Campuses should consult ACHE policy for additional information regarding certificate levels and expectations for Commission review of For-Credit Non- Degree Certificates.)

Note: UAS Campuses should obtain all required forms through the University of Alabama System Office of Academic and Student Affairs and the Alabama Commission on Higher Education (ACHE) website, as appropriate.

(Adopted October 15, 1986; Amended June 28, 1993; Revised November 1, 1996, May 7, 1999, April 21, 2000, February 15, 2002; Amended November 14, 2008; Amended February 2, 2024.)

505 Changes in Academic Program Status and Academic Program Alterations

1. Policy Statement

The institutions of The University of Alabama System are responsible for submitting to the Chancellor plans regarding any changes in the status of an approved program. Such changes may include, but are not limited to: deactivation, reactivation, changes in delivery method, extensions, and or alterations of existing programs such as new areas of specialization (ex: concentrations, options, tracks, etc.); CIP code or program title changes; changes in degree nomenclature; and deletions of programs from the inventory. Generally, such changes are non-substantive and are submitted to the Board as information items.

2. Guidelines for Placing an Academic Program on Inactive Status

A. Academic programs, including degrees and certificates, may be placed on "inactive status" by an institution of The University of Alabama System. Inactive Status indicates that the campus is not currently admitting students. However, students in the program pipeline at the time it is designated as inactive can complete the program. A campus should follow the steps below when it is necessary to place a program on "inactive status."

Formatted: Font: Italic

Formatted: Font: Italic

1. The President should notify the Chancellor in writing of the institution's decision to place a program on inactive status.
2. With the letter of notification, the following information should be submitted:
 - a. a statement summarizing the reason(s) the program is to be placed on inactive status;
 - b. a brief statement on the procedure for handling program completions for any students in the program;

c. a statement of any planning and assessment activities that will take place while the program is on inactive status; ~~and~~

~~d.~~ date inactive status will go into effect; ~~and~~

~~d-e.~~ a completed Alabama Commission on Higher Education (ACHE) Form C: Academic Program Inventory Inactive Status.

B. After System Office review and approval, the institution's plans to place the program on inactive status will be presented to the Board of Trustees as an information item.

C. Notice to ~~the Alabama Commission on Higher Education (ACHE)~~ will be ~~provided-confirmed~~ by the System's Office of Academic and Student Affairs after the change in status has been presented to the Board.

D. Programs that remain on inactive status for a period of five years without being reactivated will automatically be ~~discontinued~~ terminated and removed from the academic program inventory.

3. Guidelines for Reactivating an Academic Program

A. Academic programs, including degrees and certificates, ~~may~~ be that have been placed on "inactive status" by an institution of The University of Alabama System may be reinstated to "active status" within five years of being placed on inactive status without resubmitting a program proposal for approval. However, the Chancellor must be informed by the campus of plans to reinstate the program. Evidence of adequate human and fiscal resources and student demand to reactivate the program should be provided. A campus should follow the steps below when it is desirable to "reactivate" a program.

1. The President should notify the Chancellor in writing regarding the intended reactivation.

2. With the letter of intent, the following information should be included:

- a. a brief statement summarizing the reason(s) and date the program was placed on inactive status;
- b. a statement indicating institutional and other conditions that have changed to warrant reactivation of the program—this should include information about available resources and student demand for the program;
- c. a statement indicating the faculty and administrative review processes followed to consider the program for reactivation;
- d. a statement on any programmatic improvements that would be implemented when the program is reactivated;
- e. a copy of reports from consultants or outside reviewers, if either were used in the review process;
- f. based on stated goals and objectives, a statement on the methods and timetable to be used to evaluate the effectiveness of the program; and
- g. date of intended reactivation startup.

B. After System Office review and approval, the institution's plans to reactivate the program will be presented to the Board of Trustees as an information item.

C. Notice of the planned reactivation of the program will then be provided to the Alabama Commission on Higher Education ~~will be provided~~ by the System's Office of Academic and Student Affairs.

C.D. Campuses should make every effort to inform the Chancellor of plans to reactivate a program no later than six months before the five-year inactive period expires to ensure the timely notification of the Board of Trustees and the Alabama Commission on Higher Education. Inactive programs that are not presented for reactivation within five years, will be automatically terminated, removed from the Academic Program Inventory, and must follow the process for establishing a new degree or certificate program.

4. Guidelines for Terminating an Academic Program

A. Institutions within the University of Alabama System are expected to ensure that academic programs are regularly evaluated, meet post-implementation conditions, remain viable, and are relevant to the academic and employment needs of students and society. The following steps must be followed when it is determined that it is in the best interest of the institution and/or students to terminate an academic program:

A.B. The Chancellor should be notified in writing regarding the intended program termination. The rationale for the termination and how the University will address the needs of any remaining students in the program should be outlined and plans for any faculty, financial resources, or facilities connected with the program should be addressed. Details confirming that faculty and administrative review processes to consider termination of the program were followed should also be provided.

B.C. After System Office review and approval, the institution's plan to terminate the program will be presented to the Board of Trustees as an information item.

D. Notice to the Alabama Commission on Higher Education will be provided-confirmed by the System's Office of Academic and Student Affairs.

5. Guidelines for Additions of Options, Tracks, Specializations, or Concentrations to Existing Programs

Formatted: Indent: Left: 0.5", No bullets or numbering

A. Institutions within the University of Alabama System are expected to submit to the Chancellor, with a copy to the Vice Chancellor for Academic and Student Affairs, plans regarding additions of options, tracks, specializations, or other concentrations to existing programs. The following steps should be followed:

B. The faculty completes ACHE Form C: *Proposal Form for the Addition of an Option, Track, Specialization, or Concentration, etc., to an Existing Program* and the campus submits it to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review.

C. After System Office review, the institution's plan to add options, tracks, specializations, or concentrations to existing programs will be presented to the Board of Trustees as an information item.

D. Upon review of the item by the Board, the System Office of Academic and Student Affairs will notify the Alabama Commission on Higher Education of the addition by "locking" the submitted item in ACHE's web portal.

Formatted: Font: Italic

Formatted: Normal, Space Before: 15 pt, After: Auto, Pattern: Clear (Custom Color(RGB(241,242,242)))

Formatted: Font: (Default) Arial, 13.5 pt, Font color: Custom Color(RGB(34,34,34)), Ligatures: None

Formatted: Font: (Default) Arial, 13.5 pt, Font color: Custom Color(RGB(34,34,34)), Ligatures: None

6. Guidelines for Alteration of CIP Code, Program Title, and Degree Nomenclature or Other Curriculum Changes

Formatted: Indent: Left: 0.5", No bullets or numbering

A. Institutions within the University of Alabama System are expected to submit to the Chancellor, with a copy to the Vice Chancellor for Academic and Student Affairs, plans regarding alteration of CIP code, program title, and degree nomenclature or other curriculum changes for existing academic programs. The following steps should be followed.

B. The faculty completes ACHE Form A *Information Item for the Alteration of CIP Code, Program Title, and Degree Nomenclature* or ACHE Form B *Description of Curriculum Changes* and the campus submits the information to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review.

Formatted: Font: Italic

Formatted: Normal, Space Before: 15 pt, After: Auto, Pattern: Clear (Custom Color(RGB(241,242,242)))

Formatted: Font: Italic

Formatted: Font: (Default) Arial, 13.5 pt, Font color: Custom Color(RGB(34,34,34)), Ligatures: None

Formatted: Font: (Default) Arial, 13.5 pt, Font color: Custom Color(RGB(34,34,34)), Ligatures: None

Formatted: Font: (Default) Arial, 13.5 pt, Font color: Custom Color(RGB(34,34,34)), Ligatures: None

C. After System Office review, the institution's plan for the specified alteration will be presented to the Board of Trustees as an information item.

C.D. Upon review of the item by the Board, the System Office of Academic and Student Affairs will notify the Alabama Commission on Higher Education of the change by "locking" the submitted item in ACHE's web portal.

(Adopted December 5, 1997, Revised May 7, 1999; November 14, 2008; February 2, 2024.)

Formatted: Left

505. Changes in Academic Program Status

I. Policy Statement

The institutions of The University of Alabama System are responsible for submitting to the Chancellor plans regarding any changes in the status of an approved program. Such changes may include, but are not limited to: deactivation; reactivation; changes in delivery method; extensions or alterations of existing programs such as new areas of specialization (ex: concentrations, options, tracks, etc.); CIP code or program title changes; changes in degree nomenclature; and deletions of programs from the inventory. Generally, such changes are non- substantive and are submitted to the Board as information items.

II. Guidelines for Placing an Academic Program on Inactive Status

- A. Academic programs, including degrees and certificates, may be placed on “inactive status” by an institution of The University of Alabama System. Inactive Status indicates that the campus is not currently admitting students. However, students in the program pipeline at the time it is designated as inactive can complete the program. A campus should follow the steps below when it is necessary to place a program on “inactive status.”
 1. The President should notify the Chancellor in writing of the institution’s decision to place a program on inactive status.
 2. With the letter of notification, the following information should be submitted:
 - a) a statement summarizing the reason(s) the program is to be placed on inactive status;
 - b) a brief statement on the procedure for handling program completions for any students in the program;
 - c) a statement of any planning and assessment activities that will take place while the program is on inactive status;
 - d) date inactive status will go into effect; and
 - e) a completed Alabama Commission on Higher Education (ACHE) Form C: Academic Program Inventory Inactive Status.
- B. After System Office review and approval, the institution’s plans to place the program on inactive status will be presented to the Board of Trustees as an information item.

- C. Notice to ACHE will be provided by the System's Office of Academic and Student Affairs.
- D. Programs that remain on inactive status for a period of five years without being reactivated will automatically be terminated and removed from the academic program inventory.

III. Guidelines for Reactivating an Academic Program

- A. Academic programs, including degrees and certificates, that have been placed on "inactive status" by an institution of The University of Alabama System may be reinstated to "active status" within five years of being placed on inactive status without resubmitting a program proposal for approval. However, the Chancellor must be informed by the campus of plans to reinstate the program. Evidence of adequate human and fiscal resources and student demand to reactivate the program should be provided. A campus should follow the steps below when it is desirable to "reactivate" a program.
 - 1. The President should notify the Chancellor in writing regarding the intended reactivation.
 - 2. With the letter of intent, the following information should be included:
 - a) a brief statement summarizing the reason(s) and date the program was placed on inactive status;
 - b) a statement indicating institutional and other conditions that have changed to warrant reactivation of the program—this should include information about available resources and student demand for the program;
 - c) a statement indicating the faculty and administrative review processes followed to consider the program for reactivation;
 - d) a statement on any programmatic improvements that would be implemented when the program is reactivated;
 - e) a copy of reports from consultants or outside reviewers, if either were used in the review process;
 - f) based on stated goals and objectives, a statement on the methods and timetable to be used to evaluate the effectiveness of the program; and
 - g) date of intended reactivation startup.

- B. After System Office review and approval, the institution's plans to reactivate the program will be presented to the Board of Trustees as an information item.
- C. Notice of the planned reactivation of the program will then be provided to the Alabama Commission on Higher Education by the System's Office of Academic and Student Affairs.
- D. Campuses should make every effort to inform the Chancellor of plans to reactivate a program no later than six months before the five-year inactive period expires to ensure the timely notification of the Board of Trustees and the Alabama Commission on Higher Education. Inactive programs that are not presented for reactivation within five years, will be automatically terminated, removed from the Academic Program Inventory, and must follow the process for establishing a new degree or certificate program.

IV. Guidelines for Terminating an Academic Program

- A. Institutions within the University of Alabama System are expected to ensure that academic programs are regularly evaluated, meet post-implementation conditions, remain viable, and are relevant to the academic and employment needs of students and society. The following steps must be followed when it is determined that it is in the best interest of the institution and/or students to terminate an academic program:
- B. The Chancellor should be notified in writing regarding the intended program termination. The rationale for the termination and how the University will address the needs of any remaining students in the program should be outlined and plans for any faculty, financial resources, or facilities connected with the program should be addressed. Details confirming that faculty and administrative review processes to consider termination of the program were followed should also be provided.
- C. After System Office review and approval, the institution's plan to terminate the program will be presented to the Board of Trustees as an information item.
- D. Notice to the Alabama Commission on Higher Education will be confirmed by the System's Office of Academic and Student Affairs.

V. Guidelines for Additions of Options, Tracks, Specializations, or Concentrations to Existing Programs

- A. Institutions within the University of Alabama System are expected to submit to the Chancellor, with a copy to the Vice Chancellor for Academic and Student Affairs, plans regarding additions of options, tracks, specializations,

or other concentrations to existing programs. The following steps should be followed:

- B. The faculty completes ACHE Form C: *Proposal Form for the Addition of an Option, Track, Specialization, or Concentration, etc., to an Existing Program* and the campus submits it to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review.
- C. After System Office review, the institution's plan to add options, tracks, specializations, or concentrations to existing programs will be presented to the Board of Trustees as an information item.
- D. Upon review of the item by the Board, the System Office of Academic and Student Affairs will notify the Alabama Commission on Higher Education of the addition by "locking" the submitted item in ACHE's web portal.

VI. Guidelines for Alteration of CIP Code, Program Title, and Degree Nomenclature or Other Curriculum Changes

- A. Institutions within the University of Alabama System are expected to submit to the Chancellor, with a copy to the Vice Chancellor for Academic and Student Affairs, plans regarding alteration of CIP code, program title, and degree nomenclature or other curriculum changes for existing academic programs. The following steps should be followed.
- B. The faculty completes ACHE Form A Information Item for the Alteration of CIP Code, Program Title, and Degree Nomenclature or ACHE Form B Description of Curriculum Changes and the campus submits the information to the Chancellor, with a copy to the System Vice Chancellor for Academic Affairs, for review.
- C. After System Office review, the institution's plan for the specified alteration will be presented to the Board of Trustees as an information item.
- D. Upon review of the item by the Board, the System Office of Academic and Student Affairs will notify the Alabama Commission on Higher Education of the change by "locking" the submitted item in ACHE's web portal.

(Adopted December 5, 1997, Revised May 7, 1999; November 14, 2008; February 2, 2024.)

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in Adams Hall

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Second Floor					
Classroom	816	\$229	\$186,913	\$61,681	\$75,000
Third Floor					
Counseling Room	109	\$229	\$24,968	\$8,239	\$10,000
Observation Room	94	\$229	\$21,532	\$7,105	\$10,000
Office	113	\$229	\$25,884	\$8,542	\$10,000

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in Capital Hall

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Second Floor					
Research Lab (Athletic Training)	950	\$242	\$230,071	\$75,923	\$75,000*
Research Lab Service (Atheltic Training)	174	\$242	\$42,139	\$13,906	\$15,000
Study (Athletic Training)	90	\$242	\$21,796	\$7,193	\$10,000

**Exception to the 33% minimum is being requested in these cases so that the naming amounts for these areas will fit within the overall naming opportunity structure.*

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in Russell Hall

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
First Floor					
Lab	1281	\$265	\$339,465	\$112,023	\$125,000
Nutritional Research Lab	1019	\$265	\$270,035	\$89,112	\$100,000
Research Lab	961	\$265	\$254,665	\$84,039	\$100,000
Conference Room	716	\$265	\$189,740	\$62,614	\$75,000
Graduate Assistant Office for Public Health	516	\$265	\$136,740	\$45,124	\$50,000
RMR/BOD POD	201	\$265	\$53,265	\$17,577	\$25,000
Interview Room	142	\$265	\$37,630	\$12,418	\$15,000
Lobby	166	\$265	\$43,990	\$14,517	\$15,000
Office	120	\$265	\$31,800	\$10,494	\$15,000
Research Lab Service	156	\$265	\$41,340	\$13,642	\$15,000
Interview Room	88	\$265	\$23,320	\$7,696	\$10,000
Office	101	\$265	\$26,765	\$8,832	\$10,000
Lab Workstations (4 available)	n/a				\$10,000
Research Lab Workstation (6 available)	n/a				\$10,000

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in Wade Hall

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Ground Floor					
Class Lab	1354	\$373	\$505,462	\$166,802	\$200,000
Large Classroom	1016	\$373	\$379,283	\$125,163	\$150,000
Open Office	910	\$373	\$339,712	\$112,105	\$100,000*
Research Lab (Weight Room)	819	\$373	\$305,741	\$100,894	\$100,000*
Classroom	527	\$373	\$196,734	\$64,922	\$75,000
Open Lab	295	\$373	\$110,126	\$36,342	\$35,000*
First Floor					
Classroom	1152	\$373	\$430,053	\$141,918	\$150,000
Large Office	551	\$373	\$205,694	\$67,879	\$75,000
Conference Room	520	\$373	\$194,121	\$64,060	\$75,000
Lounge	184	\$373	\$68,689	\$22,667	\$15,000*
Office (12 available)	148	\$373	\$55,250	\$18,232	\$15,000*
Second Floor					
Research Lab	2088	\$373	\$779,471	\$257,226	\$250,000*
Classroom	789	\$373	\$294,542	\$97,199	\$100,000
Class Lab	705	\$373	\$263,184	\$86,851	\$100,000
Office (8 available)	147	\$373	\$54,877	\$18,109	\$15,000*
Third Floor					
Class Lab	4509	\$373	\$1,683,255	\$555,474	\$500,000*

**Exception to the 33% minimum is being requested in these cases so that the naming amounts for these areas will fit within the overall naming opportunity structure or in cases of previously approved booked commitments for these spaces previously approved by the Board of Trustees.*

THE UNIVERSITY OF ALABAMA®

University of Alabama Naming Opportunities List

Naming Opportunity	Minimum
The Student Center	\$25,000,000
Alabama Entrepreneurship Institute	\$15,000,000
Alabama Life Research Institute	\$15,000,000
Alabama Transportation Institute	\$15,000,000
Alabama Water Institute	\$15,000,000
Capstone Center for Student Success	\$10,000,000
Department of Mechanical Engineering	\$7,000,000
School of Music	\$7,000,000
Department of Chemistry	\$5,000,000
College of Education Literacy Center	\$5,000,000
REACH Program	\$5,000,000
UA Business LEAD Program	\$5,000,000
University Fellows Experience	\$4,000,000
Center for Veteran and Military Affairs	\$3,500,000

THE UNIVERSITY OF ALABAMA[®]

Naming Opportunities in the Capstone College of Nursing (Revision)

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Large Corridor	1520	\$331.63	\$504,078	\$166,346	\$200,000
Rotunda	1165	\$331.63	\$386,349	\$127,495	\$150,000
Small Corridor	500	\$331.63	\$165,815	\$54,719	\$100,000

THE UNIVERSITY OF ALABAMA[®]

Naming Opportunities in the Performing Arts Academic Center and Bryce Main Building (Revision)

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Performing Arts Academic Center					
First Floor					
Dance Theatre	9765	\$424.64	\$4,146,610	\$1,368,381	\$3,000,000
Drama Theatre	9087	\$424.64	\$3,858,704	\$1,273,372	\$3,000,000
Lobby	13526	\$424.64	\$5,743,681	\$1,895,415	\$1,500,000**
Drama Studio Theatre	4615	\$424.64	\$1,959,714	\$646,705	\$1,500,000
Opera Theatre	4931	\$424.64	\$2,093,900	\$690,987	\$1,000,000
Scene Studio	5074	\$424.64	\$2,154,623	\$711,026	\$750,000
Large Rehearsal (2 available)	3397	\$424.64	\$1,442,502	\$476,026	\$500,000
Paint Studio	1835	\$424.64	\$779,214	\$257,141	\$350,000
Dance Theatre Green Room	560	\$424.64	\$237,798	\$78,473	\$250,000
Drama Theatre Green Room	354	\$424.64	\$150,323	\$49,606	\$250,000
Drama Studio Theatre Green Room	299	\$424.64	\$126,967	\$41,899	\$200,000
Lighting Laboratory	434	\$424.64	\$184,294	\$60,817	\$200,000
Drama Studio Theatre Dressing Room (Large) (2 available)	365	\$424.64	\$154,994	\$51,148	\$100,000
Drama Theatre Dressing Room (Large) (2 available)	597	\$424.64	\$253,510	\$83,658	\$100,000
Sound & Audio Laboratory	275	\$424.64	\$116,776	\$38,536	\$100,000
Corp Men Dressing Room (Large)	449	\$424.64	\$190,663	\$62,919	\$75,000
Corp Swing Dressing Room (Large) (2 available)	456	\$424.64	\$193,636	\$63,900	\$75,000
Corp Women Dressing Room (Large)	438	\$424.64	\$185,992	\$61,377	\$75,000
Opera Dressing Room (2 available)	385	\$424.64	\$163,486	\$53,951	\$75,000**
Dance Wardrobe Run Room	226	\$424.64	\$95,969	\$31,670	\$50,000
Dance Dressing Room (Small) (2 available)	162	\$424.64	\$68,792	\$22,701	\$50,000
Dance Locker Room (2 available)	190	\$424.64	\$80,682	\$26,625	\$50,000**
Drama Studio Theatre Dressing Room (Small) (2 available)	143	\$424.64	\$60,724	\$20,039	\$50,000
Drama Theatre Dressing Room (Small) (2 available)	202	\$424.64	\$85,777	\$28,307	\$50,000
Drama Wardrobe Run Room	305	\$424.64	\$129,515	\$42,740	\$50,000
Orchestra Pit (Drama)	640	\$424.64	\$271,770	\$89,684	\$50,000*
Mother's Room	74	\$424.64	\$31,423	\$10,370	\$10,000**
Second Floor					
Lobby	10518	\$424.64	\$4,466,364	\$1,473,900	\$1,500,000

THE UNIVERSITY OF ALABAMA®

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Other					
Entire Facility	130000	\$424.64	\$55,203,200	\$18,217,056	\$20,000,000
Plaza	N/A	\$424.64	N/A	N/A	\$1,500,000
Courtyard B	N/A	\$424.64	N/A	N/A	\$500,000
Courtyard C	N/A	\$424.64	N/A	N/A	\$500,000
Courtyard A (Student Courtyard)	N/A	\$424.64	N/A	N/A	\$250,000
Columns (20 available)	N/A	\$424.64	N/A	N/A	\$100,000
Bryce Main Building					
First Floor					
Welcome Center	29531	\$283.00	\$8,357,273	\$2,757,900	\$5,000,000
Welcome Center Lounge	4608	\$283.00	\$1,304,064	\$430,341	\$1,500,000
Welcome Center Theatre	2597	\$283.00	\$734,951	\$242,534	\$1,500,000
Reception Hall	2781	\$283.00	\$787,023	\$259,718	\$500,000
Large Gallery (Rotating Exhibit West)	795	\$283.00	\$224,985	\$74,245	\$250,000
Alabama Moments Theatre	433	\$283.00	\$122,539	\$40,438	\$150,000
Front Porch	463	\$283.00	\$131,029	\$43,240	\$150,000
Gallery - Exhibition 1	384	\$283.00	\$108,672	\$35,862	\$150,000
Gallery - Exhibition 2	519	\$283.00	\$146,877	\$48,469	\$150,000
Reception Room	380	\$283.00	\$107,540	\$35,488	\$150,000
Conference Room	355	\$283.00	\$100,465	\$33,153	150,000**
Community Lounge	347	\$283.00	\$98,201	\$32,406	\$100,000**
Director Office	421	\$283.00	\$119,143	\$39,317	\$50,000
Phone Bank	416	\$283.00	\$117,728	\$38,850	\$50,000
Workroom	535	\$283.00	\$151,405	\$49,964	\$50,000
Capstone Men & Women Lounge	111	\$283.00	\$31,413	\$10,366	\$25,000
Conference/Family Room	196	\$283.00	\$55,468	\$18,304	\$25,000
West Lobby	229	\$283.00	\$64,807	\$21,386	\$25,000
Large Office (2 available)	190	\$283.00	\$53,770	\$17,744	\$20,000
Large Family Room	165	\$283.00	\$46,695	\$15,409	\$15,000*
Capstone Men & Women Changing Rooms (combined)	74	\$283.00	\$20,942	\$6,911	\$10,000
Family Room (5 available)	99	\$283.00	\$28,017	\$9,246	\$10,000
Mother's Room	67	\$283.00	\$18,961	\$6,257	\$10,000
Office (9 available)	88	\$283.00	\$24,904	\$8,218	\$10,000
Pantry	86	\$283.00	\$24,338	\$8,032	\$10,000
VIP Room (4 available)	84	\$283.00	\$23,772	\$7,845	\$10,000
Second Floor					
The Grand Salon	2666	\$283.00	\$754,478	\$248,978	\$1,500,000
Grand Hall	3221	\$283.00	\$911,543	\$300,809	\$1,000,000
Rotunda	930	\$283.00	\$263,190	\$86,853	\$1,000,000

THE UNIVERSITY OF ALABAMA®

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Theatre and Dance Faculty Suite	22300	\$283.00	\$6,310,900	\$2,082,597	\$1,000,000*
Donor Lounge East	525	\$283.00	\$148,575	\$49,030	\$150,000
Donor Lounge West	544	\$283.00	\$153,952	\$50,804	\$150,000
Computer Lab	492	\$283.00	\$139,236	\$45,948	\$50,000
Department Chair Office	394	\$283.00	\$111,502	\$36,796	\$50,000
Drafting Studio	499	\$283.00	\$141,217	\$46,602	\$50,000
Faculty Breakroom	384	\$283.00	\$108,672	\$35,862	\$50,000
Graduate Student Workspace	359	\$283.00	\$101,597	\$33,527	\$50,000
Large Conference Room	476	\$283.00	\$134,708	\$44,454	\$50,000
Large Graduate Assistant Office (2 available)	425	\$283.00	\$120,275	\$39,691	\$50,000
Office - Director of Dance Program	212	\$283.00	\$59,996	\$19,799	\$35,000
Seminar Room	210	\$283.00	\$59,430	\$19,612	\$35,000
West Lobby	319	\$283.00	\$90,277	\$29,791	\$35,000
Costume Design Classroom	267	\$283.00	\$75,561	\$24,935	\$30,000
Design Classroom	267	\$283.00	\$75,561	\$24,935	\$30,000
Associate Chair of Dance Office	210	\$283.00	\$59,430	\$19,612	\$25,000
Associate Chair of Theatre Office	204	\$283.00	\$57,732	\$19,052	\$25,000
Stage Management Office	211	\$283.00	\$59,713	\$19,705	\$25,000
Workroom	195	\$283.00	\$55,185	\$18,211	\$20,000
Conference Room	184	\$283.00	\$52,072	\$17,184	\$15,000*
Faculty Office (25 available)	155	\$283.00	\$43,865	\$14,475	\$15,000**
Graduate Assistant Office (15 available)	94	\$283.00	\$26,602	\$8,779	\$10,000

Third Floor

Theatre and Dance Creative Suite	22300	\$283.00	\$6,310,900	\$2,082,597	\$1,000,000*
Large Rehearsal 4	1602	\$283.00	\$453,366	\$149,611	\$500,000
Drama Rehearsal Room	1600	\$283.00	\$452,800	\$149,424	\$250,000
Studio Theater Rehearsal	1449	\$283.00	\$410,067	\$135,322	\$200,000
Piano Room	545	\$283.00	\$154,235	\$50,898	\$100,000
Small Rehearsal (3 available)	614	\$283.00	\$173,762	\$57,341	\$75,000
Front Porch	551	\$283.00	\$155,933	\$51,458	\$75,000
Kinesiology	662	\$283.00	\$187,346	\$61,824	\$75,000
Projection/Light Lab	697	\$283.00	\$197,251	\$65,093	\$75,000
Stage Craft Classroom	701	\$283.00	\$198,383	\$65,466	\$75,000
Theatre Automation	547	\$283.00	\$154,801	\$51,084	\$75,000
Theatre History	678	\$283.00	\$191,874	\$63,318	\$75,000
Lobby (2 available)	344	\$283.00	\$97,352	\$32,126	\$50,000
Recording Studio	347	\$283.00	\$98,201	\$32,406	\$50,000
Student Lounge	368	\$283.00	\$104,144	\$34,368	\$50,000
Men's Dressing Room and Lockers	334	\$283.00	\$94,522	\$31,192	\$35,000
Women's Dressing Room and Lockers	341	\$283.00	\$96,503	\$31,846	\$35,000

THE UNIVERSITY OF ALABAMA®

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Musical Theatre Movement Room (2 available)	211	\$283.00	\$59,713	\$19,705	\$25,000
Music Director Office	193	\$283.00	\$54,619	\$18,024	\$25,000
Theatre Studies (2 available)	195	\$283.00	\$55,185	\$18,211	\$25,000
Music Theatre Room (3 available)	166	\$283.00	\$46,978	\$15,503	\$20,000
Warm Up Room (5 available)	55	\$283.00	\$15,565	\$5,136	\$10,000
Other					
Costume Studio (located in Capital Hall)	5500	\$186.68	\$1,026,740	\$338,824	\$350,000

*previously approved below required minimum

**indicates new or revised naming opportunities

Science and Engineering Complex - Phase IIA (Final cost per square footage)

Nov. 17, 2023

Total Cost of Project (BOT Stage IV)	\$ 77,000,000	Total Gross Square Feet	116,225
Total Cost of Construction - Building	\$ 61,040,000	Total Net Square Feet	74,271
			63.90%
33% of Total Estimated Costs - Building	\$ 20,143,200	Naming Price Cost per sq ft	\$ 525.19
50% of Total Estimated Costs - Building	\$ 30,520,000		
33% of Costs per Area Square Footage	\$ 173		
50% of Costs per Area Square Footage	\$ 263		

FINAL COST PER SQUARE FOOTAGE

Level #	Space	Square Footage	Construction Cost per SF	Total Cost	33%	50%	New Suggested Naming Price
1	Material Characterization Lab	926	\$ 525	\$ 475,831	\$ 157,021	\$ 237,910	\$160,000
1	Microscopy Lab	905	\$ 525	\$ 475,295	\$ 156,847	\$ 218,648	\$160,000
1	Soil Mechanics and Enviro Teaching Lab	947	\$ 525	\$ 497,354	\$ 164,127	\$ 248,677	\$165,000
1	Thermos Fluids / Mech Systems Teaching Lab	947	\$ 525	\$ 497,353	\$ 164,127	\$ 248,677	\$165,000
1	Classroom (72 people)	1,798	\$ 525	\$ 944,388	\$ 311,815	\$ 472,144	\$325,000
2	Wet Teaching Lab	970	\$ 525	\$ 509,433	\$ 168,113	\$ 254,716	\$170,000
2	Classroom (36 Person)	942	\$ 525	\$ 494,727	\$ 163,260	\$ 247,396	\$165,000
2	Classroom (72 people)	1,960	\$ 525	\$ 1,029,388	\$ 339,482	\$ 514,684	\$350,000
2	Classroom (36 Person)	921	\$ 525	\$ 483,698	\$ 159,620	\$ 241,849	\$160,000
3	NeuroEngineering Research Lab	1,841	\$ 525	\$ 966,871	\$ 319,068	\$ 483,436	\$325,000
3	Electronics Fab Lab	322	\$ 525	\$ 168,111	\$ 55,806	\$ 84,555	\$60,000
3	ECF Fab Lab	685	\$ 525	\$ 358,754	\$ 118,719	\$ 179,877	\$120,000
3	TSC Research Lab	1,919	\$ 525	\$ 1,007,475	\$ 332,467	\$ 503,738	\$340,000
3	TSC Fab Lab	233	\$ 525	\$ 122,309	\$ 40,382	\$ 61,184	\$45,000
3	BME Biomechanics Teaching Lab	936	\$ 525	\$ 491,576	\$ 162,220	\$ 245,788	\$165,000
3	Computational Research Lab	1,116	\$ 525	\$ 586,110	\$ 193,416	\$ 293,055	\$200,000
3	Mechatronics/Vehicle Systems Teaching Lab	923	\$ 525	\$ 484,749	\$ 159,567	\$ 243,374	\$160,000
3	Elec Systems Teaching Lab	928	\$ 525	\$ 487,375	\$ 160,834	\$ 243,687	\$165,000
3	Classroom (36 Person)	980	\$ 525	\$ 514,684	\$ 169,846	\$ 257,342	\$170,000
4	EITD Assembly Lab	1,560	\$ 525	\$ 819,294	\$ 270,367	\$ 409,647	\$275,000
4	Classroom (36 Person)	928	\$ 525	\$ 487,375	\$ 160,834	\$ 243,687	\$165,000
4	Classroom (36 Person)	980	\$ 525	\$ 514,684	\$ 169,846	\$ 257,342	\$170,000

ORIGINAL ESTIMATE ON COST PER SQUARE FOOTAGE

Construction Cost per SF	Total Cost	33%	50%	ROT Approved Naming Price
\$ 591	\$ 535,265	\$ 176,637	\$ 267,632	\$250,000
\$ 591	\$ 538,674	\$ 179,442	\$ 267,337	\$250,000
\$ 591	\$ 559,688	\$ 184,631	\$ 279,744	\$250,000
\$ 591	\$ 559,688	\$ 184,631	\$ 279,744	\$250,000
\$ 591	\$ 1,062,758	\$ 350,545	\$ 531,129	\$450,000
\$ 591	\$ 573,076	\$ 188,115	\$ 286,538	\$200,000
\$ 591	\$ 556,534	\$ 183,656	\$ 278,267	\$250,000
\$ 591	\$ 1,157,898	\$ 382,127	\$ 578,984	\$500,000
\$ 591	\$ 544,127	\$ 179,567	\$ 272,063	\$250,000
\$ 591	\$ 1,087,663	\$ 358,929	\$ 543,831	\$400,000
\$ 591	\$ 190,238	\$ 62,778	\$ 95,119	\$85,000
\$ 591	\$ 404,698	\$ 133,550	\$ 202,349	\$180,000
\$ 591	\$ 1,133,745	\$ 374,138	\$ 566,873	\$450,000
\$ 591	\$ 137,656	\$ 45,427	\$ 68,828	\$60,000
\$ 591	\$ 552,989	\$ 182,486	\$ 276,494	\$250,000
\$ 591	\$ 659,333	\$ 217,580	\$ 329,666	\$300,000
\$ 591	\$ 545,308	\$ 179,957	\$ 272,654	\$250,000
\$ 591	\$ 548,282	\$ 180,927	\$ 274,121	\$250,000
\$ 591	\$ 578,984	\$ 191,065	\$ 289,492	\$275,000
\$ 591	\$ 921,648	\$ 304,144	\$ 460,824	\$400,000
\$ 591	\$ 548,282	\$ 180,927	\$ 274,121	\$250,000
\$ 591	\$ 578,984	\$ 191,065	\$ 289,492	\$250,000

Webb Building - Lobby, 5th, & 6th Floor Renovation

October 26th, 2023

Total Cost of Project (BOT Stage II)	\$7,900,000	Total Gross Square Feet	11,709
Total Estimated Cost of Construction	\$4,750,000	Total Net Square Feet	6,770
			57.82%
33% of Total Estimated Costs - Building	\$1,567,500	Naming Price Cost per sq ft	\$ 405.67
50% of Total Estimated Costs - Building	\$2,375,000		
33% of Costs per Area Square Footage	\$134		
50% of Costs per Area Square Footage	\$203		

Level	Space	Rm #	Square Footage	Construction cost per SF	Total Cost	33%	50%	Proposed Naming Price
Ground	Atrium & Main Entry		3,075	\$406	\$ 1,248,450	\$ 411,989	\$ 624,225	\$ 1,000,000
Ground	Lobby		600	\$406	\$ 243,403	\$ 80,323	\$ 121,701	\$ 100,000
Ground	Stairs and Landing		600	\$406	\$ 243,403	\$ 80,323	\$ 121,701	\$ 100,000
2	Waiting Area (2nd Floor)		600	\$406	\$ 243,403	\$ 80,323	\$ 121,701	\$ 100,000
2	Rear Entry (2nd Floor)		600	\$406	\$ 243,403	\$ 80,323	\$ 121,701	\$ 100,000
5	Classroom	535	920	\$406	\$ 373,217	\$ 123,162	\$ 186,609	\$ 175,000
5	Classroom	511	860	\$406	\$ 348,877	\$ 115,129	\$ 174,438	\$ 150,000
5	Classroom	551	800	\$406	\$ 324,537	\$ 107,097	\$ 162,268	\$ 150,000
5	Small Conference Room	508	162	\$406	\$ 65,772	\$ 21,705	\$ 32,886	\$ 25,000
5	Quiet Study Room	506	54	\$406	\$ 21,906	\$ 7,229	\$ 10,953	\$ 10,000
5	Quiet Study Room	504	54	\$406	\$ 21,906	\$ 7,229	\$ 10,953	\$ 10,000
5	Student Lounge	501	288	\$406	\$ 116,833	\$ 38,555	\$ 58,417	\$ 50,000
5	Café	502	460	\$406	\$ 186,609	\$ 61,581	\$ 93,304	\$ 80,000
5	Break Out Room	510	120	\$406	\$ 48,681	\$ 16,065	\$ 24,340	\$ 20,000
5	Break Out Room	512	120	\$406	\$ 48,681	\$ 16,065	\$ 24,340	\$ 20,000
5	Student Workstation Area	514	420	\$406	\$ 170,382	\$ 56,226	\$ 85,191	\$ 75,000
5	Student Gathering Area	514L	168	\$406	\$ 68,153	\$ 22,490	\$ 34,076	\$ 30,000
6	Metabolic Kitchen Suite		2,194	\$406	\$ 890,764	\$ 293,952	\$ 445,382	\$500,000
6	Metabolic Kitchen	637	1,140	\$406	\$ 462,465	\$ 152,613	\$ 231,232	\$ 250,000
6	Teaching Kitchen	641	640	\$406	\$ 259,629	\$ 85,678	\$ 129,815	\$ 125,000
	EXTERIOR PROGRAM SPACE							
	Stairs/Sidwalk/ADA Ramp	-			\$ 400,000	\$ 132,000	\$ 200,000	\$175,000
	Rear Entry Bridge	-			\$ 200,000	\$ 66,000	\$ 100,000	\$75,000

Total Opportunities	\$3,320,000
----------------------------	--------------------

Naming Opportunities in Charger Park

Description Charger Park	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	50%	Recommended
<u>Entire facility</u> ^[1]	n/a	n/a	n/a	n/a	n/a	\$7,500,000
Baseball Complex						
Stadium	n/a	n/a	\$2,394,185	\$790,081	\$1,197,093	\$1,000,000
Field	140,000	\$8	\$1,120,000	\$369,600	\$560,000	\$560,000
Dugouts (2)	1,560	\$70	\$109,200	\$36,036	\$54,600	\$55,000
Softball Complex						
Stadium	n/a	n/a	\$1,346,995	\$444,508	\$673,498	\$565,000
Field	45,000	\$8	\$360,000	\$118,800	\$180,000	\$180,000
Dugouts (2)	1,300	\$70	\$91,000	\$30,030	\$45,500	\$46,000
Soccer and Lacrosse Complex						
Stadium	n/a	n/a	\$1,870,159	\$617,152	\$935,080	\$790,000
Field	110,000	\$8	\$880,000	\$290,400	\$440,000	\$440,000
Dugouts (2)	n/a	n/a	\$15,000	\$4,950	\$7,500	\$7,500
Press box	175	\$175	\$30,625	\$10,106	\$15,313	\$16,000
