

**MINUTES OF THE JUNE 6, 2025 MEETING
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA**

The Board of Trustees of The University of Alabama met in the Bryant Conference Center in Tuscaloosa on Friday, June 6, 2025. President pro tempore Scott M. Phelps chaired the meeting. Mark D. Foley, Jr. served as Secretary.

On roll call, the following Trustees were present:

The Honorable Angus R. Cooper III, Trustee from
the First Congressional District;

The Honorable Harris V. Morrisette, Trustee from
the First Congressional District;

The Honorable Mike Brock, Trustee from
the Second Congressional District;

The Honorable J. Steven Roy, Trustee from
the Third Congressional District;

The Honorable Kenneth L. Vandervoort, M.D., Trustee from
the Third Congressional District;

The Honorable Scott M. Phelps, President pro tempore and Trustee
from the Fourth Congressional District;

The Honorable Ronald W. Gray, Trustee from
the Fifth Congressional District;

The Honorable Jeff Gronberg, Trustee from
the Fifth Congressional District;

The Honorable Myla E. Calhoun, Trustee from
the Sixth Congressional District;

The Honorable O.B. Grayson Hall, Jr., Trustee from
the Sixth Congressional District;

The Honorable Barbara Humphrey, Trustee from
the Seventh Congressional District;

The Honorable Kenneth O. Simon, Trustee from
the Seventh Congressional District

The meeting was also attended by UA System Chancellor Sid J. Trant; UA President Dr. Stuart R. Bell; UAB President Ray L. Watts, M.D.; UAH President Dr. Charles L. Karr; and support staff from the System Office, the three campuses, and the UAB Health System. UAB Health System Authority CEO Dawn Bulgarella was unable to attend. The Honorable W. Davis Malone III, Trustee from the Second Congressional District; The Honorable Evelyn VanSant Mauldin, Trustee from The Fourth Congressional District; and The Honorable Karen P. Brooks, Trustee from the Seventh Congressional District, were unable to attend.

Faculty representatives to the Board Dr. Matthew Hudnall from UA, Dr. Eric Ford from UAB, and Mr. Ron Schwertfeger from UAH were in attendance. Student representatives to the Board Lucy Bonhaus from UA, Ayona Roychowdury from UAB, and Mark Porter from UAH were also in attendance.

UA System Vice Chancellor for System Communications Lynn Cole introduced Rachel Seale with *WVUA*, Victor Hagan with *The Crimson White*, and Mark Hughes Cobb with *The Tuscaloosa News*. She also welcomed any additional members of the media or the public who were viewing the meeting by livestream.

Pro tem Phelps welcomed all in attendance.

Pro tem Phelps asked if there was any objection to adopting the agenda as presented. Hearing none, the agenda, a copy of which is attached hereto as Exhibit A, was unanimously adopted.

Pro tem Phelps noted a consent agenda was provided in advance of the meeting for review by the Board. Pro tem Phelps called for a motion to approve the items on the

consent agenda. Trustee Gray moved to approve the consent agenda, and the motion was duly seconded. Pro tem Phelps asked if there were any questions or comments. Hearing none, Pro tem Phelps then called for a vote, and the Board unanimously approved the items on the consent agenda.

Approving a Professional Services Agreement with Risk Mitigation Services, Inc. for Background Screening Services at UA, UAH, and UAS

RESOLUTION

WHEREAS, The University of Alabama (UA), The University of Alabama in Huntsville (UAH), and The University of Alabama System Office (UAS) require pre-employment background and social media checks for candidates considered for employment at each entity; and

WHEREAS, UA, UAH and UAS currently contract related services with Risk Mitigation Services, Inc.; and

WHEREAS, a group bid for comparable services was conducted in February 2025 where respondents were asked to bid services collectively (sole vendor), and also as individual entities for purposes of determining cost savings under one vendor vs. multiple; and

WHEREAS, the bid for sole vendor resulted in marginal savings, and therefore each entity opted to retain their current vendor; and

WHEREAS, the current contract with Risk Mitigation is up for renewal; and

WHEREAS, pursuant to Board Rule 406, UA, UAH, and UAS request that the agreements and policies be approved by the Board;

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the agreements and policies executed between The University of Alabama, The University of Alabama in Huntsville, The University of Alabama System Office, and Risk Mitigation Services, Inc.

Approving Consideration of Corrections to Approved 2025-26 Tuition Resolution

RESOLUTION

(Exhibit B)

Approving Amendment of Budget Parameters for UA System Intercollegiate Athletics Programs

RESOLUTION

WHEREAS, under Board Rule 410, the Board of Trustees of the University of Alabama (“Board”) approves the annual operating budgets for each campus, including the annual operating budgets for auxiliary enterprises such as intercollegiate athletics;

WHEREAS, one of the core principles of the University of Alabama System is to “[b]e accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor”; and

WHEREAS, to ensure responsible stewardship of its resources, the Board established in a resolution dated September 19, 2016, that “no campus shall spend more than the sum approved in its initial FY2016 budget from its institutional or operating funds on athletics”; and

WHEREAS, “expenditure of [additional] sums from other sources,” such as “student fees” or “third party donations or support,” were not prohibited by the September 2016 resolution, and the FY2016 budget amounts are adjusted annually for inflation based on the Consumer Price Index; and

WHEREAS, significant changes to the intercollegiate athletics financial landscape as of June 2025 have necessitated adjusting the parameters in the September 19, 2016 resolution;

NOW, THEREFORE, BE IT RESOLVED by the Board that, effective June 6, 2025, for any Board-approved campus intercollegiate athletic budget for NCAA Division I (DI) programs exceeding \$15 million in total expenses, UA System universities may provide institutional transfers in a fiscal year up to a maximum of the lesser of \$40 million or fifty percent of total intercollegiate athletics operating budget expenses;

BE IT FURTHER RESOLVED that the \$40,000,000 cap on institutional transfers will be adjusted annually, beginning May 1, 2026, according to changes in the Consumer Price Index for All Urban Consumers (CPI-U) as reported by the U.S. Bureau of Labor Statistics;

BE IT FURTHER RESOLVED that additional sums from student fees, third-party donations, or other sources of external support are not included in the institutional transfer calculation.

Approving Ratification of an Agreement between HireRight, LLC and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham

RESOLUTION

WHEREAS, HireRight, LLC, a Delaware limited liability company (“HireRight”), is a leading global provider of on-demand employment background checks, drug testing, Form I-9 and employment and education verifications in more than 200 countries and territories;

WHEREAS, HireRight offers diverse solutions that are the result of continuous technological innovation, constant customer feedback, and a drive to offer the industry's best employment screening solutions; and

WHEREAS, The University of Alabama at Birmingham (“UAB”) requires pre-employment background and social media checks for candidates considered for employment; and

WHEREAS, these services were previously provided by another vendor; however, diminished customer service throughout the contract term and security data breaches prompted the desire to find a new vendor capable of providing the required data in a safe and secure manner while maintaining alignment with the shared values and practices of all entities; and

WHEREAS, several vendors, provided by UAB Procurement as part of UAB’s participation in Omnia Partners and E & I Cooperative Services, were evaluated for pre-employment background and social media checks; and

WHEREAS, HireRight was selected as the preferred vendor based on their ability to provide comprehensive screening capabilities as well as their proven track record with compliance, safety, confidentiality, and integration efficiency; and

WHEREAS, the agreement includes the following entities as beneficiaries of the services outlined: The University of Alabama at Birmingham, The Board of Trustees of The University of Alabama (University Hospital), UAB Health System (UAB Medicine

Enterprise), University of Alabama Health Services Foundation, P.C., UAB St. Vincent's Employment Authority, The University of Alabama Ophthalmology Services Foundation, UAB Callahan Eye Hospital Authority, and Triton Health Systems, LLC; and

WHEREAS, pursuant to Board Rule 406, UAB respectfully requests the agreement with HireRight be ratified and approved by the Board;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the actions set forth above are hereby approved, authorized, ratified and confirmed, and Stephanie Mullins, Vice President for Finance, or any other officer named in the most recent Board Resolution granting signature authority for UAB is hereby authorized and directed to execute and deliver the Agreement on behalf of the Board in substantially the form attached hereto and containing such terms as described in this resolution.

Approving Updating Signature Authority at UAB

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama that, effective June 6, 2025, Dr. Ray L. Watts as President of The University of Alabama at Birmingham; Dr. Janet Woodruff-Borden as Senior Vice President for Academic Affairs and Provost of The University of Alabama at Birmingham; Ms. Stephanie Mullins as Vice President for Finance of The University of Alabama at Birmingham; Mr. Bernard Mays, Jr. as Assistant Vice President for Financial Affairs; Mr. R. Andrew Hollis as UAB Chief Financial Officer, and Ms. Amy Ellis as Interim Assistant Vice President/Controller are each authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and in negotiating and executing any and all contracts, agreements, instruments, reports or other documents as necessary in furtherance of the mission of The University of Alabama at Birmingham and the goals and objective of The Board of Trustees of The University of Alabama.

BE IT FURTHER RESOLVED that Dr. Christopher S. Brown as Vice President of Research of The University of Alabama at Birmingham; Ms. Gina Hedberg as Associate Vice President for Research and Executive Director of Sponsored Programs; Dr. Kevyn E. Merten as Senior Associate Vice President for Research Operations; Mr. Mike Matthews as Director of Research Technology and Communications; Mr. Jonathan Miller as Assistant Vice President for Research Regulatory Oversight; and Dr. Jason J. Nichols as Senior Associate Vice President, Research Administration are authorized to act for and in the name of The Board of Trustees of The University of Alabama in making application for and negotiating and executing contract and grant agreements with any agency of local, state, national or foreign government, foundation, corporation or individual with regard to research and service activities and in furnishing necessary reports or other instruments in connection therewith.

BE IT FURTHER RESOLVED that Mr. Thomas I. Brannan as Senior Vice President for Advancement and Strategic Initiatives of The University of Alabama at Birmingham is authorized to act for and in the name of The Board of Trustees of The University of Alabama in negotiating and executing gift agreements between the University and its donors.

BE IT FURTHER RESOLVED that, Mr. R. Andrew Hollis is further authorized to designate in writing an appropriate number of staff in the Office of Financial Accounting & Review Services who are authorized to bind the University for the limited purpose of submitting official invoices, draws, and other financial information to federal agencies but only to the extent such authority is required by the regulations of the federal Office of Management and Budget.

BE IT FURTHER RESOLVED that any two of the following four officials: Dr. Ray L. Watts, Ms. Stephanie Mullins, Mr. R. Andrew Hollis and Ms. Elizabeth S. Morgan, Assistant Vice President for Financial Affairs/Treasurer of The University of Alabama at Birmingham, be, and hereby are, authorized for and in the name of the said The Board of Trustees of The University of Alabama, a corporation, to sell at public or private sale or exchange any or all shares of stock, bonds, corporation, or government which may now or hereafter stand in the name of The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham or in its name and in the name of others, and to receive and receipt for the purchase price of property received in exchange, and in the name of The Board of Trustees of The University of Alabama to sign any transfers, assignments, or powers of attorney that may be necessary to make the transfer or exchange and to deliver the same or exchanged, to the transferee or his agents.

BE IT FURTHER RESOLVED that all previous resolutions granting any of the foregoing powers or authority to any persons be, and hereby are, rescinded effective, June 6, 2025.

Granting Authorization of an Investment Account at Merrill Lynch for UAB
St. Vincent's Foundation

RESOLUTION

WHEREAS, the UAB St. Vincent's Foundation (the "Foundation") desires to maintain and administer an account of the nature hereinafter described with Merrill Lynch, Pierce, Fenner & Smith Incorporated ("Merrill Lynch") for the deposit of certain funds under its control; and

WHEREAS, Merrill Lynch is the depository that was utilized by the Foundation prior to the acquisition of the St. Vincent's Health System by UAB St. Vincent's Health

System Authority on November 1, 2024, and the Foundation desires to continue utilizing Merrill Lynch for the maintenance and administration of the hereinafter described account upon the terms and conditions hereinafter specified;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama does hereby authorize the maintenance and administration of the following designated account with Merrill Lynch for the following purpose and upon the following terms and conditions:

1. The name of the account shall be "UAB St. Vincent's Foundation Endowment Management Account;"
2. The account shall be an investment account to manage endowment and other funds for the Foundation;
3. The following individuals will have primary responsibility for the account and shall have the authority to open and close the account and effect transactions in the account, including without limitation signing checks, initiating wire and automatic transfers, and transferring or withdrawing funds: Stephanie Mullins, Bernard Mays, and Andrew Hollis;
4. Any existing authorized signatories on the account are hereby removed, and the following individuals are authorized to withdraw and transfer funds from this account: Stephanie Mullins, Bernard Mays, and Andrew Hollis;

BE IT FURTHER RESOLVED that the duly authorized individuals are hereby authorized and directed to enter into a depository agreement, in such form as shall be approved by such duly authorized individual, with the consent of counsel; and

BE IT FURTHER RESOLVED that the authorization for these accounts may be withdrawn by the Board at any time and for any reason, provided, however, that until Merrill Lynch receives written notice of the termination by the Board of this authorization from any of its members or any individuals listed above as having primary responsibility for the accounts, then this resolution shall remain in full force and effect. However, upon receipt of such written notice, funds remaining on deposit shall be immediately paid to the UAB St. Vincent's Foundation.

Approving Signature Authority for the Merrill Lynch Investment Account
for UAB St. Vincent's Foundation

RESOLUTION

WHEREAS, UAB St. Vincent's Foundation maintains an investment account with the depository designated in Exhibit C; and

WHEREAS, Board Rule 405 requires that each University official authorized to effect transactions involving the account, including those authorized to sign checks, initiate wire and automatic transfers, or otherwise withdraw funds from these authorized depositories, must be designated by Resolution of the Board; and

WHEREAS, Board Rule 405 further requires that such authority to effect transactions may not be delegated by the persons so authorized; and

WHEREAS, Board Rule 405 further requires that, for each division of the University, all individuals with authority to effect such transactions be identified in a single Resolution, which shall be revised in its entirety when any change in persons so authorized is made so that the most current Resolution listing those persons so authorized can be readily verified by the Secretary of the Board;

NOW THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that, effective June 6, 2025, the individuals identified on Exhibit C are authorized to affect the transactions specified therein on behalf of UAB St. Vincent's Foundation until this authorization is removed by official action of the Board.

BE IT FURTHER RESOLVED that this Resolution supersedes any prior Resolutions granting such authority to act on behalf of UAB St. Vincent's Foundation to any individual or individuals, and any other individuals previously authorized by such prior Resolutions are hereby removed.

Approving the procurement and installation of equipment for UA Secure

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of an equipment acquisition for the UA Secure project ("Project") to be located at 251 Shelby Lane; and

WHEREAS, the Project will allow the pursuit of additional research opportunities that advance the scholarly output of the institution while also serving a critical mission for the security of the state and the nation; and

WHEREAS, the Project will provide immediate pay back for the University in terms of federal and industrial awards, advanced research opportunities for students, and workforce development programs for a sector of government and beyond, helping to

bridge the highly trained skills gap in the industry and allow the University to seek new client and partner relationships and expanded offerings with current relationships; and

WHEREAS, new undergraduate education pathways will be created through the Project through advanced internships and co-op experiences as well as graduate training for the next generation, enforcing the University’s commitment to maintaining an infrastructure geared towards addressing the challenging and sensitive issues facing our community today; and

WHEREAS, due to the sensitive and classified nature of this facility, the acquisition was competitively bid without advertisement per Title 41 Special Procurement exemption and the equipment will be sourced per this process from BSNB LLC DBA Centurion Secure Global; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from the Education Trust Fund Supplemental Appropriations allocated in Act #2024-428 (HB 144), as further allocated by The Board of Trustees of The University of Alabama on September 5, 2024, in the amount of \$889,000; and

WHEREAS, the budget for the Project is as stipulated below:

BUDGET:		PRELIMINARY
Construction	\$	80,000
Secure Equipment	\$	599,744
Security/Access Control	\$	50,000
Telecommunication/Data	\$	60,000
Contingency* (10%)	\$	8,000
UA Project Management Fee** (4.5%)	\$	30,949
Architect/Engineer Fee*** (7.6%)	\$	45,661
Other****	\$	14,646
TOTAL PROJECT COST	\$	<u>889,000</u>

*Contingency is based on 10% of Construction.

****UA Project Management Fee is based on 4.5% of the cost of Construction, Secure Equipment, and Contingency.**

*****Architect/Engineer Fee is based on 7.6% of the cost of Construction and Secure Equipment less a \$6,000 credit for building familiarity.**

******Other expenses include Transportation Services Fees, Construction Materials Testing, Inspections, Printing, and other associated project costs, as applicable.**

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board in proceeding with the acquisition and installation of the UA Secure project for a Total Project Cost not to exceed \$889,000.

Approving the revised project scope and budget for the procurement and installation of a Premanufactured Sensitive Compartmented Information Facility equipment for the VP research special project at UAH

RESOLUTION

WHEREAS, on June 4, 2021, in accordance with Board Rule 415, the Board of Trustees of the University of Alabama in Huntsville approved a Sole Source Equipment purchase for the VP Research Special project; and

WHEREAS, this facility would provide UAH with much needed access to a secure facility dedicated to the University's Research and Development needs while allowing UAH to seek new client and partner relationships as well as expand our capabilities with current relationships; and

WHEREAS, subsequent to The Board of Trustees approval granted on June 4, 2021, the University entered into a Purchase Order agreement with ARMAG Corporation for the sole source purchase of a premanufactured secure facility; and

WHEREAS, subsequent to The Board of Trustees approval granted on June 4, 2021, the University entered into an Owner/Architect Agreement with Fuqua and Partner Architects to design and manage the project; and

WHEREAS, in alignment with the 2024 Campus Master plan, the University has revised the original location, previously planned near Wernher von Braun Research Hall, to a site northwest of Olin B. King Technology Hall, thereby limiting the facility's visibility while maintaining proximity to the research centers it is intended to support; and

WHEREAS, the University is seeking approval of a revised scope of work, which includes facility modifications and the addition of site amenities; and

WHEREAS, the University further requests approval of an updated project budget to accurately reflect the costs associated with these revisions; and

WHEREAS, on June 4, 2021, the Board of Trustees approved the preliminary project budget as follows:

Total Project Budget	Totals
Construction Costs *	\$ 1,155,000
Professional Fees **	\$ 27,000
Survey, Testing, & Inspections	\$ 10,670
DCM Plan Review	\$ 1,485
UAH Project Management Fees (3%)***	\$ 34,650
Aid to Construction	\$ 15,000
Secure Network & Equipment	\$ 125,000
Other (Miscellaneous Project Costs)	\$ 41,165
Furniture & Furnishings	\$ 150,000
Construction Contingency (10%)***	\$ <u>115,500</u>
Total Project Cost	\$ 1,675,470

* *Premanufactured Facility total cost of \$1,034,651.50 included in Construction Costs.*

** *Professional Fees include Architect Basic Services, and Reimbursable Expenses. Architect's Basic Services Fee is lump sum based on the Construction Costs.*

*** *Calculation of cost is based on the total of the Construction Costs.*

WHEREAS, the revised budget for the Project is as stipulated below:

Revised Project Budget	Totals
Construction Costs *	\$ 4,535,935
Professional Fees (2.87%)**	\$ 130,236
Survey, Testing, & Inspections	\$ 31,547
DCM Plan Review	\$ 2,818
UAH Project Management Fees (3%)***	\$ 136,078
Aid to Construction	\$ 30,000

Secure Network & Equipment	\$ 171,479
Other (Miscellaneous Project Costs)	\$ 57,213
Furniture & Furnishings	\$ 150,000
Construction Contingency (10%)*	\$ <u>226,797</u>
Total Project Cost	\$ 5,472,103

* *Premanufactured Facility total cost of \$3,033,374.25 included in Construction Costs.*

** *Professional Fees include Architect Basic Services, and Reimbursable Expenses. Architect's Basic Services Fee is based on 7.2% of the Site Construction Costs per DCM's State Fee Schedule.*

*** *Calculation of cost is based on the total of the Construction Costs.*

WHEREAS, the project will be funded from Redstone Research Retention Funds in the amount of \$3,932,329 and Research Reserve Funds in the amount of \$1,539,774 for a total amount of \$5,472,103.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama in Huntsville that:

1. The Revised Scope and Budget for the Project are hereby approved.
2. The University of Alabama in Huntsville is hereby authorized to modify the existing Purchase Agreement with ARMAG Corporation in accordance with the revised project budget presented above, and to proceed with the planning of the Project in accordance with the provisions of Board Rule 415.

Approving the Replacement of EEG Long-Term Monitoring Systems at University Hospital

RESOLUTION

WHEREAS, University Hospital, an operating entity of The Board of Trustees of The University of Alabama ("UA Board") managed by UAB Medicine Enterprise and part of the UAB Health System Authority ("Health System"), in furtherance of its mission to provide a continuum of health services of the highest quality, uses ECG and Cardiac Stress

Testing Systems to record, interpret, and monitor electrocardiograms (ECGs) in the diagnosis and treatment of its patients; and

WHEREAS, ECG systems help detect signs of heart disease and track the progression of heart conditions over time, ensure patients are fit for invasive procedures, and allow for rapid assessment of heart function in emergencies requiring immediate intervention; and

WHEREAS, University Hospital has determined that the existing ECG and Cardiac Stress testing system needs to be replaced to improve detection and reporting of ECG feature measurements and arrhythmias; and

WHEREAS, University Hospital has determined that the Philips Cardiac Workstation provides the best detection algorithms, ECG visualization, and archival solutions, and

WHEREAS, the Philips Cardiac Workstation solution can be interfaced to UAB Hospital's existing patient electronic health record system as well as its planned replacement system; and

WHEREAS, the Total Project Cost associated with the replacement of ECG and Cardiac Stress Testing Systems is as follows:

A. CONSTRUCTION	\$0
B. ARCHITECT/ENGINEER	\$0
C. SURVEYS, TESTING, INSPECTIONS	\$0
D. MOVABLE EQUIPMENT & FURNISHINGS	\$3,341,832
E. CONTINGENCY	\$0
F. OTHER (IT Infrastructure)	\$122,916
G. TOTAL PROJECT COST	\$3,464,748

WHEREAS, this project is funded from the Hospital Plant Fund; and

WHEREAS, the Health System Board of Directors have reviewed and recommend approval of this item;

NOW, THEREFORE, BE IT RESOLVED BY THE UA BOARD, that it hereby authorizes R. Andrew Hollis, Chief Financial Officer, UAB, or his designee to act for and in the name of the Board to execute an agreement with Philips Healthcare to acquire the necessary ECG and Cardiac Stress Testing Systems for a total project cost not to exceed \$3,464,748.

Approving the Replacement of a Single Pane Angiography Imaging System
in Operating Room 520 at University Hospital

RESOLUTION

WHEREAS, University Hospital, an operating entity of The Board of Trustees of The University of Alabama (“UA Board”) managed by the UAB Medicine Enterprise and part of the UAB Health System Authority (“Health System”), in furtherance of its mission to provide a continuum of health services of the highest quality, uses Angiography Imaging Systems for cardiovascular, endovascular, peripheral vascular, oncologic, renal, neurologic, hepato-biliary, and other conditions requiring minimally invasive x-ray image-guided procedures; and

WHEREAS, University Hospital has determined that the existing angiography imaging system in Operating Room (OR) 520, which has been in operation since 2012 and approaching end-of-service life on December 31, 2027, requires replacement; and

WHEREAS, current generation imaging systems offers superior image quality at significantly lower radiation dose levels resulting in safer care for the patient and a safer environment for the care providers; and

WHEREAS, the proposed replacement system, Azurion 7 Flex Arm manufactured by Philips Healthcare, provides better maneuverability in multiple axes to provide greater flexibility to perform imaging; and

WHEREAS, the hardware and software on the Azurion 7 Flex Arm provides better and additional information to assist clinicians performing various image-guided procedures; and

WHEREAS, University Hospital has previously evaluated and determined Philips Healthcare angiography equipment will best address the clinical care needs of the patient served; and

WHEREAS, the Total Project Cost associated with the replacement of the Single Plane Angiography Imaging System is as follows;

A. CONSTRUCTION	<u>\$1,180,000</u>
B. Escalation (4.0%) .05% escalation per month for 8 months	<u>\$47,200</u>
B. ARCHITECT/ENGINEER (Fixed Fee)	<u>\$90,000</u>
C. SURVEYS, TESTING, INSPECTIONS	<u>\$55,000</u>
D. MOVABLE EQUIPMENT & FURNISHINGS	<u>\$45,000</u>
E. EQUIPMENT (Imaging System)	<u>\$1,895,436</u>
F. Project Management Fee (3.5%)	<u>\$41,300</u>
F. CONTINGENCY (10%)	<u>\$122,720</u>
G. OTHER (PM, Shutdowns, Communication)	<u>\$115,000</u>
H. TOTAL PROJECT COST	<u>\$3,591,656</u>

WHEREAS, the Project is funded from the Hospital's Plant Fund; and

WHEREAS, the Health System Board of Directors have reviewed and recommend approval of this item;

NOW, THEREFORE, BE IT RESOLVED BY THE UA BOARD that R. Andrew Hollis, Chief Financial Officer, UAB, or his designee is hereby authorized to act for and in the name of the Board to acquire the Azurion 7 Flex Arm Angiography System from Philips Healthcare and undertake the renovations necessary to install the system for a total project cost not to exceed \$3,591,656.

Approving Appointment of Lance Kinney, Ph.D., as Associate Professor Emeritus of the Department of Advertising and Public Relations in the College of Communication and Information Sciences at UA

RESOLUTION

WHEREAS, Lance Kinney, Ph.D., retired from The University of Alabama on June 1, 2025, as Associate Professor of Advertising and Public Relations, after nearly three decades of distinguished service as a scholar, teacher, mentor, administrator, and colleague in the College of Communication and Information Sciences and The University of Alabama; and

WHEREAS, Dr. Kinney was hired by the College of Communication and Information Sciences in 1998 as an assistant professor, and was promoted to associate professor in 2004; and

WHEREAS, Dr. Kinney has been a dedicated teacher in settings that range from undergraduate and graduate courses and his mentoring of numerous students has resulted in their maturation as advertising and public relations professionals and as scholars; and

WHEREAS, Dr. Kinney has contributed to the intellectual community of his department, college, university and academic discipline in the areas of advertising, branding, sport communications, and entertainment through publishing approximately 30 journal articles, book chapters and encyclopedia entries, and presenting research at national and international academic conferences on roughly 60 occasions; and

WHEREAS, Dr. Kinney has received the Advertising Educator of the Year award in 2008 from the American Advertising Federation, and in 2005, was recognized as a Distinguished Lecturer in Sport Management in the Department of Kinesiology in the College of Education at UA; and

WHEREAS, Dr. Kinney has served the Department, College, and UA in numerous other ways over the years as he served on his department's undergraduate curriculum committee, on a strategic assessment committee, on numerous faculty search committees, on the College's graduate affairs committee, the College undergraduate curriculum committee, and as the UA Program in Sports Communication Conference Chair in 2013-2014, and on the University's Research Advisory Committee from 2014-2017, on the UA Graduate Council from 2013-2017, and is currently serving as a UA Faculty Ombudsperson (since 2021);

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Lance Kinney, Ph.D., Associate Professor Emeritus of the Department of Advertising and Public Relations in the College of Communication and Information Sciences at The University of Alabama, with all designated rights and privileges.

BE IT FURTHER RESOLVED that this resolution be recorded in the permanent minutes of the Board, become a matter of public record, and that copies be given to Dr. Kinney in grateful acknowledgment of his distinguished career as teacher, scholar, administrator, and colleague at The University of Alabama.

Approving Appointment of Tracy Robbins Sims as Senior Instructor Emerita of the Department of Advertising and Public Relations in the College of Communication and Information Sciences at UA

RESOLUTION

WHEREAS, Tracy Sims will retire from The University of Alabama on September 1, 2025, as Senior Instructor of Advertising and Public Relations, after three decades of distinguished service as a scholar, teacher, mentor, administrator, and colleague in the College of Communication and Information Sciences and The University of Alabama; and

WHEREAS, Mrs. Sims was hired by the College of Communication and Information Sciences in 1997 as an Adjunct Instructor, was hired as an instructor in 2004, and promoted to Senior Instructor in 2020; and

WHEREAS, Mrs. Sims served as the department's Undergraduate Program Coordinator and Associate Chair of Undergraduate Programs since 2024; and

WHEREAS, Mrs. Sims has received university, local, regional, and national awards for her mentorship, teaching, advising, and services including the Public Relations Student Society of America Outstanding Faculty Adviser Award in 2019, the UA Outstanding Commitment to Academic Advising Award in 2015, and the College's Knox Hagood Faculty Award; and

WHEREAS, Mrs. Sims is active in professional organizations in the fields of advertising and public relations such as the American Advertising Federation Tuscaloosa, the Public Relations Council of Alabama, and the Public Relations Society of America; and

WHEREAS, Mrs. Sims's mentoring and service, and her teaching and advising excellence have contributed to the Department of Advertising and Public Relations being ranked among the top programs in the United States and has thereby improved the reputation of The University of Alabama's College of Communication and Information Sciences;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it hereby approves the appointment of Tracy Sims as Senior Instructor Emerita of the Department of Advertising and Public Relations in the College of Communication and Information Sciences at The University of Alabama, with all designated rights and privileges.

BE IT FURTHER RESOLVED that this resolution be recorded in the permanent minutes of the Board, become a matter of public record, and that copies be given to Ms. Sims in grateful acknowledgment of her distinguished career as advisor, teacher, scholar, administrator, and colleague at The University of Alabama.

Approving Closure of the UAB Minority Health and Health Equity Research Center

RESOLUTION

WHEREAS, on September 20, 2002, The Board of Trustees of The University of Alabama approved the establishment of the Minority Health Research Center (MHRC) at The University of Alabama at Birmingham (UAB); and

WHEREAS, on September 19, 2008, The Board of Trustees of The University of Alabama approved the name change of the Minority Health Research Center (MHRC) to the UAB Minority Health and Health Disparities Research Center (MHHDRC); and

WHEREAS, on June 10, 2022, The Board of Trustees of The University of Alabama approved the name change of the UAB Minority Health and Health Disparities Research Center (MHHDRC) to the UAB Minority Health and Health Equity Research Center (MHERC); and

WHEREAS, national research priorities make the continued focus of the center unviable; and

WHEREAS, current experienced staff and valuable research infrastructure will be reassigned to a new entity and continue to support vital and impactful research, including several active and funded projects to prevent disease and promote healthy living for all people; and

WHEREAS, no donor funds were used to establish the center, there will be no impact on students or academic programs, and any philanthropic funds to support the work of the center will be reassigned;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the closure of the UAB Minority Health and Health Equity Research Center.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board and that copies be sent to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; Ray L. Watts, M.D., President of The University of Alabama at Birmingham; and to other appropriate University officials.

Approving Appointment of Peter G. Anderson, D.V.M., Ph.D., as Professor Emeritus of Pathology in the Department of Pathology at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB) and the Heersink School of Medicine, UAB wishes to acknowledge Peter G. Anderson, D.V.M., Ph.D., by appointing him as Professor Emeritus of Pathology in the Department of Pathology; and

WHEREAS, Dr. Anderson earned his Bachelor of Arts degree in Zoology in 1977 from University of Washington, his Doctor of Veterinary Medicine degree in 1981 from Washington State University and Doctor of Philosophy degree in Experimental Pathology in 1986 from The University of Alabama at Birmingham; and

WHEREAS, Dr. Anderson was then selected to participate in the Human and Comparative Pathology Residency Training Program at UAB in the Department of Pathology, where he completed his post-doctoral fellowship in Human Pathology; and

WHEREAS, Dr. Anderson joined the UAB faculty in 1986 and maintained an NIH funded research program for 20 years, where his research consisted of cardiac hypertrophy, atherosclerosis, and intravascular stents; and

WHEREAS, Dr. Anderson continued his service as Professor in the Department of Pathology until his retirement on December 31, 2024, after a total of 43 years of loyal and dedicated service; and

WHEREAS, Dr. Anderson received the prestigious AOA-AAMC Glaser Award, awarded to the best medical educator in the United States and Canada, the first ever presented to a Heersink School of Medicine faculty member; and

WHEREAS, Dr. Anderson was presented with numerous national awards for his significant role and influence in education, including the American Society for Investigative Pathology Stanley Robbins Distinguished Educator award, and the Raible Distinguished Teaching Award in Undergraduate Medical Education from the Association of Academic Pathology; and

WHEREAS, Dr. Anderson received a UAB School of Medicine Excellence in Education Scholarship Award in 2009, Best Course Director Award at UAB in 1996 at the first UAB Argus Awards ceremony after being recognized for mentoring medical students at HSOM in 1984, and President's Award for Excellence in Teaching for the Joint Health Sciences at UAB in 2003; and

WHEREAS, Dr. Anderson also received Honorable mention in Advancing Pathology Informatics, Imaging, and the Internet from Pittsburgh, PA, in 2001; was a winner in Advancing Pathology Informatics, Imaging, and the Internet from Pittsburgh, PA, in 2000; and received "Best Departmental Web Site" from Pittsburgh, PA, in 1999; and

WHEREAS, Dr. Anderson has been involved in USMLE test development for more than 20 years and recently received the NBME Edithe J. Levit Distinguished Service Award; and

WHEREAS, Dr. Anderson has served in several Department of Pathology committees, including Medical Student Research Fellowship, Medical Education Committee, Information Services Advisory committee, Faculty Advisory Council, promotion and tenure committee, and Chair of the Small Grants Review Committee; and

WHEREAS, Dr. Anderson is an active member of several editorial boards, including *BioMed Central*, *Medical Education*; *MedEdWorld*; *Student Consult Instructor Advisory Board*; *BioMed: Central Cardiovascular Disorders*; *BioMed: Medical Education*; and *Academic Pathology*, Sage Publication; among others; and

WHEREAS, Dr. Anderson has more than 80 published abstracts, 12 co-authored book chapters, more than 100 peer-reviewed publications, with an outstanding H-index of 40 citations in excess of 7,583 and seven patents in Therapeutic Inhibitor of Vascular Smooth Muscle Cells; and

WHEREAS, Dr. Anderson has been a guest speaker at nearly 168 national and international meetings, local and regional meetings, invited lectures/workshops at national courses and meetings within other universities; and

WHEREAS, Dr. Anderson has given nearly 23 lectures for undergraduate students, including *Introduction to Pathology*, *Pathology of AIDS*, and *Diseases of Endocardium*, and 16 for graduate students a few including *Cell Injury*, *Atherosclerosis*, and *Cardiovascular Physiology & Pathophysiology*; and

WHEREAS, Dr. Anderson has taken on leadership roles in numerous accreditation processes, including with the School of Medicine LCME and the UAB Southern Association of Colleges and Schools Commission on Colleges accreditation; and

WHEREAS, Dr. Anderson has been recognized internationally as a leader in his field of research and in education and has provided outstanding leadership and service to UAB for 43 years where he has taught and mentored faculty, students, and residents; and

WHEREAS, Dr. Anderson has made significant contributions to UAB as he was active in the UAB Faculty Senate and served as Chair from 2005-2006, where he worked with the provost and the head of the Lister Hill Library to update the UAB copyright guidelines; and

WHEREAS, Dr. Anderson has developed different teaching websites, including Pathology Education Instructional Resource, Interactive Pathology Laboratory online, and UAB Medical Education Resource for Instructional Technology; and

WHEREAS, Dr. Anderson was selected as a Fulbright Foundation Senior Specialist in Medical Education and led faculty development workshops on learner centered learning at numerous medical schools in Africa and Taiwan;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Peter G. Anderson, D.V.M., Ph.D., as Professor Emeritus of Pathology in the Department of Pathology at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Anderson for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Anderson to share with members of his family, and to other appropriate University officials.

Approving Appointment of Charles Dunkle Amsler, Ph.D., as Professor Emeritus of Biology in the Department of Biology at UAB

RESOLUTION

WHEREAS, in special recognition of distinguished service to The University of Alabama at Birmingham (UAB), the University wishes to acknowledge Dr. Charles Dunkle Amsler Jr., Ph.D., by appointing him as Professor Emeritus of Biology in the Department of Biology; and

WHEREAS, Dr. Amsler earned his Bachelor of Arts degree in Biology from Duke University, and his Master of Science degree from the University of North Carolina and his Doctor of Philosophy degree from the University of California Santa Barbara; and

WHEREAS, Dr. Amsler served in the Department of Biology at UAB as Assistant Professor from 1994-2000, Associate Professor from 2000-2004, and Professor from 2004-2024 until his retirement on December 31, 2024, after 30 years of loyal and dedicated service; and

WHEREAS, Dr. Amsler served as a Program Officer at the National Science Foundation from 2013-2015; and

WHEREAS, Dr. Amsler has published more than 172 peer-reviewed manuscripts, nine non-peer reviewed manuscripts, was awarded three Patents and presented countless lectures and keynote addresses at national and international meetings; and

WHEREAS, Dr. Amsler has been recognized nationally and internationally for excellence in research and teaching by election as a Fellow of the American Association for the Advancement of Science, was honored by the U.S. Board of Geographic Names designating "Amsler Island" in Antarctica, and by receiving numerous awards, including the Award of Excellence (lifetime achievement) by the Phycological Society of America, the Tyge Christensen Prize in Phycology, the Council for Advancement and Support of Education (CASE) District III Award of Excellence, and the National Silver Medal in the CASE Circle of Excellence Awards Program; and

WHEREAS, Dr. Amsler has been recognized for excellence in teaching receiving the UAB Graduate School Dean's Award for Excellence in Mentorship in 2019, and the Caroline P. and Charles W. Ireland Prize for Scholarly Distinction in 2016; and

WHEREAS, Dr. Amsler has been recognized for his service as President, Chair of the Board of Trustees, and Program Director of the Phycological Society of America; as Overseas Vice President of the British Phycological Society; and as the United States Representative to the Scientific Committee on Antarctic Research (SCAR) Life Sciences Group; and

WHEREAS, Dr. Amsler has served as a reviewer for countless professional journals and granting agencies, as Editor of the *Journal Antarctic Science*, as Associate Editor of the *Journal of Phycology*, *Gulf of Mexico Science*, and *Phycologia*, as Review Editor for the *Journal Aquatic Biology*, and as a member of the Editorial Boards of the *Journal of Phycology*, and *Botanica Marina*;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Charles Dunkle Amsler, Ph.D., as Professor Emeritus of Biology in the Department of Biology at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Amsler for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Amsler to share with members of his family, and to other appropriate University officials.

Approving Appointment of Gene P. Siegal, M.D., Ph.D., as Distinguished Professor Emeritus of Pathology in the Department of Pathology at UAB

RESOLUTION

WHEREAS, in special recognition of his distinguished service to The University of Alabama at Birmingham (UAB) and The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), UAB wishes to acknowledge Gene. P. Siegal, M.D., Ph.D., by appointing him as a Distinguished Professor Emeritus of Pathology in the Department of Pathology; and

WHEREAS, Dr. Siegal earned his baccalaureate degree from Adelphi University in 1970; his medical degree from the University of Louisville, School of Medicine in 1974; and completed his Ph.D., in Experimental Pathology at the University of Minnesota in 1979; and

WHEREAS, Dr. Siegal obtained additional post-doctoral educational experiences resulting in a Certificate in Hospital Management from the University of North Carolina at Chapel Hill Kenan-Flager School of Business (1988) and the UAB Health Care Leadership Institute (2000); and

WHEREAS Dr. Siegal successfully completed an internship, residency, and research fellowship at the Mayo Graduate School of Medicine (1974-1979) and was a

Research Associate in the Laboratory of Pathophysiology at the National Cancer Institute (NIH) in 1981, followed by a Clinical Fellowship in Surgical Pathology at the University of Minnesota Health Sciences Center in 1982; and

WHEREAS, Dr. Siegal's prior professional appointments included Mayo Medical School in Rochester, MN, where he was an Instructor of Pathology at the University of North Carolina at Chapel Hill School of Medicine and its Graduate School, where he was first an Assistant Professor of Pathology and received early promotion and tenure to Associate Professor of Pathology; and

WHEREAS, Dr. Siegal was then recruited to the faculty of the University of Alabama at Birmingham as professor and Director of the Division of Anatomic Pathology in the Department of Pathology in 1990, and was named the inaugural holder of the Robert W. Mowry Professor of Pathology in 2008, followed by being named a UAB Distinguished Professor in 2021; and

WHEREAS, Dr. Siegal continued his service as Distinguished Professor in the Department of Pathology until his retirement on December 31, 2024, after a total of 34 years of loyal and dedicated service; and

WHEREAS, Dr. Siegal has been awarded multiple academic honors and prizes, including the A.S.I.P. Robbins Distinguished Educator Award, the C.A.P. Lifetime Achievement Award and the A.S.C.P. Philips Levine Award for Outstanding Research, along with memberships in Alpha Omega Alpha, the Medical Honors Society; Omicron Delta Kappa, the National Leadership Honorary; and is a Fellow of the Royal Society of Medicine, London; and Phi Beta Delta, the Honor Society for International Scholars; and

WHEREAS, Dr. Siegal's professional memberships include the American Association for the Advancement of Science, the American Association for Cancer Research, the American Society for Gene Therapy, the American Medical Association, the American Society for Investigative Pathology, the Arthur Purdy Stout Society of Surgical Pathologists, the Association of Directors of Anatomic and Surgical Pathology, the Intersociety Pathology Council, the Metastasis Research Society, and Fellowship in the American Society for Clinical Pathology, the College of American Pathologists, and Sigma Xi; and

WHEREAS, Dr. Siegal has served on the executive committee of the American Society for Investigative Pathology, the American Society for Clinical Pathology, the Association of Directors of Anatomic and Surgical Pathology, and the International Skeletal Society; and

WHEREAS, Dr. Siegal has served as President of the UNC Chapter of Sigma Xi, the Scientific Research Society of North America, the Arthur Purdy Stout Society of Surgical

Pathologists, the American Society for Clinical Pathology, and the International Society of Bone and Soft Tissue Pathologists; and

WHEREAS, Dr. Siegal's contributions include serving the institution as Interim Chair of Pathology twice, and Interim Chair of Genetics for a total of more than five years, while also committing to educating dozens of graduate and medical students, postdoctoral fellows and clinical trainees; and

WHEREAS, Dr. Siegal has served and continues to serve on enumerable consultation and advisory boards, and had a long and successful funded research program; and

WHEREAS, Dr. Siegal has accepted multiple study section assignments for branches of his government including the NIH, Department of Defense and a 10-year assignment at the Center for Devices & Radiologic Health, Food and Drug Administration among these serving as the Chair of a Breast Cancer Panel; and

WHEREAS, Dr. Siegal has authored more than 900 scientific manuscripts, abstracts and other professional writings, along with multiple books and monograph chapters, and has one patent; and

WHEREAS, Dr. Siegal has given multiple named lectureships and held several editorships of major journals in his field, while holding memberships in a dozen and a half editorial boards; and

WHEREAS, Dr. Siegal has given in excess of 170 invited presentations and continues to be a frequent guest speaker at national and international meetings; and

WHEREAS, Dr. Siegal has continued to exhibit excellence during his tenure as a Professor and Executive Vice Chair of the Department while concomitantly focusing on growth within the Department of Pathology;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of Gene P. Siegal, M.D., Ph.D., as Distinguished Professor Emeritus of Pathology in the Department of Pathology at The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this Board herein extends its deepest appreciation to Dr. Siegal for his dedication and service to The University of Alabama at Birmingham, and to the people of this state and nation.

BE IT FURTHER RESOLVED that this Resolution is spread upon the permanent minutes of this Board and that copies are given to Dr. Siegal to share with members of his family, and to other appropriate University officials.

Approving Appointment of Susan Friedman, Ph.D., as Senior Lecturer Emerita in the Department of English in the College of Arts, Humanities, and Social Sciences at UAH

RESOLUTION

WHEREAS, Dr. Susan Friedman has given 21 years of meritorious service to the English Department in the College of Arts, Humanities, and Social Sciences at The University of Alabama in Huntsville; and

WHEREAS, Dr. Friedman's retirement became effective July 1, 2024; and

WHEREAS, Dr. Friedman received a bachelor's degree in English in 1984 from the University of Miami, a master's degree in English in 1986 from Barry University, and a doctoral degree in English in 2007 from the University of South Florida; and

WHEREAS, Dr. Friedman joined the faculty of The University of Alabama in Huntsville in 2008 after holding faculty positions at the University of South Florida-Tampa and the University of South Florida-St. Petersburg; and

WHEREAS, Dr. Friedman served as Senior Lecturer in the Department of English in the College of College of Arts, Humanities, and Social Sciences at The University of Alabama in Huntsville; and

WHEREAS, Dr. Friedman received the 2013 UAH Student Government Association Faculty Award for College of Arts and Sciences for Outstanding Teaching, and the 2018 Rose Norman Award for Scholarship in Action from the Women's and Gender Studies program at The University of Alabama in Huntsville, and she is a longstanding member of the National Education Association, National Council Teachers of English, Modern Language Association, South Atlantic Modern Language Association, and the College English Association; and

WHEREAS, Dr. Friedman, throughout her years of service at The University of Alabama in Huntsville, has contributed to the life of the institution through membership on many college and university committees; and

WHEREAS, over the period of her service, Dr. Friedman has helped to maintain the standards of academic excellence in the Department of English; the College of Arts, Humanities, and Social Sciences; The University of Alabama in Huntsville; and the community.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it expresses deep gratitude to Dr. Susan Friedman and that she be named Senior Lecturer Emerita in recognition of her leadership as a faculty member in the English Department, her effectiveness in the classroom, and her loyalty and service to The University of Alabama in Huntsville and the community.

Approving Appointment of Wolfram E. Verlaan, Ph.D., as Associate Professor Emeritus in the Department of Curriculum and Instruction in the College of Education at UAH

RESOLUTION

WHEREAS, Dr. Wolfram E. Verlaan has given 13 years of meritorious service to the Curriculum and Instruction Department in the College of Education at The University of Alabama in Huntsville; and

WHEREAS, Dr. Verlaan's retirement became effective on April 30, 2025; and

WHEREAS, Dr. Verlaan received a bachelor's degree in Systems Science in 1984 from The University of West Florida; a master's degree in Elementary Education in 2003 from Texas A&M University, Corpus Christi; and a doctoral degree in Curriculum and Instruction with a Literacy Emphasis in 2012 from Texas A&M University, Corpus Christi; and

WHEREAS, Dr. Verlaan joined the faculty of The University of Alabama in Huntsville in 2012; and

WHEREAS, Dr. Verlaan has played a key role in developing and increasing the scope of the College of Education, serving as both a valuable and contributing faculty member and as chair of the Curriculum and Instruction Department to the benefit of The University of Alabama in Huntsville and the community; and

WHEREAS, Dr. Verlaan has been recognized for his service and research accomplishments through prestigious awards such as the College of Education's Outstanding Faculty Member Award in 2020, Emerald Publishing's Literati Network Awards for Excellence for Outstanding Author Contribution in 2016, and as an officer and board member of both national and international professional organizations; and

WHEREAS, Dr. Verlaan, throughout his years of service at The University of Alabama in Huntsville, has contributed to the life of the institution by mentoring numerous undergraduate and graduate students through degree completion, through active membership in significant professional associations, and through teaching and research; and

WHEREAS, over the period of his service, Dr. Verlaan has helped to maintain the standards of academic excellence in the Department of Curriculum and Instruction, the College of Education, The University of Alabama in Huntsville, and the community.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it expresses gratitude to Dr. Wolfram E. Verlaan and that he be named Associate Professor Emeritus in recognition of his leadership, his effectiveness in the classroom, his expertise in research activities, and his service to The University of Alabama in Huntsville.

Approving Appointment of the Board of Directors for the Healthcare Authority for Baptist Health

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama ("UA Board") established The Health Care Authority for Baptist Health, an Affiliate of UAB Health System (the "Authority"); and

WHEREAS, the UA Board is a party to an Affiliation Agreement with Baptist Health related to the operations of the Authority; and

WHEREAS, pursuant to the Affiliation Agreement, the UA Board appoints seven individuals to serve as Authority directors for a term of one year, with the remaining six directors to be appointed by Baptist Health; and

WHEREAS, the Board of Directors of UAB Health System Authority has recommended the appointment of the directors listed below;

NOW, THEREFORE, BE IT RESOLVED BY THE UA BOARD that it hereby appoints the following individuals to serve as Authority directors for a one-year term and until such time as their successors are duly appointed and qualified, and that those indicated with an "*" are hereby appointed to serve as members of the Executive Committee during such term:

Anupam Agarwal, M.D.

* Mike Brock

Ronnie Brown

* Dawn Bulgarella

Dr. Quinton Ross

Louis Lambiase, M.D.

* Steve Roy

Approving Board of Trustees Calendar of Meetings for 2025-2026

RESOLUTION

WHEREAS, Section 1 of Article II of the Bylaws of the Board of Trustees of the University of Alabama (“Board”) provides that the Board “shall schedule annually, in advance, regular meetings of the Board to be held during the ensuing year and shall designate one of such meetings as the annual meeting of the Board;”

NOW, THEREFORE, BE IT RESOLVED by the Board that it hereby schedules regular meetings on the following dates:

September 11-12, 2025	-	Annual Meeting
November 6-7, 2025	-	UA Institutional Meeting
February 5-6, 2026	-	UAB Institutional Meeting
April 16-17 2026	-	UAH Institutional Meeting
June 11-12, 2026	-	Tuscaloosa

Approving Amended and Restated Articles of Incorporation for St. Vincent’s Birmingham

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama (the “Board”) is the sponsoring university of UAB St. Vincent’s Health System Authority (the “Authority”); and

WHEREAS, the Authority is the sole owner of UAB St. Vincent’s Health System (the “System”), and the System is the sole owner of UAB St. Vincent’s Birmingham (the “Hospital”); and

WHEREAS, System, in its capacity as the corporate member of Hospital, proposes to amend and restate the Hospital's articles of incorporation (the "Hospital Amended and Restated Articles"), and has provided the Board with the Hospital Amended and Restated Articles attached to this resolution as *Exhibit D*; and

WHEREAS, The Board has reviewed the contents of the Hospital Amended and Restated Articles, and believes that it is desirable to approve the Hospital Amended and Restated Articles in a form that is the same as or substantially similar to that which is attached hereto as *Exhibit D*;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD that the Board does hereby approve and adopt the Hospital Amended and Restated Articles in the form that is the same as or substantially similar to that which is attached hereto as Exhibit D;

RESOLVED FURTHER that any officer of Hospital is authorized to execute and deliver or cause to be executed and delivered in the name and on behalf of Hospital such articles, certificates, agreements, or other instruments as they or any of them may deem necessary, advisable, or appropriate in order to carry into effect the intent of the provisions of this resolution.

Approving Amended and Restated Articles of Incorporation for St.
Vincent's Blount

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama (the "Board") is the sponsoring university of UAB St. Vincent's Health System Authority (the "Authority"); and

WHEREAS, the Authority is the sole owner of UAB St. Vincent's Health System (the "System"), and the System is the sole owner of UAB St. Vincent's Blount (the "Hospital"); and

WHEREAS, System, in its capacity as the corporate member of Hospital, proposes to amend and restate the Hospital's articles of incorporation (the "Hospital Amended and Restated Articles"), and has provided the Board with the Hospital Amended and Restated Articles attached to this resolution as *Exhibit E*; and

WHEREAS, The Board has reviewed the contents of the Hospital Amended and Restated Articles, and believes that it is desirable to approve the Hospital Amended and Restated Articles in a form that is the same as or substantially similar to that which is attached hereto as *Exhibit E*;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD that the Board does hereby approve and adopt the Hospital Amended and Restated Articles in the form that is the same as or substantially similar to that which is attached hereto as Exhibit E;

RESOLVED FURTHER that any officer of Hospital is authorized to execute and deliver or cause to be executed and delivered in the name and on behalf of Hospital such articles, certificates, agreements, or other instruments as they or any of them may deem necessary, advisable, or appropriate in order to carry into effect the intent of the provisions of this resolution.

Approving Amended and Restated Articles of Incorporation for St. Vincent's Chilton, LLC

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama (the "Board") is the sponsoring university of UAB St. Vincent's Health System Authority (the "Authority"); and

WHEREAS, the Authority is the sole owner of UAB St. Vincent's Health System (the "System"), and the System is the sole owner of UAB St. Vincent's Chilton, LLC (the "Hospital"); and

WHEREAS, System, in its capacity as the corporate member of Hospital, proposes to amend and restate the Hospital's certificate of formation (the "Hospital Amended and Restated Certificate"), and has provided the Board with the Hospital Amended and Restated Certificate attached to this resolution as *Exhibit F*; and

WHEREAS, The Board has reviewed the contents of the Hospital Amended and Restated Certificate, and believes that it is desirable to approve the Hospital Amended and Restated Certificate in a form that is the same as or substantially similar to that which is attached hereto as *Exhibit F*;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD that the Board does hereby approve and adopt the Hospital Amended and Restated Certificate in the form that is the same as or substantially similar to that which is attached hereto as Exhibit F;

RESOLVED FURTHER that any officer of Hospital is authorized to execute and deliver or cause to be executed and delivered in the name and on behalf of Hospital such articles, certificates, agreements, or other instruments as they or any of them may deem necessary, advisable, or appropriate in order to carry into effect the intent of the provisions of this resolution.

Approving Amended and Restated Articles of Incorporation for St.
Vincent's St. Clair

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama (the "Board") is the sponsoring university of UAB St. Vincent's Health System Authority (the "Authority"); and

WHEREAS, the Authority is the sole owner of UAB St. Vincent's Health System (the "System"), and the System is the sole owner of UAB St. Vincent's St. Clair, LLC (the "Hospital"); and

WHEREAS, System, in its capacity as the corporate member of Hospital, proposes to amend and restate the Hospital's certificate of formation (the "Hospital Amended and Restated Certificate"), and has provided the Board with the Hospital Amended and Restated Certificate attached to this resolution as *Exhibit G*; and

WHEREAS, The Board has reviewed the contents of the Hospital Amended and Restated Certificate, and believes that it is desirable to approve the Hospital Amended and Restated Certificate in a form that is the same as or substantially similar to that which is attached hereto as *Exhibit G*;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD that the Board does hereby approve and adopt the Hospital Amended and Restated Certificate in the form that is the same as or substantially similar to that which is attached hereto as Exhibit G;

RESOLVED FURTHER that any officer of Hospital is authorized to execute and deliver or cause to be executed and delivered in the name and on behalf of Hospital such articles, certificates, agreements, or other instruments as they or any of them may deem necessary, advisable, or appropriate in order to carry into effect the intent of the provisions of this resolution.

Approving Amended and Restated Articles of Incorporation for St.
Vincent's East

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama (the "Board") is the sponsoring university of UAB St. Vincent's Health System Authority (the "Authority"); and

WHEREAS, the Authority is the sole owner of UAB St. Vincent's Health System (the "System"), and the System is the sole owner of UAB St. Vincent's East (the "Hospital"); and

WHEREAS, System, in its capacity as the corporate member of Hospital, proposes to amend and restate the Hospital's articles of incorporation (the "Hospital Amended and Restated Articles"), and has provided the Board with the Hospital Amended and Restated Articles attached to this resolution as *Exhibit H*; and

WHEREAS, The Board has reviewed the contents of the Hospital Amended and Restated Articles, and believes that it is desirable to approve the Hospital Amended and Restated Articles in a form that is the same as or substantially similar to that which is attached hereto as *Exhibit H*;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA that the Board does hereby approve and adopt the Hospital Amended and Restated Articles in the form that is the same as or substantially similar to that which is attached hereto as *Exhibit H*;

RESOLVED FURTHER that any officer of Hospital is authorized to execute and deliver or cause to be executed and delivered in the name and on behalf of Hospital such articles, certificates, agreements, or other instruments as they or any of them may deem necessary, advisable, or appropriate in order to carry into effect the intent of the provisions of this resolution.

The consent agenda also included one information item:

- Establishing the Department of American Culture and Society in the College of Arts & Sciences at UA

Pro tem Phelps said a draft of the minutes of the April 4, 2025 meeting was previously distributed for review. He asked if there were any objections to approving the minutes as distributed. Hearing none, the minutes were unanimously approved.

Pro tem Phelps said the June 6, 2025 Administrative Report was previously distributed to the Board. Pro tem Phelps asked if there were objections to the report as distributed. Hearing none, the report was accepted, a copy of which is attached hereto as Exhibit I.

Pro tem Phelps then recognized Chancellor Trant for the following report from The University of Alabama System:

Thank you, Pro tem Phelps. Good morning, everyone.

It is both a privilege and a heartfelt honor to stand before you today to recognize and celebrate the exceptional leadership and legacy of Dr. Stuart R. Bell, the 29th President of The University of Alabama. As you all know, later this summer, Dr. Bell will complete his tenure as president after a decade of excellence.

When Dr. Bell assumed the presidency in 2015, he brought with him not only a deep understanding of higher education but also a profound commitment to the core principles of teaching, research, and service that define this institution. Over the past decade, he has upheld these cherished principles – and elevated them to new heights.

Under Dr. Bell's leadership, the University has continued its historic growth. Enrollment surged from just over 36,000 in 2014 to a record 40,846 in 2024. He welcomed the largest number of in-state first-year students in the University's history this past year, and the student body now includes one of the largest populations of National Merit Scholars in the nation. These are not just numbers – these figures represent lives changed, opportunities created, and futures shaped.

Dr. Bell's focus on student success led to record-breaking retention and graduation rates, the launch of innovative degree programs, and the development of a new core curriculum designed to meet the evolving needs of today's learners. He championed wellness initiatives, expanded career services, and increased access for first-generation and

underrepresented students through programs like Vision Days and Alabama Advantage.

In research and scholarship, Dr. Bell grew the University's preeminent faculty and guided UA to achieve R1 status in the Carnegie Classification, placing UA among the most elite research institutions in the country. Sponsored research funding tripled, reaching \$270 million in 2024, and one recent analysis concludes that the University's annual economic impact is as high as \$5.6 billion.

Dr. Bell's impact extends far beyond the classroom and the lab. Through the launch of the Rising Tide Capital Campaign – the largest and most successful capital campaign in state history – Dr. Bell inspired a wave of philanthropic support that has raised nearly \$1.8 billion. These funds will support the creation of more than 1,100 new endowed scholarships and fellowships, 75 new endowed faculty positions, and transformative facilities like the Smith Family Center for the Performing Arts, currently under construction. The Crimson Standard component alone has raised hundreds of millions of dollars for athletics facility enhancements and scholarships to continue elevating student-athletes and heighten the excitement for the Crimson Tide – which, of course, continues to compete at the highest levels.

He also led the University through one of the most challenging periods in recent history: the global pandemic. Under Dr. Bell's steady leadership, UA was among the first in the nation to return to in-person classes and host a live commencement, setting a national model for resilience and recovery.

Speaking of national recognition, Dr. Bell's leadership has been recognized far and wide. He will soon conclude his tenure as President of the Southeastern Conference's Executive Committee, where he has helped shape the future of collegiate athletics during a time of profound change. He has been inducted into the State of Alabama Engineering Hall of Fame and honored by his alma mater, Texas A&M, for his outstanding contributions to engineering and education.

Perhaps the most enduring legacy of Dr. Bell's presidency is the spirit of care and community he and his wife, Susan, have brought to Tuscaloosa. As UA Chief Administrative Officer

Chad Tindol so eloquently put it, “When you look back on Susan and Stuart Bell’s time in this community, I think people are going to think those are folks who cared for this place, and the care that they had for it mattered”.

That love of the University may best be reflected by Dr. Bell’s relationship with the students, as he recently stated: “Students are at the heart of everything we do, and we will miss those interactions most of all”.

And the students, and the parents of those students, loved the attention Dr. Bell and Mrs. Bell showed them! Just go to the Instagram post where the University asked the Bama family to leave messages for the Bells, and you will see an outpouring of love and appreciation for them, their presence, accessibility and personal connection, their kindness, and their leadership. One student said, “Thank you Dr. Bell and Mrs. Bell for making UA a home.” Another said, “Your fist bumps always made my day!” An alumnus said, “An amazingly kind and caring gentleman; I’m thankful to have graduated under his leadership.” And as one parent said, “Dr. Bell was on campus for my daughter’s first day of freshman year. He was greeting the students and snapping selfies with them! He really was a president for the students [heart emoji]!

Stuart, I know how busy you have been, and I know you will be busy as you prepare to complete your tenure and enjoy some family time while your kids and grandkids are in town. But in the coming months the Board looks forward to honoring you and your legacy at The University of Alabama. For now, we wish you and Susan all the best in this next chapter of your lives and we look forward to your continued involvement with, and support of, the University, and especially to seeing you rejoin the faculty, where your passion for students will continue to inspire.

Stuart, your decade of distinction has left an indelible mark on this University, this state, and the countless lives you’ve touched. You have been a builder of dreams, a steward of excellence, and a true “legend”. Stuart, on behalf of the Board of Trustees and the entire campus community, **thank you** for your outstanding service to The University of Alabama and its students, faculty, staff, alumni, supporters and friends.

Thank you, and Roll Tide forever.

Pro tem Phelps thanked Chancellor Trant for his report.

Pro tem Phelps recognized Trustee Morrisette for a report from the Investment Committee.

Trustee Morrisette reported that the Investment Committee met on June 5, 2025, and approved the investment of funds with one new manager and one existing manager.

Pro tem Phelps asked if there were questions or comments concerning the Committee's actions. Hearing none, the report was accepted.

Granting Authorization to Negotiate and Execute Contract with Khosla Ventures, LLC

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Global Equity in the Pooled Endowment Fund; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends an investment in Khosla Ventures, LLC of up to \$20,000,000 for the Pooled Endowment Fund; and

WHEREAS, Khosla Ventures, LLC is expected to allocate the investment of the Pooled Endowment into the three funds as follows: approximately 20% to Khosla Ventures Seed G, L.P., approximately 40% to Khosla Ventures IX, L.P., and approximately 40% to Khosla Ventures Opportunity III, L.P, subject to final allocations at the discretion of Khosla Ventures, LLC, based on underlying investment opportunities of the three funds, up to \$20,000,000;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to negotiate and execute a contract with Khosla Ventures, LLC.

Granting Authorization to Negotiate and Execute Contract with WindRose Health Investors VII, L.P.

RESOLUTION

WHEREAS, the Investment Committee of The Board of Trustees of The University of Alabama has previously established a target asset allocation for Global Equity in the Pooled Endowment Fund; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, hereby recommends an investment in WindRose Health Investors VII, L.P. of up to \$20,000,000 for the Pooled Endowment Fund;

NOW, THEREFORE, BE IT RESOLVED by the Investment Committee of The Board of Trustees of The University of Alabama that the Senior Vice Chancellor for Finance and Administration, with the advice and assistance of Fund Evaluation Group, and subject to review by the University of Alabama System legal counsel be, and hereby is, authorized to negotiate and execute a contract with WindRose Health Investors VII, L.P.

Pro tem Phelps thanked Trustee Morrisette and recognized Trustee Gray for a report from the Finance Committee.

Trustee Gray reported that the Finance Committee met on June 5, 2025, and considered two resolutions. The Finance Committee unanimously recommended the two resolutions for approval. Trustee Gray moved for their approval by the Board, and the motion was duly seconded. Pro tem Phelps asked if there were questions or comments concerning any of the Finance Committee's actions. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving UA System Annual Campus Information Technology Plans for FY 2025-2026

RESOLUTION

WHEREAS, Board Rule 406 requires Board review and approval of Annual Campus Information Technology Plans (“Plans”) that exceed \$750,000 in individual expenditures in a contract term, or \$750,000 in total project cost, including renewals or extensions; and all consulting or professional services contracts \$250,000 or more for the term of the contract, including options, renewals, or extensions; and

WHEREAS, the contracts included in the Plans shall not require separate board approval unless the terms of the contract change after the plan is approved by The Board; and

WHEREAS, the Chief Information Technology Officers for the universities, the hospital and the System Office have provided their Plans for fiscal year 2025-2026, which are shown in Exhibit J.

NOW THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that the campuses and hospital are each hereby authorized to proceed with the implementation of such Plans.

Approving a Professional Services Agreement with ScottMadden
Management Consultants

RESOLUTION

WHEREAS, in accordance with Board Rule 406, The University of Alabama (“University”) is seeking approval of The Board of Trustees of The University of Alabama (“Board”) to enter into a Professional Services Agreement with ScottMadden Management Consultants (“Contractor”); and

WHEREAS, the University’s Division of Finance and Operations would like to engage the Contractor to support the organizational review and redesign of the Shared Administrative Services Unit; and

WHEREAS, the Contractor has previously collaborated successfully with the University on engagements within the Shared Administrative Services Unit, offering valuable insight and expertise; and

WHEREAS, the University seeks to leverage the Contractor’s prior experience with University staff and existing processes to build upon prior progress, thereby ensuring

continuity, enhancing operational efficiency, streamlining implementation, rather than initiating a new process with a different contractor; and

WHEREAS, the Contract will be funded from the Operations unit's operating budget in the amount of \$350,000, with reimbursable expenses not to exceed \$15,000; and

WHEREAS, the Contract will be effective June 9, 2025, and will continue through October 31, 2025; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolution granting signature authority for the University be, and each hereby is, authorized to act for and in the name of the Board to proceed with entering into Professional Services Agreement with ScottMadden Management Consultants.

Pro tem Phelps thanked Trustee Gray and recognized Trustee Humphrey for a report from the Physical Properties Committee.

Trustee Humphrey reported that the Physical Properties Committee met on June 5, 2025, and considered 17 resolutions for approval by the Board. The Committee recommended the 17 resolutions for approval. Trustee Humphrey moved for their approval by the Board, and the motion was duly seconded. Pro tem Phelps asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were adopted, separately and collectively:

Approving the revised project budget; granting authorization to execute a Construction Contract for the Student Recreation Court Expansion at UA (Stage IV)

RESOLUTION

WHEREAS, on February 7, 2025, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama ("Board") approved a Stage I submittal for the Student Recreation Court Expansion project ("Project") to be located at 550 Peter Bryce Boulevard; and

WHEREAS, the Project will entail the construction of up to ten (10) new courts with appropriate lighting and security adjacent to the existing recreational courts at the Parker-Haun Tennis Facility; and

WHEREAS, prospective students and their families increasingly look for universities that provide robust wellness and recreation programs when making their college decision; and

WHEREAS, University Recreation's ("UREC") mission is "to encourage healthy lifestyles and student success through recreation to build enduring wellness," and providing space for students to meet demand drives accomplishment of this mission, thereby contributing to student success and retention; and

WHEREAS, these additional courts are proposed to address the increasing demand and reduce high wait times for existing court access; and

WHEREAS, the Project site is accessible and vacant, and the proposed use is deemed the most appropriate and is consistent with the current use and nature of the area; and

WHEREAS, on April 4, 2025, in accordance with Board Rule 415, the Board approved the top ranked architectural firms and authorized officials of The University of Alabama ("University") to proceed with negotiations with HNP Landscape Architecture, of Birmingham, Alabama ("HNP"); and

WHEREAS, upon completion of negotiations with HNP, the University established a final design fee of 7.2% of the cost of Construction and Owner Furnished Contractor Installed ("OFCI") Equipment, plus not-to-exceed amounts of \$15,000 for additional services and \$10,000 for reimbursable expenses; and

WHEREAS, on April 4, 2025, the Board approved renderings for the Stage III submittal for the Project; and

WHEREAS, progressive alternates were originally planned to be used to incrementally add courts so as to maximize value and funds available; and

WHEREAS, upon further design, UREC requested a minimum of eight ("8") courts to meet the demands and desire that the courts be sized for tournament play with ample paths of travel surrounding the perimeters, thus reducing the option for additional courts and altering the bid day strategy; and

WHEREAS, in order to mitigate the impacts of continued long lead equipment and to allow for the courts to be completed in the least disruptive time for UREC operations, OFCI Equipment will include the purchase of necessary court lighting equipment; and

WHEREAS, on May 6, 2025, pursuant to Title 39, Public Works provisions of the Code of Alabama, the University received competitive bids for the Project, and Price Construction Company, Inc., of Peterson, Alabama (“Price Construction”) was declared the lowest responsible bidder with a base bid amount of \$1,450,000, as referenced on the certified bid tab, for work related to the Project; and

WHEREAS, the University is requesting approval to award the construction contract for the Project to Price Construction for a total contract in the amount of \$1,450,000; and

WHEREAS, the University is requesting approval for a Budget Revision from \$1,635,898 to \$2,015,000 to reflect the contract award and associated revisions to soft costs as well as the OFCI equipment; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained therein; and

WHEREAS, the Project will be funded from the Education Trust Fund Advancement and Technology Supplemental Appropriation Funds allocated in Act#2024-429 (HB 147), as further allocated by The Board of Trustees of The University of Alabama September 5, 2024, in the amount of \$2,015,000; and

WHEREAS, the Revised Budget for the Project is as stipulated below:

BUDGET:		REVISED
Construction	\$	1,450,000
Owner Furnished Contractor Installed Equipment	\$	129,900
Telecommunication/Data	\$	5,000
Contingency ¹ (Lump Sum)	\$	115,000

UA Project Management Fee ² (4.5%)	\$	76,271
Architect/Engineer Fee ³ (7.2%)	\$	147,196
Other ⁴	\$	91,633
TOTAL PROJECT COST	\$	<u>2,015,000</u>

¹Contingency is a Lump Sum amount of \$115,000.

²UA Project Management Fee is based on 4.5% of Construction, OFCI Equipment, and Contingency.

³Architect/Engineer Fee is based on 7.2% of the cost of Construction and OFCI Equipment, plus 80% of the design fee for unawarded alternates, plus not-to-exceed amounts of \$15,000 for additional services and \$10,000 for reimbursable expenses.

⁴Other expenses include Transportation Services Fees, Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget and Funding revisions for the Project are hereby approved as stipulated above.

BE IT FURTHER RESOLVED, that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby are, authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned construction contract with Price Construction Company, Inc., of Peterson, Alabama for the Project in accordance with Board Rule 415.

Approving the revised project budget; granting authorization to execute a Construction Contract for the Student Well-Being Hub at UA (Stage IV)

RESOLUTION

WHEREAS, on April 12, 2024, in accordance with Board Rule 415, The Board of Trustees of The University of Alabama ("Board") approved a Stage I submittal for the Student Well-Being Hub New Construction project ("Project"), formerly referred to as the Student Health and Wellness Building, to be located at 259 Kilgore Lane on the East Bryce Campus; and

WHEREAS, the proposed Project will provide much-needed space for multiple divisions of Student Life, including the Counseling Center, Collegiate Recovery and Intervention Services, Health Promotion and Wellness, and the Women and Gender Resource Center, and will enable these student-centric departments to make expansions

and enhancements to existing programs and service offerings, furthering the mission of service to students; and

WHEREAS, the new facility will increase support for student health and well-being, promoting a holistic view of student wellness that considers the total student and entirety of the student experience to help them establish and maintain a lifelong approach to wellness, which is an attractive offering to potential students and their families, enhancing The University of Alabama's ("University's") opportunities for increasing recruitment and enrollment as well as helping to retain current students; and

WHEREAS, the relocation of the Counseling Center, Collegiate Recovery, and the Women and Gender Resource Center is necessary as these programs are currently housed in the South Lawn Office Building for which the property lease expires at the completion of 2026; and

WHEREAS, the new construction will allow for the co-location of these divisions which will better serve the student population and enhance operations by providing a facility that maximizes operational efficiency with shared areas as appropriate while also meeting the specific needs of each program including welcoming confidential counseling spaces, an abundance of natural light, and private entrance areas as needed; and

WHEREAS, the proposed Project was originally planned to include an approximately 54,765 gross square feet ("GSF") main level of program space that would be architecturally aligned to complement the surrounding facilities and take advantage of the surrounding green space; and

WHEREAS, on June 7, 2024, to align with potential future developments in the vicinity and to ensure adequate accommodations to all students, staff, faculty, and visitors as well as facility infrastructure, the Board approved an increase of approximately 35,620 GSF, for a total facility size of 90,385 GSF, to include a district thermal energy plant and a 1500-person district storm shelter within a lower level of the proposed Project; and

WHEREAS, the district thermal energy plant will efficiently provide chilled water for the surrounding buildings including the Student Well-Being Hub, University Hall, and any future facilities in the area, such as Alumni Hall and potential future Residence Halls; and

WHEREAS, to mitigate the effects of continued industry lead time issues and deliver the Project as efficiently as possible, the Project will be separated into three (3) packages: Package A – Site Development, Package B – Main Construction and Package C – Kilgore Lane Sidewalk Connectivity; and

WHEREAS, to provide safe pedestrian passage on the north side of the site, both pre and post-construction, Construction Package C – Kilgore Lane Sidewalk Connectivity

includes a new sidewalk along the north side of Kilgore Lane to Peter Bryce Blvd from the central Bryce campus, which will consist of lighting and landscaping; and

WHEREAS, on June 7, 2024, the Board approved a budget increase from \$48,000,000 to \$64,000,000 to account for the aforementioned additional scope and related revisions to soft costs; and

WHEREAS, on June 7, 2024, in accordance with Board Rule 415, the Board authorized the University to negotiate for architectural services to be provided by Williams Blackstock Architects, Birmingham, Alabama (“WBA”); and

WHEREAS, as Housing and Residential Communities elected to defer consideration of potential adjacent residence halls at this time, on November 8, 2024, the Board approved the removal of the storm shelter from the Project scope since there are two storm shelters close to the building site, and therefore, the Project design was revised to reduce the size of the building to 82,485 GSF, which removes the storm shelter from the Project; and

WHEREAS, upon completion of negotiations with WBA, the University established a final design fee of 4.7% of the cost of construction, landscaping, and Owner Furnished Contractor Installed Equipment, plus a lump sum fee of \$88,500 in additional services, plus a not to exceed fee of \$157,800 for the project redesign to remove the district storm shelter as noted above; and

WHEREAS, on November 8, 2024, the Board approved a revised Project budget and funding from \$64,000,000 to \$59,936,000 to account for the negotiated design fee and revised scope, as mentioned above; and

WHEREAS, in accordance with Board Rule 415, on November 8, 2024, the Board approved renderings for the Stage III submittal for the Project; and

WHEREAS, on December 5, 2024, pursuant to Title 39, Public Works provisions of the Code of Alabama, the University received competitive bids for Construction Package C – Kilgore Lane Sidewalk Connectivity and L&D Moore Contracting, LLC. of Tuscaloosa, Alabama, was declared the lowest responsible bidder for the Project with a base bid in the amount \$465,000, and the work was authorized and approved pursuant to Board Rule 415, Attachment A.11; and

WHEREAS, on December 17, 2024, pursuant to Title 39, Public Works provisions of the Code of Alabama, the University received competitive bids for Construction Package A – Site Development and J. T. Harrison Construction Co., Inc., Tuscaloosa, Alabama (“Harrison”) was declared the lowest responsible bidder for the Project with an adjusted base bid in the amount \$6,319,000, for work related to the Project; and

WHEREAS, due to favorable pricing and anticipated schedule benefits the University recommended a transfer in scope from Package B - Main Construction to Package A - Site Development for the acceptance of Alternates #1: West Ramp for \$1,078,000 and Alternate #3 Level 1 Slab and Beams for \$1,154,000; and

WHEREAS, on February 7, 2025, the Board authorized the University to award the construction contract for Construction Package A – Site Development to Harrison for a total contract amount of \$8,277,000, inclusive of Alternate 1 and Alternate 3 and a negotiated potential credit of \$274,000 related to Alternate 3; and

WHEREAS, on February 7, 2025, the board approved the Budget Reallocation to reflect the abovementioned transfers in scope between packages, the bid results and associated Construction Contract and the related adjustments to soft costs; and

WHEREAS, upon further design of Construction Package B – Main Construction and analysis of the schedule requirements, the University elected to shift the scope of Alternate #3: Level 1 Slab and Beams back into the main package and proceed with the base bid and Alternate #1: West Ramp scope only for Package A - Site Development resulting in a total contract amount with Harrison of \$7,397,000; and

WHEREAS, on April 16, 2025, pursuant to Title 39, Public Works provisions of the Code of Alabama, the University received competitive bids for Construction Package B – Main Construction and WAR Construction, Inc., Tuscaloosa, Alabama (“WAR”) was declared the lowest responsible bidder with an adjusted base bid amount of \$40,778,000, as referenced on the certified bid tab, for work related to the Project; and

WHEREAS, the University desires to accept Alternate #1: North Lobby in the amount of \$986,000; Alternate #2: Clerestory Windows & North and South Cupolas in the amount of \$559,000; Alternate #3: East and West Cupolas in the amount of \$209,000; Alternate #4: Colonnades in the amount of \$464,000; Alternate #5: Terrazzo Flooring in the amount of \$39,000; and

WHEREAS, the University is requesting approval to award the construction contract for Package B – Main Construction to WAR for a total contract amount of \$43,035,000 inclusive of Base Bid and Alternates #1 through #5; and

WHEREAS, the University is requesting approval for a Budget Revision from \$59,936,000 to \$63,614,000 to reflect the bid results and associated construction contract, and the related adjustments to soft cost; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained therein; and

WHEREAS, the Project will be funded from University Central Reserves in the amount of \$15,936,000 and General Revenue Bonds Series 2024-B in the amount of \$47,678,000 for a total project cost of \$63,614,000 and will eliminate an annual lease expense in the amount of \$253,992; and

WHEREAS, the revised budget for the Project is as stipulated below:

BUDGET:		REVISED
Package A – Site Development	\$	7,397,000
Package B – Main Construction (inclusive of Landscaping)	\$	43,035,000
<i>Package C – Kilgore Lane Sidewalk Connectivity</i>	<i>\$</i>	<i>469,410</i>
Furniture, Fixtures and Equipment	\$	2,300,000
Owner Furnished Contractor Installed (OFCI) Equipment	\$	1,777,000
Security/Access Control	\$	350,000
Audio Visual	\$	101,000
Telecommunication/Data	\$	350,000
Contingency ¹ (lump sum)	\$	2,193,441
UA Project Management Fee ² (3.5%)	\$	1,920,515
Architect/Engineer Fee ³ (4.6%)	\$	2,778,932
Commissioning	\$	123,700
Other ⁴	\$	818,002
TOTAL PROJECT COST	\$	63,614,000

¹Contingency is based on a lump sum of \$2,193,441, which includes 5% of the cost of construction for Package B and the anticipated change orders for Package A.

²UA Project Management Fee is based on 3.5% of the cost of construction for Packages A - C, OFCI Equipment, and Contingency.

³Architect/Engineer Fee is based on 4.6% (updated post bid) of the cost of construction for Packages A and B and OFCI Equipment, plus 80% of the design fee for unawarded alternates for Package B, a lump sum of \$15,000 for Package C design, plus \$139,400 in additional services, plus \$174,800 for project redesign.

⁴Other fees and expenses include Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

Current Package for Contract Award Approval

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Budget and Funding revisions for the Project are hereby approved as stipulated above.

BE IT FURTHER RESOLVED, that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are authorized to act for and on behalf of The Board of Trustees of The University of Alabama in executing the aforementioned construction contract with WAR Construction, Inc., Tuscaloosa, Alabama, for Construction Package B – Main Construction for the Project in accordance with Board Rule 415.

Approving the reallocated project budget and proposed architectural design; granting authorization to execute Owner/Consultant Agreements for the Facilities Administration Community Safe Room at UA (Stage II & Stage III)

RESOLUTION

WHEREAS, on November 8, 2024, in accordance with Board Rule 415, the Board of Trustees of The University of Alabama (“Board”) approved a Stage I submittal for the Facilities Administration Community Safe Room project (“Project”) to be located at 1205 14th Street; and

WHEREAS, the Facilities Administration Community Safe Room was approved for the Federal Emergency Management Administration (“FEMA”) Hazard Mitigation Grant Program (“HMGP”) through the Alabama Emergency Management Agency (“AEMA”); and

WHEREAS, the Project will be designed by the requirements of FEMA 361 and International Code Council (ICC) 500 and is to serve as a Tornado Safe Shelter for the intended occupants; and

WHEREAS, the Project will consist of the construction of an approximately 3,588 gross square foot (“GSF”) safe shelter suitable to accommodate 332 occupants during severe weather events; and

WHEREAS, in May 2021, the Senior Vice Chancellor for Finance and Administration and the Chair of the Physical Properties Committee recommended a waiver of the Consultant Selection Process for the architectural design services of Adams Stewart Architects, LLC, of Robertsdale, Alabama (“Adams Stewart”) for three safe shelters to be designed simultaneously; and

WHEREAS, two of the three shelters previously received Board approval and have been completed while the Facilities Administration Community Safe Room was temporarily put on hold for further funding investigations; and

WHEREAS, the University is requesting approval to waive the Consultant Selection Process and to reengage the services of Adam Stewart for the design of the Project due to their significant experience with FEMA grant compliance requirements and exclusive knowledge of the design and construction proposed; and

WHEREAS, the University has negotiated a final design fee based on a lump sum of \$73,840 for Services A, B, and C, and 6.7% of the cost of construction for services E and D, plus additional services of \$35,000, plus an amount not to exceed \$12,000 for reimbursable expenses, less a credit in the amount of \$49,874 and is requesting approval of the reallocated budget below; and

WHEREAS, Encompass360, Inc, Mount Olive, Alabama (“Encompass360”) was previously engaged by the University and approved by the Board pursuant to Rule 406 to assist the University with all phases of the grant funding process for the aforementioned Community Safe Rooms and therefore has exclusive knowledge of the design and construction proposed for the Project as well as familiarity of the University’s standards, FEMA grant compliance requirements, and AEMA grant compliance requirements, the University is requesting a waiver of the Consultant Selection Process and approval to utilize Encompass360 for grant management and consultant services; and

WHEREAS, the University has negotiated a lump sum fee of \$301,000 for these services; and

WHEREAS, responsible officials at the University have received renderings for the Stage III submittal and are recommending approval of said design; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards, and the principles contained therein; and

WHEREAS, the Project will be funded from the FEMA Hazard Mitigation Grant Program in the amount of \$2,859,509 and University Central Reserves in the amount of \$990,516; and

WHEREAS, the reallocated budget for the Project is as stipulated below:

BUDGET:		REALLOCATED
Construction	\$	2,789,556
Security/Access Control	\$	70,000
Telecommunication/Data	\$	107,000
Contingency ¹ (5%)	\$	139,478
UA Project Management Fee ² (3.5%)	\$	102,516
Architect/Engineer Fee ³ (6.7%)	\$	117,691

Encompass 360 Fee	\$	301,000
Other ⁴	\$	80,495
Escalation ⁵	\$	142,289
TOTAL PROJECT COST	\$	<u>3,850,025</u>

¹Contingency is based on 5% of the costs of Construction.

²UA Project Management Fee is based on 3.5% of the costs of Construction and Contingency.

³Architect/Engineer Fee is based on a lump sum of \$73,840 for Services A, B, and C, and 6.7% of Construction for services E and D (25% of the calculated fee), plus additional services of \$35,000, plus an amount not to exceed \$12,000 for reimbursable expenses, less a credit of \$49,874.

⁴Other fees include Transportation Service Fee, Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

⁵Escalation is based on an anticipated 0.5% inflation per month and is therefore calculated at 4% for this project based on the anticipated bid date of July 2025, as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The reallocated budget for the Project is hereby approved as stipulated above.
2. The Stage III Architectural Design submittal for the Project is hereby approved.

BE IT FURTHER RESOLVED, that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are authorized to act for and on behalf of The Board of Trustees of The University of Alabama to execute an owner designer agreement with Adams Stewart Architects, LLC, of Robertsdale, Alabama, for architectural services and an owner consultant agreement with Encompass360, Inc., Mount Olive, Alabama, for grant management and consultant services in accordance with Board Rule 415 for the Project.

Granting authorization to negotiate a Master Services Agreement for Construction Materials Testing Services

RESOLUTION

WHEREAS, Construction Materials Testing services ("CMT services") are a crucial process in ensuring the quality and integrity of construction elements and are required for applicable projects across The University of Alabama ("University") campus; and

WHEREAS, these CMT services include, but are not limited to, the testing and inspection of concrete, steel frame, and masonry construction, foundations, load bearing light gauge metal framing, paving and parking, IBC required special inspections, and site and underground utilities work; and

WHEREAS, the State and International Building Codes mandate that contractors meet code and contract requirements and industry standards in terms of quality and performance and these CMT services are used to verify that such requirements are met; and

WHEREAS, the University desires to continue the effectiveness and efficiency of obtaining and providing these services through a Master Services Agreement ("Agreement"); and

WHEREAS, the Agreement will allow the University to leverage its entire volume of construction to reduce costs; and

WHEREAS, the Consultant Selection Committee, appointed by the University, has completed Part 1 of the Consultant Selection Process in accordance with Board Rule 415 and negotiations for the services will be conducted with the top-ranked firm following Board approval as follows:

Ranking of Top Firms

1. TTL, Tuscaloosa, Alabama
2. Building & Earth Sciences, Inc., Tuscaloosa, Alabama
3. MBA Engineers, Inc., Birmingham, Alabama
4. BHATE Geosciences, Birmingham, Alabama

WHEREAS, the University desires to contract services for an initial three-year term, with the option of two one-year extensions; and

WHEREAS, services will be provided to the University on a unit price basis that will be mutually agreed upon and charged per individual project; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President, Daniel T. Layzell, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama be, and each hereby is, authorized to act for and on behalf of the Board of Trustees to negotiate an Agreement with TTL of Tuscaloosa, Alabama, for Construction Materials Testing services in accordance with Board Rule 415.

Approving the preliminary project scope and budget; granting authorization to execute an Owner/Architect Agreement for the Chi Omega Sorority House Renovations at UA (Stage I & Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of Stage I and Stage II submittals for the Chi Omega Sorority House Renovations project ("Project") located at 901 Magnolia Drive; and

WHEREAS, the Project will consist of the renovation of approximately 2,600 gross square feet ("GSF"), primarily including kitchen and serving space, of the Chi Omega Sorority House to allow for better efficiency and circulation to accommodate the increased membership size of the Chapter; and

WHEREAS, to gain economies of scale and to minimize further disruptions to the members and address deferred maintenance items, the Project will also include the replacement of weathered shutters and doors as well as the reworking of the basement mail room for improved functionality, concurrent with the kitchen and servery work; and

WHEREAS, these improvements will provide an enhanced environment for Chapter members and increase the Chapter's recruiting potential for future membership growth; and

WHEREAS, to most efficiently execute the Project in the least disruptive manner, the scope has been divided into two phases, Construction Package A – Renovation ("Package A") which will include the majority of the Project scope, and Construction Package B – Select Demolition ("Package B") which will involve careful demolition of the areas receiving renovations when the house is unoccupied; and

WHEREAS, to prevent schedule delays due to long lead kitchen equipment, the Project includes Owner Furnished Contractor Installed Equipment ("OFCI"); and

WHEREAS, Seay, Seay and Litchfield Architects, of Montgomery, Alabama ("SS&L"), was engaged by the Chapter to perform programming services for the project and has valuable insight that will facilitate an efficient and effective design process; and

WHEREAS, due to SS&L's knowledge of University standards and design principles and procedures that will greatly facilitate the design and administrative process, the University is requesting, on behalf of the Chapter, approval to waive the Consultant Selection Process and to utilize SS&L for the Project; and

WHEREAS, the University, on behalf of the Chapter, has negotiated a final design fee based on 6.9% of the cost of Construction Packages A and B and OFCI with a reduced

renovation factor of 1.18, plus not to exceed additional services and reimbursables of \$8,000, and a credit for familiarity with the project of \$5,520, representing a total savings of \$15,180, which is a financial benefit to the Chapter; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded from Chi Omega Chapter Reserves in the amount of \$2,646,400, which the University has verified, and the Project will address deferred maintenance liabilities in the amount of approximately \$1,500,000; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:		PRELIMINARY
Construction Package A - Renovation	\$	1,340,000
Construction Package B – Select Demolition	\$	100,000
Owner Furnished Contractor Installed Equipment	\$	560,000
Security/Access Control	\$	15,000
Telecommunication/Data	\$	15,000
Contingency ¹ (10%)	\$	200,000
UA Project Management Fee ² (4.5%)	\$	99,000
Architect/Engineer Fee ³ (8.14%)	\$	165,320
Other ⁴	\$	75,000
Escalation ⁵	\$	77,080
TOTAL PROJECT COST	\$	2,646,400

¹Contingency is based on 10% of the cost of Construction Packages A and B and Owner Furnished Contractor Installed Equipment.

²UA Project Management Fee is based on 4.5% of Construction Packages A and B, Owner Furnished Contractor Installed Equipment, and Contingency.

³Architect/Engineer Fee is based on 6.9% of the cost of Construction Packages A and B and Owner Furnished Contractor Installed Equipment, with a 1.18 renovation factor, plus not to exceed additional services and reimbursables of \$8,000 and a credit for familiarity with the Project of \$5,520.

⁴Other expenses include Transportation Services Fees, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

⁵Escalation is currently based on an anticipated 0.5% inflation per month. Therefore, escalation is calculated at 3% for the Project through the anticipated bid date of December 2025, as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.
3. Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of the Board to execute an architectural agreement with Seay, Seay and Litchfield Architects, of Montgomery, Alabama, for architectural services in accordance with Board Rule 415 for this Project.

Approving the preliminary project scope and budget; granting authorization to execute an Owner/Architect Agreement for the Psychiatry Residency Program Renovation and Addition at UA (Stage I & Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama ("University") is requesting approval of a Stage I and Stage II submittal for the Psychiatry Residency Program Renovation and Addition project ("Project") to be located at 850 Peter Bryce Boulevard; and

WHEREAS, the Project will provide necessary space for the University's College of Community Health Sciences ("CCHS") to launch the newly accredited Tuscaloosa Psychiatry Residency Program, a four-year education and training program for medical school graduates that will prepare board-eligible residents in the field of psychiatry and help address the critical need for psychiatrists in the state; and

WHEREAS, the Project will include the renovation of approximately 5,200 gross square feet ("GSF") of the existing Betty Shirley Clinic at University Medical Center as well as an adjacent 2,700 GSF addition, which will be in keeping with the design elements of the existing facility; and

WHEREAS, the renovation and addition will provide space to serve as one of the training facilities for the resident doctors to gain supervised experience serving patients and will include exam rooms, learning exam rooms with observation areas, consult rooms, and administrative areas; and

WHEREAS, Williams Blackstock Architects, Birmingham, Alabama (“WBA”), was engaged as the consultant for the programming and concept design for the Project, and served as the architect of record for the recently completed Clinical Services and Research MRI Addition to Student Health; and

WHEREAS, as a result of the aforementioned services, WBA gained valuable familiarity and an innate knowledge and understanding of the facility and occupants as well as the unique needs of the program that will greatly facilitate the design and administrative process, and therefore the University is requesting approval to waive the Consultant Selection Process and to utilize WBA for the Project; and

WHEREAS, the University has negotiated a final design fee based on 6.5% of the cost of construction with a reduced renovation factor of 1.10, plus a not-to-exceed amount of \$7,590 for additional services and reimbursables, and less a discount credit of \$12,564 for WBA’s familiarity with the facility, representing a total fee reduction of 16%, which is a financial benefit to the University; and

WHEREAS, the site of the proposed addition is accessible and vacant, and the proposed use is deemed most appropriate and is consistent with the use and nature of the area; and

WHEREAS, the Project location and program have been reviewed and are consistent with the University Campus Master Plan, University Design Standards and the principles contained therein; and

WHEREAS, the Project will be funded with College of Community Health Sciences Reserves in the amount of \$5,575,738 and will eliminate deferred maintenance liabilities in the amount of approximately \$550,000; and

WHEREAS, the preliminary budget for the Project is as stipulated below:

BUDGET:		PRELIMINARY
Construction	\$	3,865,700
Furniture, Fixtures and Equipment	\$	406,372
Security/Access Control	\$	82,500
Telecommunication/Data	\$	89,420
Contingency ¹ (10%)	\$	386,570
UA Project Management Fee ² (4.5%)	\$	191,352

Architect/Engineer Fee ³ (~7.15%)	\$	271,424
Other ⁴	\$	200,000
Escalation ⁵	\$	82,400
TOTAL PROJECT COST	\$	<u>5,575,738</u>

¹Contingency is based on 10% of the cost of construction.

²UA Project Management Fee is based on 4.5% of the cost of construction and contingency.

³Architect/Engineer Fee is based on 6.5% of the cost of construction, plus a 1.1 renovation factor, plus a not-to-exceed amount of \$7,590 for additional services and reimbursables, and less a discount credit of \$12,564.

⁴Other expenses include Transportation Services Fees, Geotech, Construction Materials Testing, Inspections, Advertising, Printing, and other associated project costs, as applicable.

⁵Escalation is currently based on an anticipated 0.5% inflation per month. Therefore, escalation is calculated at 1.5% for the Project through the anticipated bid date of September 2025, as included in the Project Status.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal package for the Project is hereby approved.
2. The preliminary Project scope, budget, and funding, as stipulated above, are hereby approved.

BE IT FURTHER RESOLVED, that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer; or those officers named in the most recent Board resolutions granting signature authority for the University be, and hereby are, authorized to act for and on behalf of The Board of Trustees of the University of Alabama to execute an architectural agreement with Williams Blackstock Architects, Birmingham, Alabama, for architectural design services in accordance with Board Rule 415 for this project.

Granting authorization to execute a Lease Agreement for Apartments with KRE UP III East Edge Owner, LLC

RESOLUTION

WHEREAS, The University of Alabama's ("University") Housing and Residential Communities (HRC) Department requested Procurement Services' assistance in development of a solicitation for leasing of off-campus housing; and

WHEREAS, the purpose of off-campus housing is to supplement the University's residential housing availability due to the anticipated overflow demand for the 2026-27 academic year; and

WHEREAS, on January 27, 2025, a Request for Proposals (No. UA 25-109) was issued stipulating the University's need for off-campus housing; and

WHEREAS, on February 26, 2025, Procurement Services, in conjunction with HRC, received and evaluated one (1) response based on criteria including location, condition of property, safety, amenities, transportation to campus and pricing; and

WHEREAS, KRE UP III East Edge Owner, LLC. (East Edge Apartments), formerly under the management of Greystar, was selected to be awarded the lease agreement; and

WHEREAS, East Edge Apartments are situated adjacent to the University's Police Department, ensuring immediate accessibility for emergency response purposes, if needed; and

WHEREAS, the initial term of the Lease will commence on August 1, 2026, and end on July 31, 2027; and

WHEREAS, the University has determined the need for supplemental housing totaling 462 beds for the 2026-2027 year; and

WHEREAS, the total annual rent for the 2026-2027 year, including a utility overage allowance, will not exceed \$6,000,000; and

WHEREAS, the lease agreement with East Edge Apartments included the option to renew the agreement on an annual basis through July 31, 2036; and

WHEREAS, the University and East Edge Apartments will agree to a fixed rent proposal by June 1st for any renewal terms; and

WHEREAS, the University requests approval to rent up to a maximum of 600 apartments, and allowing for anticipated annual rent increases, to expend up to \$10,000,000 annually for any renewal terms through 2036.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the actions set forth above are hereby approved, authorized, and confirmed, and Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations; or any other officer named in the most recent Board Resolution granting signature authority for the University is hereby authorized and directed to execute and deliver the Agreements on behalf of the Board in substantially the form attached hereto as Exhibit K and containing such terms as described in this Resolution.

Approving the proposed architectural design for the Demolition of the
Community Care Building at UAB (Stage III - Visual Impact)

RESOLUTION

WHEREAS, to support the University's mission and improve UAB's visibility as one of the top research universities in the country, UAB is in the process of implementing a strategic plan (Plan) to improve campus facilities as well as the overall campus environment; and

WHEREAS, the Plan emphasizes utilizing the University's limited physical and financial resources as efficiently as possible while creating a contemporary, efficient, and safe environment for students, faculty, investigators, patients, and staff; and

WHEREAS, the Plan calls for the construction of new facilities where feasible; renovation of existing facilities where an efficient and economical renovation can create contemporary space; or removal of older facilities that can no longer be efficiently renovated to serve as a modern campus facility; and

WHEREAS, the Community Care Building (CCB), located at 908 20th Street South, was originally constructed in 1964 with approximately 59,718 gross square feet (GSF) of space on four floors and a basement; and

WHEREAS, the CCB was acquired by UAB in 1991 with the intent of utilizing the building to meet temporary space needs and then redeveloping the site to meet future growth and long-term space needs; and

WHEREAS, since the CCB's acquisition, UAB has utilized the building to house numerous programs including the 1917 Clinic, the 1917 Dental Clinic, and the UAB Community Psychiatry Program among others; and

WHEREAS, the CCB is now approximately sixty-one years old and, in its current configuration, is no longer able to serve these, or other, University programs adequately; and

WHEREAS, in furtherance of the Plan, the University has relocated these programs into other facilities that are better able to meet their space needs; and

WHEREAS, the University has evaluated the CCB and concluded that due to the buildings age, combined with its original design configuration, any renovation would be cost prohibitive and would yield a facility inferior to those at other peer institutions; and

WHEREAS, as the CCB is now vacant, with no plans for re-use, and to preserve this valuable real estate for future redevelopment, the University has initiated a project to demolish the CCB; and

WHEREAS, the project will demolish the existing CCB to preserve the site for future redevelopment and will renew the two existing surface parking lots, immediately south of the CCP with approximately sixty-five parking spaces, to provide temporary campus parking until the future redevelopment of the site; and

WHEREAS, the base bid for the project will include the restoration of the site where the CCB sits into green space, pending future redevelopment, and will also include the renewal of the two existing surface parking lots; and

WHEREAS, the University also intends to include an additive alternate, in the bid documents, that would allow for the construction of approximately sixty additional temporary surface parking spaces on the site where the CCB currently sits; and

WHEREAS, the demolition of the CCB will enhance the overall appearance and environment of the campus, reduce the overall age of campus facilities, and will reduce the University's operations and maintenance liabilities by approximately \$440,000 per year; and

WHEREAS, additionally, the demolition of the CCB will alleviate the need to address approximately \$4,890,000 in deferred maintenance and facilities renewal liabilities currently scheduled to be completed in the next five years; and

WHEREAS, to begin the project, the University utilized the Qualification Based Selection Process to identify a highly qualified firm to act as the engineer of record for the demolition of the CCB; and

WHEREAS, after reviewing all responses to a Request for Qualifications, the University appointed consultant selection committee identified the firm of Dynamic Civil Solutions, Inc., of Birmingham, Alabama as the firm best suited to act as the engineer of record for the project; and

WHEREAS, to complete design and proceed with the bidding of the project, UAB now needs to seek approval from The Board of Trustees of The University of Alabama for the Stage III (project scope, project budget, and visual impact) submittal; and

WHEREAS, the University has provided images of the CCB in accordance with Board Rule 415; and

WHEREAS, the project will be funded by UAB institutional reserve funds in the amount of \$1,545,000 and UAB Facilities reserve funds in the amount of \$1,455,000; and

WHEREAS, the project budget is established as follows:

Demolition Cost – (Current Cost)	\$	2,413,000
Inflation – (0.33% per month through October 2025)	\$	32,000
Construction (Bid Day Cost)	\$	2,445,000
Engineering Fees – (6.8% of Demolition Cost)	\$	166,250
Surveys, Testing, & Inspection	\$	75,000
Project Administration (3.5% of Project Cost)	\$	101,500
Contingency (5% of Construction)	\$	122,250
Other	\$	90,000
TOTAL PROJECT COST	\$	3,000,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage III submittal for the project is hereby approved.
2. The University of Alabama at Birmingham is hereby authorized to proceed with the design and bidding of the demolition of the Community Care Building.
3. Upon receipt of bids for this demolition, the University will notify the Chair of the Physical Properties Committee of the bid results and will seek approval of the Chair prior to executing a construction contract and beginning demolition activities.
4. In the event the low bid for this demolition results in an increase to the total project budget, the University will formally present a revised project budget to The Board of Trustees of The University of Alabama prior to execution of a construction contract and beginning demolition activities.

Approving the revised project scope and budget; granting authorization to negotiate an Owner/Architect Agreement for the Renovations and Addition to Bartow Arena for Entrance Lobby and Concourse Improvements, Enhanced Spectator Seating, and Club Level Lounge at UAB (Stage II)

RESOLUTION

WHEREAS, Bartow Arena (Bartow), located at 617 13th Street South, was constructed in 1988 with approximately 167,684 gross square feet (GSF) of space with a later office and administrative addition completed in 1992, which added approximately 18,988 GSF of additional space; and

WHEREAS, Bartow now provides a total of 186,672 GSF of space to accommodate UAB's men's and women's intercollegiate basketball and women's volleyball programs (Programs) competitions; and

WHEREAS, these Programs are some of the most visible and successful athletic programs on the UAB campus; and

WHEREAS, in addition to its use by the Programs, Bartow also serves as a multi-purpose venue for numerous University, community, and civic activities throughout the year; and

WHEREAS, while Bartow has received several minor renovations over the past thirty-seven years, it remains largely as it did when constructed and is now outdated resulting in a spectator experience that lags behind similar venues at rival American Athletic Conference (AAC) universities; and

WHEREAS, Bartow's ticketing functions are currently located outside of the building requiring spectators be exposed to the elements while purchasing or picking up event tickets; and

WHEREAS, the finishes, graphics, and lighting throughout the existing lobby and circulating concourse of Bartow have reached the end of their expected life and to maintain a safe and comfortable environment now require replacement; and

WHEREAS, the concourse level spectator restrooms remain largely as they did in 1988 with the fixtures and finishes having exceeded their design life and now require replacement; and

WHEREAS, Bartow does not currently have a club level lounge or club level spectator seating for enhanced viewing experience, by spectators wishing to purchase these memberships, which are amenities that have become standard for NCAA Division I athletic programs across the country; and

WHEREAS, to continue to support these important Programs, enhance the spectator experience, and improve the visibility of these Programs, the University initiated a project to construct an addition to, and renovation of, portions of Bartow to update the overall facility; and

WHEREAS, on June 9th 2023, The Board of Trustees of The University of Alabama (Board) approved the Stage I submittal for the project; and

WHEREAS, the project scope, approved in the Stage I submittal, included the construction of a new entrance lobby addition; lighting, paint, finishes, and graphics updates to the circulating concourse; construction of a new club level lounge with associated seating; new premium spectator suites above the club level lounge; new floor level spectator seating, and visual updates to the exterior envelope of Bartow; and

WHEREAS, the Stage I submittal included a construction budget of \$15,350,000 and a total project budget of \$20,300,000; and

WHEREAS, subsequent to the Stage I approval, and during the conceptual planning and design for the project, the University determined that constructing the premium spectator suites, in conjunction with the rest of the project scope, presented numerous strategic, operational, and constructability difficulties; and

WHEREAS, to complete the project timely, economically, and with minimal disruption to the Programs competition schedules, the University has elected to remove the premium spectator suites from the scope of the project and potentially address them at a future date in a separate project; and

WHEREAS, the University also determined, during the planning and conceptual design of the project, that updating the concourse level restrooms was necessary to meet spectator expectations; and

WHEREAS, the removal of the premium spectator suites from the project will result in a decrease to the overall project cost, from the previously approved \$20,300,000 down to \$14,630,000, and will require approval from the Board for a budget modification; and

WHEREAS, the revised scope of the project includes:

- 1) The construction of a new entrance lobby with approximately 7,400 GSF of new space to enhance circulation, allow ticketing activities to take place indoors, and update the overall exterior image of Bartow
- 2) The renovation of approximately 34,500 GSF of the circulating concourse with new lighting, paint, finishes, and graphics
- 3) The renovation of the concourse level restrooms with new fixtures and finishes
- 4) Construction of a new club level lounge with associated seating on the concourse level, which will provide enhanced seating options as well as hospitality amenities for spectators who purchase a club membership
- 5) Replacement of portions of the floor level retractable seating with new seating that will bring spectators closer to the court, further enhancing the spectator experience; and

WHEREAS, these improvements to Bartow will make it one of the most visible, accommodating, and inviting basketball and volleyball venues within the AAC; and

WHEREAS, subsequent to the Stage I approval, and utilizing Board Rule 415 Architectural Selection Criteria, the University appointed consultant selection committee (CSC) interviewed firms to serve as the architect of record for the project; and

WHEREAS, the CSC interviewed three firms to serve as the architect of record for the project and ranked the firms in the following order for negotiations: Goodwyn Mills Cawood of Birmingham, Alabama; Charles Williams & Associates of Birmingham, Alabama; and CDFL Architects + Engineers of Birmingham, Alabama;

WHEREAS, the University must now request approval to negotiate an equitable owner/architect agreement consistent with the size, scope, and complexity of the project as outlined in Board Rule 415; and

WHEREAS, the Senior Vice Chancellor for Finance and Administration and the Chair of the Physical Properties Committee have approved the submission of the architect of record firm rankings to the Board for review and approval; and

WHEREAS, to complete design and move forward with the project, UAB now needs to seek approval from the Board for the Stage II submittal for the project; and

WHEREAS, the project will be funded from gift funds in the amount of \$2,000,000; UAB institutional reserve/central funds in the amount of \$3,780,000; proceeds of a future UAB bond issue (Bonds), guaranteed by philanthropy, in the amount of \$8,400,000; Jefferson County Alabama grant funds in the amount of \$200,000; and UAB Facilities reserve funds in the amount of \$250,000; and

WHEREAS, officials at UAB have determined that the Board will incur certain costs in connection with the acquisition, construction, and installation of the project prior to the issuance of the Bonds, and the Board intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for certain costs incurred in connection with the acquisition, construction, and installation of the project paid prior to the issuance of the Bonds; and

WHEREAS, the preliminary project budget is established as follows:

Construction (Current Cost)	\$	11,037,180
Inflation (0.33% per month through Nov. 2025)	\$	183,320
Total Construction Cost (Bid Day Cost)	\$	11,220,500
Architect/Engineer (7.5% of Construction)	\$	841,538
Surveys, Testing, & Inspection	\$	50,000
Moveable Equipment & Furnishings	\$	300,000

Audio-Visual Equipment	\$	50,000
Project Administration (4.5% of Project Cost)	\$	630,055
Construction Contingency (10% of Construction)	\$	1,122,050
Other	\$	415,857
TOTAL PROJECT COST	\$	14,630,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. Stephanie Mullins, Vice President for Finance, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in negotiating terms of an owner/architect agreement, in accordance with Board Rule 415, with the below listed firms in the following order and thereafter advising the Senior Vice Chancellor for Finance and Administration of The University of Alabama System and the Chair of the Physical Properties Committee of the negotiated results.

Architect of Record Design Services Package:

- 1) Goodwyn Mills Cawood of Birmingham, Alabama
 - 2) Charles Williams & Associates of Birmingham, Alabama
 - 3) CDFL Architects + Engineers of Birmingham, Alabama
2. The budget modification, to reduce the total project budget from \$20,300,000 down to \$14,630,000, is hereby approved.
 3. The Stage II submittal for the project is hereby approved.
 4. The University of Alabama at Birmingham is hereby authorized to proceed with the planning and design of the project in accordance with appropriate provisions of Board Rule 415.
 5. The Board does hereby declare that it intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for expenses incurred after the date that is no more than sixty days prior to the date of the adoption of this resolution, but prior to the issuance of the Bonds in connection with the acquisition, construction, and installation of the project. This portion of this resolution is being adopted pursuant to the requirements of the Treasury Regulations Section 1.150-2(e).

Approving the revised project scope and budget for the Southern Research
- Phase I Campus Master Plan Improvements (Revised Stage II)

RESOLUTION

WHEREAS, as a result of the strategic review of SR's business conducted in 2020, SR has divested itself of its Engineering Division, and has focused its future business development activities to be more closely aligned with, and supportive of, the biomedical research activities conducted by the University of Alabama at Birmingham (UAB), by expanding its Scientific Platform and Contract Research Organization Services Divisions in the area of drug discovery and development; and

WHEREAS, SR was established in 1941 and its campus, comprised of 16 buildings with an aggregate of 282,000 gross square feet (GSF), was developed during the period between 1949 and 2013; there has been limited capital investment in SR's infrastructure since 2013 and a number of significant operational issues have arisen as a result of deferred maintenance and facilities renewal liabilities (DM/FR); and

WHEREAS, SR has developed a master campus improvement plan (Master Campus Plan) to address the DM/FR issues, help streamline operations, accommodate an expansion of existing drug discovery and development programs, and create the new space and resource capacity necessary to implement new scientific programs in diagnostic, data, and commercialization activities; and

WHEREAS, the Master Campus Plan is a three phase campus improvement and development plan, of which, Phase I addresses the highest priority needs of SR; and

WHEREAS, on September 16, 2022, The Board of Trustees of The University of Alabama (Board) approved a submittal for Phase I of the Master Campus Plan (Phase I) that included approval of the project scope, the project budget, consultant selection, and the architectural design; and

WHEREAS, the budget approved in the submittal included a construction budget of \$82,334,000 and a total project budget of \$108,600,000; and

WHEREAS, this submittal also authorized the execution of a construction management at-risk agreement with Brasfield & Gorrie General Contractors (B&G), of Birmingham, Alabama, and authorized for the award of trade contracts to the lowest responsive and responsible bidders, so long as the award of such contracts did not result in an increase to the total project budget; and

WHEREAS, as design for Phase I progressed, SR elected to make numerous changes that impact the approved scope, budget, and architectural design of the project; and

WHEREAS, these changes included refining the project space program and infrastructure needs to better support SR's strategic goals; replacement of major infrastructure items as required, but not previously identified; reprioritizing components of Phase I to subsequent phases; moving components from future phases into Phase I; and adding critical infrastructure to support future targeted research investment; and

WHEREAS, on February 2, 2024, the Board approved a submittal incorporating the revised scope, a budget modification, and the revised architectural design of the project; and

WHEREAS, the budget approved in the February 2, 2024 submittal included a revised construction budget of \$100,712,988 and a revised total project budget of \$122,100,205; and

WHEREAS, subsequent to the February 2, 2024 submittal, and as an ongoing part of SR's strategic review, SR determined that infectious disease research is the cornerstone of SR's future preclinical business, and will generate over 50% of projected revenue through 2025, 2026, and 2027; and

WHEREAS, infectious disease research will remain central to SR's strategy for building a profitable and sustainable organization, and will be crucial to its ability to secure high-value infectious disease contracts; and

WHEREAS, securing these high-value infectious disease contracts relies on maintaining state-of-the-art, fully operational Biosafety Level-3 (BSL-3) facilities, which will allow SR to meet demanding client expectations and stringent regulatory requirements; and

WHEREAS, SR's existing BSL-3 facilities have effectively supported SR's critical research and operational needs in the past; however, evolving scientific standards and increasingly stringent regulatory demands have made the capabilities of the existing facilities obsolete and unable to continue meeting these evolving demands in the future; and

WHEREAS, while SR's current BSL-3 facilities remain operational and compliant with essential safety standards, the strategic review concluded that proactive modernization is essential to ensure valuable opportunities, in high-containment infectious disease research, are not compromising SR's competitive position and long-term sustainability; and

WHEREAS, to allow SR to continue to meet the evolving scientific standards, regulatory demands, and allow SR to remain competitive for the acquisition of critical and valuable infectious disease research opportunities, SR has determined that constructing a new advanced BSL-3 facility is vital to SR's continued success; and

WHEREAS, the completion of a new advanced BSL-3 facility will significantly enhance SR's competitive advantage by expanding research capabilities, integrating cutting-edge technology, and aligning with industry best practices; and

WHEREAS, a modern BSL-3 facility will also improve operational efficiency, streamline workflows, and strategically position SR to attract new partnerships, secure additional funding, and effectively respond to emerging global health challenges; and

WHEREAS, to allow for proactive modernization of SR's BSL-3 facilities and properly support expanded and continued infectious disease research programs and capabilities, SR now desires to amend the scope of work of Phase I to include the construction of a new advanced BSL-3 facility within the SR campus; and

WHEREAS, the new advanced BSL-3 facility will be located in shell space within the basement of the New Research Building, now nearing completion; and

WHEREAS, the new advanced BSL-3 facility will provide approximately 11,085 GSF of space to provide BSL-3 laboratory space and associated research support space; and

WHEREAS, the expanded scope of Phase I includes new walls, ceilings, flooring, finishes, and the scientific furnishings and equipment required to provide the compartmentalization and containment necessary for BSL-3 research laboratories and research support spaces; and

WHEREAS, the scope also includes new heating, ventilation, and air-conditioning, systems; new electrical infrastructure, and new life-safety infrastructure specifically designed to meet the stringent requirements for BSL-3 research; and

WHEREAS: the revised scope of work for Phase I includes ten sub-projects to include:

- (a) **Cancer Cause & Prevention Building**: renovation of the Cancer Cause & Prevention Building, which houses SR's vivarium spaces to address deferred maintenance and facilities renewal (DM/FR) needs.
- (b) **Skipper Building**: renovation of the Skipper Building to add additional biosafety laboratory level 2 and 3 space and capability (BSL2/3) and to address DM/FR needs. The project will demolish the interior of the project space, purchase key equipment, and modify key infrastructure items to facilitate the future establishment of BSL2/3 labs, procedure rooms, and adjacent research support spaces to be constructed in later phases of the Master Campus Plan.

- (c) *Kettering-Meyer Buildings*: renovation of the two buildings comprising the Kettering-Meyer complex to address DM/FR needs and to renovate existing research laboratory space for future use as an incubator for new start-up companies.
- (d) *Construction of a New Research Building (NRB)*: design and construction of a new research building to provide approximately 117,000 gross square feet with four floors and a basement. The upper two floors will be fit-up to house SR's Scientific Platforms Division, which operates programs in chemistry, structural biology, high throughput screening, infectious diseases and neurosciences. The lower two floors and basement will be shelled to provide space for future program growth.
- (e) *Collaborative Pulmonary Research Infrastructure*: this includes the design and construction of a basement for the NRB as well as key infrastructure for the basement and first two floors that will support future pulmonary research.
- (f) *Martin Library 1st Floor*: renovation of the first floor of the Martin Library Building to connect to the NRB creating enhanced accessibility on SR's campus.
- (g) *Martin Library Envelope Replacement*: replacement of portions of the existing Martin Library Building envelope with large expanses of storefront glazing and spandrel panels to allow additional natural light into the building, enhance the interior building environment, and update the appearance of the building.
- (h) *Martin Library 3rd Floor*: renovation of the third floor of the Martin Library Building to address DM/FR maintenance needs and to convert existing space, currently used as a training center, to create office and administrative space for use by new start-up companies.
- (i) *Phase I Landscape/Hardscape*: design and construction of new landscaping and hardscaping throughout the core of the SR campus.
- (j) *Biosafety Level 3 Laboratory and Support Space Fit-Up*: design and construct/fit-up approximately 11,085 gross square feet of space within the basement of the New Research Building to accommodate new Biosafety Level 3 research laboratories and associated research support spaces.

WHEREAS, to incorporate the new advanced BSL-3 facility into the scope of Phase I, SR must now request approval from the Board for the revised scope and approval of a budget modification to allow for the construction of the new advanced BSL-3 facility; and

WHEREAS, the project will be funded by State of Alabama Education Trust Fund (ETF) funds in the amount of \$45,000,000; proceeds from the sale of SR's Frederick, Maryland campus in the amount of \$17,500,000; proceeds from the sale of SR's engineering assets in the amount of \$41,156,023; Jefferson County Economic Development funds in the amount of \$7,500,000; ETF 2023 Supplemental Budget funds in the amount of \$7,800,000; State Economic Development Bond 666 funds in the amount of \$3,000,000; EDA Build to Scale Grant funds in the amount of \$144,182; SR Board restricted funds in the amount of \$16,699,739; and SR operational funds in the amount of \$2,398,800; and

WHEREAS; the revised project budget for Phase I of the Master Campus Plan is established as follows:

Cancer Cause and Prevention Building Renovation	\$	4,725,228
Skipper Building Renovation	\$	734,178
Kettering-Meyer Complex Renovation	\$	8,682,186
New Research Building	\$	75,995,231
Collaborative Pulmonary Research Infrastructure	\$	6,663,574
Martin Library Envelope Replacement	\$	1,000,000
Martin Library (3 rd Floor) Renovation	\$	707,199
Phase I Landscape/Hardscape	\$	2,300,000
BSL-3 Laboratory Fit-Up	\$	15,397,065
Inflation (BSL-3 – 0.33%/Month through September 2025)	\$	152,935
Subtotal – Construction Cost (BSL3 Bid Day Cost)	\$	116,357,596
Construction Cost Savings Recognized to Date	\$	-707,000
Sales Tax Savings Recognized to Date	\$	-396,000
Total Construction Cost	\$	115,254,596
Architecture/Engineering Fees (6.54% of Construction)	\$	7,533,682
Furniture, Fixtures, and Equipment	\$	9,544,598
Technology and IT Cost	\$	43,262
Project Administration (0.21% of Project Cost)	\$	292,032
Contingency (2.90%)	\$	3,350,000
Other Associated Project Costs	\$	5,180,574

Total Project Cost	\$ 141,198,744

WHEREAS, SR intends to utilize the existing construction management at-risk agreement with B&G to complete this additional scope; and

WHEREAS, upon completion of design for the new advance BSL-3 facility, B&G will hold a competitive bid opening for all trade packages; and

WHEREAS, prior to extending B&G's existing contract and prior to execution of the trade packages, SR will present the bid results and a final project budget to the Board for approval at a future Board meeting; and

WHEREAS, pursuant to the applicable portions of Board Rule 415, SR is now requesting approval from the Board for the revised project scope and approval of a budget modification for Phase I of the Master Campus Plan project; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The revised project scope is hereby approved.
2. The budget modification to increase the total project budget from \$122,100,205 up to \$141,198,744 is hereby approved.
3. SR is hereby authorized to complete design of the new advanced BSL-3 facilities and, upon completion of design, Brasfield & Gorrie General Contractors is authorized to proceed with bidding of the trade packages.

Approving the preliminary project scope and budget for the Renewal of Building Envelope and Interior of Blount Residence Hall at UAB (Stage I)

RESOLUTION

WHEREAS, the Winton M. Blount, Jr. Residence Hall (Blount Hall) was constructed in 2001 at 1001 14th Street South on the UAB campus; and

WHEREAS, Blount Hall provides 193,522 gross square feet of space, on eight floors and a partial basement, of on-campus housing for the University's undergraduate students; and

WHEREAS, Blount Hall provides apartment style housing with both two-bedroom and four-bedroom apartments that will house 510 students; and

WHEREAS, Blount Hall is the most popular residence hall on the UAB campus and is fully occupied each academic year; and

WHEREAS, as Blount Hall is now approximately twenty-four years old, numerous systems and components of the building have reached the end of their design life and require repair or replacement, resulting in a significant backlog of deferred maintenance and facilities renewal (DM/RF) liabilities that must be addressed in the near future; and

WHEREAS, to allow Blount Hall to continue serving as the most sought-after residence hall, and provide the safe and comfortable environment necessary for students to succeed in their academic pursuits, the University has evaluated available options for addressing the buildings DM/FR liabilities; and

WHEREAS, the University initially evaluated addressing the DM/FR liabilities individually by priority; and

WHEREAS, this evaluation determined that conducting the DM/FR liabilities individually would require significant construction activities being conducted continually for several years; and

WHEREAS, the evaluation determined that, as Blount Hall is fully occupied each academic year, and cannot feasibly be taken off-line or vacated, the ongoing construction would be overly disruptive to the building occupants; and

WHEREAS, the University then evaluated the option of bundling all of the DM/FR liabilities into a single project, and utilizing a phased implementation approach, with the majority of the construction activities taking place during the summer months when Blount Hall is vacant; and

WHEREAS, after evaluating these options, the University concluded that bundling the DM/FR liabilities into a single project would allow for greater economies of scale, than addressing the DM/FR liabilities individually, and would minimize occupant disruption as much as possible; and

WHEREAS, to properly maintain Blount Hall; extend the building's longevity; and continue providing residents with safe, well maintained, and comfortable on-campus housing; the University has initiated a project to address the DM/FR liabilities and renew the building envelope and interior of Blount Hall; and

WHEREAS, the project will include numerous, building wide, DM/FR liabilities to include:

1. Replacement of the building roof.
2. Replacement of the exterior metal panels of the building envelope with similar insulated metal panels.

3. Replace the exterior windows and doors of the building.
4. Replace the kitchen cabinets in the apartments building wide.
5. Replace the carpeting in the bedrooms building wide.
6. Repair the interior door frames building wide.
7. Inspect and re-insulate the central utility service lines servicing the building.
8. Upgrade the building's fire alarm system.
9. Clean the exterior of the building.
10. Install new landscaping around the building perimeter.

WHEREAS, to move forward with the planning and consultant selection for the project, the University must now seek approval, from The Board of Trustees of The University of Alabama (Board), of the Stage I submittal for the project; and

WHEREAS, the project will be funded from proceeds of a future UAB bond issue (Bonds) in the amount of \$20,000,000 and Student Affairs reserve funds in the amount of \$2,750,000; and

WHEREAS, officials at UAB have determined that the Board will incur certain costs in connection with the acquisition, construction, and installation of the project prior to the issuance of the Bonds, and the Board intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for certain costs incurred in connection with the acquisition, construction, and installation of the project paid prior to the issuance of the Bonds; and

WHEREAS, the preliminary project budget is established as follows:

Construction (Current Cost)	\$	17,571,200
Inflation (0.33% per month through March 2026)	\$	528,800
Total Construction (Bid Day Cost)	\$	18,100,000
Architect/Engineer (5.75% of Construction)	\$	1,040,750
Surveys, Testing, and Inspections	\$	100,000
Project Administration (4.5% of Project Cost)	\$	980,000
Contingency (10% of Construction)	\$	1,810,000
Other	\$	719,250
TOTAL PROJECT COST	\$	22,750,000

NOW, THEREFORE, BE RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal for the project is hereby approved.
2. The University of Alabama at Birmingham is authorized to proceed with the planning for the project in accordance with the provisions of Board Rule 415.
3. The Board does hereby declare that it intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for expenses incurred after the date that is no more than sixty days prior to the date of the adoption of this resolution, but prior to the issuance of the Bonds in connection with the acquisition, construction, and installation of the project. This portion of this resolution is being adopted pursuant to the requirements of the Treasury Regulations Section 1.150-2(e).

Approving the preliminary project scope and budget for the Renovation of the Mervyn H. Sterne Library at UAB (Stage I)

RESOLUTION

WHEREAS, the Mervyn H. Sterne Library (Sterne) was constructed in phases between 1972 and 1987 at 917 13th Street South on the UAB campus; and

WHEREAS, Sterne now provides 169,327 gross square feet (GSF) of space and has served as the primary library facility for the academic district of the campus; and

WHEREAS, the University has conducted numerous renovations, upgrades, and maintenance efforts to Sterne through the years; however, as the as the building has aged, many of the building's major systems and components have reached the end of their design life and have resulted in a large backlog of deferred maintenance and facilities renewal (DM/FR) liabilities that need to be addressed; and

WHEREAS, to address the DM/FR liabilities as efficiently and economically as possible, and allow Sterne to continue to properly serve students, faculty, and staff, in the future; the University conducted a comprehensive study of Sterne (Study); and

WHEREAS, the Study evaluated the physical building, its components and systems, as well as the building's spatial efficiency and alignment with modern university library design objectives; and

WHEREAS, the Study clarified the DM/FR backlog; however, it also clarified the evolving functions of a university library in the digital age; and

WHEREAS, as much of the reference material that students have accessed in traditional libraries has moved online, the function of libraries has partially shifted toward group and collaborative study spaces with reference materials available either electronically or in traditional hard-copy format; and

WHEREAS, the Study also concluded that the age of the building left its appearance and configuration architecturally contrasting with many of the campus' newer buildings immediately adjacent to Sterne; and

WHEREAS, to properly maintain Sterne, extend the building's longevity, and adapt the building to meet the needs of modern students, faculty, and staff; the University has initiated a project to renovate Sterne; and

WHEREAS, the project will address numerous DM/FR liabilities including: replacement of the building roof, replacement of the fire shutters at the monumental stair, upgrading the building's fire alarm system, and upgrading the building's freight elevator; and

WHEREAS, the project will also replace portions of the building's envelope with new glass curtain wall along the northern side of the building and portions of the east and west side of the building; and

WHEREAS, the new curtain wall will be extended out, to encompass the covered exterior entryway and circulation areas, to facilitate the addition of approximately 8,399 GSF of interior building space; and

WHEREAS, adding interior square footage within the same building footprint, combined with the new curtain wall envelope, will make for the best use of this valuable real estate in the core of the academic campus and will update the building's exterior appearance to better align it with the more modern facilities adjacent to Sterne; and

WHEREAS, the new space in the building, and an additional 2,807 GSF of existing space, will be fit-up and renovated to create new group study and collaboration spaces, large assembly/conference spaces, a student lounge, a food service venue, and administrative support areas; and

WHEREAS, to provide connectivity to the new Science and Engineering Complex and concourse, immediately to the north of Sterne, the project will also upgrade the landscaping and hardscaping around the building; and

WHEREAS, to move forward with the planning and consultant selection for the project, the University must now seek approval, from The Board of Trustees of The University of Alabama (Board), of the Stage I submittal for the project; and

WHEREAS, the project will be funded from proceeds of a future UAB bond issue (Bonds) in the amount of \$15,325,000 and institutional/central reserve funds in the amount of \$1,700,000; and

WHEREAS, officials at UAB have determined that the Board will incur certain costs in connection with the acquisition, construction, and installation of the project prior to the issuance of the Bonds, and the Board intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for certain costs incurred in connection with the acquisition, construction, and installation of the project paid prior to the issuance of the Bonds; and

WHEREAS, the preliminary project budget is established as follows:

Construction (Current Cost)	\$	12,266,000
Inflation (0.33% per month through June 2026)	\$	495,000
Total Construction (Bid Day Cost)	\$	12,761,000
Architect/Engineer (5.375% of Construction)	\$	941,125
Surveys, Testing, and Inspections	\$	120,000
Moveable Equipment and Furnishings	\$	300,000
Project Administration (4.5% of Project Cost)	\$	733,120
Contingency (10% of Construction)	\$	1,276,100
Other	\$	893,655
TOTAL PROJECT COST	\$	17,025,000

NOW, THEREFORE, BE RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal for the project is hereby approved.
2. The University of Alabama at Birmingham is authorized to proceed with the planning for the project in accordance with the provisions of Board Rule 415.
3. The Board does hereby declare that it intends to allocate a portion of the proceeds of the Bonds to reimburse the Board for expenses incurred after the date that is no more than sixty days prior to the date of the adoption of this resolution, but prior to the issuance of the Bonds in connection with the acquisition, construction, and installation of the project. This portion of this resolution is being adopted pursuant to the requirements of the Treasury Regulations Section 1.150-2(e).

Approving the preliminary project scope and budget for the Renovation of the Ground Floor of Volker Hall for New Research Laboratory and Office Space at UAB (Stage I)

RESOLUTION

WHEREAS, Volker Hall (Volker) was constructed in three primary phases, between 1971 and 2003, at 1670 University Boulevard and in the heart of the UAB Campus; and

WHEREAS, Volker provides approximately 512,000 gross square feet (GSF) of space for biomedical related research; medical education; and faculty, investigator, and staff office and administrative spaces; and

WHEREAS, as the Heersink School of Medicine (HSOM) continues to grow its research enterprise, it is frequently required to relocate programs to allow for the renewal and repurposing of existing spaces to meet its evolving space needs as efficiently as possible; and

WHEREAS, the HSOM has recently undertaken a renovation of portions of the basement of Volker to provide research support space necessary to support new research programs to be housed in the new Biomedical Research and Psychology Building; and

WHEREAS, additionally, the UAB College of Arts and Sciences Department of Biology, in collaboration with the HSOM, is currently renovating portions of the ground floor of Volker to house its human anatomy instructional laboratories and laboratory support spaces; and

WHEREAS, both of these ongoing renovations have displaced numerous investigators, staff, and research programs (Programs) from the HSOM Departments of Biomedical Engineering, Medicine – Cardiology, Ophthalmology, and Medical Education; and

WHEREAS, these Programs have been relocated into temporary spaces, within other existing HSOM facilities, pending identification of new permanent space that will meet their long-term space needs; and

WHEREAS, the HSOM has evaluated its existing inventory and determined that suitable research and support space is not currently available, to meet these research programs space needs, and that providing a permanent location will require a significant renovation regardless of location; and

WHEREAS, as these Programs had been previously located within Volker, they had established research collaborations that were beneficial and essential to the successful conduct of their research; and

WHEREAS, additionally, spaces available for renovation and reuse within Volker, have much of the existing infrastructure and shared resources, that these Programs require, already in place; and

WHEREAS, to provide these Programs with ready access to collaborative programs, utilize existing research infrastructure, and provide access to shared equipment and resources, the HSOM has determined that relocating these Programs into available space on the ground floor of Volker is the most efficient and cost effective method of providing the required space for these Programs; and

WHEREAS, to properly support these Programs, the University has initiated a project to renovate portions of the ground floor of Volker to create approximately 12,000 GSF of newly renovated research laboratory, research support, office and administrative, and conference/meeting spaces; and

WHEREAS, the project will selectively demolish the interior of the project space and construct new walls, floors, ceilings, finishes, and fixtures; and

WHEREAS, additionally, the project will update or replace the heating, ventilation, and air conditioning systems; the electrical systems; and the life-safety systems as required to support contemporary biomedical research and meet applicable codes; and

WHEREAS, to move forward with the planning and consultant selection for the project, the University must now seek approval, from The Board of Trustees of The University of Alabama, of the Stage I submittal for the project; and

WHEREAS, the project will be funded from Heersink School of Medicine Reserve Funds in the amount of \$4,775,000; and

WHEREAS, the preliminary project budget is established as follows:

Construction (Current Cost)	\$ 3,422,000
Inflation (0.33% per month through March 2026)	\$ 103,000
Total Construction (Bid Day Cost)	\$ 3,525,000
Architect/Engineer (8.125% of Construction)	\$ 286,400
Surveys, Testing, and Inspections	\$ 20,000
Moveable Equipment & Furnishings	\$ 120,000
Project Administration (3.5% of Project Cost)	\$ 205,450
Contingency (5% of Construction)	\$ 352,500
Other	\$ 265,650
TOTAL PROJECT COST	\$ 4,775,000

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage I submittal for the project is hereby approved.
2. The University of Alabama at Birmingham is authorized to proceed with the planning for the project in accordance with the provisions of Board Rule 415.

Granting authorization to execute a Real Estate Sales Agreement for the disposition of Oxmoor Property (12 acres)

RESOLUTION

WHEREAS, in March 1995, The University of Alabama at Birmingham (the "University") and the UAB Research Foundation (the "Foundation") received approximately 39 acres of land from the City of Birmingham for the development of a research park located in the Oxmoor area along Lakeshore Parkway in Birmingham, Alabama; and

WHEREAS, the Board of Trustees approved the initial sale of 26.7 acres to local multi-family developer at the February 2021 board meeting; and

WHEREAS, the UAB Research Foundation solely owns the remaining 12 acres (the "Property") and desires to sell the Property so that the proceeds may be utilized for the benefit of the Foundation; and

WHEREAS, the Property is not currently being used and will not be utilized by the Foundation in furtherance of its charitable, scientific and educational purposes; and

WHEREAS, The Board of Trustees of The University of Alabama approved the sale of this property to DR Horton Inc. at the April 2024 meeting, however the contract was later terminated by DR Horton Inc.; and

WHEREAS, the Foundation has reached a tentative agreement to sell this Property for \$1,082,000 to Raeden Inc. ("Raeden") contingent upon approval by The Board of Trustees of The University of Alabama; and

WHEREAS, the Foundation has made a concerted effort to market this Property in accordance with the provisions of Board Rule 415, and considers the above referenced

offer of \$1,082,000 fair and reasonable based on the existing condition of the Property and its appraised value;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it consents to the sale of the Property by the Foundation and that those officers named in the most recent Foundation resolutions granting signature authority for the Foundation are hereby authorized to execute the necessary documents to effectuate the sale of the Property to Raeden, Inc.

Approving the acceptance of gift property; granting authorization to execute a Real Estate Sales Agreement for the disposition of the Trigg Gift Property

RESOLUTION

WHEREAS, in January 2024, The University of Alabama at Birmingham (the "University") received notice of receipt of real estate property located at 616 10th Court South, Birmingham ("Property"), Alabama via the Last Will and Testament of Becky Marie Trigg dated April 10, 2010; and

WHEREAS, the Property includes a residence located within the Glen Iris neighborhood and it will not be utilized for University expansion, development, or occupancy, and is further not a part of the long-range master plan of the University; and

WHEREAS, Pursuant to Board Rule 411, The Board of Trustees of The University of Alabama on behalf of the University, accepts this generous gift of Property from the estate of Becky Marie Trigg;

WHEREAS, the University now desires to sell the Property so that the proceeds may be utilized by the UAB Department of Sociology per the donor's wishes; and

WHEREAS, the University has made concerted efforts to market the Property in accordance with the provisions of Board Rule 415, and considers the below referenced offer fair and reasonable based on the existing condition of the Property and the Broker Opinion of Value;

WHEREAS, the University has reached tentative agreement to sell the property to Derrick Ussery contingent upon approval by The Board of Trustees of The University of Alabama; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it herewith accepts with appreciation this generous gift from the estate of Becky Marie Trigg.

BE IT FURTHER RESOLVED by The Board of Trustees of The University of Alabama that Stephanie B. Mullins, Vice President for Finance, or those officers named in the most recent Board Resolutions granting signature authority for The University of Alabama at Birmingham, are hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in executing the necessary documents to effect the sale of said Property.

BE IT FURTHER RESOLVED that the University be authorized to take other actions necessary to complete the sale of the Property on behalf of The Board of Trustees of The University of Alabama.

Granting authorization to execute a Real Estate Sales Agreement for the acquisition of Membership Interest in the Cotton Exchange of Cullman Property

RESOLUTION

WHEREAS, UAB Medicine Enterprise (“UABME”) is a private non-profit corporation that manages the clinical operations of its members, The Board of Trustees of The University of Alabama (“UA Board”) and University of Alabama Health Services Foundation, P.C. (“HSF”); and

WHEREAS, UAB Medicine Enterprise has entered into a non-binding agreement (the “Agreement”) with Davis Properties Ltd and Wyatt Family Trust (the “Sellers”) to purchase 75% of the ownership interest of the business entity owning the property located at 212 4th Avenue Southeast in the City of Cullman, Alabama (the “Property”), for a Purchase Price of \$2,100,000; and

WHEREAS, 25% of the ownership interest in the Property is owned by HWWC Real Estate Company, LLC, a related entity to Henderson and Walton Women’s Center, PC; and

WHEREAS, the transaction will provide UABME a location to establish a clinical presence in Cullman, Alabama; and

WHEREAS, it has been determined to be in the best interest of the UA Board, acting through its UAB operating division, for UABME, or an affiliate, to purchase the majority ownership interest in the Property; and

WHEREAS, this purchase will be funded by UABME Operating Funds; and

WHEREAS, the UAB Health System Authority Board of Directors have reviewed and recommend approval of this item;

NOW, THEREFORE, BE IT RESOLVED, by The Board of Trustees of The University of Alabama that the proposed acquisition contract, based on the terms of the Membership Interest Purchase Proposal dated April 7, 2025, is hereby conditionally approved, and UABME is approved to negotiate and execute an acquisition contract in the same or substantially the same form as attached hereto as Exhibit L, provided, however, that any significant variation from the terms stated in the Membership Interest Purchase shall require further consideration and approval from the Board of Trustees of The University of Alabama; and

BE IT FURTHER RESOLVED, by The Board of Trustees of The University of Alabama that Dawn Bulgarella, Chief Executive Officer of UABME, or those officers named in the most recent UABME Board Resolution granting signature authority for UABME are hereby authorized to execute the contract for acquisition of the ownership interest in Property from the Seller for the Purchase Price and to take all other actions necessary to complete the purchase of the ownership interest in the Property under the terms stated above and as set out in the sales contract.

Granting authorization to negotiate an Owner/Consultant Agreement for the Center for Cybersecurity Research, Education, and Advanced Training at UAH (Stage II)

RESOLUTION

WHEREAS, in accordance with Board Rule 415, The University of Alabama in Huntsville is requesting approval for a Stage II submittal for the Center for Cybersecurity Research, Education & Advanced Training project ("project"); and

WHEREAS, the project includes the modification and renovation of 45,000 square feet of space on the first and second floors to provide a new, interdisciplinary research and academic facility that shall be home to the UAH Center for Cybersecurity Research & Education and include modern classroom and training spaces, research laboratories, office space, and finish upgrades to the main building lobby; and

WHEREAS, the Center for Cybersecurity Research, Education & Advanced Training project (formerly the Education & Advanced Training Facility) is included as an Educational and General project in the FY2024 UAH Annual Consolidated Capital Projects and Facilities Report; and

WHEREAS, this building is identified as needing major renovation in the 2024 Campus Master Plan; and

WHEREAS, on September 1, 2023, The Board of Trustees of the University of Alabama approved the Stage I submittal for the preliminary project scope and budget for

the Center for Cybersecurity Research, Education & Advanced Training project located at 550 Sparkman Drive; and

WHEREAS, subsequent to the Board of Trustees approval granted on November 3, 2023, the university entered into an Owner/Architect Agreement with Fuqua and Partners Architects to complete the design for the Project; and

WHEREAS, subsequent to the Board of Trustees approval granted on April 4, 2025 the university entered into an Owner/Consultant Agreement with Freedom Real Estate & Capital to serve as Owner Representative; and

WHEREAS, utilizing Board Rule 415 Architects, Engineers and Construction Professionals selection criteria, the University appointed Consultant Selection Committee interviewed qualified firms to provide Commissioning Services, directly related to the design and construction project; and

WHEREAS, the Consultant Selection Committee interviewed three firms to serve as the Commissioning Firm for the project and ranked firms in the following order for negotiations:

1. Gresham Smith
2. Environmental Systems Corporation
3. Nunnelly & Associates, Inc.

WHEREAS, the University must now request approval to negotiate an equitable Service Agreement consistent with the size, scope, and complexity of the project as outlined in Board Rule 415; and

WHEREAS, the Chair of the Physical Properties Committee and the Senior Vice Chancellor for Finance & Administration approved the above ranking of firms for inclusion in the Board materials and for consideration at the June 2025 Board meeting; and

WHEREAS, on April 4, 2025, the Board of Trustees approved the revised project budget as follows:

Project Budget		Totals
Construction Costs	\$	26,125,000
Professional Fees (6.63%)*	\$	1,747,250
UAH Project Management Fees (1.75%)**	\$	509,879
Owners Representative Services (2.75%)**	\$	801,239
Furniture, Furnishings & Equipment	\$	840,000
Commissioning Services	\$	75,000

Survey, Testing, Inspections & Misc.	\$ 775,720
Construction Contingency (10%)	\$ 2,612,500
Escalation (3.0%) ***	\$ 913,412
Total Project Cost	\$ 34,400,000

* *Professional Fees include Architect Basic Services, Special Services and Reimbursable Expenses. Architect's Basic Services fee is based on 6.63% of the Construction Costs per DCM's State Fee Schedule.*

** *UAH Project Management Fees have been reduced from the standard UA System Fee Schedule of 4.5% to accommodate fees for Owner Representative Services.*

*** *Escalation is based on an annual rate of 4% and is applied to all project costs excluding the Construction Contingency to accommodate potential cost increases over the next 10 months prior to the anticipated notice to proceed for Construction.*

WHEREAS, the project will be funded from State Supplemental Appropriations in the total amount of \$34,400,000;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that:

1. The Stage II Submittal is hereby approved.
2. Dr. Charles L. Karr, President, or Brad Cooper, Interim Chief Financial Officer, at The University of Alabama in Huntsville, is hereby authorized to act for and in the name of The Board of Trustees of The University of Alabama in negotiating terms of an Owner/Consultant Agreement in accordance with Board Rule 415, with the below-listed firms in the following order and thereafter advise the Chair of the Physical Properties Committee and the Senior Vice Chancellor of Finance & Administration of The University of Alabama System of the negotiated results:

Commissioning Services:

1. Gresham Smith
2. Environmental Systems Corporation
3. Nunnelly & Associates, Inc.

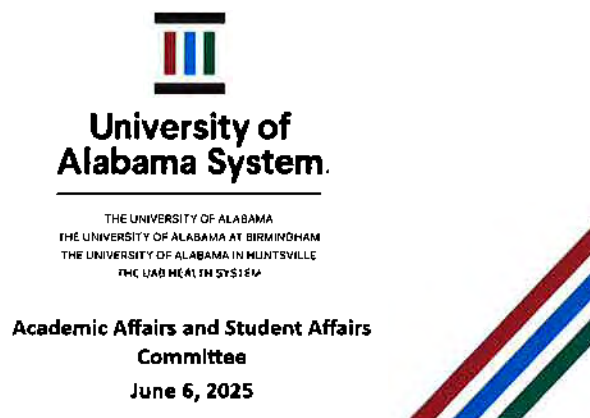
Trustee Humphrey also reported that the Committee reviewed one information item:

- Report on Interior Renovation Construction Contract Awards

Pro tem Phelps thanked Trustee Humphrey and asked that she give the report from the Academic Affairs and Student Affairs Committee.

Trustee Humphrey reported that the Academic Affairs and Student Affairs Committee met on June 6, 2025, considered 32 items, and heard a report on 17 information items. The Committee unanimously recommended the 32 resolutions for approval. Trustee Humphrey moved for their approval by the Board, and the motion was duly seconded. Pro tem Phelps asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

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**GRANTING FINAL APPROVAL FOR A MASTER OF SCIENCE
(M.S.) DEGREE IN BIOLOGICAL ENGINEERING
(CIP CODE 14.0501) AT UA**



The Board of Trustees reviewed and granted initial approval of a full proposal for the M.S. Degree in Biological Engineering (CIP Code 14.0501) in the Department of Chemical and Biological Engineering in the College of Engineering on February 7, 2025.

The Alabama Commission on Higher Education will approve this Program based on a positive recommendation by the Commission's staff at its June 13, 2025, meeting.

ACTION REQUESTED: That the Board of Trustees grant final approval of the M.S. Degree in Biological Engineering (CIP Code 14.0501) at The University of Alabama.

Govenda: B.1

Granting Final Approval for a Master of Science (M.S.) degree in Biomedical Engineering (CIP Code 14.0501) in the Department of Chemical and Biological Engineering in the College of Engineering at UA

RESOLUTION

WHEREAS, on February 7, 2025, the Board of Trustees reviewed and granted initial approval of a full proposal for a Master of Science (M.S.) degree in Biomedical Engineering (CIP Code 14.0501) in the Department of Chemical and Biological Engineering in the College of Engineering; and

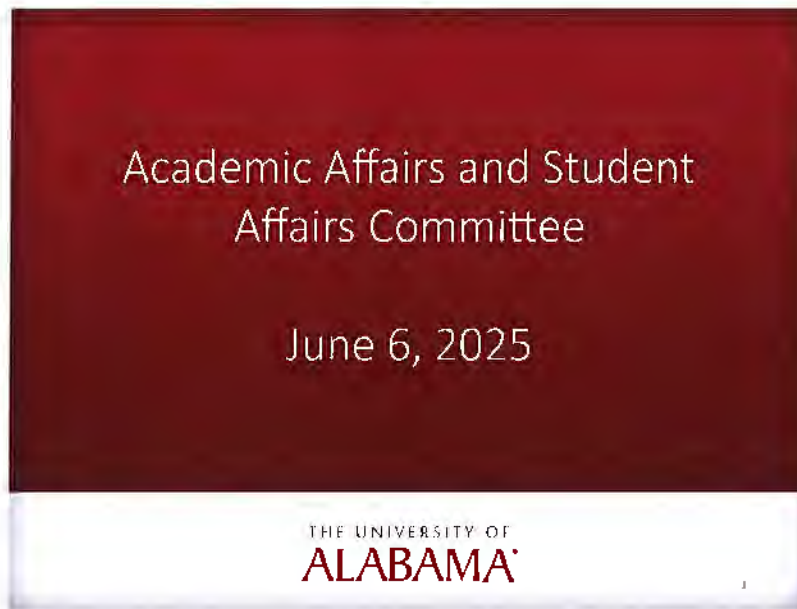
WHEREAS, this degree program will help prepare students for study in medical school and Ph.D., programs in Chemical Engineering and Biomedical Engineering; and

WHEREAS, the proposed Biomedical Engineering (M.S.) program will provide training with breadth and depth of knowledge related to the biomedical field to prepare students for professional practice in biomedical or biotechnology industries; and

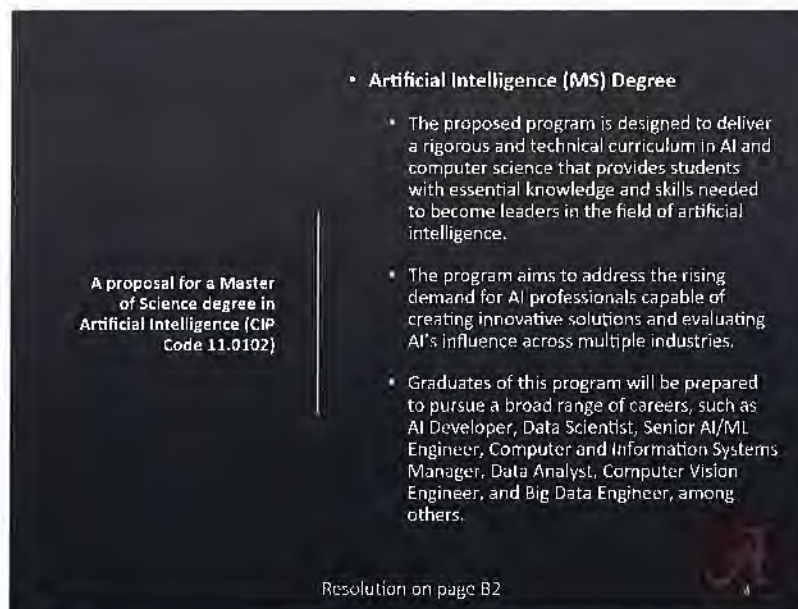
WHEREAS, the Alabama Commission on Higher Education (ACHE) is expected to approve the Program based on a positive recommendation by the Commission's staff at its June 13, 2025, meeting;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants final approval of a Master of Science (M.S.) degree in Biomedical Engineering (CIP Code 14.0501) at The University of Alabama.

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A proposal for a Master of Science degree in Artificial Intelligence (CIP Code 11.0102)

- **Artificial Intelligence (MS) Degree**
- The MS in Artificial Intelligence will provide students with a strong foundation to work at the forefront of AI and engage in transformative research, while leveraging the research opportunities offered by the Alabama Center for the Advancement of Artificial Intelligence (ALA-AI), whose affiliated faculty rely on a pipeline of talented graduate students.
- Building on faculty expertise and leveraging existing resources, the program will be housed within the Department of Computer Science in the College of Engineering, beginning Fall 2026.

Resolution on page 82

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Granting Initial Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) degree in Artificial Intelligence (CIP Code 11.0102) in the Department of Computer Science in the College of Engineering at UA

RESOLUTION

WHEREAS, there is an urgent and growing need for professionals with expertise in artificial intelligence (AI) to design innovative AI techniques, apply existing methodologies, and critically assess the impact of AI on society and industry; and

WHEREAS, the proposed degree program is intentionally structured to offer a rigorous, technical curriculum that equips students with the foundational knowledge and skills required to lead in the field of artificial intelligence and to pursue advanced research at the doctoral level; and

WHEREAS, graduates of this program will be well-prepared to enter a wide array of professions, including but not limited to AI Developer, Data Scientist, Senior AI/ML Engineer, Computer and Information Systems Manager, Data Analyst, Computer Vision Engineer, and Big Data Engineer; and

WHEREAS, employment opportunities for graduates span a broad spectrum of sectors, including healthcare, banking and financial services, e-commerce, manufacturing, automotive, government and military, pharmaceuticals, and agriculture; and

WHEREAS, through comprehensive training in both artificial intelligence and computer science, graduates of this program will possess the interdisciplinary expertise needed to meet the increasing demand for AI professionals and to contribute meaningfully to innovation and progress across diverse industries;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it grants initial approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) degree in Artificial Intelligence (CIP Code 11.0102) in the Department of Computer Science in the College of Engineering at The University of Alabama.

Approving Participation in the Child and Adult Care Food Program at UA

RESOLUTION

WHEREAS, The University of Alabama ("University") College of Human Environmental Sciences Children's Program ("Children's Program") is seeking approval to renew participation in the Child and Adult Care Food Program ("CACFP"); and

WHEREAS, CACFP is a federal program providing reimbursements to approved programs for nutritious meals and snacks for eligible children participating in child care centers; and

WHEREAS, the Children's Program is an accredited laboratory school complete with observation and research booths, playground access, and a fully equipped kitchen that serves a hot lunch and two nutritious snacks daily to CACFP eligible children; and

WHEREAS, the Children's Program is applying to renew participation in CACFP; and

WHEREAS, the United States Department of Agriculture's Food and Nutrition Service requires the University to seek the Board's approval to participate in CACFP to serve nutritious meals and snacks to eligible children participating in the Children's Program; and

WHEREAS, participation has been studied and approved by appropriate University officials, and is recommended.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Stuart R. Bell, President; Daniel T. Layzell, Vice President for Finance and Operations and Treasurer, or those officers named in the most recent Board Resolution granting signature authority for the University be, and each hereby is, authorized to act for and in the name of the Board to proceed with participating in the Child and Adult Care Food Program.

Approving Closure of the Alabama Cyber Institute at UA

RESOLUTION

WHEREAS, The University of Alabama (“University”) seeks approval of the recommendation to close the Alabama Cyber Institute (“ACI”); and

WHEREAS, ACI advances computational research, empowers faculty-led initiatives supporting the University’s key research areas, and fosters collaboration through an interdisciplinary approach; and

WHEREAS, the University’s highly anticipated High-Performance Computing and Data Center (HPC) is expected to support conducting cutting-edge research and workforce development that drives innovation and excellence in computational science; and

WHEREAS, the HPC will provide the greatest value for the University and System communities and can incorporate ACI work, including affiliated faculty and staff; and

WHEREAS, the University will collaborate closely with ACI faculty and staff to include their work into the overall development of a more formal proposal for the establishment of the High-Performance Computing and Data Center, which is expected to be proposed to the Board in fall of 2025; and

WHEREAS, there will be no impact on students, gifts, or endowments as a result of this closure and the University, following Board Rule 503, requests approval for this administrative action as part of the first phase of a two-phase plan;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the recommendation to close the Alabama Cyber Institute at The University of Alabama, effective September 30, 2025.

BE IT FURTHER RESOLVED that the Alabama Cyber Institute listing be removed from The University of Alabama System’s inventory of Centers and Institutes on October 1, 2025.

Approving Appointment of Dr. Angelos Sikalidis as the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition at UA

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama approved the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition on September 16, 2022; and

WHEREAS, The Board of Trustees of The University of Alabama stipulates that the title of the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition be used to facilitate and reinforce the establishment of a robust nationally-recognized research program that will make a difference in the quality of teaching and research in the Department of Human Nutrition, Hospitality, and Sport Management and the College of Human Environmental Sciences; and

WHEREAS, Dr. Angelos Sikalidis, who will serve as a tenured, full professor and department chair in the Department of Human Nutrition, Hospitality and Sport Management in the College of Human Environmental Sciences, has been recommended to hold the title of the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition upon his arrival at The University of Alabama; and

WHEREAS, Dr. Sikalidis received his Bachelor of Science degree in Food Science & Technology from Aristotle University of Thessaloniki in 2003; his Master of Science degree in Molecular & Biochemical Nutrition from the University of California at Berkeley in 2006; and his Doctor of Philosophy degree in Molecular Nutrition from Cornell University in 2010; and

WHEREAS, Dr. Sikalidis completed his post doctorate work in Immunology from Harvard Medical School in 2013; and

WHEREAS, Dr. Sikalidis has research expertise in nutrition interventions and diabetes management that aligns well with the Department's research priorities and supports the University's broader commitment to impactful research and innovation that addresses societal needs; and

WHEREAS, Dr. Sikalidis has an extensive research record, with 43 peer-reviewed journal articles, 11 oral presentations, 12 poster presentations, five book chapters, and one edited book in the field of nutrition; and

WHEREAS, Dr. Sikalidis has experience securing external funding and has been involved in multiple research collaborations that align with funding opportunities in

nutrition science and has secured a total of \$1,140,926 in extramural funding from 2018-2023; and

WHEREAS, Dr. Sikalidis will teach both undergraduate and graduate-level courses in the Department, bringing his expertise in nutrition science, metabolism, and research methodology to the curriculum; and

WHEREAS, Dr. Sikalidis has a significant amount of teaching experience, having taught undergraduate and graduate courses in such areas as Introduction to Nutrition, Food Science, Experimental and Functional Food Science, Research Methods in Nutrition, and Grant Writing for Translational Nutrition Research; and

WHEREAS, Dr. Sikalidis has experience as a professor and administrator at California Polytechnic State University, where he held roles including Nutrition Area Lead, MS Graduate Coordinator, and member of the Academic Senate Grants Review Committee;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves the appointment of Dr. Angelos Sikalidis as the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition at The University of Alabama for a three-year term, renewable at the discretion of the Dean of the College.

PowerPoint

Dr. Philip Ernst, Endowed Shelby Distinguished Professor

Dr. Ernst is a **world leader in computational chemistry**, leveraging advanced computer systems to address critical national issues in energy and the environment.



Research Areas:

- Applied Probability
- Mathematical Finance
- Mathematical Statistics
- Operations Research
- Queueing Systems
- Stochastic Control

Current areas of application include: climate science, computational finance, military science, and systems and control engineering.

Career Path

Dr. Ernst currently holds the **Chair in Statistics and Royal Society Wolfson Fellowship at the Imperial College of London**. He earned his Bachelor of Arts (A.B.) degree in Statistics from Harvard and his research master's (AM) degree and Ph.D., both in Statistics, from the Wharton School of the University of Pennsylvania. He served on the faculty of Rice University before joining the Imperial College of London.



Govenda: C.3

Ernst: Significant Awards and Honors

- **2026 Medallion Award & Lecture**, Institute of Mathematical Statistics (IMS) -- (each year, eight Medallion Awards are given across all areas of statistics and probability. The award is one of the IMS's highest honors)
- **2025 Fellow of the Institute of Mathematical Statistics (IMS)**
- **2023-2026 British Academy/Wolfson Fellowship**
- **2022-2027 Royal Society Wolfson Fellowship**
- **2022 Committee of Presidents of Statistical Societies (COPSS) Emerging Leader Award**
- **2020 INFORMS Donald P. Gaver, Jr. Early Career Award for Excellence in Operations Research** (This prize is awarded annually to one scholar worldwide within ten years of receipt of the Ph.D. for excellence in research.)
- **2018 Institute of Mathematical Statistics (IMS) Tweedie New Researcher Award** (this prize is awarded annually to one scholar worldwide within six years of receipt of a Ph.D. It is widely considered **the most prestigious international research prize** for a junior researcher in the fields of mathematical statistics or applied probability)
- **2018 U.S. Army Research Office (ARO) Young Investigator Award**



Govenda: C.3

Scholarship, Teaching, and Service

- Dr. Ernst has **40 publications to date**, with **eight** additional papers under review or invited revision. His work has appeared in the very top outlets in his field, including *Bernoulli*, *Mathematics of Operations Research*, *SIAM Journal on Control and Optimization*, *Stochastic Processes and their Applications*, *The Annals of Applied Probability*, and *The Annals of Statistics*.
- **The British Academy/Wolfson Fellowship (UK)**. "Sustainability of agrarian societies in the Lake Chad basin," £128,626; **Royal Society Wolfson Fellowship (UK)**. "New frontiers in statistical inference for stochastic processes," £319,941; **U.S. Office of Naval Research (ONR) Mathematical Data Science Program N00014-21-1-2672**. "Nonparametric tests of independence for pairs of paths of stochastic processes," \$424,658; **U.S. Army Research Office (ARO) Young Investigator Award YIP-71636-MA**. "Next-generation quickest detection," \$235,614; **National Science Foundation (NSF) DMS-1811936**. "Exact and asymptotic distribution theory for general Gaussian processes," \$250,000; **U.S. Office of Naval Research (ONR) Mathematical Data Science Program N00014-18-1-2192**. "Statistical inference for stochastic processes with correlations," \$345,226
- Dr. Ernst won teaching awards in seven of the nine years he was employed at Rice University. This was highlighted by Ernst receiving the **2022 George R. Brown Award for Excellence in Teaching**, Rice University's **most prestigious teaching award**. This award is given annually to one professor at Rice University based on alumni voting.
- Dr. Ernst is currently an **Associate Editor for six journals** in his field: *Journal of the American Statistical Association: Theory & Methods*, *Journal of Stochastic Analysis*, *Mathematics of Operations Research*, *Statistics & Probability Letters*, *Stochastics*, and *The American Statistician*.



Govenda: C.3

Approving Appointment of Dr. Philip Ernst as an Endowed Shelby Distinguished Professor at UA

RESOLUTION

WHEREAS, The Shelby Endowment for Distinguished Faculty was established on February 2, 2023, in recognition of Senator Richard Shelby and Dr. Annette Shelby, who have long shared a dedication to education and the growth of Alabama's capstone of higher education, as exhibited in their lifetimes of service in the Senate and in higher education; and

WHEREAS, The Shelby Endowment for Distinguished Faculty implements the vision of Senator Shelby and Dr. Shelby of multiplying The University of Alabama's impact in STEM by recruiting and retaining preeminent STEM faculty, and fully supporting such faculty as they actively and consistently engage in teaching, research, and service at The University of Alabama, and thereby contributing to the development and security of the people of Alabama and the United States; and

WHEREAS, The University of Alabama has recommended the appointment of Dr. Philip Ernst as an Endowed Shelby Distinguished Professor; and

WHEREAS, Dr. Ernst earned his Ph.D., in Statistics and an A.M. in Statistics from the Wharton School of the University of Pennsylvania; and

WHEREAS, Dr. Ernst has significantly contributed to his field, authoring more than 40 theoretical publications and shared his expertise through more than 75 invited research talks and as an associate editor for several prestigious journals in statistics and operations research; and

WHEREAS, Dr. Ernst's research has garnered more than \$2.2 million in funding from the National Science Foundation (NSF), The Royal Society, The British Academy, the U.S. Office of Naval Research, and the U.S. Army Research Office; and

WHEREAS, Dr. Ernst's outstanding achievements have been recognized throughout his career, including The British Academy Wolfson Fellowship, the Henri Lebesgue Chair, and the Institute of Mathematical Statistics Medallion Award;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the appointment of Dr. Philip Ernst as an Endowed Shelby Distinguished Professor at The University of Alabama.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to appropriate officials of The University of Alabama.

PowerPoint

Dr. David Dixon, Endowed Shelby Distinguished Professor

Dr. Dixon is a **world leader in computational chemistry**, leveraging advanced computer systems to address critical national issues in energy and the environment.



Research Areas:

- Heterogeneous and homogeneous catalysis
- Heavy element chemistry for DOE environmental cleanup of nuclear weapon sites and advanced nuclear fuel cycles
- Acid gas capture from energy generation
- Chemical hydrogen storage materials for transportation, fuel cells, and hypersonic aircraft

His impactful work underscores his dedication to solving pressing environmental and energy challenges for the state and the Nation.

Career Path

Dr. Dixon is an exceptional scientist and educator, holding the Robert Ramsay Chair in the Department of Chemistry at The University of Alabama since 2004. He earned his B.S., in Chemistry from Caltech and a Ph.D., in Physical Chemistry from Harvard.



Govenda: C.4

PowerPoint

Significant Contributions to the Field of Chemistry



- **Authored over 940 publications**, including more than 840 peer-reviewed articles, and holds an impressive h-index of 106 with more than 49,000 citations.
- **Secured a UA patent for advanced hydrogen liquid fuels** (with an undergraduate co-author). His research has garnered over \$7 million in funding from the NSF and DOE and almost \$9 million from DoD.
- **Developed a novel, rigorous approach to reliably predict energy properties for compounds containing all elements of the Periodic Table.** This methodology was notably applied to help address the issue of ozone depletion in the stratosphere.
- **Significantly influenced research directions and priorities in computational science for energy and environmental applications** through his service on multiple DOE basic research needs workshops, NSF Supercomputer Center review committees, and advisory panels for the Environmental Molecular Science Laboratory at Pacific Northwest National Laboratory.



Govenda: C.4

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Exemplary Mentorship and Recognition

Dr. Dixon has demonstrated a strong commitment to mentoring, supervised the research of over 110 undergraduate students, many of whom have distinguished themselves by receiving prestigious awards, including 12 Goldwater Scholar Awards and over 60 University of Alabama Randall Outstanding Undergraduate Research Awards. He has 60 peer reviewed publications with undergrad co-authors from UA.



Dr. Dixon's outstanding achievements have been recognized throughout his career, including a Harvard Junior Fellowship, the Leo Hendrik Baekeland Award of the American Chemical Society (ACS), the ACS Award for Creative Work in Fluorine Chemistry, the DOE Hydrogen Program R&D Award for Outstanding Contributions to Hydrogen Storage Technologies, and The University of Alabama Burnum and Blackmon-Moody Awards.



In 2022, he was named to the ARCS (Achievement Rewards for College Scientists) Alumni Hall of Fame.



WHERE LEGENDS ARE MADE

Govenda: C.4

Approving Appointment of Dr. David Dixon as an Endowed Shelby Distinguished Professor at UA

RESOLUTION

WHEREAS, The Shelby Endowment for Distinguished Faculty was established on February 2, 2023, in recognition of Senator Richard Shelby and Dr. Annette Shelby, who have long shared a dedication to education and the growth of Alabama's capstone of higher education, as exhibited in their lifetimes of service in the Senate and in higher education; and

WHEREAS, The Shelby Endowment for Distinguished Faculty implements the vision of Senator Shelby and Dr. Shelby of multiplying The University of Alabama's impact in STEM by recruiting and retaining preeminent STEM faculty, and fully supporting such faculty as they actively and consistently engage in teaching, research, and service at The University of Alabama, and thereby contributing to the development and security of the people of Alabama and the United States; and

WHEREAS, The University of Alabama has recommended the appointment of Dr. David Dixon as an Endowed Shelby Distinguished Professor; and

WHEREAS, Dr. Dixon has earned his B.S. in Chemistry from the California Institute of Technology and a Ph.D., in Physical Chemistry from Harvard; and

WHEREAS, Dr. Dixon is a world leader in computational chemistry, leveraging advanced computer systems to address critical national issues in energy and the environment; and

WHEREAS, Dr. Dixon has authored more than 940 publications, including 840 peer-reviewed articles, and holds an impressive h-index of 105 with more than 49,000 citations; and

WHEREAS, Dr. Dixon has received the Leo Hendrik Baekeland Award of the American Chemical Society (ACS), the ACS Award for Creative Work in Fluorine Chemistry, the DOE Hydrogen Program R&D Award for Outstanding Contributions to Hydrogen Storage Technologies, and The University of Alabama Burnum and Blackmon-Moody Awards; and

WHEREAS, Dr. Dixon has secured a UA patent for advanced hydrogen liquid fuels and published 60 papers with undergraduate authors from The University of Alabama; and

WHEREAS, Dr. Dixon has supervised the research of more than 110 undergraduate students, including 12 Goldwater Scholar Awards recipients and more than 60 University of Alabama Randall Outstanding Undergraduate Research Awards recipients;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the appointment of Dr. David Dixon as an Endowed Shelby Distinguished Professor at The University of Alabama.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to appropriate officials of The University of Alabama.

Approving Appointment of Dr. Timo Siponen for the Marilyn Hewson Endowed Professorship in Cyber Security at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends appointment of Dr. Timo Siponen for the Marilyn Hewson Endowed Professorship in Cyber Security, which was established November 9, 2018, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Siponen received his Doctor of Social Sciences in Applied Philosophy from the University of Joensuu in 2005, his Doctor of Philosophy with an

emphasis in Information Systems from the University of Oulu in 2001 in 2002, and his Master of Science in Software Engineering from the University of Oulu in 1998; and

WHEREAS, Dr. Siponen is the only information systems scholar who has been invited to be a member of the Finnish Academy of Science and Letters; and

WHEREAS, Dr. Siponen has been listed five times in Stanford University's World Top 2% of Scientists; and

WHEREAS, Dr. Siponen has an outstanding record of research on Cyber Security, having published more than 210 peer-reviewed articles and served in editorial positions for outstanding peer reviewed journals; and

WHEREAS, Dr. Siponen has contributed to the profession by collaborating with industry, researchers, and educators to keep his education efforts aligned with market innovation; and

WHEREAS, Dr. Siponen has a strong national and international academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Timo Siponen for the Marilyn Hewson Endowed Professorship in Cyber Security in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Maura Mills for the Joe A. Chambliss Endowed Business Professorship at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Maura Mills for the Joe A. Chambliss Endowed Business Professorship, which was established November 8, 2024, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Mills received her Ph.D., in Industrial and Organizational Psychology from Kansas State University in 2010; and

WHEREAS, Dr. Mills has an outstanding record of research on positive organizational behavior with a focus on employee attitudes and the work-life interface, having more than 45 peer-reviewed articles; and

WHEREAS, Dr. Mills has a strong national and international academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities; and

WHEREAS, Dr. Mills has concentrated her teaching on student-focused, case-based, ethics-driven classes like Leadership and Ethics and Power and Justice in Organizations; and

WHEREAS, Dr. Mills has taught a wide range of courses from the undergraduate level through Ph.D., seminars, and has served as chair or member of numerous doctoral committees; and

WHEREAS, Dr. Mills actively develops and shares pedagogical resources with other educators through her work with the University of Alabama Teaching Academy and published pedagogical research;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Maura Mills for the Joe A. Chambliss Endowed Business Professorship in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Robert Adam Farmer for the Westervelt Company Faculty Fellowship at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Robert Adam Farmer for the Westervelt Company Faculty Fellowship, which was established March 9, 2007, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Farmer received his Ph.D., in Business Administration with a concentration in Marketing from the University of Kentucky in 2014 and his M.B.A. from Murray State University in 2009; and

WHEREAS, Dr. Farmer has an outstanding record of research on consumer behavior, having published numerous peer-reviewed articles; and

WHEREAS, Dr. Farmer has engaged in substantial leadership and professional development activities and serves as Associate Dean for Undergraduate and International Programs in the Culverhouse College of Business; and

WHEREAS, Dr. Farmer has taught a wide range of courses from the undergraduate level through Ph.D., seminars, and has served as chair or member of numerous doctoral committees; and

WHEREAS, Dr. Farmer has contributed to the profession as an editorial review board member for several major marketing academic journals;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Robert Adam Farmer for the Westervelt Company Faculty Fellowship in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Ebru Bish for the Reese Phifer Fellowship in Manufacturing Management at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Ebru Bish for the Reese Phifer Fellowship in Manufacturing Management, which was established September 19, 1997, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Bish received her Ph.D., in Industrial Engineering and Management Sciences from Northwestern University in 1999; and

WHEREAS, Dr. Bish has an outstanding record of research on data science and decision-making under uncertainty with a focus on operations and supply chain management, having published more than 50 peer-reviewed articles and book chapters; and

WHEREAS, Dr. Bish has contributed to the profession by collaborating with industry, researchers, and educators, receiving funding from the National Institutes of Health and the National Science Foundation, among others; and

WHEREAS, Dr. Bish has a strong national and international academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities; and

WHEREAS, Dr. Bish has taught a wide range of courses from the undergraduate level through Ph.D., seminars, and has served as chair or member of numerous doctoral committees; and

WHEREAS, Dr. Bish has received numerous best paper awards for work in healthcare, public sector operations research, and applications of operations engineering and analytics;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Ebru Bish for the Reese Phifer Fellowship in Manufacturing Management in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Carol Esmark Jones for the Nelems Faculty Fellowship in Marketing Research at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Carol Esmark Jones for the Nelems Faculty Fellowship in Marketing Research, which was established July 12, 2005, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Jones received her Ph.D., in Business Administration with a focus in Marketing from The University of Tennessee in 2014 and her Master of Business Administration from Lincoln Memorial University in 2008; and

WHEREAS, Dr. Jones has an outstanding record of research on retail strategy and consumer interactions in retail and service settings, having published more than 25 peer-reviewed articles; and

WHEREAS, Dr. Jones has contributed to the profession by serving as an area editor for *Journal of Business Research* and sitting on several editorial boards; and

WHEREAS, Dr. Jones has a strong national and international academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities; and

WHEREAS, Dr. Jones has taught a wide range of courses from the undergraduate level through Ph.D., seminars, and has served as a Ph.D., student mentor; and

WHEREAS, Dr. Jones is a contributor to the business media, providing important context on cutting-edge marketing research;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Carol Esmark Jones for the Nelems Faculty Fellowship in Marketing Research in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Michael Ford for the Minnie Caddell Miles Commerce and Business Administration Professorship at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Michael Ford for the Minnie Caddell Miles Commerce and Business Administration Professorship, which was established March 6, 1992, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Ford received his Ph.D., in Industrial-Organization Psychology from George Mason University in 2008 and his Master of Arts with an emphasis in Industrial-Organization Psychology from George Mason University in 2004; and

WHEREAS, Dr. Ford has an outstanding record of research on employee well-being and the work-family interface and on employee-organization relationships, having published numerous peer-reviewed articles, book chapters, and edited volumes; and

WHEREAS, Dr. Ford has been named as a Fellow in the Society for Industrial-Organizational Psychology and has received numerous other national and international honors for his research; and

WHEREAS, Dr. Ford has contributed to the profession by collaborating with industry, researchers, and educators to keep his education efforts aligned with market innovation; and

WHEREAS, Dr. Ford has a strong national and international academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities; and

WHEREAS, Dr. Ford has taught a wide range of management courses from the undergraduate level through Ph.D., and has served as chair or member of numerous doctoral committees;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Michael Ford for the Minnie Caddell Miles Commerce and Business Administration Professorship in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Mark Schneider for the J. Weldon and Delores Cole Faculty Fellowship at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Mark Schneider for the J. Weldon and Delores Cole Faculty Fellowship, which was established June 26, 1998, in the Culverhouse College of Business; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Schneider received his Ph.D., in Operations and Information Management from the University of Connecticut in 2015; and

WHEREAS, Dr. Schneider has an outstanding record of research on risk management with a focus on behavioral responses to risk, having published 25 peer-reviewed articles; and

WHEREAS, Dr. Schneider has contributed to the profession by serving as a proposal reviewer and panelist for the National Science Foundation and has been an academic referee for many of the top economics journals; and

WHEREAS, Dr. Schneider has a strong national academic reputation as evidenced by numerous speaking engagements and academic presentations, and collaboration activities; and

WHEREAS, Dr. Schneider has taught a range of courses from the undergraduate level through Ph.D., seminars, and has served as chair or member of numerous doctoral committees;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Mark Schneider for the J. Weldon and Delores Cole Faculty Fellowship in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

Approving Appointment of Dr. Tigran Melkonyan for the John S. Bickley Endowed Faculty Fellowship in Insurance at UA

RESOLUTION

WHEREAS, the Culverhouse College of Business recommends the appointment of Dr. Tigran Melkonyan for the John S. Bickley Endowed Faculty Fellow in Insurance, which was approved by the Board of Trustees of The University of Alabama on September 13, 2001; and

WHEREAS, this appointment will be from August 16, 2025, through August 15, 2030; and

WHEREAS, Dr. Melkonyan received his Ph.D., in Economics from Iowa State University in 2000; and

WHEREAS, Dr. Melkonyan has an outstanding record of research on decision making under risk and uncertainty and applied and behavioral game theory, having published more than 40 peer-reviewed articles; and

WHEREAS, Dr. Melkonyan has contributed to the profession by receiving National Science Foundation funding for exploring how individuals form beliefs about and evaluate multi-layer prospects under risk and ambiguity; and

WHEREAS, Dr. Melkonyan has a strong national and international academic reputation as evidenced by numerous speaking engagements and visiting academic positions throughout the world; and

WHEREAS, Dr. Melkonyan has taught a wide range of courses from the undergraduate level through Ph.D., seminars, and has served as chair or member of numerous doctoral committees; and

WHEREAS, Dr. Melkonyan has contributed to the profession as a consultant for the United Nations Development Program, the National Center for Food and Agricultural Policy, the Food and Drug Administration, and others;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of The University of Alabama that it approves the appointment of Dr. Tigran Melkonyan for the John S. Bickley Endowed Faculty Fellow in Insurance in the Culverhouse College of Business from August 16, 2025, to August 15, 2030, at The University of Alabama.

PowerPoint

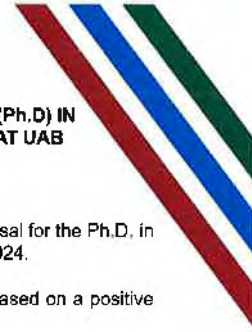


University of Alabama System.

THE UNIVERSITY OF ALABAMA
THE UNIVERSITY OF ALABAMA AT BIRMINGHAM
THE UNIVERSITY OF ALABAMA IN HUNTSVILLE
THE UAB HEALTH SYSTEM



**GRANTING FINAL APPROVAL FOR A DOCTOR OF PHILOSOPHY (Ph.D) IN
BIOMEDICAL AND HEALTH INFORMATICS (CIP CODE 51.2706) AT UAB**



The Board of Trustees reviewed and granted initial approval of a full proposal for the Ph.D. in Biomedical and Health Informatics (CIP Code 51.2706) on November 8, 2024.

The Alabama Commission on Higher Education approved this Program based on a positive recommendation by the Commission's staff at its March 14, 2025, meeting.

ACTION REQUESTED: That the Board of Trustees grant final approval of the Ph.D. in Biomedical and Health Informatics (CIP Code 51.2706) at The University of Alabama at Birmingham.

Govenda: E.1

**Granting Final Approval of a Doctor of Philosophy (Ph.D.) Degree in
Biomedical and Health Informatics (CIP Code 51.2706)**

RESOLUTION

WHEREAS, on November 8, 2024, the Board of Trustees reviewed and granted initial approval of a full proposal for a Doctor of Philosophy (Ph.D.) Degree in Biomedical and Health Informatics (CIP Code 51.2706); and

WHEREAS, this degree program will fulfill the demand for highly trained individuals who can develop and apply advanced computational, informatics, and analytical methods to solve challenges in biomedical science and healthcare, advancing human health outcomes; and

WHEREAS, the proposed Doctor of Philosophy (Ph.D.) degree in Biomedical and Health Informatics (BHI-PhD) will be the first of its kind in the state of Alabama, enhancing UAB's leadership in health and informatics; and

WHEREAS, the Alabama Commission on Higher Education (ACHE) approved the Program based on a positive recommendation by the Commission's staff at its March 14, 2025, meeting;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants final approval of a Doctor of Philosophy (Ph.D.) Degree in Biomedical and Health Informatics (CIP Code 51.2706) at The University of Alabama at Birmingham.

PowerPoint



PowerPoint

**Master of Science (M.S.) Degree in Higher Education
Intercollegiate Athletic Administration
(CIP Code 13.0406)**

- **Purpose**
 - This program prepares future athletic administrators with a comprehensive understanding of intercollegiate athletics and eSports within higher education, emphasizing theoretical knowledge and practical administrative skills
- **Need**
 - Robust growth of the sports market, projected to reach \$614 billion by 2022, and the rapid expansion of the global eSports market, expected to grow from \$2.06 billion in 2024 to \$9.29 billion by 2032, underscore the need for the Higher Education Intercollegiate Athletics Administration Program (Hanover)
 - Nationally, related fields are projected to grow by 5.2%, and regionally, intercollegiate athletic administration related employment is anticipated to increase by 9.8%, highlighting strong demand for skilled professionals. In Alabama, employment opportunities in this field are forecasted to grow by 2.1%, ensuring the program's sustainability and relevance in shaping the future of sports and eSports administration (Hanover)
- **Other Programs**
 - None with the same CIP Code and Focus
- **Resources**
 - One full-time faculty member will be hired
- **Administration**
 - School of Education and Human Sciences

Govenda: E.2

 THE UNIVERSITY OF
ALABAMA

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ALABAMA

**Granting Initial Approval and Submission to the Alabama Commission on
Higher Education (ACHE) for a Proposal for a Master of Science (M.S.)
Degree in Higher Education Intercollegiate Athletics Administration (CIP
Code 13.0406) at UAB**

RESOLUTION

WHEREAS, the School of Education and Human Sciences at The University of Alabama at Birmingham received feedback about its Notice of Pending Proposal (NPP) for a New Program of Instruction from The University of Alabama System campuses for the Master of Science (M.S.) degree in Higher Education Intercollegiate Athletics Administration on February 2, 2024; and

WHEREAS, the School of Education and Human Sciences at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs, and there are no other Higher Education Intercollegiate Athletics Administration programs in the state focused specifically on athletic administration at the collegiate level; and

WHEREAS, the proposed Master of Science degree in Higher Education Intercollegiate Athletics Administration (HEIAA) program is designed to prepare future leaders for higher education management and leadership of sport and eSport activities; and

WHEREAS, the program is poised to provide an in-depth examination of the historical path and significance of intercollegiate athletics within the context of higher education; and

WHEREAS, the program's design will be grounded in theoretical concepts to guide organizational leadership while focusing on practical application; and

WHEREAS, the rigorous curriculum will include guidance in policy, governance, risk management, college-student development, principles of athletic performance, and social aspects and challenges of intercollegiate athletics;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants approval of and permission to submit to the Alabama Commission on Higher Education (ACHE) a proposal for a Master of Science (M.S.) degree in Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406) at The University of Alabama at Birmingham.

PowerPoint

Master of Science (M.S.) Degree Exercise Physiology (CIP Code 26.0908)

- **Purpose**
 - The program blends exercise, nutrition, statistics, research, and health coursework to prepare graduates for dynamic careers as clinical exercise physiologists, strength and conditioning experts, nutritionists, researchers, and leaders in health and fitness facilities. Additionally, it sets the stage for advanced studies in exercise, medicine, and health, empowering students to thrive in diverse professional and academic paths.
- **Need**
 - Employment for exercise physiologists is projected to grow by 10% from 2023 to 2033. (BLS)
- **Other Programs**
 - Auburn University, Auburn Ph.D. Kinesiology
 - Troy University – M.S. Kinesiology
 - University of South Alabama – B.S., M.S., Ph.D.
- **Resources**
 - One additional full-time faculty member is necessary to support the program.
- **Administration**
 - School of Education and Human Sciences

Govenda: E.3

Granting Initial Approval and Submission to the Alabama Commission on Higher Education (ACHE) for a Proposal for a Master of Science (M.S.) Degree in Exercise Physiology (CIP Code 26.0908) at UAB

RESOLUTION

WHEREAS, the School of Education and Human Sciences at The University of Alabama at Birmingham received feedback about its Notice of Pending Proposal (NPP) for a New Program of Instruction from The University of Alabama System campuses for the Master of Science (M.S.) degree in Exercise Physiology on February 2, 2024; and

WHEREAS, the School of Education and Human Sciences at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs; and

WHEREAS, the current program in Exercise Physiology is included as a concentration within CIP 13.1314 Physical Education Teaching and Coaching, a classification originally designed for training physical education teachers; and

WHEREAS, the scope of the Exercise Physiology program has significantly broadened to include coursework in exercise, nutrition, statistics, research, and health, thereby preparing graduates for diverse roles, including clinical exercise physiologists, strength and conditioning professionals, nutritionists, researchers, leaders in health and fitness facilities, and candidates for future graduate studies in various fields of exercise, medicine, and health; and

WHEREAS, the program receives multiple inquiries each academic year from international students interested in the Exercise Physiology master's degree, many of whom require a STEM-designated degree to obtain student visas; and

WHEREAS, the U.S. Department of Homeland Security STEM Designated degree Program List includes CIP Code 26.0908; and

WHEREAS, the current classification does not accurately reflect the comprehensive nature and broader focus of the Exercise Physiology program;

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it grants approval of and the permission to submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) degree in Exercise Physiology (CIP Code 26.0908) at The University of Alabama at Birmingham.

Granting Approval of and Submission to the Alabama Commission on Higher Education (ACHE) a Request to Change the Name of the Bachelor

of Science (B.S.) Degree in Biobehavioral Nutrition and Wellness (CIP Code 30.1901) to the Bachelor of Science (B.S.) Degree in Nutrition Sciences (CIP Code 30.1901) at The University of Alabama at Birmingham

RESOLUTION

WHEREAS, the School of Health Professions has researched the feasibility and advantage of changing the name of the current Bachelor of Science (B.S.) degree in Biobehavioral Nutrition and Wellness (CIP Code 30.1901) to the Bachelor of Science (B.S.) degree in Nutrition Sciences (CIP Code 30.1901); and

WHEREAS, the School of Health Professions at The University of Alabama at Birmingham strives to provide relevant and necessary degree programs; and

WHEREAS, the Department of Nutrition Sciences guides students to obtain advanced knowledge of foods, nutrition, and metabolism as it relates to health and the prevention and treatment of chronic disease; and

WHEREAS, the Department of Nutrition Sciences has determined that the Bachelor of Science in Nutrition Sciences program title more accurately reflects the course content included in the degree program, and the change does not require any curricular modifications; and

WHEREAS, the change in name more accurately describes the mission of the Department of Nutrition Sciences and the curriculum of the undergraduate program;

NOW, THEREFORE BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves changing the name of the Bachelor of Science (B.S.) degree in Biobehavioral Nutrition and Wellness (CIP Code 30.1901) to the Bachelor of Science (B.S.) degree in Nutrition Sciences (CIP Code 30.1901) at The University of Alabama at Birmingham.

Approving Establishment of the Endowed Professorship in Reproductive Health Research and the Endowed Professorship in Reproductive Health Research Quasi-Endowment at UAB

RESOLUTION

WHEREAS, The University of Alabama Health Services Foundation (UAHSF) has given \$250,000 to establish the Endowed Professorship in Reproductive Health

Research in the Division of Infectious Diseases within the Department of Medicine at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, The Division of Infectious Diseases wishes to use \$250,000 for the establishment of a quasi-endowment related to the existing pure endowment to bring the corpus of the endowment to \$500,000; and

WHEREAS, this endowed professorship will be used to recruit and/or retain an expert faculty member to further advance the University's clinical, educational, and research efforts; and

WHEREAS, this gift will have an enduring impact on the lives of the many patients who will benefit from the clinical service and discoveries that will be made at UAB and will, therefore, be of significant and lasting value to the University and the advancement of medicine;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby establishes the Endowed Professorship in Reproductive Health Research, as a pure endowment with related quasi-endowment.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies, or any parts thereof, be sent to J. Martin Rodriguez, M.D., F.A.C.P., F.I.D.S.A., Director of the Division of Infectious Diseases; to Mark Dransfield, M.D., Interim Chair of the Department of Medicine; to Anupam Agarwal, M.D., Senior Vice President and Dean of the UAB Heersink School of Medicine; and other appropriate officials of The University of Alabama at Birmingham.

Approving Establishment of the Comprehensive Healthy Living Research Center (Healthy Living Center) at UAB

RESOLUTION

WHEREAS, there is a growing national focus on efforts to prevent disease and improve the health of our citizens; and

WHEREAS, preventing disease and improving health can reduce the burden on our healthcare systems, increase economic productivity, support better educational outcomes, and provide a better quality of life for all people; and

WHEREAS, The University of Alabama at Birmingham (UAB) desires to establish a disease agnostic center to develop and support research, implementation, partnerships,

education, and career development to focus on preventing chronic disease and promoting good health and well-being for all people; and

WHEREAS, UAB recognizes the need for such a center to support research that involves people, businesses, and organizations as advisors, partners, and leaders in the development, conduct, and dissemination of research, increasing transparency and building trust in health-related research; and

WHEREAS, a critical mass of infrastructure, staff, and funding already exists within UAB that can be assigned to these efforts; and

WHEREAS, over the next five years, the center will be funded with \$250,000 per year in funding from the UAB Office of Research, University-Wide Interdisciplinary Research Center (UWIRC) program, contingent upon satisfactory progress and submission of required annual reports, and approximately \$1.4 million per year in grants and contracts; and

WHEREAS, Mona N. Fouad, M.D., MPH, Professor of Medicine in the Division of General Internal Medicine and Population Science, is proposed to serve as Director of the Center, and Irfan M. Asif, M.D., Chair and Professor of Medicine in the Department of Family and Community Medicine, is proposed to serve as Associate Director; and

WHEREAS, such a center aligns well with national priorities and will help position UAB as a leader in advancing the science of disease prevention and healthy living;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it does hereby approve the establishment of the Comprehensive Healthy Living Research Center, along with the appointments of Mona N. Fouad, M.D., MPH., and Irfan M. Asif, M.D., as director and associate director, respectively;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board and that copies be sent to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; Ray L. Watts, M.D., President of The University of Alabama at Birmingham; and to other appropriate University officials.

Granting Approval of the Renaming of the Kent and Phoebe Palcanis
Endowed Professorship to the Kent and Phoebe Palcanis Endowed
Professorship in Periodontology at UAB

RESOLUTION

WHEREAS, on June 7, 2024, The Board of Trustees of The University of Alabama accepted gifts totaling \$500,000 from Dr. Kent Palcanis and approved the conversion and renaming of the Kent and Phoebe Palcanis Endowed Support Fund to the Kent and Phoebe Palcanis Endowed Professorship within the School of Dentistry at The University of Alabama at Birmingham (UAB); and

WHEREAS, the School of Dentistry wishes to rename the Kent and Phoebe Palcanis Endowed Professorship to the Kent and Phoebe Palcanis Endowed Professorship in Periodontology; and

WHEREAS, this endowment will continue to be used to recruit and/or retain an expert faculty member in the Department of Periodontology who engages in periodontal research;

WHEREAS, NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby approves the renaming of the Kent and Phoebe Palcanis Endowed Professorship to the Kent and Phoebe Palcanis Endowed Professorship in Periodontology.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies or any parts of it be sent to Dr. Kent Palcanis; to Nicolaas Geurs, D.D.S., M.S., Dean of the School of Dentistry; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Corey Speers, M.D., Ph.D., as the Second Holder of the Merle M. Salter, M.D. Endowed Chair of Radiation Oncology at UAB

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama established the Merle M. Salter, M.D., Endowed Chair of Radiation Oncology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine) by resolution adopted on September 15, 1995; and

WHEREAS, the UAB administration now wishes to appoint Corey Speers, M.D., Ph.D., as the second holder of the Merle M. Salter, M.D., Endowed Chair of Radiation Oncology, as recommended by Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of the Heersink School of Medicine, with the concurrence of the University's leadership; and

WHEREAS, Dr. Speers, a distinguished physician-scientist, graduated from the University of Michigan in 2002 with a baccalaureate degree from Brigham Young

University, Provo, Utah, and obtained his Ph.D., in 2009 and M.D., in 2010 from Baylor College of Medicine; and

WHEREAS, Dr. Speers completed his residency training in Radiation Oncology at the University of Michigan, Ann Arbor, Michigan, from 2011 to 2015; and

WHEREAS, Dr. Speers has held significant academic and leadership roles, including serving as an Assistant Professor and later as an Associate Professor in the Department of Radiation Oncology at the University of Michigan, Ann Arbor, Michigan, prior to joining UAB; and

WHEREAS, after a national search, Dr. Speers joined the UAB faculty in May 2025 as Professor and Chair of the Department of Radiation Oncology in the Heersink School of Medicine; and

WHEREAS, Dr. Speers is an accomplished researcher with a strong focus on breast cancer, radiation resistance, and translational oncology, securing numerous NIH and foundation grants, including R01 and R21 awards; and

WHEREAS, Dr. Speers has authored and co-authored numerous peer-reviewed publications in high-impact journals, including the *Journal of Clinical Oncology*, *Clinical Cancer Research*, and *Nature Communications*, further advancing the field of Radiation Oncology; and

WHEREAS, Dr. Speers has contributed significantly to medical education and mentorship, training medical students, residents, and fellows, and has been actively involved in national organizations such as the American Society for Radiation Oncology (ASTRO) and the American Association for Cancer Research (AACR); and

WHEREAS, Dr. Speers' leadership and contributions to Radiation Oncology have been recognized through multiple awards, including early-career investigator awards and distinguished research honors; and

WHEREAS, the members of the Search Committee have unanimously recommended the appointment of Dr. Speers to the Merle M Salter, M.D. Endowed Chair of Radiation Oncology in the Heersink School of Medicine, with full confidence in his continued contributions to UAB's national and international reputation for excellence in patient care, teaching, and research; and

WHEREAS, this Board is exceptionally honored to have an individual of Dr. Speers' caliber on the UAB faculty, and it concurs with the University's decision to honor him by appointing him as the second holder of the Merle M. Salter, M.D. Endowed Chair of Radiation Oncology;

NOW, THEREFORE, BE IT RESOLVED that The Board of Trustees of The University of Alabama hereby approves the appointment of Corey Speers, M.D., Ph.D., as the second holder of the Merle M. Salter, M.D., Endowed Chair of Radiation Oncology at The University of Alabama at Birmingham, with all the rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to Dr. Corey Speers to share with members of his family, and appropriate officials at The University of Alabama at Birmingham.

Approving Appointment of Benjamin Wei, M.D., as the Inaugural Holder of the Charles W. Breaux, Sr., and Ann K. Breaux Endowed Professorship in Cardiothoracic Surgery at UAB

RESOLUTION

WHEREAS, on September 16, 2011, The Board of Trustees of The University of Alabama accepted generous gifts from Dr. Charles W. Breaux, Sr., and Mrs. Ann K. Breaux and approved the establishment of the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Support Fund in Cardiothoracic Surgery in the Department of Surgery within The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (UAB Heersink School of Medicine); and

WHEREAS, on February 3, 2023, The Board of Trustees of The University of Alabama accepted additional gifts and approved the conversion and renaming of the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Support Fund to the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Visiting Professorship in Cardiothoracic Surgery; and

WHEREAS, on September 5, 2024, The Board of Trustees of The University of Alabama converted and renamed the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Visiting Professorship in Cardiothoracic Surgery to the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Professorship in Cardiothoracic Surgery upon receipt of additional gifts by the donors; and

WHEREAS, as recommended by Herbert Chen, M.D., F.A.C.S., Chair of the Department of Surgery, with the concurrence of Anupam Agarwal, M.D., Senior Vice President and Dean of the UAB Heersink School of Medicine and the University's leadership, the UAB administration now wishes to appoint Benjamin Wei, M.D., Professor of Surgery and Program Director for the Cardiothoracic Surgery Residency, as the inaugural holder of this endowed professorship; and

WHEREAS, Dr. Wei earned his Bachelor of Science degree from Yale University in 2000 followed by his Doctor of Medicine degree from Columbia College of Physicians and Surgeons in 2005, and Dr. Wei went on to complete his residency in general surgery at New York Presbyterian Hospital-Columbia in 2010, followed by his cardiothoracic surgery residency at Duke University in 2013; and

WHEREAS, Dr. Wei accepted an appointment with the UAB Department of Surgery as Assistant Professor of Surgery, tenure-earning track in 2013 and received a subsequent promotion to Associate Professor, tenured, in 2018, and Professor in 2023; and

WHEREAS, Dr. Wei has 102 peer-reviewed publications, of which he is first or senior author on 75, as well as 38 textbooks and/or chapters, and 90+ oral and video presentations and poster exhibits at scientific meetings; and

WHEREAS, Dr. Wei has served as Principal Investigator for multiple clinical trials and studies and has contributed extensively to advancing surgical techniques and outcomes in lung and esophageal cancer treatment; and

WHEREAS, Dr. Wei has made critical contributions to patient care since joining the UAB faculty, such as performing the first robotic decortication, the first robotic bronchial and arterial reconstruction, and the first robotic lobectomy with bronchoplasty and arterioplasty. Dr. Wei performed the first robotic paraesophageal hernia repair, the first robotic lobectomy, and the first Video-Assisted Thoracic Surgery (VATS) lobectomy at the Birmingham Veterans Administration Medical Center (BVAMC), as well as performing the first robotic case of any specialty at Children's of Alabama (COA); and

WHEREAS, Dr. Wei is a distinguished surgeon/scientist dedicated to the advancement of Cardiothoracic Surgery by cultivating a program of growth and excellence with a firm commitment to providing quality patient care as well as to promoting outstanding research and education; and

WHEREAS, this Board believes it most appropriate for Dr. Wei to be named as the inaugural holder of the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Professorship in Cardiothoracic Surgery as one of the UAB Heersink School of Medicine's leaders in Cardiothoracic Surgery;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that is approves the appointment of Benjamin Wei, M.D., as the inaugural holder of the Dr. Charles W. Breaux, Sr., and Ann K. Breaux Endowed Professorship in Cardiothoracic Surgery, in the Department of Surgery, in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board and that copies be sent to Benjamin Wei, M.D., Professor of Surgery, at The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, in the UAB Department of Surgery, in the Division of Cardiothoracic Surgery; to Anupam Agarwal, M.D., Senior Vice President and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; to Herbert Chen, M.D., F.A.C.S., Professor and Chair of the Department of Surgery; and to other appropriate officials of The University of Alabama at Birmingham.

Approving Appointment of Barbara Van Der Pol, Ph.D. as the Inaugural Holder of the Endowed Professorship in Reproductive Health Research at UAB

RESOLUTION

WHEREAS, on June 6, 2025, The Board of Trustees of The University of Alabama will be asked to consider approval of formally establishing the Endowed Professorship in Reproductive Health Research at The University of Alabama at Birmingham (UAB) by resolution; and

WHEREAS, as recommended by Mark Dransfield, M.D., Interim Chairman of the Department of Medicine, with the concurrence of Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), and with the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint Barbara Van Der Pol, Ph.D., as the inaugural holder of this endowed professorship; and

WHEREAS, Dr. Van Der Pol earned her baccalaureate degree in Biological Sciences from Indiana University in 1981; received her Master of Public Health degree in Epidemiology in 2001 from Indiana University; and her Doctor of Philosophy degree from Indiana University in 2007; and

WHEREAS, upon completing her postdoctoral fellowship, she was appointed as Director, Infectious Diseases Laboratory at Indiana University School of Medicine, and the Director of Research for the Marion County (IN) Health Department STD Control Program. In 2010, she was appointed as Director, Graduate Studies in Epidemiology & Biostatistics; and

WHEREAS, in 2013, she was recruited to UAB and appointed as the Director, Infectious Diseases STD Laboratory, Heersink School of Medicine where she rose through the ranks being awarded tenure in 2017 and becoming a Professor of Medicine in the Division of Infectious Diseases in 2019. In 2019, she was also appointed as a Professor in Health Behaviors in the UAB School of Public Health; and

WHEREAS, Dr. Van Der Pol has been a recipient of numerous prestigious awards throughout her academic career for her research and teaching abilities, including being named the IU Fairbanks School of Public Health Alumni of the Year in 2020. She has held elected offices in professional societies, including serving as the President of the American STD Association, the President of the International Society for STD Research and on the executive committee of the International Union Against STIs. Dr. Van Der Pol serves as a consultant for the CDC regarding development of STI diagnostic (since 2009) and management guidelines. She has an appointment as a special government employee in support of her work for the FDA, and she serves as a consultant for several World Health Organization's technical advisory committees for development of diagnostic and treatment recommendations and research agenda priority settings; and

WHEREAS, Dr. Van Der Pol is a superb investigator and an outstanding role model, who has consistently demonstrated her passion for teaching and dedication by mentoring postdoctoral fellows, medical students and undergraduate students. She has created an annual early career workshop that has been held since 2018, and she still routinely publishes with her former trainees; and

WHEREAS Dr. Van Der Pol is an investigator on six current and 11 past NIH awards since joining the UAB faculty in 2013. She is currently the PI leading a consortium of 13 organizations on a CDC-funded contract with three funded task orders that will continue through 2028. She has also diversified her portfolio by attracting industry-sponsored studies (more than 95 since joining UAB in 2013); and

WHEREAS, Dr. Van Der Pol has had numerous publications in high-profile journals in her field, including *Sexually Transmitted Diseases*, *Sexually Transmitted Infections*, *JAMA Network Open*, *Lancet Infectious Disease*, the *Journal of Clinical Microbiology*, *Journal of Infectious Diseases*, and *Clinical Infectious Diseases*. She has authored more than 200 original articles and numerous invited reviews and editorials. Dr. Van Der Pol has been an invited speaker at many national and international meetings as well as prominent institutions; and

WHEREAS, members of the Search Committee have unanimously recommended that Dr. Van Der Pol be appointed to the Endowed Professorship in Reproductive Health Research;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Barbara Van Der Pol, Ph.D., hereby is appointed as the inaugural holder of the Endowed Professorship in Reproductive Health Research, in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be entered into the permanent minutes of this Board, and that copies be disseminated to Barbara Van Der Pol, Ph.D.,

to share with members of her family; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of Andrew T. Ulijasz, Ph.D. as the Second Holder of the Endowed Professorship in Lung Cellular and Molecular Biology at UAB

RESOLUTION

WHEREAS, the Endowed Professorship in Lung Cellular and Molecular Biology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine) was established by The Board of Trustees of The University of Alabama at its February 8, 2019, meeting; and

WHEREAS, as recommended by Mark Dransfield, M.D., Interim Chairman of the Department of Medicine, with the concurrence of Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of the Heersink School of Medicine, and with the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint Andrew T. Ulijasz, Ph.D., Associate Professor, as the second holder of this Endowed Professorship; and

WHEREAS, Dr. Ulijasz holds a Ph.D., degree from the University of Wisconsin, Madison, and his postdoctoral training commenced at the University of Wisconsin under the guidance of Dr. Richard Vierstra, culminating in his completion of research training in 2010; and

WHEREAS, Dr. Ulijasz has been a vital member of the UAB faculty, since August 1, 2024, when he joined the Division of Pulmonary, Allergy and Critical Care Medicine as a tenured Associate Professor; and

WHEREAS, Dr. Ulijasz has established himself as a globally recognized leader in molecular genetics and bacterial biology, with a particular focus on understanding the molecular mechanisms underlying bacterial infections and their impact on the lungs; and

WHEREAS, Dr. Ulijasz is a distinguished scientist, having served as Principal Investigator for several groundbreaking research projects aimed at improving the health of individuals afflicted with lung diseases; and

WHEREAS, Dr. Ulijasz has contributed significantly to the academic literature, authoring 24 peer-reviewed original publications in distinguished journals such as *Nature*, *Nature Communications*, *Immunology*, *PloS Pathogens*, and *iScience*, among others; and

WHEREAS, Dr. Ulijasz actively participates in the scholarly community, having served on the Editorial Review Board for *Frontiers in Microbiology* (2015-2017) and is invited to serve on the PLoS Pathogens Review Board. Additionally, he provides valuable peer review services to esteemed journals such as *Nature Communications*, *Elife*, *PLoS Pathogens* and *Scientific Reports*; and

WHEREAS, Dr. Ulijasz has played a crucial role in NIH study sections and has served as a grant reviewer for the Netherlands Organization for Scientist Research and The Leverhulme Trust (United Kingdom); and

WHEREAS, Dr. Ulijasz has consistently demonstrated his dedication to teaching and mentorship, making him a cherished and influential guide for students, including graduate students and postdoctoral fellows; and

WHEREAS, the members of this Board concur with the selection of Dr. Ulijasz as the holder of this prestigious endowed professorship;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Andrew T. Ulijasz, Ph.D., is hereby appointed as the holder of the Endowed Professorship in Lung Cellular and Molecular Biology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, with all the rights and privileges associated therewith.

BE IT FURTHER RESOLVED that this resolution be entered into the permanent minutes of this Board, and that copies be disseminated to Andrew T. Ulijasz, Ph.D., to share with members of his family; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of an Novak, Ph.D., as the Inaugural Holder of the Jiri Mestecky Endowed Professorship in Microbiology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine

RESOLUTION

WHEREAS, a resolution establishing the Jiri Mestecky Endowed Faculty Scholar in Microbiology was approved by The Board of Trustees of The University of Alabama at its meeting on November 5, 2021; and

WHEREAS, a resolution granting approval of the conversion and renaming of the Jiri Mestecky Endowed Faculty Scholar in Microbiology to the Jiri Mestecky Endowed Professorship in Microbiology was submitted to The Board of Trustees of The University of Alabama and approved at its meeting on April 8, 2022; and

WHEREAS, as recommended by Anupam Agarwal M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), with the concurrence of the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint Jan Novak, Ph.D., Professor of Microbiology, as the inaugural holder of the Jiri Mestecky Endowed Professorship in Microbiology; and

WHEREAS, Dr. Novak was born in Czechoslovakia, and received his Master of Science degree at Charles University, Prague, Czech Republic in 1985. He received his Doctor of Natural Sciences degree in 1987 from the Charles University, Prague, Czech Republic. He received his Doctor of Philosophy in Cell and Molecular Biology in 1990 at the Institute of Microbiology, Academy of Sciences of the Czech Republic, in Prague, Czech Republic. He had postgraduate training at the Institute of Microbiology, Academy of Sciences of the Czech Republic (1992) and completed his training at the University of Alabama at Birmingham (1994); and

WHEREAS, Dr. Novak became an Associate Professor in the Department of Microbiology in 2006 and was subsequently promoted to the rank of Professor in 2013; and

WHEREAS, Dr. Novak's research program is well-recognized by the research community, and he is frequently asked to present at national and international meetings. Over the past four years, Dr. Novak was invited to speak at the 16th international Symposium on IgA Nephrology in Prague, Czech Republic, the 24th Tokyo International IgA Nephrology Seminar in Tokyo, Japan, the 62nd Annual Meeting of Japanese Society of Nephrology, Nagoya, Japan, and the 55th Congress of European Renal Association – European Dialysis and Transplantation Association in Copenhagen, Denmark. He also gave invited seminars at a wide range of academic institutions both in the U.S. and abroad; and

WHEREAS, Dr. Novak has authored more 200 publications and book chapters, and 13 of his publications have been cited over 200 times. Thirty-six of his manuscripts were published in the last five years. Many of these papers are published in the top specialty journals in his field such as *Kidney Disease*, *Clinical Journal of the American Society of Nephrology*, *Nature Reviews Nephrology*, *Kidney International Reports and Journal of Nephrology*. In addition, his work has been featured in some of the best multi-discipline journals, including *Journal of Biological Chemistry*. His publications have been cited more than 10,000 times and his current H index is 64; and

WHEREAS, Dr. Novak is one of the world's foremost experts on IgA nephropathy (IgAN) and for the last 25 years has focused his energy and intellect on understanding the cellular and molecular basis of the disease. Dr. Novak not only researches IgAN but he plays an important role in patient outreach and has served on numerous patient advocacy and research foundation boards; and

WHEREAS, Dr. Novak's research also includes immunology, glycobiology, renal disease and infectious disease, and he is an expert in the fields of glycoimmunobiology, glycoimmunopathology and functional glycomics, as they relate the structure and function of antibodies and other glycoproteins in health and disease. He is proponent of developing new approaches for diagnosis/prognosis and/or treatment of specific diseases and is a leader in the still emerging field of Glycomedicine; and

WHEREAS, Dr. Novak has been recognized by his immunology peers as a leader in the field of autoimmunity as he was elected a member of The Henry Kunkel Society in 2006; and

WHEREAS, Dr. Novak is very involved in mentoring and training the next generation of IgAN researchers and has hosted more than 48 visiting scientists in his laboratory since 2002, including researchers from leading research universities in the United States and across the globe; and

WHEREAS, Dr. Novak is also very devoted to mentoring UAB trainees at every level and has participated in numerous summer programs that provided underserved high school and college students with the opportunity to participate in laboratory-based research projects. He (co)-mentors graduate students and post-doctoral fellows and serves on the mentoring committee of several faculty in the Microbiology Department. Dr. Novak also participates in graduate, medical, optometry and dental education. He developed and directs a UAB Graduate School advanced course in Glycobiology, which course led to a national glycobiology workshop hosted at UAB that was organized and hosted by Dr. Novak. This workshop led to interest by NIH NIAID in featuring an on-line course in glyco-immunology that was directed by Dr. Novak in Fall 2021; and

WHEREAS, Dr. Novak demonstrates his commitment to service by serving on many committees, councils, and task forces and is currently serving his second six-year term on the UAB Heersink School of Medicine Faculty Council and is a member of the UAB Heersink School of Medicine Promotion and Tenure Task force. He is currently a member of the Microbiology Department Promotion and Tenure Committee and next year, will be chair. He has served on strategic planning committees for the UAB Immunology, Autoimmunity and Transplant (IAT) communities and plays multiple roles in the O'Brien Kidney Research Center and serves as an internal advisor for several UAB core facilities. Dr. Novak is a member of the steering committee for glomerular diseases for the American Society of Nephrology and the CureGN group. He has served as an

organizer of national and international meetings on kidney disease and is the Associate Editor for *Kidney Diseases*; and

WHEREAS, members of the Search Committee have unanimously recommended that Dr. Novak be appointed to the Jiri Mestecky Endowed Professorship in Microbiology in the Heersink School of Medicine and this Board concurs;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Jan Novak, Ph.D., is hereby appointed as the inaugural holder of the Jiri Mestecky Endowed Professorship in Microbiology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine, with all rights and privileges thereunto appertaining.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Jan Novak, Ph.D., to share with members of his family; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of Michael J. Mugavero, M.D., MHSc as the Inaugural Holder of the Endowed Professorship in Outcomes Research and Implementation Science at UAB

RESOLUTION

WHEREAS, the Endowed Professorship in Outcomes Research and Implementation Science in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine) was established by The Board of Trustees of The University of Alabama at its April 4, 2025, meeting; and

WHEREAS, as recommended by Mark Dransfield, M.D., Chairman of the Department of Medicine, with the concurrence of Anupam Agarwal, Senior Vice President for Medicine and Dean of the Heersink School of Medicine, and with the leadership of The University of Alabama at Birmingham (UAB), the UAB administration now wishes to appoint Michael J. Mugavero, M.D., MHSc, Professor, as the inaugural holder of this endowed professorship; and

WHEREAS, Dr. Mugavero graduated from University of Notre Dame in 1995 and received his medical degree from Vanderbilt University in 1999; and

WHEREAS, after earning his medical degree, Dr. Mugavero began his postgraduate training and completed an internship and residency in Internal Medicine at UAB in 2002. He served as Chief Medical Resident in Internal Medicine at the same

institution in 2003. In 2006, he completed his fellowship in Infectious Diseases at Duke University and received his Master of Health Sciences degree (MHSc) in Clinical Research at that same institution; and

WHEREAS, Dr. Mugavero began his career as an Assistant Professor of Medicine in Infectious Diseases at UAB in 2006, where he rose through the ranks becoming a Professor with tenure in the Division of Infectious Diseases in 2015; and

WHEREAS, Dr. Mugavero has helped shape the UAB Division of Infectious Diseases, mentoring numerous students, residents, and fellows, recruiting and mentoring faculty, contributing to the Division's educational mission, and becoming an internationally respected scientific investigator. As co-founder of the Research and Informatics Services Center he has supported a dynamic and vibrant team in conducting a diverse research portfolio towards optimizing health, equity, and outcomes of persons with HIV; and

WHEREAS, Dr. Mugavero has further contributed to the educational, service, and research missions of the UAB Division of Infectious Diseases, Department of Medicine, and the Heersink School of Medicine through his service as Director of the Center for Outcomes and Effectiveness Research and Education (COERE) since 2020, Co-Director of the Center for AIDS Research (CFAR) since 2012, and Associate Director of the Center for Clinical and Translational Sciences (CCTS) since 2021, leading university-wide health services, outcomes and effectiveness, implementation science, and learning health system training, enrichment, and research platforms and programs; and

WHEREAS, Dr. Mugavero was appointed as the inaugural Jeanne M. Marrazzo, M.D., MPH Endowed Professor in Innovation and Advancement through Mentorship in 2022; and

WHEREAS, in 2024, Dr. Mugavero was named the inaugural Associate Dean of Clinical and Translational Research in the Heersink School of Medicine in recognition of his leadership in training and research development of outcomes and implementation science investigators across academic ranks via programs including the grant writing intensive (GRIT), DRIVEN, CARES at UAB, CCTS Training Academy, Forge AHEAD investigator development core, HSOM CARES and the establishment of a Section for Dissemination and Implementation Science in the HSOM and CCTS; and

WHEREAS, Dr. Mugavero is recognized as a leading international authority on engagement in HIV care because of his pioneering research scholarship supported by continuous extramural funding support since 2006, including more than 300 original peer-review manuscripts, and a national leader in health services and outcomes research training and professional development as Director of the UAB Health Services Research T32 training grant, a Doris Duke Foundation grant to retain physician-scientists, and his receipt of the John M. Eisenberg Excellence in Mentorship Award from the Agency for Healthcare Research and Quality in 2020;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that Michael J. Mugavero, M.D., MHSc, hereby is appointed as the inaugural holder of the Endowed Professorship in Outcomes Research and Implementation Science in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine.

BE IT FURTHER RESOLVED that this resolution be entered into the permanent minutes of this Board, and that copies be disseminated to Michael J. Mugavero, M.D., MHSc, to share with members of his family; to Anupam Agarwal, M.D., Senior Vice President for Medicine and Dean of The University of Alabama at Birmingham Marnix E. Heersink School of Medicine; and to other appropriate officials of UAB.

Approving Appointment of David Stephen Geldmacher, M.D., FANA, FACP
to University Professor in Neurology at UAB

RESOLUTION

WHEREAS, David S. Geldmacher, M.D., Professor of Neurology in The University of Alabama at Birmingham Marnix E. Heersink School of Medicine (Heersink School of Medicine), has been recommended to receive the University Professor designation; and

WHEREAS, Dr. Geldmacher earned his M.D., degree from the State University of New York Upstate Medical University in Syracuse, New York (1986), completed his medical residency training at Case Western Reserve University, in Cleveland, Ohio (1990), and completed his Behavioral Neurology and Neuropsychology fellowship training at the University of Florida/Shands Hospital in Gainesville, Florida (1991); and

WHEREAS, in 2011, Dr. Geldmacher was appointed Professor with tenure and Director of the Division of Cognitive and Behavioral Neurology in the Department of Neurology at The University of Alabama at Birmingham (UAB); and

WHEREAS, in 2016, Dr. Geldmacher was named to the Warren Family Endowed Chair in Neurology at UAB; and

WHEREAS, Dr. Geldmacher has authored 155 indexed publications resulting in 9,548 total citations and an H-index of 42, has served as a textbook editor and served as author or co-author for 82 abstracts; and

WHEREAS, Dr. Geldmacher has held a consistent stream of research funding leading or participating in 24 federal grants, seven state and local grants, two investigator-initiated industry sponsored trials, and 46 clinical trials research contracts; and

WHEREAS, Dr. Geldmacher is an internationally recognized expert in Alzheimer's disease, dementia and cognitive disorders and has led the development of UAB's clinical and educational programs in these areas, has served on the National Appeals Advisory Panel for the NFL Concussion Settlement Program, has been inducted into the Sigma Xi Scientific Research Honor Society (2021) and the Alpha Omega Medical Honors Society (2015) and has been recognized as one of America's "Top Doctors" by Castle-Connolly (2014-present); and

WHEREAS, Dr. Geldmacher is a good citizen of UAB and UAB Hospital serving as a member of the School of Medicine's Faculty Council (2013-2015), Clinical Value Review Committee (2019-present), the Comprehensive Stroke Center Oversight Committee (2016-present), and the Physician Advisory Committee (2019-present), and Dr. Geldmacher providing guest lectures for Optometry, Dentistry, the UAB Science and Technology Honors Program, and the UAB Undergraduate Honors College; and

WHEREAS, Dr. Geldmacher is involved with the community, giving presentations at various conferences sponsored by numerous community dementia organizations, including the Middle Alabama Area Agency on Aging and Alzheimer's of Central Alabama, and as a member of the Advisory Board for Alzheimer's of Central Alabama (2012-current); and

WHEREAS, Dr. Geldmacher has made numerous important contributions to his field and has effectively disseminated his research, held leadership positions in prestigious organizations, and received top honors, and accordingly, The Marnix E. Heersink School of Medicine Department of Neurology wholeheartedly endorses recognition of his outstanding achievements with the additional title of University Professor;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it approves appointment of David Stephen Geldmacher, M.D., FANA, FACP, as University Professor in Neurology, with all rights and privileges thereunto appertaining, and that it does herein extend its deepest appreciation to Dr. Geldmacher for his dedication and service to The University of Alabama at Birmingham.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board, and that copies be sent to Dr. Geldmacher to share with members of his family, and to appropriate officials of The University of Alabama at Birmingham.

Approving a New College of Nursing 24-hour Post-Master's Psychiatric
Mental Health Nurse Practitioner Certificate (CIP Code 51.3810) at UAH

RESOLUTION

WHEREAS, the College of Nursing at The University of Alabama in Huntsville (UAH) proposes to add a new 24-hour, non-degree post-master's certificate titled Psychiatric Mental Health Nurse Practitioner Certificate, to begin in fall 2026; and

WHEREAS, the new certificate is designed for individuals who have already earned a Master of Science in Nursing (MSN) degree; and

WHEREAS, this certificate will provide such individuals with additional training and preparation to sit for national certification examinations; and

WHEREAS, the PMHNP certificate program aims to help raise awareness, decrease stigma, and increase access to better healthcare for individuals facing mental health challenges; and

WHEREAS, the Psychiatric Mental Health Nurse Practitioner certificate is comprised of four new courses already in development, which will also be taught as part of the new PMHNP concentration in the existing master's program.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the addition of a post-master's in Psychiatric Mental Health Nurse Practitioner Certificate at The University of Alabama in Huntsville and grants permission to submit this Proposed Alteration to the Alabama Commission on Higher Education.

Approving the Establishment of the Department of Engineering Technology
in the College of Science at UAH

RESOLUTION

WHEREAS, the Engineering Technology program at The University of Alabama in Huntsville was moved into the College of Science following the 2022 dissolution of the College of Professional Studies; and

WHEREAS, the Bachelor of Science (B.S.) degree in Engineering Technology continues to be a successful program, with a documented steady growth in enrollment that is expected to continue; and

WHEREAS, an Engineering Technology minor has recently been established and a third faculty member added to the program; and

WHEREAS, the program is currently led by a faculty Director, Dr. Alisa Henrie, who reports to the Dean of Science and has been instrumental in the program's growth and success; and

WHEREAS, Dr. Henrie has been identified to serve as chair of the proposed department, if approved by the Board, in recognition of her work in establishing Engineering Technology as a full, standalone program from its beginning as a concentration; her exceptional connections to the community; and her efforts to build partnerships that support the program's senior capstone projects and the development of lab capabilities; and

WHEREAS, Engineering Technology has proven to be a valuable and sustainable addition to UAH's portfolio of workforce development offerings and has led to stronger ties between UAH and community partners; and

WHEREAS, the Dean of the College of Science and other campus administrators are fully supportive of establishing a new Department of Engineering Technology.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Board does hereby support the establishment of the Department of Engineering Technology in the College of Science at The University of Alabama in Huntsville.

Trustee Humphrey also reported that the Committee reviewed 17 information items:

- Revisions to listings of six graduate certificates on the Academic Program Inventory at The University of Alabama
- Reorganization of the Capstone College of Nursing at The University of Alabama
- Establishment of a 15-Hour Graduate Certificate in Ethical Dimensions of Artificial Intelligence (CIP Code 38.0104) at UAB
- Establishment of a 15-Hour Graduate Certificate in Geographic Information Systems and Data Analysis (CIP Code 45.0702) at UAB
- Establishment of a 15-Hour Graduate Certificate in Learning Design and Learning Sciences (CIP Code 13.0607) at UAB
- Establishment of a 15-Hour Graduate Certificate in Applied Data Analytics and AI Engineering (CIP Code 14.0903) at UAB
- Establishment of a 15-Hour Undergraduate Certificate in Occupational Safety and Health (CIP Code 51.2206) at UAB

- Changing Modality of Music Concentration of the Master of Arts in Education in Arts Education (M.A.Ed.) Degree (CIP Code 13.1302) at UAB
- Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Secondary Education (CIP 13.1205) at UAB
- Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Elementary Education (CIP Code 13.1202) at UAB
- Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Early Childhood Education (CIP 13.1210) at UAB
- Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Physical Education Teaching and Coaching: Kinesiology (CIP 13.1314) at UAB
- Discontinuation of the Secondary Education History Concentration of the Education Specialist (Ed.S.) Degree in Education (CIP Code 13.0101) at UAB
- Discontinuation of the History Concentration of the Master of Arts in Education (M.A.Ed.) Degree in Secondary Education and Teaching (CIP Code 13.1205) at UAB
- Establishing a New Concentration in Accounting Added to the Master of Science in Business Analytics degree (CIP Code 30.7102) at UAH
- Establishing a New Concentration in Accounting Added to the Master of Science in Information Systems degree (CIP Code 52.1201) at UAH
- Establishing a New Concentration in Accounting Added to the Master of Business Administration (M.B.A.) degree (CIP Code 52.0201) at UAH

Pro tem Phelps asked if there were questions or comments concerning any of the information items. There were none.

Pro tem Phelps thanked Trustee Humphrey for her report and recognized Trustee Simon for a report from the Honorary Degrees and Recognition Committee.

Trustee Simon reported that the Honorary Degrees and Recognition Committee met on June 6, 2025, and considered 8 agenda items. The Committee unanimously recommended the 8 resolutions for approval. Trustee Simon moved for their approval by the Board, and the motion was duly seconded. Pro tem Phelps asked if there were questions or comments concerning any of the items. Hearing none, Pro tem Phelps then called for a vote, and the following resolutions were unanimously adopted, separately and collectively:

Approving Acceptance of Gifts and Pledges from Donors, Expressing Appreciation, and Approving Named Spaces at UA

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama previously approved gift amounts for spaces available for naming at The University of Alabama; and

WHEREAS, the University engages in fundraising campaigns in which definable portions of buildings and/or spaces would be given donors' names; and

WHEREAS, pursuant to Board Rule 411, these gifts and pledges meet and/or exceed the previously approved gift amounts for the spaces, and University officials request the acceptance of the gifts and pledges in recognition of the donors per the attached list hereto as Exhibit M;

NOW, THEREFORE, BE IT RESOLVED, by The Board of Trustees of The University of Alabama that it hereby accepts with gratitude these generous gifts and pledges and herewith establishes these named spaces;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building, room or space named unless he or she has contributed the minimum amount to name a building, room or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Naming Opportunities in the Culverhouse College of Business at UA

RESOLUTION

WHEREAS, Dr. Kay M. Palan, Dean of the Culverhouse College of Business, desires to undertake a fundraising plan in support of academic and program advancement in which the Culverhouse College of Business naming opportunities would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, Dean Palan has submitted to Dr. Stuart Bell, President of The University of Alabama, a partial list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Bell recommends that the naming opportunities and gift amounts be approved as part of the Culverhouse College of Business' ongoing mission to promote the education of students at The University of Alabama;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Bell and approves the naming opportunities contained within this list;

BE IT FURTHER RESOLVED that the attached list, attached hereto as Exhibit N, detailing the naming opportunities and gift amounts is made a part of this resolution by reference;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have an academic program named in his or her honor unless he or she has contributed the minimum amount to name an academic program as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving the Naming of the Alpha Gamma Delta Campus Pantry at UA

RESOLUTION

WHEREAS, Dr. Steven Hood, Vice President for the Division of Student Life, desires to undertake a fundraising plan in which the food pantry in the Student Services Center would be given a donor's name in compliance with Board Rules 104 and 411; and

WHEREAS, Dr. Hood has submitted to Dr. Stuart Bell, President of The University of Alabama, a naming opportunity and the gift amount required; and

WHEREAS, Dr. Bell recommends that the naming be approved as part of the University of Alabama's ongoing mission to promote the education of students; and

WHEREAS, Alpha Gamma Delta Sorority has contributed \$75,000 to The Board of Trustees of The University of Alabama to support The University of Alabama; and

WHEREAS, in accordance with Board Rules 104 and 411, and in recognition of Alpha Gamma Delta's generous support of the University, Dr. Stuart R. Bell recommends that the campus pantry be named by Alpha Gamma Delta;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of the President and approves the naming of the campus pantry in the Student Services Center;

BE IT FURTHER RESOLVED that the attached list, attached hereto as Exhibit O, detailing the naming and gift amount is made a part of this resolution by reference;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Endowed Funds and Revisions to Endowed Funds at UA

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama recommends that the attached list of funds, attached hereto as Exhibit P, having met the minimum standards for endowed gifts, be endowed or revised as part of the

University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service, and that their Memoranda of Agreement (MOAs) be codified herein;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and establishes or revises the endowed funds in the attached list;

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such funds;

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the newly established funds in the attached list be notified that the funds have been endowed in perpetuity;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Endowed Funds, Quasi-Endowed Funds, and Revisions to
Endowed Funds at UAB

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama at Birmingham recommends that the attached list of funds, attached hereto as Exhibit Q, be endowed, revised, or quasi-endowed as part of the University's ongoing mission to advance student education and enhance programs in teaching, research, and service, and that their Gift Agreements be codified herein;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous contributions of the donors and approves the establishment or revisions to the endowed or quasi-endowed funds in the attached list;

BE IT FURTHER RESOLVED that the initial gifts and all future contributions to these funds shall constitute endowed corpora, which will be held, invested, maintained and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds, and that the endowments' earnings shall

be used for needs that most closely relate to the donors' intent as stated in each fund's memorandum of agreement, under the policies and procedures of the University adopted from time to time to regulate and administer such funds;

BE IT FURTHER RESOLVED that the donors and/or donor representatives for the newly established funds in the attached list be notified that the funds have been endowed in perpetuity;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Resolution Acknowledging and Expressing Appreciation for
Gifts at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville recommends that the following gifts be used as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Ms. Laura Ortman has given \$25,000 to further support the non-endowed *Ortman Together We Win Scholarship*, which is used to support undergraduate or graduate students who are Student Athletes, who qualify for out-of-state tuition, and who are pursuing a degree within the College of Business. If there are no Charger Athletes pursuing degrees within the College of Business, a student participating in UAH Club Sports may receive the scholarship. It is the intention of the donor to fund a full out-of-state scholarship for one or more students annually;

Dr. Amit Arora and Dr. Aruna Arora have generously given \$33,334, the second gift of three toward a pledged total commitment of \$100,000, for the establishment of the endowed *Amit and Aruna Arora Honors Student Conference*. The future endowed fund will be, and the current non-endowed fund is used, to create camaraderie amongst peers, perpetuate high-level conversation on a challenging topic, build a collaborative, tight-knit culture amongst some of the University's most talented students, and aid in building a reputable brand and culture for the Honors College;

Ms. Hertha H. Hughes has given \$40,000 to further support the endowed *Gerhard B. Heller Memorial Scholarship* and the endowed *Hertha D. Heller Memorial Scholarship*. The *Hertha D. Heller Memorial Scholarship* is used to support one or more full time undergraduate students who are pursuing a degree in Foreign Languages with a major or a minor in German (encompassing the German language, literature, and culture), and who have maintained a grade point average of at least 3.0 on a 4.0 scale. The

Gerhard B. Heller Memorial Scholarship is used to support one full-time junior or senior student who is pursuing a degree in physics or chemistry.

Ms. Patricia Blair has given \$50,000 to further support the non-endowed *James Blair Scholarship in the College of Engineering*, which is used to support undergraduate students in the College of Engineering;

The COLSA Corporation has generously given \$50,000, the first gift toward a pledged total commitment of \$250,000, to the College of Engineering Dean's Excellence Fund;

The Cromeans Foundation, with Mr. Joe G. Cromeans, Jr. as Trustee, has given \$60,000 to further support the Joe and Mary Ann Cromeans Scholarships, which include the *Joe and Mary Ann Cromeans Scholarship in Nursing*, which supports students pursuing a degree in Nursing, the *Joe and Mary Ann Cromeans Scholarship in the Performing Arts*, which supports students pursuing a degree in Theatre and/or Music, and the *Joe and Mary Ann Cromeans Scholarship in Science*, which supports students pursuing a degree in pre-medicine, pre-dentistry, pre-pharmacy, or pre-optometry;

The late Dr. Robert E. James, former UAH College of Arts, Humanities, and Social Sciences faculty from 1971 until his retirement in 2000, has generously given an additional gift of \$230,520 through his estate to further support three endowed scholarships he established before his passing and also to the *College of Arts, Humanities, and Social Sciences Last-Mile Fund*, which supports students experiencing unexpected financial barriers in the last-mile of their undergraduate studies. The *Robert E. James Theatre Scholarship*, the *Robert E. James Communication Arts Scholarship*, and the *Robert E. James Psychology Scholarship* all support students within the College of Arts, Humanities, and Social Sciences.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby expresses its appreciation for the generosity demonstrated by the donors presented above and herein conveys its gratitude for these gifts to support The University of Alabama in Huntsville;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Approving Naming Opportunities at UAH

RESOLUTION

WHEREAS, various Deans and Directors at The University of Alabama in Huntsville desire to undertake fundraising plans in which definable portions of the

University would be given donors' names in compliance with Board Rules 104 and 411; and

WHEREAS, those Deans and Directors have submitted to Dr. Charles L. Karr, President of The University of Alabama in Huntsville, a list of naming opportunities and the gift amounts required for each; and

WHEREAS, Dr. Karr recommends that the naming opportunities and gift amounts be approved as part of the University's ongoing mission to promote the education of students at The University of Alabama in Huntsville;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of Dr. Karr and approves the naming opportunities contained within the attached lists, attached hereto as Exhibit R; and

BE IT FURTHER RESOLVED that the attached lists detailing the naming opportunities and gift amounts be made a part of this resolution by reference; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 411, all proposed namings resulting from the fundraising efforts shall be conditioned on Board approval and shall be submitted to the Chancellor and the Board for approval in compliance with Board Rule 411; and

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a building named in his or her honor unless he or she has contributed the minimum amount to name a building, room, or space as stipulated in Board Rule 411, unless the Board finds special need for making an exception; and

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board.

Approving Endowed Funds at UAH

RESOLUTION

WHEREAS, in compliance with Board Rule 411, the President of The University of Alabama in Huntsville recommends that the following gifts, having met the minimum standards for endowed gifts, be used as part of the University's ongoing mission to promote the education of students and to provide quality programs in the areas of teaching, research, and service:

Family, friends, and colleagues have generously given \$26,143 for the establishment of the *Don Bowyer Jazz Scholarship*. The endowed scholarship funds will be used to support students with a minimum GPA of 3.0 who demonstrate outstanding musicianship and who participate in jazz ensembles and/or jazz combos at UAH. Preference shall be given to a music student who is pursuing a BA in Music with an Emphasis in Jazz;

Torch Technologies has generously given \$36,395 for the establishment of the *Torch Technologies Scholarship*. The endowed scholarship funds will be used to support undergraduate students with a minimum GPA of 3.0 who are pursuing a degree in Computer Science. Preference shall be given to students pursuing a concentration and/or certification in Data Science and/or Cybersecurity. Additional preference shall be given to students with financial need;

Dr. Rhonda Gaede has generously given \$40,750 for the establishment of the *Shirley Gaede Scholarship in Education*. The endowed scholarship funds will be used to support upper division (junior or senior) undergraduate students pursuing a degree in the College of Education with a minimum GPA of 3.0 on a 4.0 scale. Preference shall be given to students who are seniors, specifically in their internship semester, and who demonstrate financial need;

The Huntsville community and business leaders have generously given a total of \$1,006,575.19 for the establishment of the *Senator Richard Shelby Endowed Chair in Engineering*. Senator Shelby facilitated infrastructure enhancements to UAH and was a prime driver of funding to support numerous UAH research projects and programs. As a champion for economic growth for the state of Alabama, Senator Shelby worked tirelessly to develop infrastructure, promote high tech, aerospace, defense/military programs, and support advanced education and research programs. In grateful recognition of Senator Richard Shelby and his countless contributions to the state of Alabama, this endowed chair will allow UAH to recruit exceptional faculty and to further prepare tomorrow's leaders.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby expresses its appreciation for the generosity demonstrated by the donors presented above and herein conveys its gratitude for these gifts to support The University of Alabama in Huntsville;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Pro tem Phelps thanked Trustee Simon for his report and recognized Trustee Roy for a report from the Audit, Risk, and Compliance Committee. Trustee Roy reported that

the Audit, Risk, and Compliance Committee met on June 6, 2025, and heard a presentation by PricewaterhouseCoopers (“PwC”) on the FY24 OMB Uniform Guidance audits. PwC then presented the audit service plan and proposed fees for the 2025 fiscal year. The Committee then reviewed and recommended approval of a resolution appointing PwC as external auditors for The University of Alabama System for the 2025 fiscal year. Additionally, the Committee recommended a resolution approving the Office of Internal Audit Charter. Trustee Roy moved for approval by the Board, and the motion was duly seconded. Pro tem Phelps asked if there were questions or comments concerning the items. Hearing none, Pro tem Phelps called for a vote, and the following resolutions were adopted:

Approving appointment J. Steven Roy of PricewaterhouseCoopers as External Auditors for The University of Alabama System for 2025 Fiscal Year

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has determined that an external audit of the financial statements of the Board shall be made annually by independent certified public accountants; and

WHEREAS, the Audit, Risk and Compliance Committee of the Board has been given the responsibility of recommending for Board approval the appointment of the external auditors; and

WHEREAS, the Audit, Risk and Compliance Committee has recommended PricewaterhouseCoopers to serve as external auditors for the fiscal year 2025.

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that PricewaterhouseCoopers is hereby appointed to perform audits of the financial statements for each of the campuses and the System Office for the fiscal year ending September 30, 2025, and to render opinions thereon, together with the comments and recommendations concerning the internal control and the financial management functions.

BE IT FURTHER RESOLVED that PricewaterhouseCoopers is to perform an audit in compliance with the Office of Management and Budget Uniform Guidance and perform other procedures as agreed.

BE IT FURTHER RESOLVED that, in the scheduling of the audit and the publishing of the annual audited financial statements of The University of Alabama System, PricewaterhouseCoopers will work through The University of Alabama System Office. Copies of all Management Letters and Engagement Letters relative to this audit shall be furnished to the Chancellor, the Senior Vice Chancellor for Finance and Administration and the Chief Audit and Compliance Officer.

Approving Internal Audit Charter

RESOLUTION

WHEREAS, The Board of Trustees of The University of Alabama has reviewed the Office of Internal Audit Charter, a copy of which is attached hereto as Exhibit S; and

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that the Office of Internal Audit Charter, a copy of which shall be maintained in the Office of the Secretary, be, and hereby is, approved.

Pro tem Phelps said there were two Administrative Action Items. The first item is consideration of resolution appointing directors to the Crimson Tide Foundation. Prior to opening the floor for discussion, Pro tem Phelps called for a motion to consider the item. On the motion of Trustee Vandervoort, duly seconded, the Board opened discussion on the item.

Pro tem Phelps recognized President Bell to present the item.

Pro tem Phelps asked if there were questions or comments. There were none.

Pro tem Phelps then called for a vote, and the resolution was unanimously adopted:

Approving Appointment of Directors to the Crimson Tide Foundation

RESOLUTION

WHEREAS, the Crimson Tide Foundation supports The University of Alabama and its Athletics Department; and

WHEREAS, Article II, Section 5 of the Bylaws of the Crimson Tide Foundation authorizes The Board of Trustees of The University of Alabama to appoint members of the Board of Directors of the Crimson Tide Foundation;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby appoints the following individuals as members of the Board of Directors of the Crimson Tide Foundation:

**Angus R. Cooper, II
John J. McMahon, Jr.
John Russell Thomas
Thomas L. Patterson
Robert W. Moore
William B. Sexton
Jamie Tisch
Karen P. Brooks
Finis E. St. John, IV
DeMeco Ryans
Scott M. Phelps
John D. Johns
President Stuart R. Bell
Grayson Hall
W. Stancil Starnes
William Best
Robert C. (Mike) Brock
Antonio London
Barbara Humphrey
Chancellor Sid J. Trant**

BE IT FURTHER RESOLVED that all appointments made in this resolution are considered to be effective immediately.

Pro tem Phelps said the second item is consideration of a very substantial and remarkable resolution, consideration of resolution approving a naming in the College of Arts and Sciences at UA. Prior to opening the floor for discussion, Pro tem Phelps called for a motion to consider the item. On the motion of Trustee Simon, duly seconded, the Board opened discussion on the item.

Pro tem Phelps recognized President Bell to present the item.

Dr. Bell thanked Chancellor Trant and the Board for the opportunity to make a special gift announcement and to request consideration of a resolution accepting the generous contribution from alumnus Frank Barefield and approving the naming of the J. Frank Barefield, Jr., College of Arts and Sciences:

Today marks a truly transformational moment in our College of Arts and Sciences at The University of Alabama and most importantly, for our students. I'm pleased to report Frank Barefield, who is president of Abbey Residential, LLC in Birmingham, has made a \$35M gift commitment to provide a fully discretionary endowment for our College of Arts and Sciences. In recognition of Mr. Barefield's generosity, we are requesting that the College now be named the J. Frank Barefield, Jr., College of Arts and Sciences.

As you are already aware, the College of Arts and Sciences awards more credit hours than any other academic unit on our campus and serves over 9,000 students across 80 majors, minors, and concentrations in graduate programs. It is the home to more than 55,000 alumni who are making an impact all across our state, across the other 49 states, and 64 countries. Arts and Sciences is a jewel and the crown of the University of Alabama, and we could not be more proud that it will bear the name of Mr. Barefield.

Mr. Barefield is no stranger to this Board, as his generosity has already benefited UAB through its Department of Criminal Justice and Entrepreneurship Program. He is a true philanthropist. Before co-founding Abbey Residential LLC in

1984, along with his partner Dr. Marnix E. Heersink, Mr. Barefield enjoyed success in the banking and accounting fields in the Birmingham area, and before that, he served 4 years in the United States Air Force following his graduation from UAB in 1968. As we move to consideration of this resolution, I am pleased to share that Mr. Barefield and his wife, Barbi Breimann, are in attendance today, and I would ask them to stand so we can all show our appreciation. And, with that, we are respectfully grateful to Frank and Barbi.

Dr. Bell asked for the Board's favorable consideration of the resolution.

Pro tem Phelps thanked Barbi and Frank Barefield on behalf of the Board of Trustees for being in attendance today and for this "tremendous, generous gift in support of education, specifically today for The University of Alabama, but frankly for your support across The University of Alabama System. You said your intent with this particular transformative gift was to help UA students 'dream big, because anyone can achieve their goals.' And this Board wholeheartedly agrees with that sentiment: that higher education unlocks opportunities for students and helps them achieve their dreams. Thanks to your incredibly generous gift, the opportunities and dreams achieved by students here at this campus will be multiplied significantly. We appreciate you very much, and we appreciate your generosity."

Pro tem Phelps asked if there were questions or comments. There were none.

Pro tem Phelps then called for a vote, and the resolution was unanimously adopted:

Approving a Naming in the College of Arts and Sciences at UA

RESOLUTION

WHEREAS, J. Frank Barefield, Jr. of Vestavia Hills, Alabama has contributed \$5,000,000 and intends to contribute an additional \$30,000,000 to The Board of

Trustees of The University of Alabama to support the College of Arts and Sciences at The University of Alabama; and

WHEREAS, J. Frank Barefield, Jr. received a bachelor of science degree in commerce and business administration from The University of Alabama in 1968; and

WHEREAS, in accordance with Board Rules 104 and 411, and in recognition of Mr. Barefield's generous support of the College of Arts and Sciences, Dr. Stuart R. Bell, President of The University of Alabama, recommends that the College of Arts and Sciences be named the J. Frank Barefield, Jr. College of Arts and Sciences;

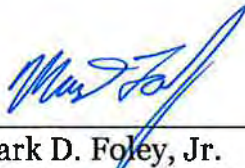
NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the recommendation of the President and names the College of Arts and Sciences the J. Frank Barefield, Jr. College of Arts and Sciences;

BE IT FURTHER RESOLVED that, pursuant to Board Rule 104, no donor shall have a college named in his or her honor unless he or she has contributed the minimum amount to name a college as stipulated in Board Rule 411, unless the Board finds special need for making an exception;

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of this Board.

Pro tem Phelps asked if there was any further business to come before the Board.

There being no further business to come before the Board, the meeting was adjourned.



Mark D. Foley, Jr.
Secretary of The Board of Trustees



University of Alabama System
Board of Trustees Meeting
June 06, 2025
10:30 am-11:30 am Central Time

- I. Roll Call
(*Mark Foley*)
- II. Introduction of Press
(*Lynn Cole*)
- III. Adoption of Agenda
(*Pro tem Phelps*)
- IV. Approval of Consent Agenda
(*Pro tem Phelps*)
- V. Approval of the April 4, 2025 Minutes
(*Pro tem Phelps*)
- VI. Administrative Report
- VII. Report from Chancellor Sid J. Trant
- VIII. Investment Committee Report
- IX. Finance Committee Report
 - A. UAS
 - 1. Consideration of Resolution Approving UA System Annual Campus Information Technology Plans for FY 2025-2026
 - B. UA
 - 1. Consideration of Resolution Approving a Professional Services Agreement with ScottMadden Management Consultants at UA
- X. Physical Properties Committee Report
 - A. UA Construction Items
 - 1. Consideration of Resolution Approving the revised project budget; granting authorization to execute a Construction Contract for the Student Recreation Court Expansion at UA (Stage IV)
 - 2. Consideration of Resolution Approving the revised project budget; granting authorization to execute a Construction Contract for the Student Well-Being Hub at UA (Stage IV)
 - 3. Consideration of Resolution Approving the reallocated project budget and proposed architectural design; granting authorization to execute Owner/Consultant Agreements for the Facilities Administration Community Safe Room at UA (Stage II & Stage III)

4. Consideration of Resolution Granting Authorization to negotiate a Master Services Agreement for Construction Materials Testing Services at UA (Stage II)
5. Consideration of Resolution Approving the preliminary project scope and budget; granting authorization to execute an Owner/Architect Agreement for the Chi Omega Sorority House Renovations at UA (Stage I & Stage II)
6. Consideration of Resolution Approving the preliminary project scope and budget; granting authorization to execute an Owner/Architect Agreement for the Psychiatry Residency Program Renovation and Addition at UA (Stage I & Stage II)

B. UA Real Estate Items

1. Consideration of Resolution Granting Authorization to execute a Lease Agreement for Apartments with KRE UP III East Edge Owner, LLC

C. UAB Construction Items

1. Consideration of Resolution Approving the proposed architectural design for the Demolition of the Community Care Building at UAB (Stage III - Visual Impact)
2. Consideration of Resolution Approving the revised project scope and budget; granting authorization to negotiate an Owner/Architect Agreement for the Renovations and Addition to Bartow Arena for Entrance Lobby and Concourse Improvements, Enhanced Spectator Seating, and Club Level Lounge at UAB (Stage II)
3. Consideration of Resolution Approving the revised project scope and budget for the Southern Research - Phase I Campus Master Plan Improvements (Revised Stage II)
4. Consideration of Resolution Approving the preliminary project scope and budget for the Renewal of Building Envelope and Interior of Blount Residence Hall at UAB (Stage I)
5. Consideration of Resolution Approving the preliminary project scope and budget for the Renovation of the Mervyn H. Sterne Library at UAB (Stage I)
6. Consideration of Resolution Approving the preliminary project scope and budget for the Renovation of the Ground Floor of Volker Hall for New Research Laboratory and Office Space at UAB (Stage I)

D. UAB Real Estate Items

1. Consideration of Resolution Granting Authorization to execute a Real Estate Sales Agreement for the disposition of Oxmoor Property (12 acres)

2. Consideration of Resolution Approving the acceptance of gift property; granting authorization to execute a Real Estate Sales Agreement for the disposition of the Trigg Gift Property
- E. UABHS Real Estate Item
 1. Consideration of Resolution Granting authorization to execute a Real Estate Sales Agreement for the acquisition of Membership Interest in the Cotton Exchange of Cullman Property
- F. UAH Construction Items
 1. Consideration of Resolution Granting authorization to negotiate an Owner/Consultant Agreement for the Center for Cybersecurity Research, Education, and Advanced Training at UAH (Stage II)
- G. UAS Information Item
 1. Report on Interior Renovation Construction Contract Awards
- XI. Academic Affairs and Student Affairs Committee Report
 - A. UAS Information Item
 1. ACHE Recommended CIP Code Change at UAH
 - B. UA Individual Action Items
 1. Consideration of Resolution Granting Final Approval for a Master of Science (M.S.) degree in Biomedical Engineering (CIP Code 14.0501) in the Department of Chemical and Biological Engineering in the College of Engineering at UA
 2. Consideration of Resolution Granting Initial Approval of and Permission to Submit to the Alabama Commission on Higher Education (ACHE) a Proposal for a Master of Science (M.S.) degree in Artificial Intelligence (CIP Code 11.0102) in the Department of Computer Science in the College of Engineering at UA
 3. Consideration of Resolution Approving Participation in the Child and Adult Care Food Program at UA
 - C. UA Administrative Action Items
 1. Consideration of Resolution Approving Closure of the Alabama Cyber Institute at UA
 2. Consideration of Resolution Approving Appointment of Dr. Angelos Sikalidis as the Roberta M. and John L. Rhoads Endowed Chair in Human Nutrition at UA
 3. Consideration of Resolution Approving Appointment of Dr. Philip Ernst as an Endowed Shelby Distinguished Professor at UA

4. Consideration of Resolution Approving Appointment of Dr. David Dixon as an Endowed Shelby Distinguished Professor at UA
5. Consideration of Resolution Approving Appointment of Dr. Timo Siponen for the Marilyn Hewson Endowed Professorship in Cyber Security at UA
6. Consideration of Resolution Approving Appointment of Dr. Maura Mills for the Joe A. Chambliss Endowed Business Professorship at UA
7. Consideration of Resolution Approving Appointment of Dr. Robert Adam Farmer for the Westervelt Company Faculty Fellowship at UA
8. Consideration of Resolution Approving Appointment of Dr. Ebru Bish for the Reese Phifer Fellowship in Manufacturing Management at UA
9. Consideration of Resolution Approving Appointment of Dr. Carol Esmark Jones for the Nelems Faculty Fellowship in Marketing Research at UA
10. Consideration of Resolution Approving Appointment of Dr. Michael Ford for the Minnie Caddell Miles Commerce and Business Administration Professorship at UA
11. Consideration of Resolution Approving Appointment of Dr. Mark Schneider for the J. Weldon and Delores Cole Faculty Fellowship at UA
12. Consideration of Resolution Approving Appointment of Dr. Tigran Melkonyan for the John S. Bickley Endowed Faculty Fellow in Insurance at UA

D. UA Information Items

1. Revisions to listings of six graduate certificates on the Academic Program Inventory at The University of Alabama
2. Reorganization of the Capstone College of Nursing at The University of Alabama

E. UAB Individual Action Items

1. Consideration of Resolution Granting Final Approval of a Doctor of Philosophy (Ph.D.) Degree in Biomedical and Health Informatics (CIP Code 51.2706) at UAB
2. Consideration of Resolution Granting Initial Approval and Submission to the Alabama Commission on Higher Education (ACHE) for a Proposal for a Master of Science (M.S.) Degree in Higher Education Intercollegiate Athletics Administration (CIP Code 13.0406) at UAB

3. Consideration of Resolution Granting Initial Approval and Submission to the Alabama Commission on Higher Education (ACHE) for a Proposal for a Master of Science (M.S.) Degree in Exercise Physiology (CIP Code 26.0908) at UAB

F. UAB Administrative Action Items

1. Consideration of Resolution Granting Approval of and Submission to the Alabama Commission on Higher Education (ACHE) a Request to Change the Name of the Bachelor of Science (B.S.) Degree in Biobehavioral Nutrition and Wellness (CIP Code 30.1901) to the Bachelor of Science (B.S.) Degree in Nutrition Sciences (CIP Code 30.1901) at The University of Alabama at Birmingham
2. Consideration of Resolution Establishing the Endowed Professorship in Reproductive Health Research and the Endowed Professorship in Reproductive Health Research Quasi-Endowment at UAB
3. Consideration of Resolution Approving Establishment of the Comprehensive Healthy Living Research Center (Healthy Living Center) at UAB
4. Consideration of Resolution Granting Approval of the Renaming of the Kent and Phoebe Palcanis Endowed Professorship to the Kent and Phoebe Palcanis Endowed Professorship in Periodontology at UAB
5. Consideration of Resolution Approving Appointment of Corey Speers, M.D., Ph.D., as the Second Holder of the Merle M. Salter, M.D. Endowed Chair of Radiation Oncology at UAB
6. Consideration of Resolution Approving Appointment of Benjamin Wei, M.D., as the Inaugural Holder of the Charles W. Breaux, Sr., and Ann K. Breaux Endowed Professorship in Cardiothoracic Surgery at UAB
7. Consideration of Resolution Appointing Appointment of Barbara Van Der Pol, Ph.D. as the Inaugural Holder of the Endowed Professorship in Reproductive Health Research at UAB
8. Consideration of Resolution Approving Appointment of Andrew T. Ulijasz, Ph.D. as the Second Holder of the Endowed Professorship in Lung Cellular and Molecular Biology at UAB
9. Consideration of Resolution Approving Appointment of Jan Novak, Ph.D., as the Inaugural Holder of the Jiri Mestecky Endowed Professorship in Microbiology in The University of Alabama at Birmingham Mamix E. Heersink School of Medicine

10. Consideration of Resolution Approving Appointment of Michael J. Mugavero, M.D., MHSc as the Inaugural Holder of the Endowed Professorship in Outcomes Research and Implementation Science at UAB
11. Consideration of Resolution Approving Appointment of David Stephen Geldmacher, M.D., FANA, FACP to University Professor in Neurology at UAB

G. UAB Information Items

1. Establishment of a 15-Hour Graduate Certificate in Ethical Dimensions of Artificial Intelligence (CIP Code 38.0104) at UAB
2. Establishment of a 15-Hour Graduate Certificate in Geographic Information Systems and Data Analysis (CIP Code 45.0702) at UAB
3. Establishment of a 15-Hour Graduate Certificate in Learning Design and Learning Sciences (CIP Code 13.0607) at UAB
4. Establishment of a 15-Hour Graduate Certificate in Applied Data Analytics and AI Engineering (CIP Code 14.0903) at UAB
5. Establishment of a 15-Hour Undergraduate Certificate in Occupational Safety and Health (CIP Code 51.2206) at UAB
6. Changing Modality of Music Concentration of the Master of Arts in Education in Arts Education (M.A.Ed.) Degree (CIP Code 13.1302) at UAB
7. Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Secondary Education (CIP 13.1205) at UAB
8. Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree in Elementary Education and Teaching to Bachelor of Science (B.S.) Degree in Elementary Education and Teaching (CIP Code 13.1202) at UAB
9. Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree in Early Childhood Education and Teaching to Bachelor of Science (B.S.) Degree in Early Childhood Education and Teaching (CIP 13.1210)
10. Alteration of Degree Nomenclature for Bachelor of Science in Education (B.S.Ed.) Degree to Bachelor of Science (B.S.) Degree in Physical Education Teaching and Coaching: Kinesiology (CIP 13.1314) at UAB
11. Discontinuation of the Secondary Education History Concentration of the Education Specialist (Ed.S.) Degree in Education (CIP Code 13.0101) at UAB

12. Discontinuation of the History Concentration of the Master of Arts in Education (M.A.Ed.) Degree in Secondary Education and Teaching (CIP Code 13.1205) at UAB

H. UAH Administrative Action Items

1. Consideration of a Resolution Approving a New College of Nursing 24-hour Post-Master's Psychiatric Mental Health Nurse Practitioner Certificate (CIP Code 51.3810) at UAH
2. Consideration of a Resolution Approving the Establishment of the Department of Engineering Technology in the College of Science at UAH

I. UAH Information Items

1. Establishing a New Concentration in Accounting Added to the Master of Science in Business Analytics degree (CIP Code 30.7102) at UAH
2. Establishing a New Concentration in Accounting Added to the Master of Science in Information Systems degree (CIP Code 52.1201) at UAH
3. Establishing a New Concentration in Accounting Added to the Master of Business Administration (M.B.A.) degree (CIP Code 52.0201) at UAH

XII. Honorary Degrees and Recognition Committee Report

A. UA

1. Consideration of Resolution Accepting Gifts and Pledges from Donors, Expressing Appreciation, and Approving Named Spaces at UA
2. Consideration of Resolution Approving Naming Opportunities in the Culverhouse College of Business at UA
3. Consideration of Resolution Approving the Naming of the Alpha Gamma Delta Campus Pantry at UA
4. Consideration of Resolution Approving Endowed Funds and Revisions to Endowed Funds at UA

B. UAB

1. Consideration of Resolution Approving Endowed Funds, Quasi-Endowed Funds, and Revisions to Endowed Funds at UAB

C. UAH

1. Consideration of Resolution Acknowledging and Expressing Appreciation for Gifts at UAH
2. Consideration of Resolution Approving Naming Opportunities at UAH
3. Consideration of Resolution Approving Endowed Funds at UAH

XIII. Audit, Risk, and Compliance Committee Report

XIV. Administrative Action Item

- A. Consideration of Resolution Approving Appointment of Directors to the Crimson Tide Foundation**
- B. Consideration of Resolution Approving a Naming in the College of Arts and Sciences at UA**

XV. Adjourn

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama as follows:

The following scale of tuition and fees for students attending **The University of Alabama**; **The University of Alabama at Birmingham**; and **The University of Alabama in Huntsville** is hereby established, effective on the dates indicated, and the said tuition and fees at the rates hereunder prescribed are hereby levied against and ordered collected from each such student. Tuition and fees levied for the Academic Year shall remain in effect for the entirety of such term, notwithstanding any change in a student's status or the change of delivery mode.

The President of each campus is authorized to promulgate regulations for deferral or adjustment of tuition and fees but in no event shall students be allowed to receive credit for courses taken in the term for which tuition and fees are billed or attend classes in any subsequent term without payment.

A. The University of Alabama

1. Tuition and fees for each fall or spring semester, effective with the Fall Semester, 2025 (except for the College of Community Health Sciences):
 - a. A general tuition payable by each in-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$ 545.00
2*	University tuition for each student	1,090.00
3*	University tuition for each student	1,635.00
4*	University tuition for each student	2,180.00
5	University tuition for each student	2,725.00
6	University tuition for each student	3,270.00
7	University tuition for each student	3,815.00
8	University tuition for each student	4,360.00
9	University tuition for each student	4,905.00
10	University tuition for each student	5,450.00
11	University tuition for each student	5,995.00
12 – 16	University tuition for each student	5,842.00
each additional hour > 16	University tuition for each student	480.00

- b. A general tuition payable by each out-of-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,520.00
2*	University tuition for each student	3,040.00
3*	University tuition for each student	4,560.00
4*	University tuition for each student	6,080.00
5	University tuition for each student	7,600.00
6	University tuition for each student	9,120.00
7	University tuition for each student	10,640.00
8	University tuition for each student	12,160.00
9	University tuition for each student	13,680.00
10	University tuition for each student	15,200.00
11	University tuition for each student	16,720.00
12 – 16	University tuition for each student	17,271.00
each additional hour > 16	University tuition for each student	1,520.00

- c. A general tuition payable by each in-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$670.00
2*	University tuition for each student	1,340.00
3*	University tuition for each student	2,010.00
4*	University tuition for each student	2,680.00
5	University tuition for each student	3,350.00
6	University tuition for each student	4,020.00
7	University tuition for each student	4,690.00
8	University tuition for each student	5,360.00
9 – 15	University tuition for each student	5,842.00
each additional hour > 15	University tuition for each student	510.00

- d. A general tuition payable by each out-of-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,770.00
2*	University tuition for each student	3,540.00
3*	University tuition for each student	5,310.00
4*	University tuition for each student	7,080.00
5	University tuition for each student	8,850.00
6	University tuition for each student	10,620.00
7	University tuition for each student	12,390.00
8	University tuition for each student	14,160.00
9 – 15	University tuition for each student	17,271.00
each additional hour > 15	University tuition for each student	1,770.00

All undergraduate and graduate students pay the standard main campus tuition rates unless they applied and are enrolled in a Distance Learning program (UA Early College, Bama By Distance, New College Life Track, Go Army Edu, and Executive Ed.D.).

- e. A general tuition payable by each in-state main campus law student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,170.00
2*	University tuition for each student	2,340.00
3*	University tuition for each student	3,510.00
4*	University tuition for each student	4,680.00
5	University tuition for each student	5,850.00
6	University tuition for each student	7,020.00
7	University tuition for each student	8,190.00
8	University tuition for each student	9,360.00
9	University tuition for each student	10,530.00
10 – 16	University tuition for each student	12,490.00
each additional hour > 16	University tuition for each student	690.00

- f. A general tuition payable by each out-of-state main campus law student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$2,330.00
2*	University tuition for each student	4,660.00
3*	University tuition for each student	6,990.00
4*	University tuition for each student	9,320.00
5	University tuition for each student	11,650.00
6	University tuition for each student	13,980.00
7	University tuition for each student	16,310.00
8	University tuition for each student	18,640.00
9	University tuition for each student	20,970.00
10 – 16	University tuition for each student	24,050.00
each additional hour > 16	University tuition for each student	1,950.00

*Tuition for undergraduate, graduate, and law main campus students enrolled for less than 5 hours in a Fall or Spring semester does not include recreation privileges. Students may purchase these services for an additional charge.

- g. Undergraduate main campus students enrolled for nine or more semester hours:

Minimum Food Buy-In—per semester	\$350.00

- h. A general tuition payable by each Gadsden campus student:

Student—per hour	Tuition
In-state undergraduate	\$ 399.00
Out-of-state undergraduate	1,090.00
In-state graduate	480.00
Out-of-state graduate	1,345.00

- i. A general tuition payable by each Distance Learning student:

Student—per hour	Tuition
Early College	\$33.00
Undergraduate	399.00

Graduate	480.00
Graduate—joint (UA and UAH) Doctor of Philosophy in Nursing Science	500.00
Law	1,950.00

2. College of Community Health Sciences, effective July 1, 2025

- a. Tuition for in-state student to be paid in two equal installments with the first due on or before August 11, and the second and final due on or before December 10:

In-state Student	\$34,092.00

- b. Tuition for out-of-state student to be paid in two equal installments with the first due on or before August 11, and the second and final due on or before December 10:

Out-of-state Student	\$64,596.00

3. Summer term, effective upon the beginning of the 2025 Summer School Term (except for the College of Community Health Sciences):

- a. Tuition—main campus, in-state students:

Undergraduate—per hour	\$460.00
University tuition for each undergraduate student taking 12 – 16 semester hours	5,860.00
Graduate—per hour	500.00
University tuition for each graduate student taking 9 – 15 semester hours	5,860.00
Law Student—per hour	930.00
University tuition for each law student taking 10 – 16 semester hours	12,710.00

- b. Tuition—main campus, out-of-state students:

Undergraduate—per hour	\$1,278. ~~23~~ 00
University tuition for each undergraduate student taking 12 – 16 semester hours	16,600.00
Graduate—per hour	1,415.00
University tuition for each graduate student taking 9 – 15 semester hours	16,600.00
Law Student—per hour	1,780.00
University tuition for each law student taking 10 – 16 semester hours	23,690.00

- c. Dining Dollars amount for undergraduate main campus students, enrolled in 5 or more Summer term hours:

	\$100.00

4. A technology and infrastructure fee for all main campus courses, except graduate commerce and business administration courses —per hour:

	\$29.00

5. A commerce and business administration college, technology/infrastructure fee for all graduate commerce and business administration courses excluding EMBA and overseas campuses - per course:

	\$288.00

6. A commerce and business administration college fee for EMBA courses—per semester:

	\$17,500.00

7. An arts and sciences college fee for all distance campus courses in the College of Arts and Sciences—per hour:

	\$15.00

8. An education college fee for all distance campus courses in the College of Education—per hour:

	\$10.00

9. An education college fee for all Executive Education doctoral courses—per hour:

	\$300.00

*Tuition for undergraduate, graduate, and law main campus students enrolled for less than 5 hours in a Fall or Spring semester does not include recreation privileges. Students may purchase these services for an additional charge.

B. The University of Alabama at Birmingham

1. Students attending the School of Medicine, effective for the 2025-2026 academic year*:

- a. A general tuition to be paid by each student other than an out-of-state student in two installments with the first payment (\$17,046.00) due on or before September 1 and the second, and final payment (\$17,046.00), due on or before January 1*:

	\$34,092.00

- b. A general tuition to be paid by each out-of-state student in two installments with the first payment (\$32,298.00) due on or before September 1 and the second, and final payment (\$32,298.00), due on or before January 1*:

	\$64,596.00

2. Students attending the first professional program in the School of Dentistry, effective for the 2025-2026 academic year**:

- a. A general tuition for each term to be paid by each student other than an out-of-state student according to the class level of the student:

1 st year – 4 th year, Fall & Spring (per term)	\$16,923.00

- b. A general tuition for each term to be paid by each out-of-state student according to the class level of the student:

1 st year – 4 th year, Fall & Spring (per term)	\$39,446.00

3. Students attending the School of Optometry, effective for the 2025-2026 academic year***:

- a. A general tuition to be paid by each in-state student:

Fall, Spring & Summer (per term)	\$10,552.00

- b. A general tuition to be paid by each out-of-state student:

Fall, Spring & Summer (per term)	\$18,004.00

4. Students enrolled in undergraduate courses in the College of Arts & Sciences, School of Education, Graduate School, programs in Joint

Health Sciences Departments, and specially designated interdisciplinary courses in the arts and sciences; effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$388.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$966.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,052.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$486.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$571.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

5. Students enrolled in undergraduate courses in the School of Business effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$394.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$980.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,067.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$493.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$579.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

6. Students enrolled in undergraduate courses in the School of Engineering effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$415.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$1,038.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,130.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$523.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$611.00

- f. A per semester campus dining fee payable by each undergraduate

student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

7. Students enrolled in undergraduate courses in the School of Health Professions, School of Nursing, and School of Public Health effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$458.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$1,141.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,228.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$557.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$642.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

8. Students enrolled in graduate courses in the College of Arts & Sciences, School of Education, and Graduate School effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$494.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,211.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,298.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$589.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$674.00

9. Students enrolled in graduate courses in School of Business effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$502.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,229.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,317.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$598.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$683.00

10. Students enrolled in graduate courses in the School of Engineering effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:**

	\$529.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:**

	\$1,302.00

- c. A general tuition payable by each international graduate student per semester hour:**

	\$1,393.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:**

	\$632.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:**

	\$721.00

11. Students enrolled in graduate courses in the School of Medicine, School of Health Professions, and School of Nursing effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:**

	\$628.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:**

	\$1,550.00

- c. A general tuition payable by each international graduate student per semester hour:**

	\$1,635.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$723.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$809.00

12. Students enrolled in graduate courses in the School of Public Health effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$511.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,247.00

- c. A general tuition payable by each international student per semester hour:

	\$1,334.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$606.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$691.00

13. Students enrolled in graduate courses in the programs in the Joint Health Science Departments effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$475.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,166.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,250.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$571.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$656.00

14. A general tuition payable by each dual enrollment**** undergraduate student enrolled in courses in the College of Arts and Sciences, School of Business, School of Education, School of Health Professions, School of Engineering, School of Nursing, and School of Public Health for 2025-2026 academic year:

- a. In-state, per semester hour:

	\$178.50

- b. Out-of-state, per semester hour:

	\$437.00

- c. Each course per semester hour designated in the registration system as an online course:

	\$178.50

15. Students attending the School of Dentistry and enrolled in the Dental Assisting Program for each semester and the summer term, effective July 1, 2025:

- a. A general tuition payable by each student other than out-of-state students:

	\$2,133.00

- b. An out-of-state general tuition payable by each out-of-state student:

	\$4,936.00

- c. A per semester campus dining fee payable by each undergraduate student who is enrolled in the program on a full-time basis during each of the fall and spring terms:

	\$ 225.00

Full-time employees of The University of Alabama at Birmingham who are enrolled as students and who are taking courses offered at The University of Alabama at Birmingham are exempted from the payment of the campus dining fees provided for above.

Campus dining fees may be waived at the discretion of the President for those students taking courses exclusively at off-campus sites, which are greater than 100 miles from Birmingham or internet-based distance learning courses.

*The general tuition and fee schedule for the School of Medicine is quoted on an annual basis. Students who must enter or exit the academic program off-cycle must receive permission to do so and may be subject to a prorated tuition/fee charge per School of Medicine tuition policy. If the student is continuing with patient contact, liability insurance will be required.

*Students who are unable to be enrolled full-time in pre-clinical coursework may seek permission for continuous enrollment status on a part-time basis. Prorated tuition will be determined through a defined process for review, overseen by the Associate Dean for Admissions and Enrollment Management.

**Should a student in the School of Dentistry be required to remediate a course requiring faculty instruction during a period in which the course is not normally taught, the student will be charged an additional fee of \$500.00 per class. Those students required to remediate a course through self-study will be charged a fee of \$100.00.

***SREB contract students attending the School of Optometry are to be considered in-state students for tuition and fee purposes.

**** Dual Enrollment for this purpose refers to undergraduate students that have not completed requirements for a high school degree but do meet requirements for acceptance to UAB. Tuition for dual enrollment courses does not include health services and dual enrollment students will not be assessed a dining charge.

C. The University of Alabama in Huntsville

1. Tuition and fees effective with the Fall semester 2025 (campus rates):

a. A general tuition payable by each in-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$455.00
2	University tuition for each student	910.00
3	University tuition for each student	1,365.00
4	University tuition for each student	1,820.00
5	University tuition for each student	2,275.00
6	University tuition for each student	2,730.00
7	University tuition for each student	3,185.00
8	University tuition for each student	3,640.00
9	University tuition for each student	4,095.00
10	University tuition for each student	4,550.00
11	University tuition for each student	5,005.00
12 – 18	University tuition for each student	5,350.00

b. A general tuition payable by each out-of-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$1,070.00
2	University tuition for each student	2,140.00
3	University tuition for each student	3,210.00
4	University tuition for each student	4,280.00
5	University tuition for each student	5,350.00
6	University tuition for each student	6,420.00
7	University tuition for each student	7,490.00
8	University tuition for each student	8,560.00
9	University tuition for each student	9,630.00

10	University tuition for each student	10,700.00
11	University tuition for each student	11,770.00
12 – 18	University tuition for each student	12,695.00

c. A general tuition payable by each in-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$715.00
2	University tuition for each student	1,430.00
3	University tuition for each student	2,145.00
4	University tuition for each student	2,860.00
5	University tuition for each student	3,575.00
6	University tuition for each student	4,290.00
7	University tuition for each student	5,005.00
8	University tuition for each student	5,720.00
9 – 15	University tuition for each student	5,835.00

d. A general tuition payable by each out-of-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$1,680.00
2	University tuition for each student	3,360.00
3	University tuition for each student	5,040.00
4	University tuition for each student	6,720.00
5	University tuition for each student	8,400.00
6	University tuition for each student	10,080.00
7	University tuition for each student	11,760.00
8	University tuition for each student	13,440.00
9 – 145	University tuition for each student	14,000.00

e. A per semester minimum buy in dining fee of \$415 is required for all full-time, undergraduate students.

2. Tuition and fees effective with the Fall semester 2025 for online degree and non-degree programs, online courses, and/or online certificate programs (collectively distance learner rates):

- a. University tuition for undergraduate students enrolled in an online degree and non-degree program, online courses, and/or online certificate program is the same as the in-state campus-rate per semester hour:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$455.00
2	University tuition for each student	910.00
3	University tuition for each student	1,365.00
4	University tuition for each student	1,820.00
5	University tuition for each student	2,275.00
6	University tuition for each student	2,730.00
7	University tuition for each student	3,185.00
8	University tuition for each student	3,640.00
9	University tuition for each student	4,095.00
10	University tuition for each student	4,550.00
11	University tuition for each student	5,005.00
12 – 18	University tuition for each student	5,345.00

- b. University tuition for graduate students enrolled in an online master's degree program, online courses, and/or online certificate program in Arts, Humanities, and Social Sciences; Education; or Nursing per semester hour:

	\$445.00

- c. University tuition for graduate students enrolled in the Doctor of Nursing Practice degree program per semester hour:

	\$445.00

- d. University tuition for graduate students enrolled in the joint (UAH and UA) Doctor of Philosophy in Nursing Science program per semester hour:

	\$500.00

- e. University tuition for graduate students enrolled in an online master's degree program, online courses, and/or online certificate program in Business per semester hour:

	\$575.00

- f. University tuition for graduate students enrolled in Executive MBA courses per semester: (program begins Fall 2026)

	\$14,900.00

- g. University tuition for graduate students enrolled in an online master's or doctoral degree program, online courses, and/or online certificate program in Engineering or Science per semester hour:

	\$655.00

- h. University tuition for graduate non-degree students per semester hour:

	\$655.00

Undergraduate students enrolled in an online degree and non-degree program, online courses, and/or online certificate program are charged the same as the in-state campus-rate per semester hour. Graduate students enrolled in an online degree program, online courses, and/or online certificate program are charged the distance learner rate. Undergraduate and graduate students who are enrolled in courses, degree programs or certificates in a blended delivery modality are charged the campus rate.

3. In addition to University tuition as outlined above, all students, unless noted otherwise, in each of the following colleges pay a per credit hour fee of:

College of Arts, Humanities, & Social Sciences	\$24.00
College of Business	27.00
College of Education	24.00
College of Engineering	46.00
College of Nursing*	46.00
College of Science	40.00
College of Business Graduate Campus-Rate Program Fee	87.00
College of Business Graduate Online Program Fee	220.00
Infrastructure Fee	22.00

*The joint Ph.D. program courses are not charged the college fee.

RESOLUTION

BE IT RESOLVED by The Board of Trustees of The University of Alabama as follows:

The following scale of tuition and fees for students attending **The University of Alabama**; **The University of Alabama at Birmingham**; and **The University of Alabama in Huntsville** is hereby established, effective on the dates indicated, and the said tuition and fees at the rates hereunder prescribed are hereby levied against and ordered collected from each such student. Tuition and fees levied for the Academic Year shall remain in effect for the entirety of such term, notwithstanding any change in a student's status or the change of delivery mode.

The President of each campus is authorized to promulgate regulations for deferral or adjustment of tuition and fees but in no event shall students be allowed to receive credit for courses taken in the term for which tuition and fees are billed or attend classes in any subsequent term without payment.

A. The University of Alabama

1. Tuition and fees for each fall or spring semester, effective with the Fall Semester, 2025 (except for the College of Community Health Sciences):
 - a. A general tuition payable by each in-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$ 545.00
2*	University tuition for each student	1,090.00
3*	University tuition for each student	1,635.00
4*	University tuition for each student	2,180.00
5	University tuition for each student	2,725.00
6	University tuition for each student	3,270.00
7	University tuition for each student	3,815.00
8	University tuition for each student	4,360.00
9	University tuition for each student	4,905.00
10	University tuition for each student	5,450.00
11	University tuition for each student	5,995.00
12 – 16	University tuition for each student	5,842.00
each additional hour > 16	University tuition for each student	480.00

- b. A general tuition payable by each out-of-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,520.00
2*	University tuition for each student	3,040.00
3*	University tuition for each student	4,560.00
4*	University tuition for each student	6,080.00
5	University tuition for each student	7,600.00
6	University tuition for each student	9,120.00
7	University tuition for each student	10,640.00
8	University tuition for each student	12,160.00
9	University tuition for each student	13,680.00
10	University tuition for each student	15,200.00
11	University tuition for each student	16,720.00
12 – 16	University tuition for each student	17,271.00
each additional hour > 16	University tuition for each student	1,520.00

- c. A general tuition payable by each in-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$670.00
2*	University tuition for each student	1,340.00
3*	University tuition for each student	2,010.00
4*	University tuition for each student	2,680.00
5	University tuition for each student	3,350.00
6	University tuition for each student	4,020.00
7	University tuition for each student	4,690.00
8	University tuition for each student	5,360.00
9 – 15	University tuition for each student	5,842.00
each additional hour > 15	University tuition for each student	510.00

- d. A general tuition payable by each out-of-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,770.00
2*	University tuition for each student	3,540.00
3*	University tuition for each student	5,310.00
4*	University tuition for each student	7,080.00
5	University tuition for each student	8,850.00
6	University tuition for each student	10,620.00
7	University tuition for each student	12,390.00
8	University tuition for each student	14,160.00
9 – 15	University tuition for each student	17,271.00
each additional hour > 15	University tuition for each student	1,770.00

All undergraduate and graduate students pay the standard main campus tuition rates unless they applied and are enrolled in a Distance Learning program (UA Early College, Bama By Distance, New College Life Track, Go Army Edu, and Executive Ed.D.).

- e. A general tuition payable by each in-state main campus law student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$1,170.00
2*	University tuition for each student	2,340.00
3*	University tuition for each student	3,510.00
4*	University tuition for each student	4,680.00
5	University tuition for each student	5,850.00
6	University tuition for each student	7,020.00
7	University tuition for each student	8,190.00
8	University tuition for each student	9,360.00
9	University tuition for each student	10,530.00
10 – 16	University tuition for each student	12,490.00
each additional hour > 16	University tuition for each student	690.00

- f. A general tuition payable by each out-of-state main campus law student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1*	University tuition for each student	\$2,330.00
2*	University tuition for each student	4,660.00
3*	University tuition for each student	6,990.00
4*	University tuition for each student	9,320.00
5	University tuition for each student	11,650.00
6	University tuition for each student	13,980.00
7	University tuition for each student	16,310.00
8	University tuition for each student	18,640.00
9	University tuition for each student	20,970.00
10 – 16	University tuition for each student	24,050.00
each additional hour > 16	University tuition for each student	1,950.00

*Tuition for undergraduate, graduate, and law main campus students enrolled for less than 5 hours in a Fall or Spring semester does not include recreation privileges. Students may purchase these services for an additional charge.

- g. Undergraduate main campus students enrolled for nine or more semester hours:

Minimum Food Buy-In—per semester	\$350.00

- h. A general tuition payable by each Gadsden campus student:

Student—per hour	Tuition
In-state undergraduate	\$ 399.00
Out-of-state undergraduate	1,090.00
In-state graduate	480.00
Out-of-state graduate	1,345.00

- i. A general tuition payable by each Distance Learning student:

Student—per hour	Tuition
Early College	\$33.00
Undergraduate	399.00

Graduate	480.00
Graduate—joint (UA and UAH) Doctor of Philosophy in Nursing Science	500.00
Law	1,950.00

2. College of Community Health Sciences, effective July 1, 2025

- a. Tuition for in-state student to be paid in two equal installments with the first due on or before August 11, and the second and final due on or before December 10:

In-state Student	\$34,092.00

- b. Tuition for out-of-state student to be paid in two equal installments with the first due on or before August 11, and the second and final due on or before December 10:

Out-of-state Student	\$64,596.00

3. Summer term, effective upon the beginning of the 2025 Summer School Term (except for the College of Community Health Sciences):

- a. Tuition—main campus, in-state students:

Undergraduate—per hour	\$460.00
University tuition for each undergraduate student taking 12 – 16 semester hours	5,860.00
Graduate—per hour	500.00
University tuition for each graduate student taking 9 – 15 semester hours	5,860.00
Law Student—per hour	930.00
University tuition for each law student taking 10 – 16 semester hours	12,710.00

- b. Tuition—main campus, out-of-state students:

Undergraduate—per hour	\$1,278.00
University tuition for each undergraduate student taking 12 – 16 semester hours	16,600.00
Graduate—per hour	1,415.00
University tuition for each graduate student taking 9 – 15 semester hours	16,600.00
Law Student—per hour	1,780.00
University tuition for each law student taking 10 – 16 semester hours	23,690.00

- c. Dining Dollars amount for undergraduate main campus students, enrolled in 5 or more Summer term hours:

	\$100.00

4. A technology and infrastructure fee for all main campus courses, except graduate commerce and business administration courses —per hour:

	\$29.00

5. A commerce and business administration college, technology/infrastructure fee for all graduate commerce and business administration courses excluding EMBA and overseas campuses - per course:

	\$288.00

6. A commerce and business administration college fee for EMBA courses—per semester:

	\$17,500.00

7. An arts and sciences college fee for all distance campus courses in the College of Arts and Sciences—per hour:

	\$15.00

8. An education college fee for all distance campus courses in the College of Education—per hour:

	\$10.00

9. An education college fee for all Executive Education doctoral courses—per hour:

	\$300.00

*Tuition for undergraduate, graduate, and law main campus students enrolled for less than 5 hours in a Fall or Spring semester does not include recreation privileges. Students may purchase these services for an additional charge.

B. The University of Alabama at Birmingham

1. Students attending the School of Medicine, effective for the 2025-2026 academic year*:

- a. A general tuition to be paid by each student other than an out-of-state student in two installments with the first payment (\$17,046.00) due on or before September 1 and the second, and final payment (\$17,046.00), due on or before January 1*:

	\$34,092.00

- b. A general tuition to be paid by each out-of-state student in two installments with the first payment (\$32,298.00) due on or before September 1 and the second, and final payment (\$32,298.00), due on or before January 1*:

	\$64,596.00

2. Students attending the first professional program in the School of Dentistry, effective for the 2025-2026 academic year**:

- a. A general tuition for each term to be paid by each student other than an out-of-state student according to the class level of the student:

1 st year – 4 th year, Fall & Spring (per term)	\$16,923.00

- b. A general tuition for each term to be paid by each out-of-state student according to the class level of the student:

1 st year – 4 th year, Fall & Spring (per term)	\$39,446.00

3. Students attending the School of Optometry, effective for the 2025-2026 academic year***:

- a. A general tuition to be paid by each in-state student:

Fall, Spring & Summer (per term)	\$10,552.00

- b. A general tuition to be paid by each out-of-state student:

Fall, Spring & Summer (per term)	\$18,004.00

4. Students enrolled in undergraduate courses in the College of Arts & Sciences, School of Education, Graduate School, programs in Joint

Health Sciences Departments, and specially designated interdisciplinary courses in the arts and sciences; effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$388.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$966.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,052.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$486.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$571.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

5. Students enrolled in undergraduate courses in the School of Business effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$394.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$980.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,067.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$493.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$579.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

6. Students enrolled in undergraduate courses in the School of Engineering effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$415.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$1,038.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,130.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$523.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$611.00

- f. A per semester campus dining fee payable by each undergraduate

student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

7. Students enrolled in undergraduate courses in the School of Health Professions, School of Nursing, and School of Public Health effective August 1, 2025:

- a. A general tuition payable by each in-state undergraduate student per semester hour:

	\$458.00

- b. A general tuition payable by each out-of-state undergraduate student per semester hour:

	\$1,141.00

- c. A general tuition payable by each international undergraduate student per semester hour:

	\$1,228.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$557.00

- e. A general tuition payable for each international undergraduate course per semester hour designated in the registration system as an online course:

	\$642.00

- f. A per semester campus dining fee payable by each undergraduate student who is enrolled on a full-time basis during each of the fall and spring terms:

	\$225.00

8. Students enrolled in graduate courses in the College of Arts & Sciences, School of Education, and Graduate School effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$494.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,211.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,298.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$589.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$674.00

9. Students enrolled in graduate courses in School of Business effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$502.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,229.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,317.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$598.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$683.00

10. Students enrolled in graduate courses in the School of Engineering effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:**

	\$529.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:**

	\$1,302.00

- c. A general tuition payable by each international graduate student per semester hour:**

	\$1,393.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:**

	\$632.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:**

	\$721.00

11. Students enrolled in graduate courses in the School of Medicine, School of Health Professions, and School of Nursing effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:**

	\$628.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:**

	\$1,550.00

- c. A general tuition payable by each international graduate student per semester hour:**

	\$1,635.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$723.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$809.00

12. Students enrolled in graduate courses in the School of Public Health effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$511.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,247.00

- c. A general tuition payable by each international student per semester hour:

	\$1,334.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$606.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$691.00

13. Students enrolled in graduate courses in the programs in the Joint Health Science Departments effective August 1, 2025:

- a. A general tuition payable by each in-state graduate student per semester hour:

	\$475.00

- b. A general tuition payable by each out-of-state graduate student per semester hour:

	\$1,166.00

- c. A general tuition payable by each international graduate student per semester hour:

	\$1,250.00

- d. A general tuition payable for each course per semester hour designated in the registration system as an online course:

	\$571.00

- e. A general tuition payable for each international graduate course per semester hour designated in the registration system as an online course:

	\$656.00

14. A general tuition payable by each dual enrollment**** undergraduate student enrolled in courses in the College of Arts and Sciences, School of Business, School of Education, School of Health Professions, School of Engineering, School of Nursing, and School of Public Health for 2025-2026 academic year:

- a. In-state, per semester hour:

	\$178.50

- b. Out-of-state, per semester hour:

	\$437.00

- c. Each course per semester hour designated in the registration system as an online course:

	\$178.50

15. Students attending the School of Dentistry and enrolled in the Dental Assisting Program for each semester and the summer term, effective July 1, 2025:

- a. A general tuition payable by each student other than out-of-state students:

	\$2,133.00

- b. An out-of-state general tuition payable by each out-of-state student:

	\$4,936.00

- c. A per semester campus dining fee payable by each undergraduate student who is enrolled in the program on a full-time basis during each of the fall and spring terms:

	\$ 225.00

Full-time employees of The University of Alabama at Birmingham who are enrolled as students and who are taking courses offered at The University of Alabama at Birmingham are exempted from the payment of the campus dining fees provided for above.

Campus dining fees may be waived at the discretion of the President for those students taking courses exclusively at off-campus sites, which are greater than 100 miles from Birmingham or internet-based distance learning courses.

*The general tuition and fee schedule for the School of Medicine is quoted on an annual basis. Students who must enter or exit the academic program off-cycle must receive permission to do so and may be subject to a prorated tuition/fee charge per School of Medicine tuition policy. If the student is continuing with patient contact, liability insurance will be required.

*Students who are unable to be enrolled full-time in pre-clinical coursework may seek permission for continuous enrollment status on a part-time basis. Prorated tuition will be determined through a defined process for review, overseen by the Associate Dean for Admissions and Enrollment Management.

**Should a student in the School of Dentistry be required to remediate a course requiring faculty instruction during a period in which the course is not normally taught, the student will be charged an additional fee of \$500.00 per class. Those students required to remediate a course through self-study will be charged a fee of \$100.00.

***SREB contract students attending the School of Optometry are to be considered in-state students for tuition and fee purposes.

**** Dual Enrollment for this purpose refers to undergraduate students that have not completed requirements for a high school degree but do meet requirements for acceptance to UAB. Tuition for dual enrollment courses does not include health services and dual enrollment students will not be assessed a dining charge.

C. The University of Alabama in Huntsville

1. Tuition and fees effective with the Fall semester 2025 (campus rates):

a. A general tuition payable by each in-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$455.00
2	University tuition for each student	910.00
3	University tuition for each student	1,365.00
4	University tuition for each student	1,820.00
5	University tuition for each student	2,275.00
6	University tuition for each student	2,730.00
7	University tuition for each student	3,185.00
8	University tuition for each student	3,640.00
9	University tuition for each student	4,095.00
10	University tuition for each student	4,550.00
11	University tuition for each student	5,005.00
12 – 18	University tuition for each student	5,350.00

b. A general tuition payable by each out-of-state undergraduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$1,070.00
2	University tuition for each student	2,140.00
3	University tuition for each student	3,210.00
4	University tuition for each student	4,280.00
5	University tuition for each student	5,350.00
6	University tuition for each student	6,420.00
7	University tuition for each student	7,490.00
8	University tuition for each student	8,560.00
9	University tuition for each student	9,630.00

10	University tuition for each student	10,700.00
11	University tuition for each student	11,770.00
12 – 18	University tuition for each student	12,695.00

c. A general tuition payable by each in-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$715.00
2	University tuition for each student	1,430.00
3	University tuition for each student	2,145.00
4	University tuition for each student	2,860.00
5	University tuition for each student	3,575.00
6	University tuition for each student	4,290.00
7	University tuition for each student	5,005.00
8	University tuition for each student	5,720.00
9 – 15	University tuition for each student	5,835.00

d. A general tuition payable by each out-of-state graduate main campus student:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$1,680.00
2	University tuition for each student	3,360.00
3	University tuition for each student	5,040.00
4	University tuition for each student	6,720.00
5	University tuition for each student	8,400.00
6	University tuition for each student	10,080.00
7	University tuition for each student	11,760.00
8	University tuition for each student	13,440.00
9 – 15	University tuition for each student	14,000.00

e. A per semester minimum buy in dining fee of \$415 is required for all full-time, undergraduate students.

2. Tuition and fees effective with the Fall semester 2025 for online degree and non-degree programs, online courses, and/or online certificate programs (collectively distance learner rates):
- a. University tuition for undergraduate students enrolled in an online degree and non-degree program, online courses, and/or online certificate program is the same as the in-state campus-rate per semester hour:

Semester Hours	Each Student Taking Designated Number of Semester Hours	Tuition
1	University tuition for each student	\$455.00
2	University tuition for each student	910.00
3	University tuition for each student	1,365.00
4	University tuition for each student	1,820.00
5	University tuition for each student	2,275.00
6	University tuition for each student	2,730.00
7	University tuition for each student	3,185.00
8	University tuition for each student	3,640.00
9	University tuition for each student	4,095.00
10	University tuition for each student	4,550.00
11	University tuition for each student	5,005.00
12 – 18	University tuition for each student	5,350.00

- b. University tuition for graduate students enrolled in an online master's degree program, online courses, and/or online certificate program in Arts, Humanities, and Social Sciences; Education; or Nursing per semester hour:

	\$445.00

- c. University tuition for graduate students enrolled in the Doctor of Nursing Practice degree program per semester hour:

	\$445.00

- d. University tuition for graduate students enrolled in the joint (UAH and UA) Doctor of Philosophy in Nursing Science program per semester hour:

	\$500.00

- e. University tuition for graduate students enrolled in an online master's degree program, online courses, and/or online certificate program in Business per semester hour:

	\$575.00

- f. University tuition for graduate students enrolled in Executive MBA courses per semester: (program begins Fall 2026)

	\$14,900.00

- g. University tuition for graduate students enrolled in an online master's or doctoral degree program, online courses, and/or online certificate program in Engineering or Science per semester hour:

	\$655.00

- h. University tuition for graduate non-degree students per semester hour:

	\$655.00

Undergraduate students enrolled in an online degree and non-degree program, online courses, and/or online certificate program are charged the same as the in-state campus-rate per semester hour. Graduate students enrolled in an online degree program, online courses, and/or online certificate program are charged the distance learner rate. Undergraduate and graduate students who are enrolled in courses, degree programs or certificates in a blended delivery modality are charged the campus rate.

3. In addition to University tuition as outlined above, all students, unless noted otherwise, in each of the following colleges pay a per credit hour fee of:

College of Arts, Humanities, & Social Sciences	\$24.00
College of Business	27.00
College of Education	24.00
College of Engineering	46.00
College of Nursing*	46.00
College of Science	40.00
College of Business Graduate Campus-Rate Program Fee	87.00
College of Business Graduate Online Program Fee	220.00
Infrastructure Fee	22.00

*The joint Ph.D. program courses are not charged the college fee.

UAB St. Vincent's Foundation

Name and address of Depository:

Merrill, Lynch, Pierce, Fenner & Smith Incorporated
569 Brookwood Village
Birmingham, Alabama 35209

- a. Name of account:
UAB St. Vincent's Foundation Endowment Management Account
- b. Name(s) of individual(s) with primary responsibility for this account:
Stephanie Mullins
Bernard Mays
Andrew Hollis
- c. Name(s) of individual(s) authorized to execute transactions involving this account and limitations on the authority given to each individual including dollar limitations and use of mechanical or facsimile system for accomplishing these transactions:

Stephanie Mullins	Manual or Facsimile
Bernard Mays	Manual or Facsimile
Andrew Hollis	Manual or Facsimile

(NEW ACCOUNT)

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION OF
ST. VINCENT'S BIRMINGHAM**

In accordance with applicable provisions of the Alabama Nonprofit Corporation Law (Section 10A-3A-1.01 et seq., Code of Alabama (1975)) (the "Nonprofit Corporation Law"), the undersigned nonprofit corporation hereby executes these Amended and Restated Articles of Incorporation, and hereby certifies that such Amended and Restated Articles of Incorporation have been duly adopted as required by the Nonprofit Corporation Law.

1. The name of the nonprofit corporation is St. Vincent's Birmingham.
2. The text of the Amended and Restated Articles of Incorporation of the nonprofit corporation is as follows:

**ARTICLE I.
NAME**

The name of the nonprofit corporation is St. Vincent's Birmingham (d/b/a UAB St. Vincent's Birmingham), hereinafter referred to as the "Corporation."

**ARTICLE II.
GOVERNING LAW**

The Corporation is incorporated under the provisions of the Alabama Nonprofit Corporation Law (§ 10A-3A-1.01 et seq., Code of Alabama (1975)).

**ARTICLE III.
PURPOSES AND POWERS**

3.1 Subject to the limitations set out in paragraph 3.2 below, the Corporation is formed as a charitable organization under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder, as they now exist or as they may hereafter be amended from time to time (the "Code and Regulations"), and as a "University Affiliate" within the meaning of Section 16-17A-2(14) of the University Authority Act of 2016 (§ 16-17A-1 et seq., Code of Alabama (1975)) (the "University Authority Act"). Without in any way limiting the foregoing statement of charitable purposes, the Corporation is organized and will be operated for the following purposes:

- (a) The Corporation will serve to further the philosophy and mission of UAB St. Vincent's Health System (the "Health System"). The Corporation shall endeavor to (i) expand access to healthcare for poor, vulnerable and rural-based populations, and (ii) provide high quality clinical

care, in support of the public health mission of the Member, the UAB St. Vincent's Health System Authority (the "Authority") and the Board of Trustees of The University of Alabama (the "UA Board").

(b) To establish, maintain, support and stimulate the development of hospitals, clinics and other facilities that provide inpatient or outpatient care, accommodation, diagnosis and treatment to persons suffering injury, disease or any other condition where medical, surgical, rehabilitative, nursing and associated professional services may be required.

(c) To foster the conduct of such educational and research activities related to rendering care to the sick and injured or the protection of health, as, in the judgment of the board of directors of the Corporation, may be justified by the facilities, personnel, funds or other requirements that are or can be made available.

(d) To foster and/or participate in activities designed and carried on to promote the general health, rehabilitation and social needs of the community.

(e) In connection with the foregoing activities, to solicit, accept, receive, manage and disburse contributions of property, including real property, and income therefrom, and to apply for, accept and expend grants in accordance with their requirements.

(f) To engage in any lawful act or activity for which a corporation may be organized under the Nonprofit Corporation Law, provided that such act or activity is one permitted by an organization exempt under Section 501(c)(3) of the Code and the regulations promulgated thereunder (the "Regulations").

3.2 The Corporation shall be subject to the following restrictions and limitations, notwithstanding any other provisions of these Amended and Restated Articles of Incorporation (these "Amended Articles"):

(a) The Corporation shall not carry on any activities not permitted to be carried on by an organization exempt under Section 501(c)(3) of the Code and the Regulations, or by an organization, contributions to which are deductible under Section 170(c)(2) of the Code and the Regulations.

(b) No substantial part of the activities of the Corporation shall be carrying on propaganda, or otherwise attempting to influence legislation, and no activities of the Corporation shall be participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

(c) No part of the net earnings of the Corporation shall inure to the benefit of any private person, director, or officer of the Corporation, or any other private individual (except that reasonable compensation may be paid for services rendered to or for the Corporation affecting one or more of its purposes).

3.3 Upon the liquidation or dissolution of the Corporation, whether voluntary or involuntary, no private person, director, or officer shall be entitled to any distribution or division of its remaining property or its proceeds, and the balance of all money and other property received by the Corporation from any source, after the payment of all debts and obligations of the Corporation, shall be used and distributed exclusively to the Member, the Authority or the UA Board to be used exclusively for public purposes and within the intendment of Section 501(c)(3) of the Code and the Regulations.

ARTICLE IV. MEMBERS

The Corporation shall have one (1) member. The sole member of the Corporation shall be St. Vincent's Health System, an Alabama nonprofit corporation and University Affiliate under the University Authority Act (d/b/a UAB St. Vincent's Health System) (the "Member").

ARTICLE V. INTERNAL AFFAIRS

The following provisions for the regulation of the business and for the conduct of the affairs of the Corporation and the directors thereof are hereby adopted:

5.1 The Authority shall have the right to appoint the board of directors of the Corporation as set forth in the bylaws of the Corporation (the "Bylaws"), subject to the approval of the UA Board. The Authority or the UA Board may remove any director at any time, with or without cause.

5.2 The power to alter, amend or repeal the Bylaws or adopt new Bylaws shall be vested in the Authority, except to the extent otherwise provided in the Bylaws, which power may be exercised in the manner and to the extent provided in the Bylaws. The Bylaws may contain any provisions for the regulation and conduct of the affairs of the Corporation and the directors not inconsistent with the Nonprofit Corporation Law, the University Authority Act or these Amended Articles.

5.3 All corporate powers and duties imposed on the board of directors by the Nonprofit Corporation Law, the University Authority Act on University Affiliates, these Amended Articles, or the Bylaws of the Corporation, and the business and affairs of the Corporation (the "Powers and Duties"), shall be exercised by or under the authority of, and managed under the direction of, the board of directors of the Corporation; provided, however, that pursuant to Section 10A-3A-8.01 of the Nonprofit Corporation Law, the board of directors may confer any Powers and Duties on such other person or persons as the board of directors determines in its sole discretion to be in the

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best interests of the Corporation. The appointment of the president or chief executive officer (or both) of the Corporation shall be subject to the approval of the UA Board. Directors shall be elected in the manner provided in the Bylaws. The number of directors of the Corporation shall be fixed from time to time by the Bylaws.

5.4 In amplification and not in limitation of the provisions of applicable law:

(a) Pursuant to Sections 10A-20-16.01 et seq., and 6-5-336 of the Code of Alabama (1975), as amended, and the Volunteer Protection Act of 1997, 42 U.S.C. § 14501 et seq., as amended, all non-compensated directors, trustees, members of governing bodies, officers and other eligible volunteers of the Corporation shall be immune from suit and shall not be subject to civil liability arising from the conduct of the affairs of the Corporation except when the act or omission of such person that gives rise to the cause of action amounts to willful or wanton misconduct or fraud or gross negligence.

(b) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative, including appeals (other than an action by or in the right of the Corporation), by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such claim, action, suit or proceeding, unless he or she acted intentionally or willfully against the best interests of the Corporation. The termination of any claim, action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person acted intentionally or willfully in a manner that was opposed to the best interests of the Corporation.

(c) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action or suit by or in the right of the Corporation to procure a judgment in its favor by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection with the defense or settlement of such action or suit if he or she acted in good faith and in a manner he or she reasonably believed to be in or not opposed to the best interests of the Corporation and except that no indemnification shall be made with respect to any claim, issue or matter as to which such person shall have been adjudged to be liable for intentional or willful misconduct in the performance of his or her duty to the Corporation unless and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses which such court shall deem proper.

(d) Any indemnification under subsections (b) and (c) (unless ordered by a court) shall be made by the Corporation only as authorized in the specific case upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in subsections (b) and (c). Such determination shall be made (1) by the Board of Directors by a majority vote of the directors who were not parties to, or who have been wholly successful on the merits or otherwise with respect to, such claim, action, suit or proceeding, or (2) if a majority of disinterested directors so directs, by independent legal counsel in a written opinion.

(e) Expenses (including attorneys' fees) incurred in defending a civil or criminal claim, action, suit or proceeding may be paid by the Corporation in advance of the final disposition of such claim, action, suit or proceeding upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount if and to the extent that it shall ultimately be determined that he or she is not entitled to be indemnified by the Corporation as authorized in this Section. Such undertaking shall be an unlimited, unsecured general obligation of the officer of the Corporation and shall be accepted without reference to his ability to make repayment.

(f) The indemnification authorized by this Section shall not be deemed exclusive of and shall be in addition to any other rights to which those indemnified may be entitled under any statute, rule of law, provision of certificate of formation, bylaws, agreement, vote of disinterested directors or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent and shall inure to the benefit of the heirs, executors and administrators of such a person. No amendment, modification, or repeal of this Section shall diminish the right to indemnification with respect to any claim, cause, or matter in any then pending or subsequent proceeding that is based in any material respect on any alleged action or failure to act prior to such amendment, modification or repeal.

(g) The Corporation shall have power to purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against him or her and incurred by him or her in any such capacity or arising out of his or her status as such, whether or not the Corporation would have the power to indemnify him or her against such liability under the provisions of this Section.

5.5 The Corporation reserves the right from time to time to amend, alter or repeal each and every provision contained in these Amended Articles, or to add one or more additional provisions, upon a vote as required by the Bylaws.

5.6 These Amended and Restated Articles of Incorporation consolidate all amendments to the original Articles of Incorporation in a single document.

5.7 The amendments included in these Amended and Restated Articles of Incorporation were adopted on [•], 2025 in accordance with Sections 10A-3A-9.03 and 10A-3A-9.04 of the Nonprofit Corporation Law.

5.8 The entity identification number assigned to the nonprofit corporation by the Alabama Secretary of State is 000-065-173.

ARTICLE VI. CONFIRMATION OF INSTRUMENTALITY STATUS

In order to confirm the Corporation's status as an instrumentality of the Authority for purposes of Section 115 of the Internal Revenue Code, as amended, the Corporation acknowledges, represents and confirms that:

(a) The Corporation will act as a University Affiliate in accordance with the University Authority Act, to assist the Member in carrying out the public health mission of the Authority and the UA Board. See Section 3.1 of these Amended Articles.

(b) All of the board of directors of the Corporation must be appointed by the Authority, subject to approval of the UA Board, and any director may be removed by the Authority or the UA Board at any time. See Section 5.1 of these Amended Articles.

(c) The appointment of the officers serving as president and/or chief executive officer of the Corporation must be approved by the Authority. See the Bylaws of the Corporation.

(d) The Authority's approval is required for the Corporation to (a) take major corporate action, (b) adopt or change annual or operational budgets, and (c) to take actions that would cause the Corporation to vary significantly from adopted budgets. See the Bylaws of the Corporation.

(e) Upon dissolution, all of the Corporation's assets must be distributed to the Member, the Authority or the UA Board to be used exclusively for public purposes. See Section 3.3 of these Amended Articles.

[Signatures of officers on following page]

IN WITNESS WHEREOF, the undersigned president and secretary of St. Vincent's Birmingham execute these Amended and Restated Articles of Incorporation on this [•] day of [•], 2025.

By: _____
Name: _____
Its: President

By: _____
Name: _____
Its: Secretary

This instrument prepared by:

This instrument prepared by:

Dorothy D. Pak
University of Alabama System
500 22nd Street South, Suite 504
Birmingham, AL 35233
(205) 934-3474

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION OF
ST. VINCENT'S BLOUNT**

In accordance with applicable provisions of the Alabama Nonprofit Corporation Law (Section 10A-3A-1.01 et seq., Code of Alabama (1975)) (the "Nonprofit Corporation Law"), the undersigned nonprofit corporation hereby executes these Amended and Restated Articles of Incorporation, and hereby certifies that such Amended and Restated Articles of Incorporation have been duly adopted as required by the Nonprofit Corporation Law.

1. The name of the nonprofit corporation is St. Vincent's Blount.
2. The text of the Amended and Restated Articles of Incorporation of the nonprofit corporation is as follows:

**ARTICLE I.
NAME**

The name of the nonprofit corporation is St. Vincent's Blount (d/b/a UAB St. Vincent's Blount), hereinafter referred to as the "Corporation."

**ARTICLE II.
GOVERNING LAW**

The Corporation is incorporated under the provisions of the Alabama Nonprofit Corporation Law (§ 10A-3A-1.01 et seq., Code of Alabama (1975)).

**ARTICLE III.
PURPOSES AND POWERS**

3.1 Subject to the limitations set out in paragraph 3.2 below, the Corporation is formed as a charitable organization under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder, as they now exist or as they may hereafter be amended from time to time (the "Code and Regulations"), and as a "University Affiliate" within the meaning of Section 16-17A-2(14) of the University Authority Act of 2016 (§ 16-17A-1 et seq., Code of Alabama (1975)) (the "University Authority Act"). Without in any way limiting the foregoing statement of charitable purposes, the Corporation is organized and will be operated for the following purposes:

- (a) The Corporation will serve to further the philosophy and mission of UAB St. Vincent's Health System (the "Health System"). The Corporation shall endeavor to (i) expand access to healthcare for poor, vulnerable and rural-based populations, and (ii) provide high quality

clinical care, in support of the public health mission of the Member, the UAB St. Vincent's Health System Authority (the "Authority") and the Board of Trustees of The University of Alabama (the "UA Board").

(b) To establish, maintain, support and stimulate the development of hospitals, clinics and other facilities that provide inpatient or outpatient care, accommodation, diagnosis and treatment to persons suffering injury, disease or any other condition where medical, surgical, rehabilitative, nursing and associated professional services may be required.

(c) To foster the conduct of such educational and research activities related to rendering care to the sick and injured or the protection of health, as, in the judgment of the board of directors of the Corporation, may be justified by the facilities, personnel, funds or other requirements that are or can be made available.

(d) To foster and/or participate in activities designed and carried on to promote the general health, rehabilitation and social needs of the community.

(e) In connection with the foregoing activities, to solicit, accept, receive, manage and disburse contributions of property, including real property, and income therefrom, and to apply for, accept and expend grants in accordance with their requirements.

(f) To engage in any lawful act or activity for which a corporation may be organized under the Nonprofit Corporation Law, provided that such act or activity is one permitted by an organization exempt under Section 501(c)(3) of the Code and the regulations promulgated thereunder (the "Regulations").

3.2 The Corporation shall be subject to the following restrictions and limitations, notwithstanding any other provisions of these Amended and Restated Articles of Incorporation (these "Amended Articles"):

(a) The Corporation shall not carry on any activities not permitted to be carried on by an organization exempt under Section 501(c)(3) of the Code and the Regulations, or by an

organization, contributions to which are deductible under Section 170(c)(2) of the Code and the Regulations.

(b) No substantial part of the activities of the Corporation shall be carrying on propaganda, or otherwise attempting to influence legislation, and no activities of the Corporation shall be participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

(c) No part of the net earnings of the Corporation shall inure to the benefit of any private person, director, or officer of the Corporation, or any other private individual (except that reasonable compensation may be paid for services rendered to or for the Corporation affecting one or more of its purposes).

3.3 Upon the liquidation or dissolution of the Corporation, whether voluntary or involuntary, no private person, director, or officer shall be entitled to any distribution or division of its remaining property or its proceeds, and the balance of all money and other property received by the Corporation from any source, after the payment of all debts and obligations of the Corporation, shall be used and distributed exclusively to the Member, the Authority or the UA Board to be used exclusively for public purposes and within the intendment of Section 501(c)(3) of the Code and the Regulations.

ARTICLE IV. MEMBERS

The Corporation shall have one (1) member. The sole member of the Corporation shall be St. Vincent's Health System, an Alabama nonprofit corporation and University Affiliate under the University Authority Act (d/b/a UAB St. Vincent's Health System) (the "Member").

ARTICLE V. INTERNAL AFFAIRS

The following provisions for the regulation of the business and for the conduct of the affairs of the Corporation and the directors thereof are hereby adopted:

5.1 The Authority shall have the right to appoint the board of directors of the Corporation as set forth in the bylaws of the Corporation (the "Bylaws"), subject to the approval of the UA Board. The Authority or the UA Board may remove any director at any time, with or without cause.

5.2 The power to alter, amend or repeal the Bylaws or adopt new Bylaws shall be vested in the Authority, except to the extent otherwise provided in the Bylaws, which power may be exercised in the manner and to the extent provided in the Bylaws. The Bylaws may contain any provisions for the regulation and conduct of the affairs of the Corporation and the directors not inconsistent with the Nonprofit Corporation Law, the University Authority Act or these Amended Articles.

5.3 All corporate powers and duties imposed on the board of directors by the Nonprofit Corporation Law, the University Authority Act on University Affiliates, these Amended Articles, or the Bylaws of the Corporation, and the business and affairs of the Corporation (the "Powers and Duties"), shall be exercised by or under the authority of, and managed under the direction of, the board of directors of the Corporation; provided, however, that pursuant to Section 10A-3A-8.01 of the Nonprofit Corporation Law, the board of directors may confer any Powers and Duties on such other person or persons as the board of directors determines in its sole discretion to be in the best interests of the Corporation. The appointment of the president or chief executive officer (or both) of the Corporation shall be subject to the approval of the UA Board. Directors shall be elected in the manner provided in the Bylaws. The number of directors of the Corporation shall be fixed from time to time by the Bylaws.

5.4 In amplification and not in limitation of the provisions of applicable law:

(a) Pursuant to Sections 10A-20-16.01 et seq., and 6-5-336 of the Code of Alabama (1975), as amended, and the Volunteer Protection Act of 1997, 42 U.S.C. § 14501 et seq., as amended, all non-compensated directors, trustees, members of governing bodies, officers and other eligible volunteers of the Corporation shall be immune from suit and shall not be subject to civil liability arising from the conduct of the affairs of the Corporation except when the act or omission of such person that gives rise to the cause of action amounts to willful or wanton misconduct or fraud or gross negligence.

(b) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative, including appeals (other than an action by or in the right of the Corporation), by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such claim, action, suit or proceeding, unless he or she acted intentionally or willfully against the best interests of the Corporation. The termination of any claim, action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person acted intentionally or willfully in a manner that was opposed to the best interests of the Corporation.

(c) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action or suit by or in the right of the Corporation to procure a judgment in its favor by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection with the defense or settlement of such action or suit if he or she acted in good faith and in a manner he or she reasonably believed to be in or not opposed to the best interests of the Corporation and except that no indemnification

shall be made with respect to any claim, issue or matter as to which such person shall have been adjudged to be liable for intentional or willful misconduct in the performance of his or her duty to the Corporation unless and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses which such court shall deem proper.

(d) Any indemnification under subsections (b) and (c) (unless ordered by a court) shall be made by the Corporation only as authorized in the specific case upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in subsections (b) and (c). Such determination shall be made (1) by the Board of Directors by a majority vote of the directors who were not parties to, or who have been wholly successful on the merits or otherwise with respect to, such claim, action, suit or proceeding, or (2) if a majority of disinterested directors so directs, by independent legal counsel in a written opinion.

(e) Expenses (including attorneys' fees) incurred in defending a civil or criminal claim, action, suit or proceeding may be paid by the Corporation in advance of the final disposition of such claim, action, suit or proceeding upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount if and to the extent that it shall ultimately be determined that he or she is not entitled to be indemnified by the Corporation as authorized in this Section. Such undertaking shall be an unlimited, unsecured general obligation of the officer of the Corporation and shall be accepted without reference to his ability to make repayment.

(f) The indemnification authorized by this Section shall not be deemed exclusive of and shall be in addition to any other rights to which those indemnified may be entitled under any statute, rule of law, provision of certificate of formation, bylaws, agreement, vote of disinterested directors or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent and shall inure to the benefit of the heirs, executors and administrators of such a person. No amendment, modification, or repeal of this Section shall diminish the right to indemnification with respect to any claim, cause, or matter in any then pending or subsequent proceeding that is based in any material respect on any alleged action or failure to act prior to such amendment, modification or repeal.

(g) The Corporation shall have power to purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against him or her and incurred by him or her in any such capacity or arising out of his or her status as such, whether or not the Corporation would have the power to indemnify him or her against such liability under the provisions of this Section.

5.5 The Corporation reserves the right from time to time to amend, alter or repeal each and every provision contained in these Amended Articles, or to add one or more additional provisions, upon a vote as required by the Bylaws.

5.6 These Amended and Restated Articles of Incorporation consolidate all amendments to the original Articles of Incorporation in a single document.

5.7 The amendments included in these Amended and Restated Articles of Incorporation were adopted on [•], 2025 in accordance with Sections 10A-3A-9.03 and 10A-3A-9.04 of the Nonprofit Corporation Law.

5.8 The entity identification number assigned to the nonprofit corporation by the Alabama Secretary of State is 000-753-263.

ARTICLE VI. CONFIRMATION OF INSTRUMENTALITY STATUS

In order to confirm the Corporation's status as an instrumentality of the Authority for purposes of Section 115 of the Internal Revenue Code, as amended, the Corporation acknowledges, represents and confirms that:

(a) The Corporation will act as a University Affiliate in accordance with the University Authority Act, to assist the Member in carrying out the public health mission of the Authority and the UA Board. See Section 3.1 of these Amended Articles.

(b) All of the board of directors of the Corporation must be appointed by the Authority, subject to approval of the UA Board, and any director may be removed by the Authority or the UA Board at any time. See Section 5.1 of these Amended Articles.

(c) The appointment of the officers serving as president and/or chief executive officer of the Corporation must be approved by the Authority. See the Bylaws of the Corporation.

(d) The Authority's approval is required for the Corporation to (a) take major corporate action, (b) adopt or change annual or operational budgets, and (c) to take actions that would cause the Corporation to vary significantly from adopted budgets. See the Bylaws of the Corporation.

(e) Upon dissolution, all of the Corporation's assets must be distributed to the Member, the Authority or the UA Board to be used exclusively for public purposes. See Section 3.3 of these Amended Articles.

[Signatures of officers on following page]

IN WITNESS WHEREOF, the undersigned president and secretary of St. Vincent's Blount execute these Amended and Restated Articles of Incorporation on this [•] day of [•], 2025.

By: _____
Name: _____
Its: President

By: _____
Name: _____
Its: Secretary

This instrument prepared by:

This instrument prepared by:

Dorothy D. Pak
University of Alabama System
500 22nd Street South, Suite 504
Birmingham, AL 35233
(205) 934-3474

**AMENDED AND RESTATED
CERTIFICATE OF FORMATION OF
ST. VINCENT'S CHILTON, LLC**

In accordance with applicable provisions of the Alabama Limited Liability Company Law of 2014 (Section 10A-5A-1.01 et seq., Code of Alabama (1975)) (the "Law"), the undersigned limited liability company hereby executes this Amended and Restated Certificate of Formation, and hereby certifies that such Amended and Restated Certificate of Formation Has been duly adopted as required by the Law.

1. The name of the limited liability company is St. Vincent's Chilton, LLC.
2. The text of the Amended and Restated Certificate of Formation of the limited liability company is as follows:

**ARTICLE I.
NAME**

The name of the limited liability company is St. Vincent's Chilton, LLC (d/b/a UAB St. Vincent's Chilton), hereinafter referred to as the "Company."

**ARTICLE II.
GOVERNING LAW**

The Company is organized under the provisions of the Alabama Limited Liability Company Law (§ 10A-5A-1.01 et seq., Code of Alabama (1975)).

**ARTICLE III.
PURPOSES AND POWERS**

3.1 Subject to the limitations set out in paragraph 3.2 below, the Company is formed as a charitable organization under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder, as they now exist or as they may hereafter be amended from time to time (the "Code and Regulations"), and as a "University Affiliate" within the meaning of Section 16-17A-2(14) of the University Authority Act of 2016 (§ 16-17A-1 et seq., Code of Alabama (1975)) (the "University Authority Act"). Without in any way limiting the foregoing statement of charitable purposes, the Company is organized and will be operated for the following purposes:

- (a) The Company will serve to further the philosophy and mission of UAB St. Vincent's Health System (the "Health System"). The Company shall endeavor to (i) expand access to healthcare for poor, vulnerable and rural-based populations, and (ii) provide high quality clinical care, in support of the public health mission of the Member, the UAB St.

Vincent's Health System Authority (the "Authority") and the Board of Trustees of The University of Alabama (the "UA Board").

(b) To establish, maintain, support and stimulate the development of hospitals, clinics and other facilities that provide inpatient or outpatient care, accommodation, diagnosis and treatment to persons suffering injury, disease or any other condition where medical, surgical, rehabilitative, nursing and associated professional services may be required.

(c) To foster the conduct of such educational and research activities related to rendering care to the sick and injured or the protection of health, as, in the judgment of the board of directors of the Company, may be justified by the facilities, personnel, funds or other requirements that are or can be made available.

(d) To foster and/or participate in activities designed and carried on to promote the general health, rehabilitation and social needs of the community.

(e) In connection with the foregoing activities, to solicit, accept, receive, manage and disburse contributions of property, including real property, and income therefrom, and to apply for, accept and expend grants in accordance with their requirements.

(f) To engage in any lawful act or activity for which a company may be organized under the Law, provided that such act or activity is one permitted by an organization exempt under Section 501(c)(3) of the Code and the regulations promulgated thereunder (the "Regulations").

3.2 The Company shall be subject to the following restrictions and limitations, notwithstanding any other provisions of this Amended and Restated Certificate of Formation (the "Amended Certificate"):

(a) The Company shall not carry on any activities not permitted to be carried on by an organization exempt under Section 501(c)(3) of the Code and the Regulations, or by an organization, contributions to which are deductible under Section 170(c)(2) of the Code and the Regulations.

(b) No substantial part of the activities of the Company shall be carrying on propaganda, or otherwise attempting to influence legislation, and no activities of the Company shall be participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

(c) No part of the net earnings of the Company shall inure to the benefit of any private person, director, or officer of the Company, or any other private individual (except that

reasonable compensation may be paid for services rendered to or for the Company affecting one or more of its purposes).

3.3 Upon the liquidation or dissolution of the Company, whether voluntary or involuntary, no private person, director, or officer shall be entitled to any distribution or division of its remaining property or its proceeds, and the balance of all money and other property received by the Company from any source, after the payment of all debts and obligations of the Company, shall be used and distributed exclusively to the Member, the Authority or the UA Board to be used exclusively for public purposes and within the intendment of Section 501(c)(3) of the Code and the Regulations.

ARTICLE IV. MEMBERS

The Company shall have one (1) member. The sole member of the Company shall be St. Vincent's Health System, an Alabama nonprofit corporation and University Affiliate under the University Authority Act (d/b/a UAB St. Vincent's Health System) (the "Member").

ARTICLE V. INTERNAL AFFAIRS

The following provisions for the regulation of the business and for the conduct of the affairs of the Company and the directors thereof are hereby adopted:

5.1 The Authority shall have the right to appoint the board of directors of the Company as set forth in the operating agreement of the Company (the "Operating Agreement"), subject to the approval of the UA Board. The Authority or the UA Board may remove any director at any time, with or without cause.

5.2 The power to alter, amend or repeal the Operating Agreement or adopt a new Operating Agreement shall be vested in the Authority, except to the extent otherwise provided in the Operating Agreement, which power may be exercised in the manner and to the extent provided in the Operating Agreement. The Operating Agreement may contain any provisions for the regulation and conduct of the affairs of the Company and the directors not inconsistent with the Law, the University Authority Act or this Amended Certificate.

5.3 All corporate powers and duties imposed on the board of directors by the Law, the University Authority Act on University Affiliates, this Amended Certificate, or the Operating Agreement of the Company, and the business and affairs of the Company (the "Powers and Duties"), shall be exercised by or under the authority of, and managed under the direction of, the board of directors of the Company; provided, however, that pursuant to the Operating Agreement, the board of directors may confer any Powers and Duties on such other person or persons as the board of directors determines in its sole discretion to be in the best interests of the Company. The appointment of the president or chief executive officer (or both) of the Company shall be subject to the approval of the UA Board. Directors shall be elected in the manner provided in the

Operating Agreement. The number of directors of the Company shall be fixed from time to time by the Operating Agreement.

5.4 In amplification and not in limitation of the provisions of applicable law:

(a) Pursuant to Sections 10A-20-16.01 et seq., and 6-5-336 of the Code of Alabama (1975), as amended, and the Volunteer Protection Act of 1997, 42 U.S.C. § 14501 et seq., as amended, all non-compensated directors, trustees, members of governing bodies, officers and other eligible volunteers of the Company shall be immune from suit and shall not be subject to civil liability arising from the conduct of the affairs of the Company except when the act or omission of such person that gives rise to the cause of action amounts to willful or wanton misconduct or fraud or gross negligence.

(b) The Company shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative, including appeals (other than an action by or in the right of the Company), by reason of the fact that he or she is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such claim, action, suit or proceeding, unless he or she acted intentionally or willfully against the best interests of the Company. The termination of any claim, action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person acted intentionally or willfully in a manner that was opposed to the best interests of the Company.

(c) The Company shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action or suit by or in the right of the Company to procure a judgment in its favor by reason of the fact that he or she is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection with the defense or settlement of such action or suit if he or she acted in good faith and in a manner he or she reasonably believed to be in or not opposed to the best interests of the Company and except that no indemnification shall be made with respect to any claim, issue or matter as to which such person shall have been adjudged to be liable for intentional or willful misconduct in the performance of his or her duty to the Company unless and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses which such court shall deem proper.

(d) Any indemnification under subsections (b) and (c) (unless ordered by a court) shall be made by the Company only as authorized in the specific case upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances

because he or she has met the applicable standard of conduct set forth in subsections (b) and (c). Such determination shall be made (1) by the Board of Directors by a majority vote of the directors who were not parties to, or who have been wholly successful on the merits or otherwise with respect to, such claim, action, suit or proceeding, or (2) if a majority of disinterested directors so directs, by independent legal counsel in a written opinion.

(e) Expenses (including attorneys' fees) incurred in defending a civil or criminal claim, action, suit or proceeding may be paid by the Company in advance of the final disposition of such claim, action, suit or proceeding upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount if and to the extent that it shall ultimately be determined that he or she is not entitled to be indemnified by the Company as authorized in this Section. Such undertaking shall be an unlimited, unsecured general obligation of the officer of the Company and shall be accepted without reference to his ability to make repayment.

(f) The indemnification authorized by this Section shall not be deemed exclusive of and shall be in addition to any other rights to which those indemnified may be entitled under any statute, rule of law, provision of certificate of formation, bylaws, agreement, vote of disinterested directors or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent and shall inure to the benefit of the heirs, executors and administrators of such a person. No amendment, modification, or repeal of this Section shall diminish the right to indemnification with respect to any claim, cause, or matter in any then pending or subsequent proceeding that is based in any material respect on any alleged action or failure to act prior to such amendment, modification or repeal.

(g) The Company shall have power to purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against him or her and incurred by him or her in any such capacity or arising out of his or her status as such, whether or not the Company would have the power to indemnify him or her against such liability under the provisions of this Section.

5.5 The Company reserves the right from time to time to amend, alter or repeal each and every provision contained in this Amended Certificate, or to add one or more additional provisions, upon a vote as required by the Operating Agreement.

5.6 This Amended and Restated Certificate of Formation consolidates all amendments to the original Certificate of Formation in a single document.

5.7 The amendments included in this Amended and Restated Certificate of Formation were adopted on [•], 2025 in accordance with Section 10A-5A-2.02 of the Law.

5.8 The entity identification number assigned to the limited liability company by the Alabama Secretary of State is 000-336-373.

ARTICLE VI.
CONFIRMATION OF INSTRUMENTALITY STATUS

In order to confirm the Company's status as an instrumentality of the Authority for purposes of Section 115 of the Internal Revenue Code, as amended, the Company acknowledges, represents and confirms that:

(a) The Company will act as a University Affiliate in accordance with the University Authority Act, to assist the Member in carrying out the public health mission of the Authority and the UA Board. See Section 3.1 of this Amended Certificate.

(b) All of the board of directors of the Company must be appointed by the Authority, subject to approval of the UA Board, and any director may be removed by the Authority or the UA Board at any time. See Section 5.1 of this Amended Certificate.

(c) The appointment of the officers serving as president and/or chief executive officer of the Company must be approved by the Authority. See the Operating Agreement of the Company.

(d) The Authority's approval is required for the Company to (a) take major corporate action, (b) adopt or change annual or operational budgets, and (c) to take actions that would cause the Company to vary significantly from adopted budgets. See the Operating Agreement of the Company.

(e) Upon dissolution, all of the Company's assets must be distributed to the Member, the Authority or the UA Board to be used exclusively for public purposes. See Section 3.3 of this Amended Certificate.

[Signatures of officers on following page]

IN WITNESS WHEREOF, the undersigned president and secretary of St. Vincent's Chilton, LLC execute this Amended and Restated Certificate of Formation on this [•] day of [•], 2025.

By: _____
Name: _____
Its: President

By: _____
Name: _____
Its: Secretary

This instrument prepared by:

This instrument prepared by:

Dorothy D. Pak
University of Alabama System
500 22nd Street South, Suite 504
Birmingham, AL 35233
(205) 934-3474

**AMENDED AND RESTATED
CERTIFICATE OF FORMATION OF
ST. VINCENT'S ST. CLAIR, LLC**

In accordance with applicable provisions of the Alabama Limited Liability Company Law of 2014 (Section 10A-5A-1.01 et seq., Code of Alabama (1975)) (the "Law"), the undersigned limited liability company hereby executes this Amended and Restated Certificate of Formation, and hereby certifies that such Amended and Restated Certificate of Formation Has been duly adopted as required by the Law.

1. The name of the limited liability company is St. Vincent's St. Clair, LLC.
2. The text of the Amended and Restated Certificate of Formation of the limited liability company is as follows:

**ARTICLE I.
NAME**

The name of the limited liability company is St. Vincent's St. Clair, LLC (d/b/a UAB St. Vincent's St. Clair), hereinafter referred to as the "Company."

**ARTICLE II.
GOVERNING LAW**

The Company is organized under the provisions of the Alabama Limited Liability Company Law (§ 10A-5A-1.01 et seq., Code of Alabama (1975)).

**ARTICLE III.
PURPOSES AND POWERS**

3.1 Subject to the limitations set out in paragraph 3.2 below, the Company is formed as a charitable organization under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder, as they now exist or as they may hereafter be amended from time to time (the "Code and Regulations"), and as a "University Affiliate" within the meaning of Section 16-17A-2(14) of the University Authority Act of 2016 (§ 16-17A-1 et seq., Code of Alabama (1975)) (the "University Authority Act"). Without in any way limiting the foregoing statement of charitable purposes, the Company is organized and will be operated for the following purposes:

- (a) The Company will serve to further the philosophy and mission of UAB St. Vincent's Health System (the "Health System"). The Company shall endeavor to (i) expand access to healthcare for poor, vulnerable and rural-based populations, and (ii) provide high quality clinical care, in support of the public health mission of the Member, the UAB St.

Vincent's Health System Authority (the "Authority") and the Board of Trustees of The University of Alabama (the "UA Board").

(b) To establish, maintain, support and stimulate the development of hospitals, clinics and other facilities that provide inpatient or outpatient care, accommodation, diagnosis and treatment to persons suffering injury, disease or any other condition where medical, surgical, rehabilitative, nursing and associated professional services may be required.

(c) To foster the conduct of such educational and research activities related to rendering care to the sick and injured or the protection of health, as, in the judgment of the board of directors of the Company, may be justified by the facilities, personnel, funds or other requirements that are or can be made available.

(d) To foster and/or participate in activities designed and carried on to promote the general health, rehabilitation and social needs of the community.

(e) In connection with the foregoing activities, to solicit, accept, receive, manage and disburse contributions of property, including real property, and income therefrom, and to apply for, accept and expend grants in accordance with their requirements.

(f) To engage in any lawful act or activity for which a company may be organized under the Law, provided that such act or activity is one permitted by an organization exempt under Section 501(c)(3) of the Code and the regulations promulgated thereunder (the "Regulations").

3.2 The Company shall be subject to the following restrictions and limitations, notwithstanding any other provisions of this Amended and Restated Certificate of Formation (the "Amended Certificate"):

(a) The Company shall not carry on any activities not permitted to be carried on by an organization exempt under Section 501(c)(3) of the Code and the Regulations, or by an organization, contributions to which are deductible under Section 170(c)(2) of the Code and the Regulations.

(b) No substantial part of the activities of the Company shall be carrying on propaganda, or otherwise attempting to influence legislation, and no activities of the Company shall be participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

(c) No part of the net earnings of the Company shall inure to the benefit of any private person, director, or officer of the Company, or any other private individual (except that

reasonable compensation may be paid for services rendered to or for the Company affecting one or more of its purposes).

3.3 Upon the liquidation or dissolution of the Company, whether voluntary or involuntary, no private person, director, or officer shall be entitled to any distribution or division of its remaining property or its proceeds, and the balance of all money and other property received by the Company from any source, after the payment of all debts and obligations of the Company, shall be used and distributed exclusively to the Member, the Authority or the UA Board to be used exclusively for public purposes and within the intendment of Section 501(c)(3) of the Code and the Regulations.

ARTICLE IV. MEMBERS

The Company shall have one (1) member. The sole member of the Company shall be St. Vincent's Health System, an Alabama nonprofit corporation and University Affiliate under the University Authority Act (d/b/a UAB St. Vincent's Health System) (the "Member").

ARTICLE V. INTERNAL AFFAIRS

The following provisions for the regulation of the business and for the conduct of the affairs of the Company and the directors thereof are hereby adopted:

5.1 The Authority shall have the right to appoint the board of directors of the Company as set forth in the operating agreement of the Company (the "Operating Agreement"), subject to the approval of the UA Board. The Authority or the UA Board may remove any director at any time, with or without cause.

5.2 The power to alter, amend or repeal the Operating Agreement or adopt a new Operating Agreement shall be vested in the Authority, except to the extent otherwise provided in the Operating Agreement, which power may be exercised in the manner and to the extent provided in the Operating Agreement. The Operating Agreement may contain any provisions for the regulation and conduct of the affairs of the Company and the directors not inconsistent with the Law, the University Authority Act or this Amended Certificate.

5.3 All corporate powers and duties imposed on the board of directors by the Law, the University Authority Act on University Affiliates, this Amended Certificate, or the Operating Agreement of the Company, and the business and affairs of the Company (the "Powers and Duties"), shall be exercised by or under the authority of, and managed under the direction of, the board of directors of the Company; provided, however, that pursuant to the Operating Agreement, the board of directors may confer any Powers and Duties on such other person or persons as the board of directors determines in its sole discretion to be in the best interests of the Company. The appointment of the president or chief executive officer (or both) of the Company shall be subject to the approval of the UA Board. Directors shall be elected in the manner provided in the

Operating Agreement. The number of directors of the Company shall be fixed from time to time by the Operating Agreement.

5.4 In amplification and not in limitation of the provisions of applicable law:

(a) Pursuant to Sections 10A-20-16.01 et seq., and 6-5-336 of the Code of Alabama (1975), as amended, and the Volunteer Protection Act of 1997, 42 U.S.C. § 14501 et seq., as amended, all non-compensated directors, trustees, members of governing bodies, officers and other eligible volunteers of the Company shall be immune from suit and shall not be subject to civil liability arising from the conduct of the affairs of the Company except when the act or omission of such person that gives rise to the cause of action amounts to willful or wanton misconduct or fraud or gross negligence.

(b) The Company shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative, including appeals (other than an action by or in the right of the Company), by reason of the fact that he or she is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such claim, action, suit or proceeding, unless he or she acted intentionally or willfully against the best interests of the Company. The termination of any claim, action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person acted intentionally or willfully in a manner that was opposed to the best interests of the Company.

(c) The Company shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action or suit by or in the right of the Company to procure a judgment in its favor by reason of the fact that he or she is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection with the defense or settlement of such action or suit if he or she acted in good faith and in a manner he or she reasonably believed to be in or not opposed to the best interests of the Company and except that no indemnification shall be made with respect to any claim, issue or matter as to which such person shall have been adjudged to be liable for intentional or willful misconduct in the performance of his or her duty to the Company unless and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses which such court shall deem proper.

(d) Any indemnification under subsections (b) and (c) (unless ordered by a court) shall be made by the Company only as authorized in the specific case upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances

because he or she has met the applicable standard of conduct set forth in subsections (b) and (c). Such determination shall be made (1) by the Board of Directors by a majority vote of the directors who were not parties to, or who have been wholly successful on the merits or otherwise with respect to, such claim, action, suit or proceeding, or (2) if a majority of disinterested directors so directs, by independent legal counsel in a written opinion.

(e) Expenses (including attorneys' fees) incurred in defending a civil or criminal claim, action, suit or proceeding may be paid by the Company in advance of the final disposition of such claim, action, suit or proceeding upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount if and to the extent that it shall ultimately be determined that he or she is not entitled to be indemnified by the Company as authorized in this Section. Such undertaking shall be an unlimited, unsecured general obligation of the officer of the Company and shall be accepted without reference to his ability to make repayment.

(f) The indemnification authorized by this Section shall not be deemed exclusive of and shall be in addition to any other rights to which those indemnified may be entitled under any statute, rule of law, provision of certificate of formation, bylaws, agreement, vote of disinterested directors or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent and shall inure to the benefit of the heirs, executors and administrators of such a person. No amendment, modification, or repeal of this Section shall diminish the right to indemnification with respect to any claim, cause, or matter in any then pending or subsequent proceeding that is based in any material respect on any alleged action or failure to act prior to such amendment, modification or repeal.

(g) The Company shall have power to purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the Company, or is or was serving at the request of the Company as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against him or her and incurred by him or her in any such capacity or arising out of his or her status as such, whether or not the Company would have the power to indemnify him or her against such liability under the provisions of this Section.

5.5 The Company reserves the right from time to time to amend, alter or repeal each and every provision contained in this Amended Certificate, or to add one or more additional provisions, upon a vote as required by the Operating Agreement.

5.6 This Amended and Restated Certificate of Formation consolidates all amendments to the original Certificate of Formation in a single document.

5.7 The amendments included in this Amended and Restated Certificate of Formation were adopted on [•], 2025 in accordance with Section 10A-5A-2.02 of the Law.

5.8 The entity identification number assigned to the limited liability company by the Alabama Secretary of State is 000-651-772.

ARTICLE VI
CONFIRMATION OF INSTRUMENTALITY STATUS

In order to confirm the Company's status as an instrumentality of the Authority for purposes of Section 115 of the Internal Revenue Code, as amended, the Company acknowledges, represents and confirms that:

(a) The Company will act as a University Affiliate in accordance with the University Authority Act, to assist the Member in carrying out the public health mission of the Authority and the UA Board. See Section 3.1 of this Amended Certificate.

(b) All of the board of directors of the Company must be appointed by the Authority, subject to approval of the UA Board, and any director may be removed by the Authority or the UA Board at any time. See Section 5.1 of this Amended Certificate.

(c) The appointment of the officers serving as president and/or chief executive officer of the Company must be approved by the Authority. See the Operating Agreement of the Company.

(d) The Authority's approval is required for the Company to (a) take major corporate action, (b) adopt or change annual or operational budgets, and (c) to take actions that would cause the Company to vary significantly from adopted budgets. See the Operating Agreement of the Company.

(e) Upon dissolution, all of the Company's assets must be distributed to the Member, the Authority or the UA Board to be used exclusively for public purposes. See Section 3.3 of this Amended Certificate.

[Signatures of officers on following page]

IN WITNESS WHEREOF, the undersigned president and secretary of St. Vincent's St. Clair, LLC execute this Amended and Restated Certificate of Formation on this [•] day of [•], 2025.

By: _____
Name: _____
Its: **President**

By: _____
Name: _____
Its: **Secretary**

This instrument prepared by:

This instrument prepared by:

Dorothy D. Pak
University of Alabama System
500 22nd Street South, Suite 504
Birmingham, AL 35233
(205) 934-3474

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION OF
ST. VINCENT'S EAST**

In accordance with applicable provisions of the Alabama Nonprofit Corporation Law (Section 10A-3A-1.01 et seq., Code of Alabama (1975)) (the "Nonprofit Corporation Law"), the undersigned nonprofit corporation hereby executes these Amended and Restated Articles of Incorporation, and hereby certifies that such Amended and Restated Articles of Incorporation have been duly adopted as required by the Nonprofit Corporation Law.

1. The name of the nonprofit corporation is St. Vincent's East.
2. The text of the Amended and Restated Articles of Incorporation of the nonprofit corporation is as follows:

**ARTICLE I.
NAME**

The name of the nonprofit corporation is St. Vincent's East (d/b/a UAB St. Vincent's East), hereinafter referred to as the "Corporation."

**ARTICLE II.
GOVERNING LAW**

The Corporation is incorporated under the provisions of the Alabama Nonprofit Corporation Law (§ 10A-3A-1.01 et seq., Code of Alabama (1975)).

**ARTICLE III.
PURPOSES AND POWERS**

3.1 Subject to the limitations set out in paragraph 3.2 below, the Corporation is formed as a charitable organization under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder, as they now exist or as they may hereafter be amended from time to time (the "Code and Regulations"), and as a "University Affiliate" within the meaning of Section 16-17A-2(14) of the University Authority Act of 2016 (§ 16-17A-1 et seq., Code of Alabama (1975)) (the "University Authority Act"). Without in any way limiting the foregoing statement of charitable purposes, the Corporation is organized and will be operated for the following purposes:

- (a) The Corporation will serve to further the philosophy and mission of UAB St. Vincent's Health System (the "Health System"). The Corporation shall endeavor to (i) expand access to healthcare for poor, vulnerable and rural-based populations, and (ii) provide high quality clinical

care, in support of the public health mission of the Member, the UAB St. Vincent's Health System Authority (the "Authority") and the Board of Trustees of The University of Alabama (the "UA Board").

(b) To establish, maintain, support and stimulate the development of hospitals, clinics and other facilities that provide inpatient or outpatient care, accommodation, diagnosis and treatment to persons suffering injury, disease or any other condition where medical, surgical, rehabilitative, nursing and associated professional services may be required.

(c) To foster the conduct of such educational and research activities related to rendering care to the sick and injured or the protection of health, as, in the judgment of the board of directors of the Corporation, may be justified by the facilities, personnel, funds or other requirements that are or can be made available.

(d) To foster and/or participate in activities designed and carried on to promote the general health, rehabilitation and social needs of the community.

(e) In connection with the foregoing activities, to solicit, accept, receive, manage and disburse contributions of property, including real property, and income therefrom, and to apply for, accept and expend grants in accordance with their requirements.

(f) To engage in any lawful act or activity for which a corporation may be organized under the Nonprofit Corporation Law, provided that such act or activity is one permitted by an organization exempt under Section 501(c)(3) of the Code and the regulations promulgated thereunder (the "Regulations").

3.2 The Corporation shall be subject to the following restrictions and limitations, notwithstanding any other provisions of these Amended and Restated Articles of Incorporation (these "Amended Articles"):

(a) The Corporation shall not carry on any activities not permitted to be carried on by an organization exempt under Section 501(c)(3) of the Code and the Regulations, or by an organization, contributions to which are deductible under Section 170(c)(2) of the Code and the Regulations.

(b) No substantial part of the activities of the Corporation shall be carrying on propaganda, or otherwise attempting to influence legislation, and no activities of the Corporation shall be participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

(c) No part of the net earnings of the Corporation shall inure to the benefit of any private person, director, or officer of the Corporation, or any other private individual (except that reasonable compensation may be paid for services rendered to or for the Corporation affecting one or more of its purposes).

3.3 Upon the liquidation or dissolution of the Corporation, whether voluntary or involuntary, no private person, director, or officer shall be entitled to any distribution or division of its remaining property or its proceeds, and the balance of all money and other property received by the Corporation from any source, after the payment of all debts and obligations of the Corporation, shall be used and distributed exclusively to the Member, the Authority or the UA Board to be used exclusively for public purposes and within the intentment of Section 501(c)(3) of the Code and the Regulations.

ARTICLE IV. MEMBERS

The Corporation shall have one (1) member. The sole member of the Corporation shall be St. Vincent's Health System, an Alabama nonprofit corporation and University Affiliate under the University Authority Act (d/b/a UAB St. Vincent's Health System) (the "Member").

ARTICLE V. INTERNAL AFFAIRS

The following provisions for the regulation of the business and for the conduct of the affairs of the Corporation and the directors thereof are hereby adopted:

5.1 The Authority shall have the right to appoint the board of directors of the Corporation as set forth in the bylaws of the Corporation (the "Bylaws"), subject to the approval of the UA Board. The Authority or the UA Board may remove any director at any time, with or without cause.

5.2 The power to alter, amend or repeal the Bylaws or adopt new Bylaws shall be vested in the Authority, except to the extent otherwise provided in the Bylaws, which power may be exercised in the manner and to the extent provided in the Bylaws. The Bylaws may contain any provisions for the regulation and conduct of the affairs of the Corporation and the directors not inconsistent with the Nonprofit Corporation Law, the University Authority Act or these Amended Articles.

5.3 All corporate powers and duties imposed on the board of directors by the Nonprofit Corporation Law, the University Authority Act on University Affiliates, these Amended Articles, or the Bylaws of the Corporation, and the business and affairs of the Corporation (the "Powers and Duties"), shall be exercised by or under the authority of, and managed under the direction of, the board of directors of the Corporation; provided, however, that pursuant to Section 10A-3A-8.01 of the Nonprofit Corporation Law, the board of directors may confer any Powers and Duties on such other person or persons as the board of directors determines in its sole discretion to be in the best interests of the Corporation. The appointment of the president or chief executive officer (or

both) of the Corporation shall be subject to the approval of the UA Board. Directors shall be elected in the manner provided in the Bylaws. The number of directors of the Corporation shall be fixed from time to time by the Bylaws.

5.4 In amplification and not in limitation of the provisions of applicable law:

(a) Pursuant to Sections 10A-20-16.01 et seq., and 6-5-336 of the Code of Alabama (1975), as amended, and the Volunteer Protection Act of 1997, 42 U.S.C. § 14501 et seq., as amended, all non-compensated directors, trustees, members of governing bodies, officers and other eligible volunteers of the Corporation shall be immune from suit and shall not be subject to civil liability arising from the conduct of the affairs of the Corporation except when the act or omission of such person that gives rise to the cause of action amounts to willful or wanton misconduct or fraud or gross negligence.

(b) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative, including appeals (other than an action by or in the right of the Corporation), by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such claim, action, suit or proceeding, unless he or she acted intentionally or willfully against the best interests of the Corporation. The termination of any claim, action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person acted intentionally or willfully in a manner that was opposed to the best interests of the Corporation.

(c) The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed claim, action or suit by or in the right of the Corporation to procure a judgment in its favor by reason of the fact that he or she is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection with the defense or settlement of such action or suit if he or she acted in good faith and in a manner he or she reasonably believed to be in or not opposed to the best interests of the Corporation and except that no indemnification shall be made with respect to any claim, issue or matter as to which such person shall have been adjudged to be liable for intentional or willful misconduct in the performance of his or her duty to the Corporation unless and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability but in view of all circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses which such court shall deem proper.

(d) Any indemnification under subsections (b) and (c) (unless ordered by a court) shall be made by the Corporation only as authorized in the specific case upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in subsections (b) and (c). Such determination shall be made (1) by the Board of Directors by a majority vote of the directors who were not parties to, or who have been wholly successful on the merits or otherwise with respect to, such claim, action, suit or proceeding, or (2) if a majority of disinterested directors so directs, by independent legal counsel in a written opinion.

(e) Expenses (including attorneys' fees) incurred in defending a civil or criminal claim, action, suit or proceeding may be paid by the Corporation in advance of the final disposition of such claim, action, suit or proceeding upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount if and to the extent that it shall ultimately be determined that he or she is not entitled to be indemnified by the Corporation as authorized in this Section. Such undertaking shall be an unlimited, unsecured general obligation of the officer of the Corporation and shall be accepted without reference to his ability to make repayment.

(f) The indemnification authorized by this Section shall not be deemed exclusive of and shall be in addition to any other rights to which those indemnified may be entitled under any statute, rule of law, provision of certificate of formation, bylaws, agreement, vote of disinterested directors or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent and shall inure to the benefit of the heirs, executors and administrators of such a person. No amendment, modification, or repeal of this Section shall diminish the right to indemnification with respect to any claim, cause, or matter in any then pending or subsequent proceeding that is based in any material respect on any alleged action or failure to act prior to such amendment, modification or repeal.

(g) The Corporation shall have power to purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, partner, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against him or her and incurred by him or her in any such capacity or arising out of his or her status as such, whether or not the Corporation would have the power to indemnify him or her against such liability under the provisions of this Section.

5.5 The Corporation reserves the right from time to time to amend, alter or repeal each and every provision contained in these Amended Articles, or to add one or more additional provisions, upon a vote as required by the Bylaws.

5.6 These Amended and Restated Articles of Incorporation consolidate all amendments to the original Articles of Incorporation in a single document.

5.7 The amendments included in these Amended and Restated Articles of Incorporation were adopted on [•], 2025 in accordance with Sections 10A-3A-9.03 and 10A-3A-9.04 of the Nonprofit Corporation Law.

5.8 The entity identification number assigned to the nonprofit corporation by the Alabama Secretary of State is 000-813-097.

ARTICLE VI. CONFIRMATION OF INSTRUMENTALITY STATUS

In order to confirm the Corporation's status as an instrumentality of the Authority for purposes of Section 115 of the Internal Revenue Code, as amended, the Corporation acknowledges, represents and confirms that:

(a) The Corporation will act as a University Affiliate in accordance with the University Authority Act, to assist the Member in carrying out the public health mission of the Authority and the UA Board. See Section 3.1 of these Amended Articles.

(b) All of the board of directors of the Corporation must be appointed by the Authority, subject to approval of the UA Board, and any director may be removed by the Authority or the UA Board at any time. See Section 5.1 of these Amended Articles.

(c) The appointment of the officers serving as president and/or chief executive officer of the Corporation must be approved by the Authority. See the Bylaws of the Corporation.

(d) The Authority's approval is required for the Corporation to (a) take major corporate action, (b) adopt or change annual or operational budgets, and (c) to take actions that would cause the Corporation to vary significantly from adopted budgets. See the Bylaws of the Corporation.

(e) Upon dissolution, all of the Corporation's assets must be distributed to the Member, the Authority or the UA Board to be used exclusively for public purposes. See Section 3.3 of these Amended Articles.

[Signatures of officers on following page]

IN WITNESS WHEREOF, the undersigned president and secretary of St. Vincent's East execute these Amended and Restated Articles of Incorporation on this [•] day of [•], 2025.

By: _____
Name: _____
Its: President

By: _____
Name: _____
Its: Secretary

This instrument prepared by:

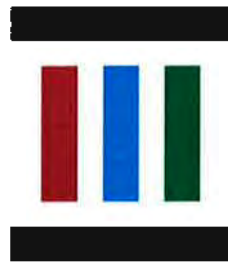
This instrument prepared by:

Dorothy D. Pak
University of Alabama System
500 22nd Street South, Suite 504
Birmingham, AL 35233
(205) 934-3474

ADMINISTRATIVE REPORT

A DIGEST OF CURRENT INFORMATION

PREPARED FOR THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA



University of Alabama System®

Individually Distinct. Altogether Stronger.

JUNE 6, 2025
TUSCALOOSA, ALABAMA

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STUDENT/FACULTY ACHIEVEMENTS

GRADUATE STUDENT'S DANCE SELECTED FOR NATIONAL FESTIVAL

A dance choreographed by Jordan Prough, a Master of Fine Arts in Dance student, was performed during the American College Dance Association's National Festival in Washington, D.C., in early May. Her performance was one of only three to be selected for the honor. Her dance titled "Unexpectedly Expecting the Expectations of the Unexpected" was one of 12 selected from 49 works by faculty, guest artists and students at the American College Dance Association's Southeast conference gala performance as well.

4 UA STUDENTS NAMED GOLDWATER SCHOLARS

The Barry Goldwater Scholarship and Excellence in Education Foundation selected four University of Alabama students as Goldwater Scholars for 2025-2026. UA led all Southeastern Conference universities with the most students selected for awards and was the only SEC university to have all four of its nominees selected this year. The latest selections bring UA's number of Goldwater Scholars to 69. The four students are Ian Brunetz, of Chattanooga, Tennessee; Emily Gokie, of Seward, Nebraska; Gabrielle Kowkabany, of Jacksonville, Florida; and Blake Yuenger, of Murfreesboro, Tennessee.

UA STUDENT VETERANS LAY WREATH AT TOMB OF THE UNKNOWN SOLDIER

Four University of Alabama student veterans laid a wreath at the

Tomb of the Unknown Soldier at Arlington National Cemetery in Washington, D.C. This shared moment among the vets marked the first time any current UA student or student from a school in the Southeastern Conference participated in this ritual honoring U.S. military members who have made the ultimate sacrifice. Drew Bair, a Marine Corps veteran, and Blake Schickel, an Army veteran, had the honor of placing the wreath during the revered, traditional ceremony. Fellow UA Student Veterans Association leaders Cody Copper and Jordan Golden joined them in support.

UAB COMPUTER SCIENCE STUDENT GRADUATES WITH A JOB AT MICROSOFT

Xavier Golden always planned to become a doctor; but after joining the military and discovering tech careers through TikTok, he switched paths to computer science. Throughout his time, he interned at Microsoft and Amazon and received full-time offers from both companies. He chose Microsoft, where he will be able to utilize his security clearance from the military and skills fostered at UAB.

MISS UAB 2025 GRADUATES WITH EYES ON THE MISS ALABAMA TITLE

Melissa Mercer is UAB's Ms. Congeniality. While initially uninterested in beauty pageants as a bioinformatics major and computer science and biology minor, she discovered the Miss UAB pageant interested her. She used her

mixed martial arts skills as her talent and earned the title of Miss UAB. She graduated with high distinguished honors from UAB, and she will continue her Blazer journey through the Accelerated Bachelor's/Master's program in data science. Next for her is competing in the Miss Alabama pageant 2025.

FORMER MR. UAB GRADUATES AFTER A TRANSFORMATIVE JOURNEY OF GROWTH AND LEADERSHIP

After being homeschooled until high school, John Goodman, former Mr. UAB, graduated from the UAB Collat School of Business with a degree in industrial distribution and the confidence to lead and serve. He utilized study abroad trips, UAB's urban location and its connection with the hospital, and research experience at a UAB Nephrology lab to shape his passion for health promotion. His goal is to pursue a Ph.D., focusing on mitigating chronic disease and cardiovascular health among the underserved.

FIRST STUDENT GRADUATES FROM UAB'S DISABILITY STUDIES AND REHABILITATION SCIENCE PROGRAM

Bailey Hutchinson was diagnosed with Ehlers-Danlos syndrome during her sophomore year. Her recovery through physical therapy enhanced her life and shaped her academic ambitions. She became the first graduate of UAB's pioneering Bachelor of Science in Disability Studies and Rehabilitation Science program developed by the Department of Physical Therapy, setting a new standard for future leaders in the disability and rehabilitation field.

FORMER SUMMER CAMPER GRADUATES WITH TWO DEGREES

Anushka Patel, a Vestavia Hills native who attended UAB's CORD summer campus during middle and high school, dissected a brain and developed an interest in neuroscience. At UAB, she came full circle when she became a facilitator for the institute. On May 2, she graduated with a Master of Business Administration degree from the Collat School of Business, and on May 3, a bachelor's degree in neuroscience with a minor in chemistry from the College of Arts and Sciences.

UAB GRADUATE BRINGS THE WORLDS OF ARTS AND SCIENCES TOGETHER THROUGH MENTORSHIP

Karim Mikhail, a double major in neuroscience and philosophy, found a collaborative environment at UAB where he could thrive, pursuing his passions in both STEM and humanities. He received several institutional and national accolades, such as the Goldwater Scholarship in 2024 and selection in the Clinton Global Initiative University and World Congress on Undergraduate Research in 2023. He is going to medical school after graduation.

UAB PUBLIC RELATIONS STUDENTS WIN TOP AWARDS FROM PRCA, PRSA

Students in UAB's Public Relations Council of Alabama/Public Relations Student Society of America have won top awards from two professional organizations in Alabama. PRCA Alabama recognized PRCA/PRSSA at UAB with the Outstanding Chapter Award. This is the third time UAB has received

Outstanding Chapter since it was established in 2020. The winning chapter is ineligible to receive the award the year after it has been awarded.

HO-WOOK JUN RECOGNIZED FOR DISTINGUISHED BIOMEDICAL ENGINEERING

Ho-Wook Jun, Ph.D., a professor at the UAB Department of Biomedical Engineering, has been inducted into the American Institute for Medical and Biological Engineering, or AIMBE, College of Fellows. The election by peers and members of the College of Fellows cited Jun's "pioneering translational research in biomaterials, biomedical devices and therapeutics." Jun is also a co-founder and chief scientific officer of Endomimetics LLC, a company that develops Bionanomatrix coatings for cardiovascular stents and other medical implants.

UAB PROFESSOR RECOGNIZED FOR EXCELLENCE IN TEACHING JAPANESE LANGUAGE

Yoshiyuki Hara, Ph.D., assistant professor of Japanese at UAB, has received the 2025 Hamako Ito Chaplin Memorial Award for Excellence in Japanese Language Teaching via the Association for Asian Studies. Each year, a \$1,000 prize is awarded to a full-time instructor of Japanese — who has completed graduate study within the past three years — in recognition of excellence in college-level Japanese language teaching.

UAH STUDENT TEAM PLACES IN NASA CHALLENGE

The UAH team finished third overall in the university division of the Human Exploration Rover Challenge, one of NASA's eight Artemis Student

Challenges, reflecting the goals of the Artemis campaign, an initiative targeted at returning Americans to the Moon in preparation for future human missions to Mars. Held at the U.S. Space & Rocket Center, near NASA's Marshall Space Flight Center (MSFC), the event tasked teams with designing, building, and testing a lunar rover powered by human pilots or remote control. Teams were awarded points by navigating a half-mile obstacle course, conducting mission-specific task challenges, and completing multiple safety and design reviews with NASA engineers. "It was an awesome experience," said David Fikes, mechanical and aerospace engineering senior lecturer and team advisor. "We crashed and burned on Friday when we broke part of the suspension on an obstacle — the transverse incline — which put us in about 15th place at the time. But we repaired our rover Friday night and got redemption with a third-place finish on Saturday, including performing the incline better than anyone. We also won the System Safety Award."

ALABAMA LEAGUE FOR NURSING CHOOSES NEW PRESIDENT

Dr. Donna Guerra, clinical associate professor in the College of Nursing, was chosen president of the Alabama League for Nursing.

AMERICAN SOCIETY OF CIVIL ENGINEERING STUDENT TEAM PLACES IN REGIONAL COMPETITION

The American Society of Civil Engineering (ASCE) Student Team placed third in the Gulf South Regional Competition in Starkville, Mississippi. The team placed second in the surveying competition and third in the national Concrete Canoe and Sustainable

Solutions competitions. The team also recorded first place finishes in the Concrete Cornhole and Professional Development, which were local competitions. "This was a strong showing for the team," said Michael Anderson, a professor of civil engineering and advisor to the team, "Our team of 28 students beat out schools with much larger civil engineering programs, showing the dedication and success that UAH

students have." The surveying team has been invited to compete in June in California's national surveying competition.

ADVANCES IN RESEARCH

UAB RESEARCHERS UNCOVER SHARED RESPONSE TO DOMINANT COMMENSAL BACTERIAL FLAGELLIN EPITOPE IN CROHN'S PATIENTS AND HEALTHY INFANTS

In a study published in and featured on the cover of *Gastroenterology*, researchers at UAB identified the dominant region shared by hundreds to thousands of different flagellins that drives the elevated serum IgG response in Crohn's patients. Researchers showed that reactivity to this dominant region at Crohn's diagnosis is positively associated with the future development of disease complications.

UAB HELPS DEVELOP NOVEL TOOL TO IMPROVE HEALTH CARE WORKER WELL-BEING

Elizabeth Duggan, M.D., associate professor at the UAB Department of Anesthesiology and Perioperative Medicine, took part in a study published in the peer-reviewed journal *Anesthesiology* in February 2025. The multi-institutional team designed Well-Being Influencers Survey for Healthcare, which is a novel assessment measuring workplace drivers/influencer of well-being. The tool goes beyond traditional assessments of burnout and professional fulfillment, examining factors that foster sustainable and rewarding careers for health care workers.

UAB JUNIOR SECURES NATIONAL

RESEARCH OPPORTUNITIES

Snekha Rajasekaran, a biomedical sciences junior at UAB, gained monumental research experience through prestigious summer research programs at Stanford University School of Medicine, California, and University of Chicago Pritzker School of Medicine, Illinois, advancing her goal — becoming a physician.

KINESIOLOGY FACULTY RECEIVES MENTOR AWARD

Dr. Sara Harper, assistant professor of Kinesiology in the College of Education, Sport, and Human Sciences, was awarded the 2025 Health Sciences Division Innovative Mentor Award by the Council of Undergraduate Research. Dr. Harper has demonstrated successful undergraduate student mentorship, including 22 student-involved and initiated research projects, and has mentored and tracked 78 undergraduate students, with 77% pursuing health sciences graduate programs and careers. To date, undergraduate mentees of Dr. Harper are co-authors on eleven publications.

UAH RESEARCHER NAMED TO HALL OF FAME

Researcher Dr. Josh Wurman was recently named to the National Storm Chasing Hall of Fame. Alongside Dr. Karen Kosiba, Wurman leads the [Flexible Array of Radars and Mesonets \(FARM\)](#) in the [Severe Weather Institute – Radar & Lightning Laboratories \(SWIRLL\)](#), part of the Earth System Science Center at UAH. The award was presented during the annual National Storm Chaser Summit in Overland Park, Kansas. Wurman and Kosiba presented to attendees,

highlighting FARM's critical contributions to tornado, hurricane, wildfire, hail, and other severe weather studies. They also provided an exhibition of *Doppler On Wheels*, UAH's truck-mounted Doppler radar used for severe weather research, particularly in studying events like tornadoes and hurricanes. During the summit, Wurman was one of six researchers named to the inaugural class of the National Storm Chasing Hall of Fame. The hall of fame honors individuals widely known by the storm-chasing community who have "had a positive and lasting impact on storm chasing, forecasting, mentorship, community engagement, education, documentation, photography/videography, innovation, and research."

SPACE PHYSICIST HELPS DETERMINE ROTATION RATE OF URANUS WITH UNPRECEDENTED PRECISION USING HUBBLE SPACE TELESCOPE

Tae Kim, research scientist at the Center for Space Plasma and Aeronomic Research, contributed to a new study using data from the Hubble Space Telescope that has determined the rotation period of Uranus with unprecedented accuracy, finding it to be 17 hours, 14 minutes, and 52 seconds. This is 28 seconds longer than the previous estimate made by Voyager 2 in 1986. The new measurement is significantly more precise, achieving an accuracy of 1,000 times greater than earlier estimates.

UNDERGRADUATE RESEARCH AND CREATIVE ACTIVITY CONFERENCE WINNERS RECOGNIZED

Student creativity and research were on display at The University of Alabama's 2025 Undergraduate Research and Creative Activity Conference, held in April. The event highlights students' work and helps them polish their communication skills. Nearly 700 students contributed to the research presented at the conference, for a total of 550 abstracts representing every college on campus. Winners in 12 categories were recognized at an award ceremony held on April 23.

UA ENGINEERS EXPLORED THE SPEEDY SECRET OF SHARK SKIN

Inside The University of Alabama's Bio-inspired Flow Control Laboratory, Dr. Amy Lang, a professor of aerospace engineering and mechanics, and her undergraduate and graduate students have worked to unlock the secrets of the shortfin mako shark, which uses its specialized scales to reduce drag and achieve remarkable speeds. By learning how sharks control flow separation — how the water stays attached as it moves over the shark's skin — they aim to apply this natural innovation to man-made technologies. The potential applications of this research could reshape how we think about efficiency in aquatic and airborne vehicles.

NEWS ABOUT DEVELOPMENT

The Dr. Robert E. James Estate has gifted \$75,042 to the Robert E. James Theatre Scholarship, \$69,878 to the Robert E. James Psychology Scholarship, \$69,878 to the Robert E. James Communication Arts Scholarship, and \$15,722 to the UAH Last Mile Fund for the College of Arts, Humanities, and Social Sciences.

Dr. and Mrs. Louis B. (Beverly) Weiner gifted \$143,815 to the College of Engineering Building Fund.

Mr. and Mrs. Joe (Mary Ann) Cromeans donated \$60,000 to support their Science, Nursing, and Performing Arts Scholarships.

Ms. Patricia M. Blair has donated \$50,000 to support the James Blair Scholarship in the College of Engineering.

Bryant Bank donated \$50,000 to the Athletics Department Excellence Fund.

COLSA Corp. has given \$50,000 to the Engineering Dean's Excellence Fund.

Drs. Aruna and Amit Arora have donated \$33,334 to support the Amit and Aruna Arora Endowed Honors Student Conference.

Keel Point donated \$25,000 to the Keel Point Professorship in Family Wealth within the College of Business.

Ms. Laura S. Ortman contributed \$25,000 to the Ortman Together We Win Scholarship.

ESTABLISHING THE MARJORIE PRICE ENDOWED SCHOLARSHIP FOR PHILOSOPHY

Majorie S. Price has given \$100,000 to establish the Marjorie Price Endowed Scholarship for Philosophy. This endowed scholarship will support students in the Department of Philosophy within the College of Arts and Sciences who demonstrate financial need.

ESTABLISHING THE O'NEAL STEEL ENDOWED SCHOLARSHIP FOR TRANSFER STUDENTS

O'Neal Steel, Inc. has given \$32,000 to establish the O'Neal Steel Endowed Scholarship for Transfer Students. This endowed scholarship will be used to support students enrolled in or admitted to a degree-granting program at the Collat School of Business.

ESTABLISHING THE JOHNNIE HOUGH BEAM ENDOWED MEMORIAL SCHOLARSHIP IN ENTREPRENEURSHIP

Kathy and Stephen Peebles have given \$100,000 to establish the Johnnie Hough Beam Endowed Memorial Scholarship in Entrepreneurship. This endowed scholarship will be used to support students majoring in

entrepreneurship in the Collat School of Business, who demonstrate strong academic promise, with preference for those with financial need and consideration for academic merit.

**ESTABLISHING THE JANYCE M. SANFORD
ENDOWED SUPPORT FUND IN
THE
DEPARTMENT OF
EMERGENCY MEDICINE**

Janyce M. Sanford, M.D., MBA, has given \$50,000 to establish the Janyce M. Sanford, M.D., Endowed Support Fund in the Department of Emergency Medicine. This endowed support fund will be used to support the mission of the Department of Emergency Medicine within the UAB Heersink School of Medicine, including the residency program, fellowships and medical student clerkship.

**ESTABLISHING THE WILLIAM TODD WOOTEN
ENDOWED RESEARCH FUND
FOR
LEUKEMIA AND LYMPHOMA**

William R. Wooten and Avolee D. Wooten have given \$339,322.37 to establish the William Todd Wooten Endowed Research Fund for Leukemia and Lymphoma. This endowed research fund will support leukemia and lymphoma research at the UAB Comprehensive Cancer Center, including personnel, supplies, equipment, education, collaboration and dissemination of findings.

**ESTABLISHING A QUASI-
ENDOWMENT
TITLED THE SUSAN NORTON
HICKS**

MEMORIAL FUND

The Department of Otolaryngology within the UAB Heersink School of Medicine wishes to use \$84,835.95 for the establishment of a quasi-endowment related to the existing pure endowment titled the Susan Norton Hicks Memorial Fund.

**ESTABLISHING THE ERIKA
BRIDGEFORTH
HARTMAN AND COREY LOUIS
HARTMAN
ENDOWED FACULTY
SCHOLAR IN
RESIDENT EDUCATION**

Erika and Corey Hartman have given \$109,680.90 to establish the Erika Bridgeforth Hartman, DDS, and Corey Louis Hartman, M.D., Endowed Faculty Scholar in Resident Education. This endowed faculty scholar fund will support the research, scholarly and/or clinical efforts of a distinguished faculty member in the Department of Dermatology within the UAB Heersink School of Medicine.

**CASSELL FAMILY DONATES \$1
MILLION TO
ESTABLISH CASSELL FAMILY
BIDIRECTIONAL TRAINING
PROGRAM**

Expressing a lifelong commitment to advancing global health, Gail H. Cassell, Ph.D., D.Sc. (Hon.), and her family generously donated \$1 million to the UAB Marnix E. Heersink School of Medicine to establish the Cassell Family Bidirectional Training Initiative within the Mary Heersink Institute for Global Health. This innovative program addresses global health initiatives and medical

training in Peru through bidirectional training of physicians in a UAB clinical fellowship program with Partners in Health.

**MORE THAN 1,500 PEOPLE
MAKE
GIFTS DURING EIGHTH
ANNUAL
BAMA BLITZ**

The University of Alabama raised more than \$730,000 in gifts during its eighth annual giving days, Bama Blitz. Bama Blitz began at noon April 15 and ended at noon on April 17. More than 1,600 gifts from 1,532 UA supporters in 43 states were made during the crowdfunded, social media-driven campaign.

CONFERENCE/SYMPOSIA/PROGRAMS

GULF SCHOLARS NURSING CERTIFICATE PROGRAM UNDERWAY

UAH launched a new Nursing certificate program as part of the Gulf Scholars Program. The certificate will be offered in collaboration with Calhoun Community College. Dr. Azita Amiri, associate dean for research at the College of Nursing, leads the program, which results from a grant from the National Academies.

BOEING 24-HOUR INNOVATION CHALLENGE INCLUDES SHARK TANK- STYLE COMPETITION

The UAH College of Business hosted its annual Boeing 24-Hour Innovation Challenge this spring. This year's event brought together more than 70 student participants, each working intensively to develop innovative business solutions. The event culminated in a dynamic, "Shark Tank" style pitch competition, where teams presented their ideas to a panel of judges. Thanks to the generous support of our partner, The Boeing Company, UAH awarded the winning teams \$15,000 in scholarship prizes.

CAPITAL MANAGEMENT GROUP PRESENTS ANNUAL REPORT

Each year, the UAH Capital Management Group (CMG), a student-led investment team, invites community partners and finance professionals to attend their annual portfolio presentation. During this year's event, students showcased the performance of their \$1.2 million

investment portfolio and highlighted the strategies behind their results. Through CMG, students gain hands-on experience in the core functions of portfolio management, including investment policy and strategy, asset allocation, performance evaluation, and attribution analysis. The CMG serves as a real-world laboratory, allowing students to apply classroom knowledge to real financial markets.

UAB SPRING COMMENCEMENT WAS MAY 2-3

UAB hosted commencement for graduating students in three ceremonies May 2-3, at Bartow Arena. More than 3,071 students were eligible to graduate, and at least 1,715 students participated in commencement exercises. The graduate students' ceremony was at 3 p.m. Friday, May 2, and the undergraduate ceremonies took place May 3 at 9:30 a.m. and 2 p.m., respectively.

UAB HOSTED SPRING CLEANING FOR A CAUSE APRIL 8-9

UAB Commission on the Status of Professional Success collected gently used attire and accessories. The donations were distributed among My Sister's Closet, which serves women in need; the UAB Career Center's Blazer Closet and the Collat School of Business Career Closet, which provide attire for career-related occasions for students; and the UAB Marnix E. Heersink School of Medicine's Compassion Closet, which

provides new and clean clothing to emergency and trauma patients when they leave the hospital.

MUSIC INDUSTRY MENTORS MEET WITH LOCAL STUDENTS THROUGH GRAMMY IN THE SCHOOLS

UAB hosted the Grammy In the Schools program and the John Lennon Educational Tour Bus for high school students Wednesday, April 30. The program provided students with insight into careers that are available to them in the music industry, and direction on how to prepare for them.

19TH ANNUAL SCHOLARSHIP RUN AT UAB WAS APRIL 12

UAB hosted 19th annual Scholarship Run, presented by VIVA Health, on Saturday, April 12. It included 5K and 10K races and a 1-mile Fun Run open to adults as well as kids. The 8 a.m. race returned to Crestline Village in Mountain Brook, near Crestline Elementary School. The race was hosted on a certified course and was chipped and timed.

UAB COMMUNITY MADE AN IMPACT DURING THIS YEAR'S GIVE AS ONE

On Thursday, April 3, and Friday, April 4, UAB hosted Give As One, a collaborative initiative among UAB, UAB Medicine and the Marnix E. Heersink School of Medicine. Supporters of the university had the opportunity to make an impact on the lives of students, patients and the city of Birmingham through 14 UAB projects.

UAB HOSTS ARTS WEEK MARCH 31-APRIL 8

UAB Arts Week, presented by the UAB College of Arts and Sciences, celebrated student and faculty creativity through events and performances by students from the departments of Art and Art History, English, History, Music, and Theatre.

DISABILITY ARTS FESTIVAL FEATURES ARTIST STORIES, PERSPECTIVES

Local and national voices celebrated artists from the disability community this spring through the UAB Disability Arts Festival. The event provided a platform for people with disabilities to share their stories and perspectives across all genres. The goal was to promote understanding and accessibility and raise awareness of health disparities, among other aims to affect quality of life for disabled individuals across Alabama.

LEARNING FROM THE ANCESTORS: HISTORICAL INFLUENCES ON CONTEMPORARY NATIVE ART

From February 3 through May 31, the Jones Archaeological Museum at Moundville Archaeological Park featured a temporary exhibit focusing on Moundville and Mississippian influences on contemporary Native American artists. Learning from the Ancestors: Historical Influences on Contemporary Native Art emphasized the re-centering of museums from tribal perspectives by connecting the past to the present. This exhibition was a collaborative effort between Jones Archaeological Museum staff, University of Alabama

faculty, students, and Native artists. It focused on Tribal Nations from the Southeastern United States and artists primarily from Alabama, Oklahoma, Mississippi and Louisiana.

CAMPUS HIGHLIGHTS

TWO COMPUTER SCIENCE MASTER'S PROGRAMS EARN FORTUNE TOP 10 RANKINGS

The Master of Science in Computer Science program achieved the No. 3 ranking among the nation's Best in-Person Master's in Computer Science programs, and the Master of Science in Cyber Security program received a No. 10 spot in the Best Master's in Cybersecurity category, reinforcing UAB's reputation for academic excellence and leadership in graduate education.

SIX GRADUATE AND SPECIALTY PROGRAMS AT UAB RANKED IN NATION'S TOP 10 BY

U.S. NEWS & WORLD REPORT

The UAB School of Health Professions' Master of Science in Health Administration program retained its No. 1 ranking as best in the country for Healthcare Management. Four programs in the School of Nursing were ranked in the top 10. In the category of Best Graduate Nursing Schools, the Doctor of Nursing Practice ranked No. 10.

Other UAB programs that stood out include the School of Nursing's Master of Science in Nursing program, which ranked No. 11, making it the highest-ranked among public institutions in the South. The Collat School of Business' part-time Master of Business Administration ranked No. 88, jumping 10 levels from last year. The School of Engineering was recognized as No. 125 out of 178

individually ranked schools. The School of Education's Graduate Education program ranked No. 127 out of 228 individually ranked schools.

UAB LAUNCHES NEW VISUAL IDENTITY

A new, refreshed brand was unveiled for UAB after extensive research and engagement with students, faculty, staff, alumni, patients and other stakeholders. Specific challenges and opportunities were identified to improve the UAB brand's clarity, consistency and impact. The recently launched visual identity system reflects the dynamic growth, commitment to excellence and bold vision for UAB's future.

WATTS, FOUAD INDUCTED INTO ALABAMA ENGINEERING HALL OF FAME

UAB President Ray L. Watts and Fouad Fouad, Ph.D., professor and chair emeritus of the School of Engineering, were inducted into the Alabama Engineering Hall of Fame during a ceremony at Ross Bridge Resort in Birmingham on Feb. 22. Inductees have made, and continue to make, significant contributions to the advancement of engineering and technology, leading to an enhanced economic, cultural and political future for the state and nation.

STEPHANIE MULLINS NAMED VP FOR FINANCE

Stephanie Mullins started serving as UAB's vice president for Finance on April 15. Mullins served in the role in an interim capacity since

December. Mullins guides the strategic direction of UAB's financial, facilities and business operations functions while reporting to UAB President Ray L. Watts and serving on the President's Cabinet. She also leads and develops policies for Financial Affairs, Facilities Operations, UAB Center for the Arts and Business Operations.

MEN'S AND WOMEN'S BASKETBALL ACHIEVE BEST COMBINED SEASON IN DEPARTMENT'S NCAA ERA

The UAH Chargers men's and women's basketball teams have made history with outstanding performance in the 2024-2025 campaign. They achieved a combined 57-9 overall record, the best in the department's NCAA era, and averaged a conference-best 1,000-plus fans per home game. Both teams earned NCAA Division II tournament berths under the leadership of first-year head coaches Mick Hedgepeth and Allen Sharpe. The men's team, with the longest win streak in the nation at 32 consecutive victories, won both the Gulf South Conference regular season and tournament championships. The women's team, with the second-best record in the GSC this season, reached the GSC tournament championship game for the third time in program history. UAH also had both GSC Players of the Year this season, including Tommy Murr from the UAH men's team and Lili Wilkeu from the UAH women's team.

COLLEGE OF NURSING CELEBRATES GOLDEN GALA AND INNOVATION CENTER

The College of Nursing's Golden Gala, a momentous occasion that celebrated 50 years of nursing education, was held on April 5. The event not only marked this significant milestone but also raised an impressive \$150,000 to support the College of Nursing's Simulation and Learning Innovation Center. This generous contribution will enhance the center's capabilities and further its mission. In honor of the center's naming, the College of Nursing welcomed leaders from Maury Regional Health System for a ribbon-cutting ceremony on April 18.

KEEL POINT PROFESSORSHIP IN FAMILY WEALTH IS ESTABLISHED

Keel Point, an independent wealth advisory firm, has established the Keel Point Professorship in Family Wealth with the College of Business through a generous \$100,000 commitment over four years. The professorship will be launched beginning with the 2025-2026 academic year.

ASTRONAUT BREAKFAST DAY SHOWCASES INNOVATIVE HEALTH IN SPACE COURSE

To demonstrate the collaborative skills necessary to tackle the practical challenges of living in space, students at UAH are taking a multidisciplinary course recently showcased by an event called 'Astronaut Breakfast Day.' The College of Nursing hosted the activity, where participants met to sample genuine astronaut fare. The gathering was designed to highlight ways to meet the nutritional needs of space explorers through interdisciplinary solutions and expertise. The event

served as an example of how health and wellness are prioritized in space exploration, explained Dr. Azita Amiri, professor and associate dean for research in the College of Nursing. She added, "Astronaut Breakfast Day is a fantastic opportunity to connect with the multidisciplinary goals of our Health in Space course. The breakfast highlights the importance of nutrition for astronauts during space missions, allowing students to explore the intersection of health, food science, and aerospace."

PRESIDENT DONALD J. TRUMP ADDRESSED UA GRADUATES AT SPECIAL COMMENCEMENT EVENT

A special commencement event took place at The University of Alabama on the evening of Thursday, May 1, in Coleman Coliseum. The ceremony celebrated the UA class of 2025 and featured an address from President Donald J. Trump along with comments from UA President Stuart R. Bell and Nick Saban, former coach of the Crimson Tide football team.

UA CAPSTONE COLLEGE OF NURSING HOSTED MASS CASUALTY DISASTER SIMULATION

The University of Alabama Capstone College of Nursing, College of Arts and Sciences and College of Community Health Sciences collaborated with the UA Police Department, Tuscaloosa Fire Rescue, Alabama Fire College and NorthStar EMS to conduct a large-scale mass casualty disaster simulation focused

on a structural collapse scenario. About 150 students experienced a realistic response to a complex mass casualty incident, bridging classroom learning with real-world application in a safe and supportive environment. Participants from nursing, EMS, fire and law enforcement worked side-by-side to triage victims, coordinate communication, stabilize patients and transport them to care, allowing students to learn how to function effectively in a real-world crisis situation.

STUDENTS ENGAGE IN EXPERIENTIAL LEARNING AT 2025 MASTERS

As part of a partnership between the College of Human Environmental Sciences and Augusta National Golf Club, 61 UA students in varying programs in the department of human nutrition, hospitality and sport management gained valuable experiential learning experiences by working at the 2025 Masters Tournament. Now in its second year, the program allows students to spend around 10 days in Augusta for the premier professional golf tournament in roles that include hospitality as servers and bartenders, merchandising, and operations at Augusta National.

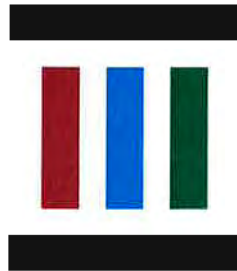
WHEELCHAIR TENNIS EARNS SINGLES, TEAM NATIONAL TITLES

The University of Alabama wheelchair tennis team won the national championship, defeating Auburn University 2-0. UA freshman Francesco Felici earned the singles national championship and was named Rookie of the Year by the

Intercollegiate Tennis Association, the sport's governing body. This win brings the wheelchair tennis team's total championships to nine and the 23rd for the Adapted Athletics program overall. This year's team consisted of Max Barbier, Francesco Felici and Martha Harris.

WOMEN'S WHEELCHAIR BASKETBALL WON FIFTH CONSECUTIVE NATIONAL CHAMPIONSHIP

The University of Alabama's women's wheelchair basketball team earned another national championship. This marks the team's fifth consecutive national title and 11th in program history. The team topped the University of Texas at Arlington — the same team they ousted last year in Tuscaloosa to become national champions — 67-52 in a matchup hosted by the University of Illinois Urbana-Champaign. UA's Bailey Moody was selected as tournament MVP.



University of Alabama System®

Individually Distinct. Altogether Stronger.

Annual Information Technology (IT) Plan 2025-2026



MISSION

The University of Alabama System, an institution of higher education created for learning, exists to improve the lives of everyone we affect through the teaching, research and service rendered by our component campuses and health system.

VALUES

Integrity

Accountability

Leadership

Diversity, Inclusion &
Respect

VISION

We will be the preeminent public system of higher education and health care in the United States.



The University of Alabama System Fiscal Year 2025-26 IT Projects

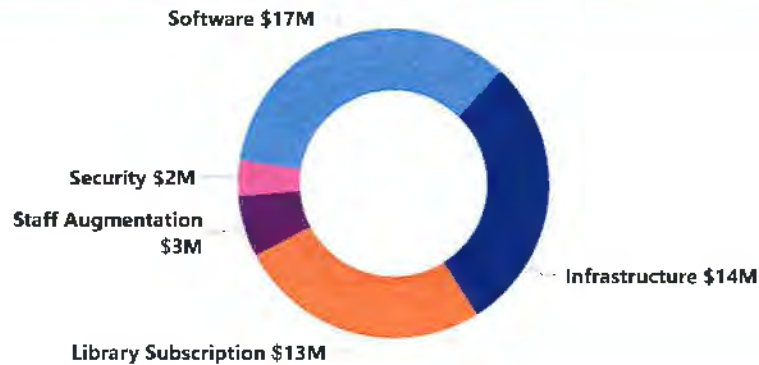
Project Breakdown by Status

Project Status	UA	UAB	UAH	UABHS	UA System	Project Count	FY 2025-26 Cost	% of Total
New	\$14,423,000	\$10,456,813	\$10,357,910	\$86,520,129	\$1,450,000	108	\$123,207,852	20.8%
Ongoing	\$35,689,513	\$51,665,546	\$3,540,900	\$376,452,347	\$853,940	223	\$468,202,246	79.2%
Total	\$50,112,513	\$62,122,359	\$13,898,810	\$462,972,476	\$2,303,940	331	\$591,410,098	100.0%

Project Breakdown by Category

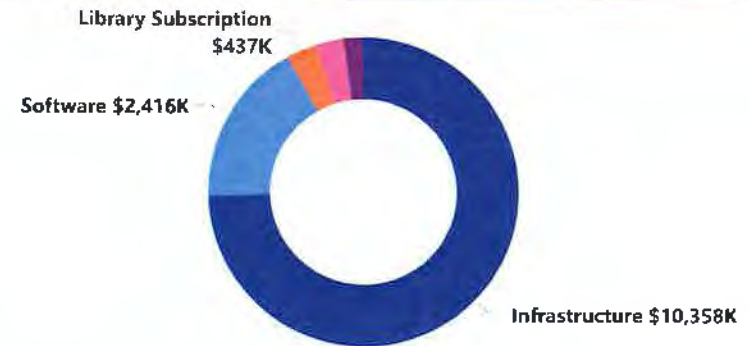
Project Category	UA	UAB	UAH	UABHS	UA System	Project Count	FY 2025-26 Cost	% of Total
E-Learning		\$4,218,756				5	\$4,218,756	0.7%
Hardware				\$4,538,696		5	\$4,538,696	0.8%
Infrastructure	\$14,480,000	\$9,923,116	\$10,357,910	\$94,275,913	\$1,850,000	107	\$130,886,939	22.1%
Library Subscription	\$13,151,534	\$15,650,000	\$436,701			23	\$29,238,235	4.9%
Patient Monitoring				\$370,200		2	\$370,200	0.1%
Security	\$1,900,000	\$3,290,759	\$405,326	\$11,713,155	\$453,940	30	\$17,763,180	3.0%
Software	\$17,346,979	\$27,569,729	\$2,416,412	\$345,049,459		132	\$392,382,578	66.3%
Staff Augmentation	\$3,234,000	\$1,470,000	\$282,461	\$5,593,408		26	\$10,579,869	1.8%
Telemed				\$1,431,645		1	\$1,431,645	0.2%
Total	\$50,112,513	\$62,122,359	\$13,898,810	\$462,972,476	\$2,303,940	331	\$591,410,098	100.0%

UA FY 2025-26 Cost by Category



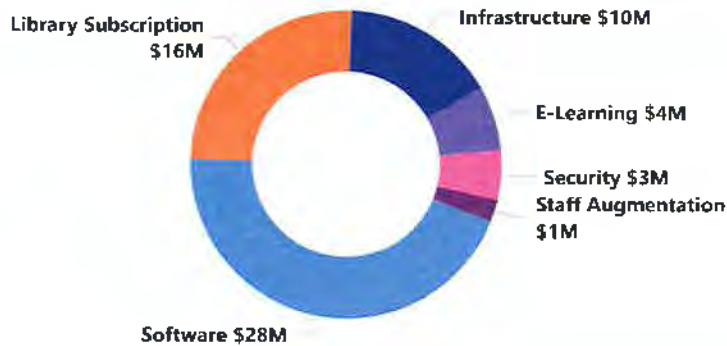
Category	Project Count	FY 2025-2026 Cost
Infrastructure	10	\$14,480,000
Library Subscription	6	\$13,151,534
Security	4	\$1,900,000
Software	29	\$17,346,979
Staff Augmentation	7	\$3,234,000
Total	56	\$50,112,513

UAH FY 2025-26 Cost by Category



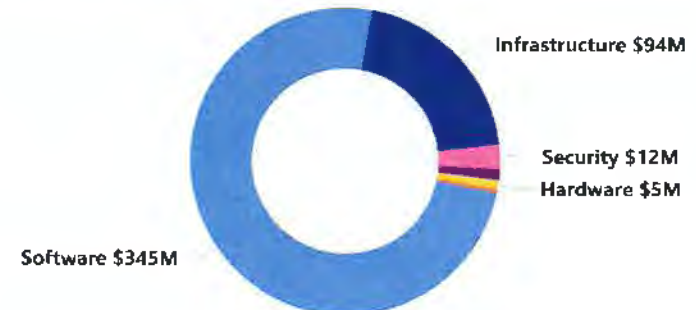
Category	Project Count	FY 2025-2026 Cost
Infrastructure	5	\$10,357,910
Library Subscription	1	\$436,701
Security	1	\$405,326
Software	3	\$2,416,412
Staff Augmentation	1	\$282,461
Total	11	\$13,898,810

UAB FY 2025-26 Cost by Category



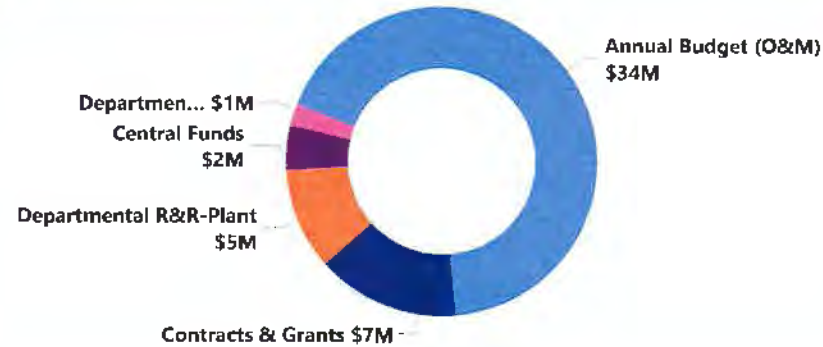
Category	Project Count	FY 2025-2026 Cost
E-Learning	5	\$4,218,756
Infrastructure	11	\$9,990,632
Library Subscription	16	\$15,650,000
Security	5	\$3,290,759
Software	33	\$27,637,910
Staff Augmentation	3	\$1,470,000
Total	73	\$62,258,056

UABHS FY 2025-26 Cost by Category



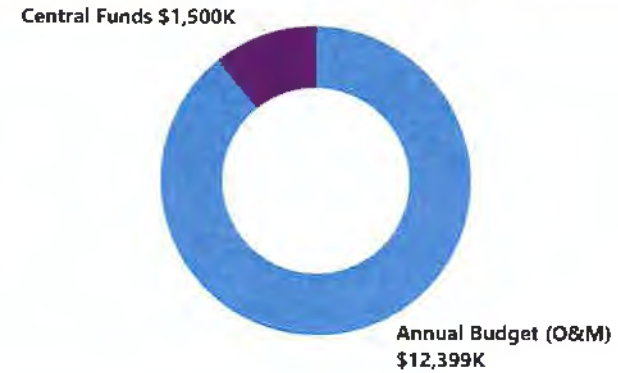
Category	Project Count	FY 2025-2026 Cost
Hardware	5	\$4,538,696
Infrastructure	78	\$94,275,913
Patient Monitoring	2	\$370,200
Security	19	\$11,713,155
Software	71	\$345,049,459
Staff Augmentation	15	\$5,593,408
Telemed	1	\$1,431,645
Total	191	\$462,972,476

UA FY 2025-26 Cost by Funding Source



Funding Source	Project Count	FY 2025-2026 Cost
Departmental R&R-Plant	5	\$5,339,260
Department of Defense Contract	1	\$1,200,000
Contracts & Grants	2	\$7,400,000
Central Funds	3	\$2,325,000
Annual Budget (O&M)	45	\$33,848,253
Total	56	\$50,112,513

UA FY 2025-26 Cost by Funding Source



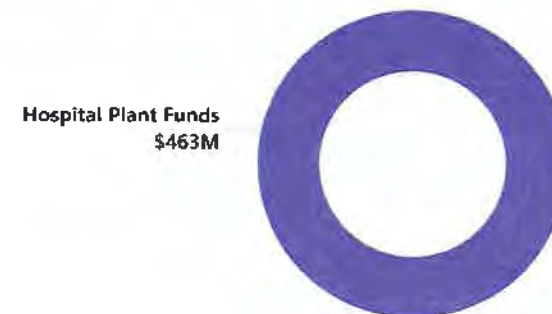
Funding Source	Project Count	FY 2025-2026 Cost
Annual Budget (O&M)	10	\$12,398,810
Central Funds	1	\$1,500,000
Total	11	\$13,898,810

UAB FY 2025-26 Cost by Funding Source



Funding Source	Project Count	FY 2025-2026 Cost
Annual Budget (O&M)	54	\$49,522,574
Central Funds	13	\$11,484,202
Contracts & Grants	1	\$185,500
Departmental R&R-Plant	5	\$1,065,780
Total	73	\$62,258,056

UABHS FY 2025-26 Cost by Funding Source



Funding Source	Project Count	FY 2025-2026 Cost
Hospital Plant Funds	191	\$462,972,476
Total	191	\$462,972,476



**Annual Information Technology (IT) Plan
2025-2026**

Project ID AITP45-UA		Campus UA	Project Status New
Project Name Cloud Enterprise Resource Planning (ERP) System Implementation Staff Augmentation			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Central Funds	Total Project Cost \$2,000,000	FY Cost \$1,000,000	
Project Type Implementation		Category Staff Augmentation	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This plan item is for staff augmentation to support the implementation of a new Cloud ERP system. The staff augmentation component is designed to address one-off needs or additional requirements that may arise during the project. This flexible approach allows us to scale our resources as needed, ensuring that we can respond promptly to any unforeseen challenges or opportunities. UA will submit a separate Board package for approval once the Cloud ERP solution has been identified.			

Project ID AITP46-UA		Campus UA	Project Status New
Project Name Concur			
University Unit Procurement Services			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,455,000	FY Cost \$450,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Concur Solutions is a software that electronically processes purchasing cards and travel expenses, direct vendor payments, and cash advances. The contract will be negotiated for the three-year period 1/1/2026-12/31/2028. Therefore, the costs presented are our best estimation.			

Project ID AITP54-UA		Campus UA	Project Status New
Project Name CrowdStrike Services and Retainer			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,500,000	FY Cost \$450,000	
Project Type Subscription and Prof Svcs		Category Security	
Campus Strategic Directions Security & Risk			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description UA uses CrowdStrike for endpoint detection and response on servers and critical workstations. This includes 24/7 monitoring. The CrowdStrike incident retainer allows UA to have priority response from CrowdStrike should UA have a cyber incident that requires outside expertise. This new three-year contract is for the period 9/1/2025 - 8/31/2028.			

Project ID AITP2-UA		Campus UA	Project Status New
Project Name Data Loss Prevention (DLP) Tool			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,800,000	FY Cost \$650,000	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Security & Risk			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Data loss prevention (DLP) tools use data classification labels and tags, content inspection techniques, and contextual analysis to identify sensitive content and analyze actions related to the use of that content. They then monitor data activity and evaluate the appropriateness of attempted actions against a predefined DLP policy that details acceptable uses, in specific contexts, of particular content types or classification labels. This process prevents the unauthorized exfiltration of protected data by users and malicious actors. Our cybersecurity insurance broker has indicated that DLP implementation will be a focus of the cyber insurance renewal process. The total project costs include implementation services.			

Project ID AITP47-UA		Campus UA	Project Status New
Project Name Data Management & Analytics - Data Lakehouse			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,500,000	FY Cost \$1,500,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project is to develop a supplemental data lakehouse to allow UA to make data-driven decisions. This project includes both software/service costs for the hosted lakehouse as well as professional services for OIT and Data Governance teams. Costs are currently estimated as we are still awaiting final quotes and will provide more accurate projections in future years. A data lakehouse is a hybrid data management architecture that combines the flexibility and scalability of a data lake with the structured querying and management capabilities of a data warehouse. It aims to provide a unified platform for storing, processing, and analyzing both structured and unstructured data at scale.			

Project ID AITP50-UA		Campus UA	Project Status New
Project Name DocuSign Electronic Document Signature and Management			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,000,000	FY Cost \$334,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description DocuSign is the University's e-signature tool. It is used by Procurement Services to execute contracts and in many other areas of the University. This project is a three-year renewal for the term 1/27/2026 - 1/26/2029. This agreement has not been negotiated yet; therefore, the project costs presented are our best estimation.			

Project ID AITP55-UA		Campus UA	Project Status New
Project Name Ellucian Managed Services			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$275,000	FY Cost \$275,000	
Project Type Contract Renewal - Other		Category Staff Augmentation	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description UA uses Ellucian Managed Services to assist with the support of Banner HR and Finance.			

Project ID AITP3-UA		Campus UA	Project Status New
Project Name Email Security and Marketing			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,300,000	FY Cost \$800,000	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Security & Risk			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Email scams and phishing have increased in recent years, presenting ever-growing attack variety and sophistication. The success rate of these attacks is high, and the amount of effort required is relatively low compared to other attacks that rely on vulnerabilities in infrastructure or networks. To address this deficiency, we plan to incorporate API-based (Application Programming Interface-based) email security solutions to work in conjunction with the Microsoft platform, including centralized email marketing. The total project costs include implementation services.			

Project ID AITP58-UA		Campus UA	Project Status New
Project Name Enterprise Data Center Planning			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,000,000	FY Cost \$500,000	
Project Type Hardware, Equipment or System - New		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This project is for consultation services for the relocation of UA's enterprise data center located in Gordon Palmer Hall to the new HPC (High Performance Computing) facility that is scheduled to open in Fall 2026. The HPC facility was planned and space was reserved for the relocation of UA's enterprise data center. This is consulting and planning services only. A separate board package will be submitted once the project is defined and a timeline is established.			

Project ID AITP57-UA		Campus UA	Project Status New
Project Name Integration Platform			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,000,000	FY Cost \$1,000,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description As UA moves to a cloud-based ERP, all the existing on-premise integrations to Banner and other systems will need to be reimplemented. Moving to a modern integration platform will simplify the development and support of these integrations. Implementation decisions, and therefore budget decisions, will differ depending on what ERP is selected, so this budget will be refined as the ERP project progresses. This plan item includes subscription services and implementation and consulting services.			

Project ID AITP5-UA		Campus UA	Project Status New
Project Name Inventory Management/Backoffice/POS System			
University Unit University Supply Store			
Year Project Started 2026	Length of Project 10 Years	FY Stage of Project Year 1	
Funding Source Departmental R&R-Plant	Total Project Cost \$5,000,000	FY Cost \$2,800,000	
Project Type Hardware, Equipment or System - Upgrade		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project will replace the University Supply Store's current point-of-sale (POS), back office, inventory management, and E-commerce software platforms. The new software will be completely integrated. Once the latest software platforms are installed, new desktop units, (POS) registers, pin pads and additional hardware will be required. The new software platform will provide additional professional services/support to assist with historical data transfer from the legacy program. Professional services are included in the total project costs. This project will require an RFP. The large year 1 cost is due to the perpetual+maintenance purchase model of current platforms/software. The perpetual license and cost is a one-time payment for permanent access and the maintenance agreement is an annually recurring cost.			

Project ID AITP59-UA		Campus UA	Project Status New
Project Name Panopto Lecture Capture System			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$750,000	FY Cost \$250,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Panopto is UA's lecture capture system. Panopto allows instructors to record lectures and automatically upload them to the Course Videos area of a Blackboard course. This project is for the three-year renewal term 8/31/2025-8/30/2028.			

Project ID AITP8-UA		Campus UA	Project Status New
Project Name Sponsored Projects Suite			
University Unit Office for Research & Economic Development			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Contracts & Grants	Total Project Cost \$6,512,000	FY Cost \$2,100,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Research			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project includes purchasing the Huron Research Suite SaaS product, including annual subscription fees and professional services to assist with process improvement, testing, training, implementation, deployment, and support. This comprehensive suite includes replacing existing UA systems used to manage sponsored projects and the University's conflict of interest policy and process. The new, modernized suite includes: sponsored projects and agreements, IRB (human subjects), Conflicts of Interest, IACUC (animal safety), animal operations, biosafety, effort reporting, and data analytics. Implementing a full suite will improve operations and provide faculty with transparency and electronic workflow throughout the sponsored life cycle. Total project costs include subscription costs with professional services. The Huron Research Suite product is used by UAB as well.			

Project ID AITP53-UA		Campus UA	Project Status New
Project Name VMware Migration Hardware and Professional Services			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Departmental R&R-Plant	Total Project Cost \$2,500,000	FY Cost \$1,500,000	
Project Type Hardware, Equipment or System - Replacement		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description UA uses VMware to provide virtualization hosting, efficiently utilizing data center resources. Over 80% of UA's non-HPC computing is virtualized with VMware products. After Broadcom acquired VMware, the costs for VMware services and subscriptions have steadily increased. Broadcom has shown no signs of cost stabilization, and UA needs to begin serious explorations of alternatives for data center infrastructure hosting services.			

Project ID AITP52-UA		Campus UA	Project Status New
Project Name Wide Area Network Fiber Optic Circuits			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 10 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$7,000,000	FY Cost \$480,000	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This project is for wide-area network fiber optic circuits provided by Uniti Fiber. Annual renewals terminate under this bid contract on 6/15/2026. This is the renewal of the services effective 6/16/2026 and is our best estimation based on the data available at this time.			

Project ID AITP56-UA		Campus UA	Project Status New
Project Name X-ISS			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,000,000	FY Cost \$334,000	
Project Type Subscription and Prof Svcs		Category Staff Augmentation	
Campus Strategic Directions Research			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description X-ISS is the managed services provider for UA's critical high-performance computing (HPC) platforms. It is provisioned through Dell. X-ISS provides 24/7 monitoring and management of the clusters, serves as the first line of trouble ticket resolution, and escalates to vendors and OIT for emergencies and replacement components. OIT currently pays for X-ISS on two clusters. The plan item is for a three-year agreement and reflects the continued annual growth of those clusters with new nodes and storage.			

Project ID AITP9-UA		Campus UA	Project Status Ongoing
Project Name Adobe			
University Unit Office of Information Technology			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,600,000	FY Cost \$1,200,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Adobe Enterprise Term Licensing Agreement (ETLA) provides Adobe Creative Cloud to all faculty, staff, and students. The annual fees are based on faculty, staff, and student headcount. The plan item is for the ETLA covering the three-year period 8/29/2022 - 8/28/2025. The ETLA includes licensing for both UA and UAB. This line item only reflects UA's portion of the contract. Campuses are currently negotiating a new ETLA for the three-year period 8/29/2025 - 8/28/2028. The amounts included are our best estimation. The ETLA includes licensing for both UA and UAB. UAH was contacted but elected to execute a separate agreement because of the timing difference of subscriptions compared to what they needed.			

Project ID AITP10-UA		Campus UA	Project Status Ongoing
Project Name Benefitfocus			
University Unit Human Resources			
Year Project Started 2021	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,050,000	FY Cost \$185,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Benefitfocus is The University of Alabama System's benefits administration platform and portal for UA, UAB, and UAH. The contract was negotiated for the entire System, but this line item only reflects UA's administrative fee portion of the contract. The contract term is 58 months, beginning 10/1/2021 through 7/31/2026. The annual contract cost will vary based on the number of employees eligible for benefits, assuming a 2% increase per year. This item will be up for renewal with a start date of 8/1/2026.			

Project ID AITP11-UA		Campus UA	Project Status Ongoing
Project Name Blackbaud CRM (Customer Relationship Management)			
University Unit Division of Advancement			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,200,000	FY Cost \$247,000	
Project Type Software - Renewal		Category Software	
Campus Strategic Directions Community & Collaboration			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Blackbaud CRM is UA's fundraising platform and database. Originally purchased in 2014, this contract is for maintenance and support. The five-year contract term is 4/15/2023 - 4/14/2028.			

Project ID AITP12-UA		Campus UA	Project Status Ongoing
Project Name Blackboard Learn			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,130,000	FY Cost \$625,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Blackboard Learn is UA's online learning management system (LMS) hosted by Blackboard. The current contract is for the five-year period 10/1/2023 - 9/30/2028. The contract includes subscription and managed hosting.			

Project ID AITP13-UA		Campus UA	Project Status Ongoing
Project Name Box Cloud Storage			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,500,000	FY Cost \$500,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Box is a cloud storage and file-sharing platform currently used by the campus. This new contract is still being negotiated, so the amounts provided are our best estimation. The new contract term will be 8/1/2025 - 7/31/2028.			

Project ID AITP14-UA		Campus UA	Project Status Ongoing
Project Name Bryant Museum Film Scanning			
University Unit Museums, College of Arts & Sciences			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$525,000	FY Cost \$75,000	
Project Type Implementation		Category Staff Augmentation	
Campus Strategic Directions Community & Collaboration			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Bryant Museum houses over 10,000 analog film assets of historic UA athletic events (primarily football). This project engages Catapult to digitize these films, then catalog and store them in a managed cloud vault. The project is about 75% completed for this initial phase. Per discussion with John Abbott, Chief Curator, this project only covers about one-third of the collection. Funding will need to be identified to complete the remaining two-thirds of the collection.			

Project ID AITP15-UA		Campus UA	Project Status Ongoing
Project Name Cisco Enterprise Agreement for Cisco DNA (Digital Networking Architecture) Wireless Suite			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Departmental R&R-Plant	Total Project Cost \$975,780	FY Cost \$325,260	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Cisco Enterprise Agreement for Cisco DNA Wireless Suite provides the Aironet DNA Advantage license and AP subscriptions needed for each wireless access point. This agreement also includes DNA Spaces used by UA Space Management. The EA provides subscription coverage for all access points. This subscription term is 3/1/2024 - 2/28/2027.			

Project ID AITP16-UA		Campus UA	Project Status Ongoing
Project Name Cisco Enterprise Agreement for Webex Calling for Education			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,075,000	FY Cost \$340,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Cisco Enterprise Agreement for Webex Calling provides enterprise end-user licensing and Voice-Over-Internet-Protocol (VOIP) services. UA has more than 11,000 devices on its telecommunications network. Webex Calling provides functionality such as one-to-one calls, conferencing, voicemail, attendant consoles, softphones, and numerous other standard business telecommunication functions. This contract is for the five-year period 6/1/2024-5/31/2029. Professional services for the migration to the cloud are included in the total project costs.			

Project ID AITP17-UA		Campus UA	Project Status Ongoing
Project Name CISCO Identity Services Engine (ISE)			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Departmental R&R-Plant	Total Project Cost \$893,000	FY Cost \$214,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Cisco Identity Services Engine (ISE) is a network access control (NAC) solution that manages endpoint, user, and device access to network resources. This network architecture solution provides better control over access to the network, enhances security, and enforces compliance. This plan item includes a 36-month subscription for the term 2/15/2024-2/14/2027 and implementation services.			

Project ID AITP18-UA		Campus UA	Project Status Ongoing
Project Name Clarivate			
University Unit University Libraries			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,573,745	FY Cost \$320,820	
Project Type Subscription - Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Clarivate license covers the products Web of Science, BIOSIS Previews, and JCR - Journal and Highly Cited Data. University Libraries also subscribe to a separate text and data mining API. Clarivate is a publisher of bibliographic indices and journal citation rates and focuses on scientific and academic research. The length of the license agreement maximizes savings and provides the lowest rate of inflation increases. The prices for the entire period of the license agreement are negotiated and are in effect for the duration of the agreement. Clarivate is the sole publisher for the bibliographic indices and journal citation data. The materials are hosted by Clarivate and provided through IP access on the University Libraries website. The content within Clarivate is highly used by UA faculty and students.			

Project ID AITP19-UA		Campus UA	Project Status Ongoing
Project Name Cloud Enterprise Resource Planning (ERP) System Readiness			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Central Funds	Total Project Cost \$1,600,000	FY Cost \$1,000,000	
Project Type Implementation		Category Staff Augmentation	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description UA published an RFI for a cloud-based ERP system in 2023. UA's current ERP system is hosted on-premises and has been in place for approximately 20 years. The RFI helped educate UA stakeholders about moving to a next-generation cloud-based ERP system. This project will engage professional services to help lead UA through the current and future state process design to prepare for an eventual move to cloud ERP. Services may also be engaged for process design work and additional readiness activities to prepare for the selected Cloud ERP solution. UA will submit a separate Board package for approval once the Cloud ERP solution has been identified.			

Project ID AITP20-UA		Campus UA	Project Status Ongoing
Project Name Concur			
University Unit Procurement Services			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,455,000	FY Cost \$450,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Concur Solutions is software that electronically processes purchasing cards and travel expenses, direct vendor payments, and cash advances. The contract is for the three-year period 1/1/2023-12/31/2025. A new contract is being negotiated for the three-year period 1/1/2026-12/31/2028. Therefore, costs presented are our best estimation			

Project ID AITP49-UA		Campus UA	Project Status Ongoing
Project Name Continuation of Research Resource Framework Development			
University Unit Office for Research & Economic Development			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$545,000	FY Cost \$300,000	
Project Type Contract Renewal - Other		Category	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Ongoing work with Huron Consulting Group to develop an efficient and effective framework for research resource allocation may result in Phase 2 to include detailed operational planning and implementation support informed by metrics and leading industry practices.			

Project ID AITP21-UA		Campus UA	Project Status Ongoing
Project Name Cooperative Institute for Research to Operations in Hydrology (CIROH)			
University Unit Alabama Water Institute			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Contracts & Grants	Total Project Cost \$21,880,000	FY Cost \$5,300,000	
Project Type Subscription and Prof Svcs		Category Infrastructure	
Campus Strategic Directions Research			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Cloud and on-premise computing resources are needed to support the NOAA Cooperative Institute for Research to Operations in Hydrology (CIROH). Based on initial usage, projected needs for supporting funded research projects, and prior year purchases beginning in fall 2023, we anticipate continued annual purchases from three cloud service providers, i.e. Microsoft, Amazon, and Google, of up to \$1,000,000 each per year. Equipment purchases will be on a similar scale. Currently, awarded grant budgets account for \$980,000 of cloud and compute expenditures. This request will research the needs for data storage, access, and computational cycles. Additional professional services firms, such as Lynker Technologies LLC, 2i2c (International Interactive Computing Collaborative), etc., will be contracted for up to \$1 million each per year of work supporting software development and cloud computing. Currently funded and active contracts with Lynker and 2i2c total \$300k annually.			

Project ID AITP22-UA		Campus UA	Project Status Ongoing
Project Name Data Management & Analytics - HEPA			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Central Funds	Total Project Cost \$1,350,000	FY Cost \$325,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project will establish, expand, and operationalize the foundational governance structures, processes, and tools that improve the institution's data management and governance capabilities. This project will be accomplished by establishing enterprise-wide data governance structures to align data definitions, priorities, and reporting needs. As part of this effort, UA has procured and is developing a hosted data analytics solution called Higher Education Performance Analytics (HEPA) with the vendor to provide broader enterprise-level reporting capabilities in conjunction with data management and governance efforts. The project costs include professional services, a proof of concept, software/subscriptions, planning, and implementation. This project is being separated into two different projects now that we have more definition around the requirements. This was Phase I for the implementation of data governance and data dashboards (HEPA). Therefore, we are reducing the size and scope of this project as reported here. The Phase II for a data lakehouse is included in project AITP47-UA, Data Management & Analytics - Data Lakehouse.			

Project ID AITP51-UA		Campus UA	Project Status Ongoing
Project Name Disaster Recovery Colocation Services			
University Unit Office of Information Technology			
Year Project Started 2027	Length of Project 5 Years	FY Stage of Project Ongoing	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,000,000	FY Cost \$0	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This plan item is for the Lunavi colocation facility and services in Atlanta, GA that is integral to UA's disaster recovery plan. Annual renewals terminate under this bid contract on 8/31/2026. This renewal of the facility and services is effective 9/1/2026 and is our best estimation based on data available at this time. Expenses will be incurred beginning in FY2027, but due to the time needed for negotiations and legal review of an new contract, UA needs Board approval in advance of June 2026 to ensure a timely renewal.			

Project ID AITP23-UA		Campus UA	Project Status Ongoing
Project Name EBSCO Information Services			
University Unit University Libraries			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$8,400,000	FY Cost \$8,400,000	
Project Type Subscription - Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description EBSCO is the leading provider of research databases, e-journal and e-package subscription management, book collection development and acquisition management, and a major provider of library technology, e-books and clinical decision solutions for universities, colleges, hospitals, corporations, government, K12 schools and public libraries worldwide. Through our close business relationship with EBSCO, we acquire the bulk of all journals and monographs that support the teaching and research mission of the University of Alabama. We use a variety of purchasing methodologies with EBSCO, including demand-driven acquisitions that have proven to be most efficient and effective for the purchase of academic e-books. EBSCO partners with The University of Alabama to improve discovery and accessibility to journals, e-books, and databases. EBSCO is a publisher of some of the content while also serving as a vendor to handle payment, access, and usage monitoring for several of our key resources. The total amount paid to EBSCO each fiscal year includes fees for EBSCO published content, plus all of the payments for resources from other publishers that are passed through EBSCO in order to take advantage of the value-added services that help support an R1 institution. EBSCO is recognized throughout the nation and beyond as a leading partner with academic libraries.			

Project ID AITP24-UA		Campus UA	Project Status Ongoing
Project Name Ellucian Banner Software Maintenance & Subscription Services			
University Unit Office of Information Technology			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,085,181	FY Cost \$504,294	
Project Type License Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This is the maintenance and support agreement for UA's ERP system, Banner. The term is 10/1/2024 to 9/30/2028. Banner includes the student information system, finance systems, and HR systems, which are comprised of over 15 components and subscription services. UA purchased Banner in March 2002.			

Project ID AITP25-UA		Campus UA	Project Status Ongoing
Project Name Elsevier			
University Unit University Libraries			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Annual Budget (O&M)	Total Project Cost \$10,200,799	FY Cost \$2,147,780	
Project Type Subscription - Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The ScienceDirect license is an ongoing subscription to scholarly journals and eBooks. Elsevier is the sole source publisher of ScienceDirect content and the sole source provider of this information. The length of the ScienceDirect license agreement maximizes savings and provides the lowest rate of inflation increases. The prices for the entire period of the license agreement are negotiated and are in effect for the duration of the agreement. The materials are hosted by Elsevier and provided through IP access on the University Libraries website. ScienceDirect provides access to peer-reviewed articles, and UA faculty and students use the content frequently. In addition, Elsevier is the sole source of seven other databases related to STEM materials, such as chemistry data points, engineering materials, citation indexing, and eBook materials.			

Project ID AITP4-UA		Campus UA	Project Status Ongoing
Project Name Financial Performance Management Platform			
University Unit Office of Finance			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,671,083	FY Cost \$436,425	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description OneStream is a corporate performance management (CPM) platform. UA will use OneStream to replace several systems that are used for budgeting and financial planning, financial close, reporting and analytics, and annual financial statement production. This unified platform will allow for agile planning, insightful analytics, and increased efficiency, with access to the entirety of the platform's capabilities on day one. The subscription agreement includes support, security and compliance, release upgrades, and training. Professional services for implementation are included in the Year 1 cost. The agreement includes a single-tenant cloud environment that scales with usage with no vendor involvement or additional costs.			

Project ID AITP26-UA		Campus UA	Project Status Ongoing
Project Name Gartner			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$250,000	FY Cost \$250,000	
Project Type Subscription - Renewal		Category Staff Augmentation	
Campus Strategic Directions Security & Risk			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Gartner provides technology research and professional services. Their subscription offers information and data regarding IT trends and new and emerging technologies, helping the IT organization make informed decisions. The prior year's plan contemplated a two-year contract, but we only executed a one-year agreement. This is the annual renewal.			

Project ID AITP27-UA		Campus UA	Project Status Ongoing
Project Name Global Water Security Center IT Infrastructure			
University Unit Alabama Water Institute			
Year Project Started 2022	Length of Project 8 Years	FY Stage of Project Year 5	
Funding Source Department of Defense Contract	Total Project Cost \$12,000,000	FY Cost \$1,200,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Research			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Global Water Security Center (GWSC) is an applied research/operational center commissioned with investigating science applications that would enable analysis of environmental (in)security regarding the water, food, energy, and health nexus by understanding the complex economic value of water and demographic dynamics. The Center will maintain full awareness of DoD, Intelligence Community, government, academic, and commercial research and development activities applicable to the GWSC mission and will pursue appropriate science for evaluation, integration, and successful research to operations transition. GWSC's purpose is to provide decision-makers with the most reliable information, ground-breaking research, applied scientific techniques, and best practices so that the hydrologic cycle and its potential impacts can be put in a context for appropriate action and response by the United States. GWSC will use state-of-the-art cloud services, such as Amazon Web Services. This contract will enable the Center to maintain a critical common operating picture framework and data services operating 24/7 to facilitate data exchanges for UA faculty/students and government officials/diplomats. Additionally, the cloud will ensure continuity of operations and permit next-generation Artificial Intelligence/Machine learning techniques. The total project includes a professional services agreement to Atmospheric and Environmental Research (AER) totaling \$6,000,000 for developing a data/analytics platform and scientific services. The cloud services requirements for the project will total \$2,300,000. The project also expects \$100,000 - \$300,000 per year in cloud contracts. Multiple software subscriptions of \$160,000 annually are required to deliver visualization and analytic capabilities. The project will be funded through a Department of Defense contract. GWSC received a five-year cooperative agreement that was signed with UA in September 2024. The agreement is valid through September 2029.			

Project ID AITP28-UA		Campus UA	Project Status Ongoing
Project Name High-Performance Computing Colocation and Cloud Services			
University Unit Office of Information Technology			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Departmental R&R-Plant	Total Project Cost \$2,500,000	FY Cost \$500,000	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions Research			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The demand for research computing resources continues to grow at a rapid pace. High-Performance Computing (HPC) environments require additional power and cooling that are not typically provided in a standard data center. OIT has relocated the UA HPC environment from the Gordon Palmer Hall data center to DC BLOX, a colocation facility in Birmingham. This facility has ample cooling and power to accommodate the current HPC environment and provides scalability for future growth. In addition to utilizing the DC BLOX facility, OIT continues to explore appropriate use cases for HPC cloud services. Certain projects will require cloud services to meet research computing requirements. Educating OIT resources and researchers on HPC cloud services is important to this initiative. This project will fund annual hosting and/or cloud services to allow OIT to continue to support research computing growth. This budget line is for ongoing colocation services, cloud hosting services, cloud usage fees, training, and professional services. The HPC clusters and equipment located in this colocation facility will migrate to the new HPC Center when it opens in 2026.			

Project ID AITP29-UA		Campus UA	Project Status Ongoing
Project Name Identity & Access Management Program			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,725,000	FY Cost \$1,300,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description UA uses at least three different identity providers (LDAP, On-premise Active Directory, and Azure AD), and the fragmented nature of identity has forced UA to adopt many automation processes and workarounds to make certain that critical identity issues are addressed in a timely and accurate manner. UA has been working to build an Identity and Access Management Program (IAM) that consolidates and streamlines identity processes/services under one team. The total project costs include the purchase of an IAM system along with professional service fees for the planning and implementation.			

Project ID AITP6-UA		Campus UA	Project Status Ongoing
Project Name Managed Detection & Response Services			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$750,000	FY Cost \$300,000	
Project Type Contract Renewal - Other		Category Security	
Campus Strategic Directions Security & Risk			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This three-year contract with TekStream Solutions provides managed detection and response (MDR) services. MDR provides remotely delivered security operations center (SOC) functions. These services allow organizations to rapidly detect, analyze, investigate and actively respond through threat disruption and containment. The term of the services agreement is 7/1/2024 - 6/30/2027.			

Project ID AITP30-UA		Campus UA	Project Status Ongoing
Project Name Managed Residential Wireless Network Services (Xfinity)			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,000,000	FY Cost \$1,200,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Internet services for UA's residential community are provided by Comcast Cable Communications Management, LLC.			

Project ID AITP31-UA		Campus UA	Project Status Ongoing
Project Name Metallic Backup Solutions			
University Unit Office of Information Technology			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,125,000	FY Cost \$700,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Metallic is a subscription-based cloud backup solution for UA's Microsoft 365, virtual machine, and database environments. This solution provides data protection and security for critical systems to ensure business and academic continuity. The renewal contract is for a three-year term ending June 2028.			

Project ID AITP32-UA		Campus UA	Project Status Ongoing
Project Name Microsoft			
University Unit Office of Information Technology			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,600,000	FY Cost \$1,200,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Microsoft Enrollment for Education Solutions (EES) is an enterprise subscription agreement by which most Microsoft products are licensed. The agreement licenses all faculty, staff, and students for the Microsoft 365 Suite of products and services, Windows operating system, and client access licenses needed to access servers and production environments for services such as email and directories. This entry is a three-year renewal subscription for the period 5/1/2025 - 4/30/2028. The agreement is based on faculty and staff headcount.			

Project ID AITP7-UA		Campus UA	Project Status Ongoing
Project Name Open Supervised Device Protocol (OSDP) Implementation Project			
University Unit Security Technologies			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$4,000,000	FY Cost \$1,000,000	
Project Type Equipment and System Upgrade		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description UA has planned all new construction projects to be compatible with Open Supervised Device Protocol (OSDP), the new standard for access control systems. OSDP offers several benefits over the outdated Wiegand protocol, such as: •Advanced security features, including AES-128 encryption and message authentication, to protect against cyber threats and meet ransomware insurance requirements. •Standardized protocol, supported by many access control manufacturers, to ensure interoperability between different devices and systems and avoid vendor lock-in. •Bidirectional communication enables remote device configuration, firmware updates, and real-time monitoring, improving system performance and reducing maintenance costs. •Future-proof design, allowing compatibility with emerging technologies and integrating new features, including cybersecurity updates. To fully switch to OSDP, UA must upgrade its entire access control network. This will include replacing and enclosing micro control panels in each building and installing OSDP-capable readers. The contract consists of installation services costing approximately \$1.1M.			

Project ID AITP33-UA		Campus UA	Project Status Ongoing
Project Name Oracle			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,900,000	FY Cost \$1,100,000	
Project Type Software - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This contract is for technical support services and maintenance for enterprise Oracle licensing for the term 7/1/2024-6/30/2027. Oracle provides the database suite of products used in conjunction with the University's ERP system, Banner, an Ellucian product. Also included in the total project cost is the purchase of additional licensing, as UA has reached its licensing threshold. Oracle licensing is based on an unduplicated total faculty, staff, and student headcount. UA will seek renewal for this item for the term of 7/1/2027 - 6/30/2030.			

Project ID AITP34-UA		Campus UA	Project Status Ongoing
Project Name PageUp People			
University Unit Human Resources			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,300,000	FY Cost \$270,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description PageUp People is UA's talent management system. The system is used for recruitment marketing, applicant tracing and management, and position description management. The current contract is for the five-year period 1/31/2024-1/30/2029.			

Project ID AITP35-UA		Campus UA	Project Status Ongoing
Project Name Procore			
University Unit Construction Administration			
Year Project Started 2023	Length of Project 10 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$4,000,000	FY Cost \$400,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Procore is a cloud-based platform that allows Construction Administration to house construction project documents, track correspondence, and create management reports from one place. It creates efficiencies for Construction Administration by allowing the University's project managers, architects, engineers, and contractors access to real-time project information from start to finish. In addition, Procore can interact with software systems that currently support Construction Administration; therefore, Construction Administration will be able to further interface with data from Banner, K2/Everest, and AIM, providing analytical possibilities. Procore also, within its framework, engages with DocuSign. This creates efficiencies to enhance its use as a digital approval tool within business operations. Additionally, Procore offers connections to secondary tools that the University's regular employees use to monitor project impacts, such as weather, job site activity (Multi-Vista), and schedule performance. Finally, Procore can interface with Microsoft products, including MS Teams, to ensure that all project stakeholders can be connected no matter where they are or what device they are using. All project stakeholders can leverage Procore to collaborate and track critical project initiatives while ensuring active communication with a single click.			

Project ID AITP36-UA		Campus UA	Project Status Ongoing
Project Name Sage Publications			
University Unit University Libraries			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,290,403	FY Cost \$252,881	
Project Type Subscription - Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description University Libraries currently provide access to approximately 1,200 journal titles and ebooks from Sage. The breadth and depth of coverage are vast, with titles spanning many disciplines. Sage Journals provides access to these titles with coverage dating back to 1999. Subjects include history, political science, education, business and management, health, sociology, and psychological sciences. These journals are often specialized, providing innovative information for scholarship, research and teaching. The Sage Knowledge EBA project concluded in FY2024 due to collection saturation, and therefore, the total cost of the contract here is lower than what was reported in the FY2025 IT plan. Collection saturation refers to a state where a collection has reached a point where adding new items provides diminishing returns in terms of meeting user needs or enhancing the collection's value.			

Project ID AITP37-UA		Campus UA	Project Status Ongoing
Project Name Splunk			
University Unit Office of Information Technology			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,500,000	FY Cost \$500,000	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions Security & Risk			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description This plan item is a three-year renewal contract for a Splunk Enterprise subscription. The term is for the period 2/1/2025 - 1/30/2028. Splunk Enterprise allows the IT Security team to search, analyze, and visualize machine-generated data from any source. This software provides critical insights into threats, vulnerabilities, security technologies, and identity information. Splunk is also used with the UA's security operations center (SOC), which provides 24/7 monitoring for cybersecurity-related matters.			

Project ID AITP38-UA		Campus UA	Project Status Ongoing
Project Name Springer Nature			
University Unit University Libraries			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,883,928	FY Cost \$1,294,202	
Project Type Subscription - New		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Springer Nature license covers electronic journal subscriptions, books, book archives, and materials. Springer Nature is the sole publisher of the journals and books in the package. Springer Nature journals and e-books are highly used by students and faculty. We see usage that indicates the e-books are being used in classes. Our faculty publish in these journals. This online library provides access to peer-reviewed research for all UA faculty and students. The materials are hosted by Springer Nature and provided through IP access on the University Libraries website.			

Project ID AITP39-UA		Campus UA	Project Status Ongoing
Project Name Technolutions - Slate CRM (Customer Relationship Management)			
University Unit Enrollment Management, Graduate School, OTIDE, and Capstone Center for Student Success			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,130,000	FY Cost \$231,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description Slate is a comprehensive CRM system that houses all data on prospective students, such as communications, interactions, and applications. The platform integrates all areas of Enrollment Management related to the student admission life cycle, from marketing campaigns with prospective students to the application and scholarship process for admitted students. In addition to Enrollment Management, the Graduate School, OTIDE, and Capstone Center for Student Success utilize Slate. Slate was initially purchased in 2018. The contract term is 7/01/2023 - 6/30/2028.			

Project ID AITP41-UA		Campus UA	Project Status Ongoing
Project Name VMware Licensing			
University Unit Office of Information Technology			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,800,000	FY Cost \$360,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description VMware provides virtualization and cloud computing software, efficiently utilizing computer hardware. Over 80% of UA's non-HPC computing is virtualized with VMware products. The five-year agreement is for the term 4/28/2025 - 4/27/2030.			

Project ID AITP42-UA		Campus UA	Project Status Ongoing
Project Name Wiley Online Library			
University Unit University Libraries			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,644,641	FY Cost \$735,851	
Project Type Subscription - Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Wiley Online Library is an ongoing subscription of scholarly journals, reference works, and databases negotiated through the Network of Alabama Academic Libraries for the University of Alabama. Wiley is the sole source provider of this information. The Wiley Online Library journal package includes the following: online journals, backfiles of journals, online reference works, and specialized journal databases such as AnthroSource, the main database for Anthropology. Wiley is the sole source publisher of journals, reference works, and books in this package. The online library includes over 1,600 journals, 225 reference works, and over 22,000 online books. These span a range of subject areas. This online library provides access to peer-reviewed research for all UA faculty and students. The materials are hosted by Wiley and provided through IP access on the University Libraries website.			



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Project ID AITP1-UAB		Campus UAB	Project Status New
Project Name Advancement CRM - Salesforce			
University Unit Advancement			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$6,100,000	FY Cost \$2,599,477	
Project Type Implementation		Category Software	
Campus Strategic Directions Community engagement			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description UAB Advancement is looking at replacement solutions for Ellucian CRM Advance, our primary Constituent Relationship Manager (CRM), which is integral to the University's fundraising and engagement mission. UAB Advancement has been utilizing the Ellucian CRM Advance and ETHOS tools since 2018. In 2022, UAB Advancement came to realize that after numerous conversations and promises at various levels with Ellucian, which had multiple ownership/leadership changes between 2014 and 2024, the capabilities of ETHOS and Ellucian's ability to enter into a Business Associates Agreement (BAA) with UAB were at a stalemate. At this time, UAB began inquiring to others in the Advancement industry regarding new solutions and products on the market that could meet the needs not met by our current solution, in addition to not losing any existing functionality. During that time, UAB found there was an opportunity to explore solutions that were embedded in the Salesforce ecosystem. With Salesforce already having multiple solutions deployed at UAB, we started inquiring about the different solutions that were available. To continue our due diligence, UAB Advancement worked with a third-party consultant, Huron, to perform a full technology assessment, both looking at processes and technology solutions. This engagement included interviews with those within Advancement and institution-wide, including our campus partners from UAB Information Technology, UAB Health System Information Technology, the Provost, University Relations, Human Resources, and Financial Affairs. Within this assessment, we asked Huron to provide any estimated cost prospectus should their recommendation be for UAB to move forward with a new Advancement solution. They agreed within their assessment that a Salesforce solution would better meet and exceed the needs of UAB Advancement and provided estimated costs for both the product and implementation. UAB Advancement has entered into an agreement with Affinaquest Advancement RM, utilizing Salesforce as our next CRM solution. We are progressing with evaluating and securing implementation partner(s) based on their experience and expertise with Affinaquest and industry best practices. UAB Advancement is working closely with UAB Financial Affairs and UAB Purchasing on the proper procurement steps for these reviews. This project estimate includes both one-time consulting fees and recurring product costs.			



Project ID AITP63-UAB			Campus UAB			Project Status New		
Project Name Igniting Data Insights								
University Unit Information Technology								
Year Project Started 2025			Length of Project 3 Years			FY Stage of Project Year 1		
Funding Source Central Funds			Total Project Cost \$4,500,000			FY Cost \$2,500,000		
Project Type Subscription and Prof Svcs					Category Software			
Campus Strategic Directions Education								
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.								
Project Description The estimated annual spending is still being developed at the time of this submission. The project is funded for \$4.5M through FY2027. Spending may vary per year, but it should not exceed the funding amount. University leaders struggle with accurate and timely data access, leading to a lack of trust in data and slowed decision-making. Due to UAB's data silos, data management across campus is inconsistent and not clearly understood at the institutional level. To remain competitive, UAB needs to adapt its data environment by developing a more comprehensive data governance and management approach to frameworks, resources, and tools to effectively utilize data to impact outcomes. This initiative will focus on providing trustworthy, accurate, and timely information to leaders and support efforts to improve student recruitment and success, grow research, and increase donations.								



Project ID AITP42-UAB		Campus UAB	Project Status New
Project Name Network Replacement – Building level			
University Unit Information Technology			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,500,000	FY Cost \$1,670,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Elevate the status, stature and influence of the University of Alabama System so that we can call on all people devoted to the University of Alabama, UAB, UAH, and the UAB Health System to unite for common purposes.			
Project Description Building-level networking now provides support for both data and voice. This includes access to emergency services, instructional devices, administrative functionality, and research support. This equipment averages over 9 years old and no longer has vendor support. This project will replace the existing equipment with supported models possessing expanded capabilities. The extra capabilities will be necessary for future Wi-Fi deployments and to meet Research and Instructional demands. This is a continuous project that will be worked on until it is completely replaced. This year represents the first year of an escalated effort to address deferred maintenance issues.			

Project ID AITP7-UAB		Campus UAB	Project Status New
Project Name Sunflower			
University Unit Financial Affairs			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Central Funds	Total Project Cost \$426,000	FY Cost \$242,000	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Sunflower software manages UAB assets. UAB will need to do a true-up for Sunflower this year and switch to a higher licensing level (\$750 million - \$2 B) due to an increase in the value of assets managed. A \$150,000 one-time true-up cost will be paid in FY25, with an increase in the annual support costs from previous years to \$92,000.			



Project ID AITP8-UAB		Campus UAB	Project Status New
Project Name Touchnet (Bill Payment Suite)			
University Unit Financial Affairs			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Central Funds	Total Project Cost \$615,304	FY Cost \$413,968	
Project Type Software Upgrade		Category Security	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description UAB is evaluating another TouchNet product, Bill Payment Suite, which presents a better account management interface for students and parents, also presents opportunities to streamline several business processes like refunds, deposits, payment plans, etc. The mobile version of the product is included in the suite of products which will let staff and students access this product via phones or tablets. The first year requires buying licenses, while the second and third years are only support costs.			



Project ID AITP70-UAB		Campus UAB	Project Status New
Project Name Upgraded Mobile Radio Communication			
University Unit UAB Police and Public Safety			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,347,538	FY Cost \$1,101,568	
Project Type Hardware, Equipment or System - New		Category Security	
Campus Strategic Directions Community & Collaboration			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This UAB Police Department project upgrades Motorola hand radios to radios with the ability to send text messages and photos within the department and to external law enforcement agencies. This enhancement will provide a similar experience as a cell phone and will enable the police department to communicate with external police departments and security officers.			



Project ID AITP71-UAB		Campus UAB	Project Status New
Project Name Upgrade/Overhaul of In House RF Paging System			
University Unit UAB Police and Public Safety/Radio Paging Department			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,965,225	FY Cost \$1,229,800	
Project Type Hardware, Equipment or System - New		Category Security	
Campus Strategic Directions Community & Collaboration			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This UAB Radio Paging Department project replaces the obsolete, no longer vendor supported, in-house RF Paging System with a new system that meets current technology standards for secure, encrypted message delivery. Integrated software controls for system maintenance and monitoring, and enhanced message delivery for the critical communications messages sent over these systems.			



Project ID AITP10-UAB		Campus UAB	Project Status Ongoing
Project Name Adam Matthew Digital LTD			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,500,000	FY Cost \$600,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Adam Matthews provides subscriptions to primary source digital collections that support the education, scholarship, and research of UAB students, faculty, staff, and researchers. Adam Matthews is a key partner in providing digital information from global archives that provide undiscovered and underrepresented historical collections. Adam Matthews collections provide research materials on gender studies, American history and consumer culture, Asian history, African history, African American history, Indigenous histories, medical services, and warfare, etc.			



Project ID AITP11-UAB		Campus UAB	Project Status Ongoing
Project Name Adobe Creative Campus			
University Unit Information Technology			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,700,000	FY Cost \$997,974	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Adobe Creative Cloud suite of tools enhances students' learning experience by helping them develop digital literacy skills, which are critical to their success in the job market. With this contract, UAB was also able to terminate the contract with DocuSign. Providing the Adobe suite of creative tools free to students will play a crucial role in meeting our goals and principles by providing students innovative course and research offerings and hands-on learning with tools that are in high demand in today's workforce. UAB and UA currently negotiating a new ETLA (Enterprise Term License Agreement) for the three-year period 8/29/2025 - 8/28/2028. UAH was contacted but elected to execute a separate agreement because of the timing difference of subscriptions compared to what they needed.			

Project ID AITP12-UAB		Campus UAB	Project Status Ongoing
Project Name American Chemical Society			
University Unit Provost			
Year Project Started 2022	Length of Project 4 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,000,000	FY Cost \$500,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The American Chemical Society provides subscriptions to journal articles, books, book chapters, and databases that support the education, scholarship, and research of UAB students, faculty, staff, and researchers. The American Chemical Society is recognized as a leading publisher of authoritative scientific information and is one of the largest scientific organizations in the world. Over 80 journals published by the American Chemical Society are ranked as the “most trusted, most cited and most read.” Library vendors will raise prices on an annual basis for a variety of reasons, including inflation and their increasing costs to produce and provide the resource, or to obtain funds to improve and keep up with the latest technology for preserving, digitizing, and providing the library resource, etc. Another factor that impacts library resource costs is the merging or consolidation of library resource vendors through mergers and corporate acquisitions. We negotiate to the best of our ability to limit, hold constant, or reduce the annual increase. Resource usage is generally not a factor that impacts pricing; however, increases or changes to the University student FTE are factors that our vendors take into consideration.			



Project ID AITP13-UAB		Campus UAB	Project Status Ongoing
Project Name Anthology (was Campus Labs name change)			
University Unit Provost			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$842,710	FY Cost \$246,939	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description This software is used for the assessment and accreditation process for the entire UAB Campus. UAB has been in an agreement with Anthology/Campus Labs/IDEA for the last 8+ years. For FY25 Provost will pay \$154,230 and Student Affairs will pay \$92,709.18			

Project ID AITP14-UAB		Campus UAB	Project Status Ongoing
Project Name Apogee Telecom			
University Unit Student Affairs			
Year Project Started 2019	Length of Project 10 Years	FY Stage of Project Year 7	
Funding Source Annual Budget (O&M)	Total Project Cost \$13,070,564	FY Cost \$1,177,689	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description UAB gains administrative and financial benefits by combining two separate contracts (with Apogee) into one agreement, utilizing the ACCS (Alabama Community College System) Joint Purchasing Agreement 2019 competitive award as the base terms and conditions while negotiating enhanced pricing benefits for current and future volume. UAB is in year seven of a 10-year contract that duplicates network capability but does not provide the residence halls with the 100 Gbps speed of the UAB wired network. This provides residential networking (resNet), Wi-Fi, and Cable Television Management services for UAB Residence Halls.			



Project ID AITP15-UAB		Campus UAB	Project Status Ongoing
Project Name Ascend Learning Holdings LLC / Assessment Technologies Institute (ATI)			
University Unit School of Nursing			
Year Project Started 2021	Length of Project Year 4	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,737,234	FY Cost \$866,219	
Project Type Contract Renewal - Other		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Ascend Learning Holdings LLC / Assessment Technologies Institute, LLC services/products prepare students to take the NCLEX (Nursing Board Certification) by providing content tutorials, case studies, and quiz banks focused on NCLEX exam preparation as well as a live course review. The contract was renegotiated in 2025, decreasing the cost per cohort.			



Project ID AITP16-UAB		Campus UAB	Project Status Ongoing
Project Name Axiom Budgeting Software (Kaufman Hall)			
University Unit Financial Affairs/IT			
Year Project Started 2023	Length of Project 4 Years	FY Stage of Project Year 3	
Funding Source Central Funds	Total Project Cost \$2,040,000	FY Cost \$420,240	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Strata's (Formerly Kaufman Hall, formerly Syntellis) Axiom Budgeting software for Higher Education incorporates best-practice budget methodologies to support efficient, accurate, and transparent budget development. Axiom Budgeting helps college and university leaders streamline the budgeting process and collaborate across departments, functions, and schools. This expense is for the renewal of Axiom Financial Software – Reporting and Analytics Suite, Planning Suite, Revenue and Costing Analytics Suite, and Enrollment Planning for Higher Education.			



Project ID AITP66-UAB		Campus UAB	Project Status Ongoing
Project Name BenefitFocus			
University Unit HR			
Year Project Started 2021	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,592,000	FY Cost \$518,400	
Project Type Contract Renewal - Other		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Benefitfocus is The University of Alabama System's benefits administration platform and portal for UA, UAB, and UAH. The contract was negotiated for the entire System, but this line item only reflects UAB's administrative fee portion of the contract. The contract term is 58 months, beginning 10/1/2021 through 7/31/2026. The annual contract cost will vary based on the number of employees eligible for benefits, assuming a 2% increase per year. This item will be up for renewal with a start date of 8/1/2026.			



Project ID AITP18-UAB			Campus UAB			Project Status Ongoing		
Project Name Box								
University Unit Information Technology								
Year Project Started 2024			Length of Project 4 Years			FY Stage of Project Year 2		
Funding Source Annual Budget (O&M)			Total Project Cost \$931,250			FY Cost \$186,250		
Project Type Contract Renewal					Category Infrastructure			
Campus Strategic Directions Education								
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.								
Project Description Box allows faculty, staff, and researchers to collaborate easily and store files in the cloud for work and research needs. The system allows the files to be easily shared with outside users, such as other universities and researchers partnering on a project. In a changing environment of cloud providers putting limits on storage, UAB secured a four-year deal. This resource is key to meeting UAB's ambitious research and academic goals.								



Project ID AITP19-UAB		Campus UAB	Project Status Ongoing
Project Name C2 Technology / MGT Impact Solutions / Howard Technologies / Blue Plains Technology			
University Unit Information Technology			
Year Project Started 2021	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,700,000	FY Cost \$850,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This provides for the installation of physical networking cables and fiber for UAB's campus, hospital, and clinics. These partners augment our existing workforce due to a surge in new building construction and remodeling. Hiring permanent employees to address a temporary surge would not be financially prudent. The physical network installation is a core business system for the campus and an essential component of daily operations. It is generally applied to new building construction and remodels. All identified vendors provide services through the ACCS contract. Work under this line will be performed as a pass-through charge to other departments or projects, such as construction and renovation. The total work process is estimated at \$850K based on historical averages and adjusted for financial factors.			



Project ID AITP20-UAB		Campus UAB	Project Status Ongoing
Project Name Cengage Learning Inc (Gale)			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$4,000,000	FY Cost \$1,000,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Cengage Learning (Gale) provides subscriptions to books, primary source materials and information databases that support education, scholarship, and research of UAB students, faculty, staff, and researchers. Cengage Learning provides access to full-text article databases and unique resource documents covering multidisciplinary topics such as civil rights, women's history, disabilities, business, health, etc.			



Project ID AITP21-UAB		Campus UAB	Project Status Ongoing
Project Name Citrix-Cloud Virtual Desktop			
University Unit Information Technology			
Year Project Started 2020	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Annual Budget (O&M)	Total Project Cost \$956,690	FY Cost \$240,503	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Virtual desktop licenses to assist in remote work for the campus. The initial purchase was funded from COVID-19 Relief Funds. The current agreement was renewed in FY 23 and is for 3 years. The total project cost includes previous agreements.			



Project ID AITP22-UAB		Campus UAB	Project Status Ongoing
Project Name Classroom Refresh			
University Unit Information Technology			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Central Funds	Total Project Cost \$11,428,510	FY Cost \$2,285,702	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description These costs allow us to maintain the classroom technology standard for 166 classrooms on campus. These classrooms are on a five-year refresh schedule and include Provost- and school-managed classrooms. The current classroom standard consists of a lectern, all-in-one touch screen computer, projector(s), projection screen(s)/surfaces(s), speakers, microphones, document camera, external inputs (HDML, Wireless), lecture capture, and assistive listening devices. This request is the estimated cost to refresh all of the technology in 1/5 of the classrooms. We will consider the current standard, the technology in the specific classrooms, and current costs to determine the cost more accurately each year.			



Project ID AITP23-UAB		Campus UAB	Project Status Ongoing
Project Name Cloud-For-Good			
University Unit University Relations			
Year Project Started 2019	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Central Funds	Total Project Cost \$7,500,000	FY Cost \$1,500,000	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Increased competition for students and the rise of digital connectivity in all sectors are requiring universities to engage in business practices and digital strategies similar to those of Fortune 500 companies. To compete in a hyper-competitive education and health market, it is imperative that our communications and processes engage in digital transformation. Implementing Salesforce Marketing Cloud & CRM (Customer Relationship Management) helps promote the UAB strategic plan by: •Reducing duplication of communication and marketing tools across the university, optimizing resources for better tools, and increasing cost savings university-wide. •Significantly increasing internal and external stakeholder engagement by establishing a centralized CRM (Customer Relationship Management) for the university, leading to more strategic communications and a 360-degree view of stakeholders. •Significantly impacting enrollment across the board by implementing a dynamic online lead form embedded across all degree-related sites, capturing touchpoints into a central CRM (Customer Relationship Management). •Increasing student success and retention through a holistic view of the student in the central CRM (Customer Relationship Management). Cloud-for-Good helps UAB implement Salesforce Marketing Cloud & Salesforce CRM (Customer Relationship Management) as part of laying the groundwork for UAB's digital strategy. Cloud-for-Good is working in phases to implement CRM(Customer Relationship Management), first focusing on marketing and communications and then focusing on graduate, undergraduate, and online recruitment. Phase 3 will include student services, advising, one-stop student services, and the career center.			



Project ID AITP24-UAB		Campus UAB	Project Status Ongoing
Project Name Data Center services for hosting High Performance Computing for Research			
University Unit Information Technology			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,695,157	FY Cost \$853,475	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Research			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description In FY21, Research Computing received an Intel Innovation Award and Educational Trust Fund money to add infrastructure supporting COVID research. The infrastructure was deployed to DC Blox, a Birmingham data center, because we were already at capacity for our allocation of power and cooling in the campus data center facility. DC Blox was the only facility in the region with enough power, cooling, and space to host our systems. It allows us to continue to expand capacity to meet research demands. This budget item has been part of the Annual Budget (O&M) since FY23 as part of the HPC line item. Due to the cost amount, it is now listed as a separate line in this plan. The contract runs through to FY27, and a new agreement will be negotiated in FY28.			



Project ID AITP67-UAB		Campus UAB	Project Status Ongoing
Project Name Docebo, Inc.			
University Unit HR			
Year Project Started 2019	Length of Project 7 Years	FY Stage of Project Year 7	
Funding Source Annual Budget (O&M)	Total Project Cost \$910,848	FY Cost \$122,678	
Project Type Contract Renewal - Other		Category E-Learning	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Docebo provides an online learning management system to UAB faculty, staff, and students for training purposes, including new hire and annual compliance training.			



Project ID AITP25-UAB		Campus UAB	Project Status Ongoing
Project Name E- Builder			
University Unit Facilities			
Year Project Started 2017	Length of Project 9 Years	FY Stage of Project Year 9	
Funding Source Departmental R&R-Plant	Total Project Cost \$1,657,125	FY Cost \$246,599	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Innovation and economic development			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The Facilities Planning/Design/Construction department uses E-Builder to manage all construction projects. EB interacts with our contractors and architects and provides financial and project management services. E-Builder replaced an outdated " homegrown " system. We are replacing EB with the Procore system. We plan for FY26 to be our final year with EB as we complete the transition.			



Project ID AITP26-UAB		Campus UAB	Project Status Ongoing
Project Name EBSCO Master Library Database License			
University Unit Provost			
Year Project Started Ongoing	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,200,000	FY Cost \$2,000,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description EBSCO provides subscriptions, databases, and e-journal services to the University. EBSCO is the world's largest intermediary between libraries and publishers, helping to drive awareness of publisher content by providing an intuitive platform for direct access to information for end users. EBSCO partners with thousands of publishers and content providers to license hundreds of thousands of titles. Their content provides global reach, with tens of thousands of library customers and millions of users worldwide.			

Project ID AITP2-UAB		Campus UAB	Project Status Ongoing
Project Name Electronic Research Administration System - Huron			
University Unit Research			
Year Project Started 2024	Length of Project 4 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$23,725,972	FY Cost \$5,178,921	
Project Type Software Upgrade		Category Software	
Campus Strategic Directions Research			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description An Electronic Research Administration System (eRA) is integral to the UAB strategic initiative of increasing research expenditures to \$1B by 2030. The Office of Research will be implementing a new campus-wide system of record for all offices under the Vice President for Research and the faculty/staff who participate in all types of research, as well as other non-research programs. This new system will support electronic submission of all research related grant and contracts including clinical trial agreements, compliance forms and connect the operations of the Offices of the Institutional Review Board for Human Use, Institutional Animal Care and Use Committee, and Institutional Biosafety Committee, along with Office of Sponsored Programs, Material Transfer Office and the Office of Conflict of Interest Review Board. The overall objective of the system is to effectively provide a single electronic solution for the submission and administration of sponsored projects, human and animal protocol management, including all required regulatory and compliance requirements, and research animal facilities management, including census and billing. The new system will allow electronic management of multiple processes, workflows, and approvals that are critical for the daily operations of the UAB research community and the Office of Research units. This is an essential project in order to meet the \$1B goal of research grant funding by 2030. This cost includes running the current system and the new system transition. In 2023, the UAB Office of the President initiated the research strategic initiative, "Growth with a Purpose". The results of this initiative highlighted the need to invest in innovative, enterprise-wide technology solutions. One of the five priority areas identified in the report (https://sites.uab.edu/research1b/updates/) for immediate action was replacing the current eRA software solution. After a thorough examination of the two vendors identified as having the ability to meet UAB's diverse needs, Huron Research Suites (HRS) was recommended by the Project eRA Steering Committee to be UAB's next-generation eRA system.			



Project ID AITP27-UAB		Campus UAB	Project Status Ongoing
Project Name Ellucian			
University Unit Provost			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,322,153	FY Cost \$415,920	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Ellucian is a foundational software system that backs up UAB's BlazerNET portal, the system of record for grades, class registration, degree planning, and advising. This agreement provides continued maintenance and support for student ERP services, facilitating aspects including admission, enrollment, and financial aid.			



Project ID AITP28-UAB		Campus UAB	Project Status Ongoing
Project Name Elsevier			
University Unit Provost			
Year Project Started 2020	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,840,000	FY Cost \$3,800,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Elsevier provides access to published journal titles, book chapters, and handbook series to support the research and scholarly endeavors of all UAB students, faculty, staff, and researchers. The renewal of this product will continue to allow access to research journals, book chapters, handbooks, etc. This number is inclusive of UAB's portion of the UA system contract with Elsevier. This is an ongoing subscription that was renewed in 2021. The total project cost is the yearly cost multiplied by the years of the contract, not the total amount spent. UA System Journal package agreement runs through December 2026.			



Project ID AITP29-UAB		Campus UAB	Project Status Ongoing
Project Name Gartner			
University Unit Information Technology			
Year Project Started 2021	Length of Project Year 2	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,463,936	FY Cost \$742,058	
Project Type Existing Subscription		Category Staff Augmentation	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description Gartner is a subscription service that provides technical resources, best practices, and research articles for IT and campus. There are two service agreements, both of which are 3-year terms and run on different renewal cycles. These are combined service agreements. One agreement is from 9/01/24 to 8/31/27, and the other is from 8/1/2024 to 7/31/27. Both agreements fall under the master agreement, but have different fees and services that overlap time periods. Gartner is the industry-leading, world's largest consulting group that provides resources that are shared across IT and campus. UA, UAB, and UAB Healthcare use Gartner services.			

Project ID AITP30-UAB		Campus UAB	Project Status Ongoing
Project Name Guideway Healthcare Analytics			
University Unit HR			
Year Project Started 2019	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Central Funds	Total Project Cost \$3,427,800	FY Cost \$685,560	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Patient Care			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Guideway Healthcare Analytics provides predictive analytics to help employees reduce healthcare costs. This product provides support to employees as patients of UAB hospital by improving patient and provider experience and outcomes, improving efficiency and satisfaction to reduce healthcare costs. Due to COVID, the implementation of this service was delayed and Guideway did not bill for the first year. UAB plans to extend the agreement for an additional year with no increase in total contract amount.			



Project ID AITP31-UAB		Campus UAB	Project Status Ongoing
Project Name High Performance Computing Support for Researchers			
University Unit Information Technology			
Year Project Started 2016	Length of Project 10 Years	FY Stage of Project Year 10	
Funding Source Annual Budget (O&M)	Total Project Cost \$11,900,000	FY Cost \$1,700,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Research			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description UAB has made annual strategic investments in research computing (RC) services since 2016 to make computational resources available for its investigators. The results have been remarkable. From FY16 to FY25, the user base has grown from 18 users to 3089. The total awards supported by RC has grown from \$18.4 million (3.8%) to \$171 million (22%). In this time, we have greatly expanded the computing capacity (from 3,000 cores to nearly 11,000) and added over 200 GPUs, increased total storage capacity from 3Pb to 27Pb, added a private cloud and Kubernetes platforms, deployed over 5000 software packages, and automated many services. RC spans two data centers (Technology Innovation Center and DC Blox-Birmingham). The Return on Investment in FY24 (calculated as total awards supported by RC/RC annual budget) is 41:1. This project supports ongoing innovation and upgrades to Research Computing Systems that are critical for UAB's research.			



Project ID AITP33-UAB		Campus UAB	Project Status Ongoing
Project Name Instructure (f/k/a Canvas)			
University Unit Provost			
Year Project Started 2020	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,933,720	FY Cost \$437,825	
Project Type Contract Renewal		Category E-Learning	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Instructure is foundational to online learning initiatives, streamlines digital tools and content for a simpler, more connected learning management system, and is essential to successful remote teaching and learning. This platform allows schools to build a digital learning environment that meets the unique challenges faced by their institution. Canvas simplifies teaching, elevates learning and eliminates the headaches of supporting and growing traditional learning technologies. Canvas is made up of a powerful set of highly integrated learning products that allow institutions to maximize the functionality.			



Project ID AITP34-UAB		Campus UAB	Project Status Ongoing
Project Name Ithaka Harbors Inc			
University Unit Provost			
Year Project Started 2021	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,500,000	FY Cost \$400,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Ithaka provides unlimited full-text access to more than 12 million journal articles, books, images, and primary sources in 75 disciplines. It supports education, scholarship, and research of all UAB students, faculty, and staff.			



Project ID AITP35-UAB		Campus UAB	Project Status Ongoing
Project Name Kaltura			
University Unit Provost			
Year Project Started 2023	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$226,908	FY Cost \$238,253	
Project Type Contract Renewal		Category E-Learning	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description Kaltura is a lecture capture cloud platform for classrooms that enables hybrid teaching and learning. Kaltura is on a yearly renewal cycle.			



Project ID AITP62-UAB		Campus UAB	Project Status Ongoing
Project Name LifeTrac			
University Unit Hospital			
Year Project Started 2024	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Contracts & Grants	Total Project Cost \$1,485,000	FY Cost \$185,500	
Project Type Implementation		Category Software	
Campus Strategic Directions Patient Care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The upgrade of the Birmingham Regional Emergency Medical Services Lifetrac software allows the Alabama Trauma Communication Center to collect patient information from Emergency Management Services. The Alabama Trauma Communication Center Emergency Medical Services coordinates with other hospitals to determine the best location to transport/transfer the patient based on the patient's condition/issues and the status of selected resources at each participating hospital.			



Project ID AITP36-UAB		Campus UAB	Project Status Ongoing
Project Name Lyrasis Electronic License			
University Unit Provost			
Year Project Started 2021	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$7,500,000	FY Cost \$1,500,000	
Project Type License Renewal		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Lyrasis provides unlimited access to the full text of the journal titles in the PROJECT MUSE collection for educational, research, and personal use by the faculty, staff, students, alumni, library patrons, and other authorized users of the subscriber's campus/institutional network. Project MUSE is a unique collaboration between libraries and publishers providing 100 percent full-text, affordable, and user-friendly online access to 491 high-quality humanities and social sciences journals from 134 scholarly publishers. This is an estimate for 5 years. The cost of this subscription increases from year to year based on usage for the library.			



Project ID AITP37-UAB		Campus UAB	Project Status Ongoing
Project Name McGraw Hill Electronic License			
University Unit Provost			
Year Project Started 2021	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$11,000,000	FY Cost \$3,000,000	
Project Type License Renewal		Category E-Learning	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description McGraw-Hill is a multi-year license agreement for Library info database: Access physiotherapy, FRA Davis PT Collection, AccessPediatrics, AccessMedicine, AccessAnesthesiology, AccessEmergency Medicine, AccessSurgery, AccessCardiology, AccessNeurology, First Aid Test Prep eBooks and PA Easy. McGraw-Hill is a learning science company that provides customized educational content, software, and services for pre-K through postgraduate education. The company also provides reference and trade publications for the medical, business, and engineering professions.			

Project ID AITP38-UAB		Campus UAB	Project Status Ongoing
Project Name Measure Learning (was ProctorU)			
University Unit Provost			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,850,000	FY Cost \$420,000	
Project Type Contract Renewal		Category E-Learning	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description ProctorU, available and accessible through Canvas, is essential for monitoring exams in a remote teaching environment. ProctorU, through the learning management system, provides remote proctoring with software, webcams, and live person monitoring to ascertain whether an examinee is complying with the requirements during exams. ProctorU helps deter, detect, and prevent dishonest behavior to preserve the integrity and value of the institution.			



Project ID AITP39-UAB		Campus UAB	Project Status Ongoing
Project Name Microsoft			
University Unit Information Technology			
Year Project Started 2021	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,612,344	FY Cost \$2,272,701	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Microsoft provides campus-wide email, storage, and collaboration. This system is cloud-based, lowest-cost, and offers the most current product portfolio of the widely used Microsoft suite of software products. This tool is critical for on-site, remote, and hybrid work and is a component of digital strategy. This expense is for the renewal of software products from Microsoft, estimated Azure usage, and new Microsoft Unified Support.			

Project ID AITP40-UAB		Campus UAB	Project Status Ongoing
Project Name Multi-function Printer Management			
University Unit Financial Affairs			
Year Project Started 2020	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,750,000	FY Cost \$750,000	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project provides a campus-wide Multi-Function Printer Management Program based on a cost-per-click (CPC) print model, which includes the equipment, service, and toner. This model replaced a disparate, decentralized acquisition process. Previously, departments specified the equipment and service agreements, often under the influence of the vendors. Historically, UAB has utilized a process that failed to ensure efficiency or effectiveness, permitting departments to purchase or lease multi-function printers from multiple vendors. This line item represents Year 1 of a new 5-year project that continues sourcing copiers under a CPC print model.			

Project ID AITP41-UAB		Campus UAB	Project Status Ongoing
Project Name MyJove Corporation DBA Journal of Visualized Experiments			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,000,000	FY Cost \$200,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description MyJove provides subscriptions to peer-reviewed scientific journals that publish experimental methods in video format. These journals support the education, scholarship, and research of UAB students, faculty, staff, and researchers. MyJove is a leading publisher of science videos with over 18,000 videos of laboratory methods and science concepts. MyJove videos provide a view of intricate, cutting-edge experiments that support learning and research. MyJove videos enable users to obtain quick, in-depth comprehension of complex STEM subjects.			



Project ID AITP43-UAB		Campus UAB	Project Status Ongoing
Project Name Newsbank Inc-01			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,500,000	FY Cost \$300,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description NewsBank provides subscriptions to current and archival newspaper titles, newswires, business journals, government documents, etc. that support the education, scholarship, and research of UAB students, faculty, staff, and researchers. NewsBank's content is obtained through partnerships with leading news providers worldwide, and this content is unique. NewsBank provides authoritative digital editions of historical collections, as well as access to current content from around the world.			

Project ID AITP44-UAB		Campus UAB	Project Status Ongoing
Project Name Onbase Document Imaging System (Hyland Software)			
University Unit Financial Affairs/IT			
Year Project Started 2019	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Central Funds	Total Project Cost \$926,245	FY Cost \$185,249	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This is an ongoing project to replace an old, unsecured system (OptiDocs) with OnBase. OnBase will allow users to submit expenses, review expenses, and view human resource and financial documents in a secure location that can be easily accessed from anywhere. Financial Affairs is partnering with UAB IT to replace a system that is not only end-of-life but is also the most unsecured technology system on campus. The system allows users to view documents from their device of choice in any location, based on a secure environment. UAB has purchased Hyland OnBase which is the same product and vendor that UA is utilizing. This product was procured using the TCPN contract (a national purchasing cooperative network).			



Project ID AITP64-UAB		Campus UAB	Project Status Ongoing
Project Name OnCore - Clinical Trial Management System			
University Unit Research			
Year Project Started 2015	Length of Project 10 Years	FY Stage of Project Year 10	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,948,040	FY Cost \$521,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Research			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Oncore is the institutional-wide clinical trial management system software for all UAB industry-sponsored clinical trials, other clinical trials with UAB clinical billable services, and clinical trials with CCTS CRISP support services. Oncore has been in service enterprise-wide since 2015. UAB is in the last year of the current agreement with Advarra and a renewal will be negotiated for FY26. OnCore is an integral part of the FY25-26 Research Strategic Initiative.			

Project ID AITP45-UAB		Campus UAB	Project Status Ongoing
Project Name Oracle			
University Unit HR/Finance/IT			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,676,800	FY Cost \$1,836,617	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Oracle is the backbone for business at UAB, the foundational solution that is the system of record for financial affairs, pay management, human resources and other core business functions. This expense is for the renewal of Human Resource and Financial products from Oracle.			

Project ID AITP69-UAB		Campus UAB	Project Status Ongoing
Project Name Oracle America, Inc./Taleo			
University Unit HR			
Year Project Started 2018	Length of Project 8 Years	FY Stage of Project Year 8	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,296,848	FY Cost \$271,590	
Project Type Contract Renewal - Other		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Taleo is a core business system that allows Human Resources to have a comprehensive applicant tracking tool to administer the application and hiring process. Oracle Taleo provides the recruiting and onboarding platform.			



Project ID AITP46-UAB		Campus UAB	Project Status Ongoing
Project Name Ovid Technologies Inc.			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,000,000	FY Cost \$1,500,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Ovid Technologies provides subscriptions to databases, journal titles, book chapters and handbook series that support research and scholarly endeavors of all UAB students, faculty, staff, and researchers. It also provides educational content in the Health Sciences subject areas from undergraduate through post-graduate education.			

Project ID AITP47-UAB		Campus UAB	Project Status Ongoing
Project Name Oxford University Press			
University Unit Provost			
Year Project Started 2024	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$4,500,000	FY Cost \$250,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Oxford provides subscriptions to databases, journal titles, book chapters and handbook series that support research and scholarly endeavors of all UAB students, faculty, staff, and researchers. The renewal of this product will continue to provide the ability to access scholarly journals, handbooks, etc.			



Project ID AITP48-UAB		Campus UAB	Project Status Ongoing
Project Name Palo Alto Customer Firewall upgrade and maintenance			
University Unit Information Technology			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,750,000	FY Cost \$350,000	
Project Type Contract Renewal		Category Security	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Palo Alto automates our network defenses and provides intrusion detection, as well as a prevention system. Palo Alto offers firewall security for the campus, which allows UAB to architect the best security solutions to protect UAB data, research, and the personal information of all students, faculty, and employees.			

Project ID AITP49-UAB		Campus UAB	Project Status Ongoing
Project Name PreNax (f/k/a LM Information Delivery subscription management license)			
University Unit Provost			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$9,000,000	FY Cost \$500,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description LM Information Delivery Inc. provides a streamlined subscription management service for journals and e-books for UAB Libraries. LM Information Delivery is one of the world's leading subscription and information service providers. We support libraries and other organizations with a wide range of services that simplify the acquisition and management of subscriptions and other content. This is an estimate for 5 years. The cost of this subscription increases from year to year based on library usage.			

Project ID AITP3-UAB		Campus UAB	Project Status Ongoing
Project Name Procore project management system			
University Unit Facilities			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Departmental R&R-Plant	Total Project Cost \$1,252,258	FY Cost \$201,000	
Project Type Software - New		Category Software	
Campus Strategic Directions Innovation and Economic Development			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Procore is a construction project management system for estimating, contracting, managing, and closing construction and renovation projects across campus. This project involves migrating UAB's Planning, Design, and Construction Department from its existing system (E-Builder) to Procore - the most widely used construction software in the world. This software is already in use at UA and UAH, and we are utilizing a cooperative agreement (Carahsort) for the purchase. We will use Procore for a minimum of five years. The annual payment is calculated on the number and size of projects, so there will be variation year to year (currently using the estimate provided by Procore (\$172,855 x 5 years = \$864,275). Additional implementation costs are being incurred and make up the difference of the annual payment and the total project cost.			



Project ID AITP50-UAB		Campus UAB	Project Status Ongoing
Project Name ProQuest (Clarivate)			
University Unit Provost			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Annual Budget (O&M)	Total Project Cost \$6,800,000	FY Cost \$2,000,000	
Project Type Contract Renewal		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description UAB Libraries uses ProQuest as a vendor because they offer faculty, staff, students, and research users quality access to information that other companies do not have to offer based on subjects and subspecialties anywhere else in academia. These databases offer access to e-books, journals, other databases, and e-resources that users need to fulfill their research needs. ProQuest is a key partner for content holders of all types, preserving and enabling access to their rich and varied information. ProQuest curates content that matters to the advancement of knowledge, assembling an archive of billions of vetted, indexed documents.			



Project ID AITP51-UAB		Campus UAB	Project Status Ongoing
Project Name Salesforce CRM & Marketing Cloud software			
University Unit Provost/University Relations			
Year Project Started 2019	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Central Funds	Total Project Cost \$5,000,000	FY Cost \$1,500,000	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description Increased competition for students and the rise of digital connectivity in all sectors are requiring universities to engage in business practices and digital strategies similar to those of Fortune 500 companies. In order to compete in a hyper-competitive education and health market, it is imperative that our communications and processes engage in digital transformation. Implementing Salesforce Marketing Cloud & CRM (Customer Relationship Management) helps promote the UAB strategy plan by: •Reduced duplication of communication and marketing tools across the university, optimized resources for better tools, and increased cost savings university-wide. •Significantly increasing internal and external stakeholder engagement by establishing a centralized CRM (Customer Relationship Management) for the university, leading to more strategic communications and a 360-degree view of stakeholders. •Significantly impacting enrollment across the board by implementing a dynamic online lead form embedded across all degree-related sites, capturing touchpoints into a central CRM(Customer Relationship Management). •Helping increase student success and retention through a holistic view of the student in the central CRM(Customer Relationship Management). UAB utilizes many Salesforce products. Salesforce CRM (Customer Relationship Management) and Marketing Cloud tools are used across campus for constituent engagement and marketing.			

Project ID AITP52-UAB		Campus UAB	Project Status Ongoing
Project Name ServiceNow			
University Unit Information Technology			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,400,000	FY Cost \$350,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Work to lead a unified approach to improving education at every level in Alabama.			
Project Description ServiceNow is the IT system of record for IT service tickets, requests, and configuration management database (assets and relationships). It also handles financial planning, budgeting, and transparency for IT. The platform is also an entry point for all IT services. ServiceNow was instrumental during the COVID-19 pandemic by helping teams transform paper and outdated processes to allow for remote work. Departments have also utilized the platform as a real-time chat tool for contact with students, faculty, and staff. For FY 2026, we will pay \$350,000. We anticipate an increase in cost due to the Innovate Technology project, which will onboard distributed IT areas across campus into ServiceNow.			



Project ID AITP53-UAB			Campus UAB			Project Status Ongoing		
Project Name Siemens (technical services)								
University Unit Facilities								
Year Project Started 2020			Length of Project 5 Years			FY Stage of Project Year 5		
Funding Source Annual Budget (O&M)			Total Project Cost \$1,747,392			FY Cost \$320,000		
Project Type Contract Renewal					Category Staff Augmentation			
Campus Strategic Directions Education								
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.								
Project Description Siemens provides specialized consulting for facilities. Siemens service technician duties include system upgrades, report development, alarm management, troubleshooting, repairs, energy modifications, sequences/programming, and on-site training for the HVAC maintenance team.								

Project ID AITP72-UAB		Campus UAB	Project Status Ongoing
Project Name Southern Research - Deltek Costpoint			
University Unit Southern Research			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$907,100	FY Cost \$420,700	
Project Type Software - Upgrade		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The budget for upgrading Southern Research's on-premise, Deltek Costpoint ERP to a cloud-based version is designed to ensure a seamless transition while addressing both immediate and long-term business needs. The budget includes costs for software licensing, cloud subscription fees, and professional services for data migration, system configuration, and integration with existing tools. It also allocates resources for project management, user training, and post-implementation support to minimize disruptions and enhance adoption. Additional provisions are made for data cleanup, testing, and potential customizations to align the cloud ERP with our specific operational requirements. By transitioning to the cloud, we will reduce IT maintenance overhead, enhance system reliability, and position the organization for future scalability and compliance, making this investment essential for operational continuity and growth.			



Project ID AITP74-UAB			Campus UAB			Project Status Ongoing		
Project Name Southern Research - JAF Consulting								
University Unit Southern Research								
Year Project Started 2022			Length of Project 4 Years			FY Stage of Project Year 4		
Funding Source Annual Budget (O&M)			Total Project Cost \$565,402			FY Cost \$300,000		
Project Type Subscription and Prof Svcs					Category Staff Augmentation			
Campus Strategic Directions Research								
UA System Core Value Work to help lead a unified approach to improving the economy, opportunities, and comprehensive health care for all citizens of Alabama.								
Project Description JAF Consulting provides scientific validation and assessment services that support and strengthen pre-clinical operations in research. Their expertise helps organizations ensure data integrity, regulatory compliance, and study reliability by evaluating protocols, identifying gaps, and assessing risk across pre-clinical workflows. JAF Consulting is integrated into early-stage development processes to guide the design and execution of studies, audit data quality, and prepare documentation aligned with GLP (Good Laboratory Practice) standards. The goal is to optimize operational efficiency, reduce regulatory risk, and increase confidence in pre-clinical outcomes.								



Project ID AITP76-UAB			Campus UAB			Project Status Ongoing		
Project Name Southern Research - Microsoft								
University Unit Southern Research								
Year Project Started 2015			Length of Project 10 Years			FY Stage of Project Year 10		
Funding Source Annual Budget (O&M)			Total Project Cost \$844,726			FY Cost \$84,472		
Project Type Software - Renewal					Category Software			
Campus Strategic Directions Enterprise Architecture								
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.								
Project Description Microsoft provides organization-wide email, storage and collaboration. This cloud-based system has the lowest cost and offers the most current product portfolio of the widely used Microsoft suite of software products. This tool is critical for on-site, remote and hybrid work, and it is a component of digital strategy. This expense is for the renewal of software products from Microsoft, estimated Azure usage and new Microsoft Unified Support.								
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Project ID AITP73-UAB		Campus UAB	Project Status Ongoing
Project Name Southern Research - Provantis			
University Unit Southern Research			
Year Project Started 2015	Length of Project 10 Years	FY Stage of Project Year 10	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,090,000	FY Cost \$209,000	
Project Type Software - Renewal		Category Software	
Campus Strategic Directions Research			
UA System Core Value Work to help lead a unified approach to improving the economy, opportunities, and comprehensive health care for all citizens of Alabama.			
Project Description Provantis is a preclinical data management software that streamlines and automates data collection, analysis, and reporting in non-clinical research studies. It focuses on integrating Provantis into laboratory workflows to enhance efficiency, ensure regulatory compliance, and improve data accuracy. Key objectives include configuring study protocols, managing in-life and post-life data, and generating study reports. The software will support GLP (Good Laboratory Practice) standards while optimizing overall research operations.			



Project ID AITP54-UAB		Campus UAB	Project Status Ongoing
Project Name Springer Nature America Inc			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,000,000	FY Cost \$250,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Springer Nature provides access to peer-reviewed e-journals, books, book chapters and book series that support research and scholarly endeavors of all UAB students, faculty, staff, and researchers. The renewal of this product will continue to provide access to research journals, book chapters, handbooks, etc.			

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Project ID AITP55-UAB			Campus UAB			Project Status Ongoing		
Project Name Tableau data analytics and visualization								
University Unit Information Technology								
Year Project Started 2019			Length of Project 3 Years			FY Stage of Project Year 1		
Funding Source Annual Budget (O&M)			Total Project Cost \$675,090			FY Cost \$225,030		
Project Type Software - Renewal					Category Software			
Campus Strategic Directions Education								
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.								
Project Description Tableau, a software solution, provides analytics and data to assist with decision-making across campus. UAB has a three-year contract, with annual payments, for Tableau.								
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Project ID AITP56-UAB		Campus UAB	Project Status Ongoing
Project Name The Institute of Electrical and Electronics Engine (IEEE)			
University Unit Provost			
Year Project Started Ongoing	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,750,000	FY Cost \$250,000	
Project Type Existing Subscription		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The Institute of Electrical and Electronics Engineers provides subscriptions to information databases, journals, conference proceedings, and technical standards that support the education, scholarship, and research of UAB students, faculty, staff, and researchers. The Institute of Electrical and Electronics Engineers databases provide access to over 6 million documents, has an active portfolio of 1,144 standards with more than 1,018 more in development, and publishes more than 200 transactions, journals and magazines that support engineering students, education, professional development, engineering community, etc.			



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Project ID AITP57-UAB		Campus UAB	Project Status Ongoing
Project Name Touchnet			
University Unit Financial Affairs			
Year Project Started 2020	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Central Funds	Total Project Cost \$586,269	FY Cost \$195,423	
Project Type Contract Renewal		Category Security	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description UAB is currently using the TouchNet Cashiering, Payment Gateway, and Market Place suite of products to support student tuition payments, departmental deposits, and online web payments. A separate project is listed to add the TouchNet Bill Payment Suite.			
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Project ID AITP9-UAB		Campus UAB	Project Status Ongoing
Project Name UKG (Kronos) - Paid Time Off (PTO) accrual module			
University Unit HR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Central Funds	Total Project Cost \$2,105,400	FY Cost \$409,200	
Project Type Software Upgrade		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This expense includes the estimated yearly renewal of the license and support fees for the UKG Kronos system expansion, including the Paid Time Off (PTO) accrual module. This is a five-year contract. Year 1 expense also includes one-time project fees. This project is adding new functionality to the Kronos software that is already being utilized on campus.			
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Project ID AITP58-UAB		Campus UAB	Project Status Ongoing
Project Name UKG Kronos (Timekeeping)			
University Unit HR			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Central Funds	Total Project Cost \$3,775,150	FY Cost \$741,330	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Education			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Kronos delivers global workforce management and human capital management solutions that help organizations engage employees, control labor costs, minimize compliance risk, and improve productivity across the entire employee life cycle. This expense includes yearly renewal of the license and support fees of UKG Kronos system. Kronos was upgraded to UKG Dimensions Platform starting in 2023. Year 1 expense also includes one-time project fees. UAB plans to expand the usage to UAB employees in the near future, and estimated costs for these initiatives are included. The future roadmap also includes the implementation of Absence Management and FMLA Leave Management modules, and the costs of these initiatives are not known at this time.			



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Project ID AITP59-UAB		Campus UAB	Project Status Ongoing
Project Name Voice Over Internet Protocol (VOIP)			
University Unit Information Technology			
Year Project Started 2018	Length of Project 8 Years	FY Stage of Project Year 8	
Funding Source Annual Budget (O&M)	Total Project Cost \$6,100,000	FY Cost \$400,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Implementation is complete. This project is moving over to ongoing maintenance, adding \$400,000. The total cost of the project was \$5,700,000. The dollar amount resets to denote maintenance costs going forward. Maintenance costs are a combination of vendor licensing and E911 services.			
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Project ID AITP60-UAB		Campus UAB	Project Status Ongoing
Project Name WIFI Upgrades			
University Unit Information Technology			
Year Project Started 2020	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Departmental R&R-Plant	Total Project Cost \$2,000,000	FY Cost \$550,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The single most requested item from students has been to strengthen the coverage of Wi-Fi across campus, which is critical in an urban setting. There are 3,100 access points around campus — a far smaller than Auburn or the University of Alabama, which have 9,000 access points. This project is paramount to the security of our students and allows them to be connected and working towards graduation at all times. This project provides students, faculty, and staff with the ability to compute and work in any location on campus. WiFi is essential to working on campus, and it is considered an ongoing project because updates to the system must be made to maintain operations.			



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Project ID AATP61-UAB		Campus UAB	Project Status Ongoing
Project Name Wiley			
University Unit Provost			
Year Project Started 2022	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$5,000,000	FY Cost \$600,000	
Project Type Contract Renewal		Category Library Subscription	
Campus Strategic Directions Education			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The proposed agreement will support teaching and research activities of UAB students, faculty, staff, and researchers by providing a wide array of journals (1,600); supporting several disciplines, such as business, chemistry, computer science, life sciences, health sciences, arts & humanities, and social and behavioral sciences. Wiley provides access to full-text articles, the Cochrane Library, major reference books, and current protocols. All UAB students, faculty, staff, and researchers will have comprehensive access to the subscribed content on and off-campus.			

Project ID AITP65-UAB		Campus UAB	Project Status Ongoing
Project Name Zoom			
University Unit Information Technology			
Year Project Started 2022	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Central Funds	Total Project Cost \$1,137,000	FY Cost \$405,530	
Project Type Contract Renewal - Other		Category Software	
Campus Strategic Directions Aligns with UAB imperative for partnerships			
UA System Core Value Elevate the status, stature and influence of the University of Alabama System so that we can call on all people devoted to the University of Alabama, UAB, UAH, and the UAB Health System to unite for common purposes.			
Project Description Zoom is a co-authored agreement between UA and UAB. It is a cloud-based video conferencing platform used for meetings, webinars, and chat, allowing users to connect with video, audio, and screen sharing.			



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Project ID AITP239_UABHS		Campus UABHS	Project Status New
Project Name Cerner - Migration Services (Tech Support)			
University Unit Technical and Professional Services			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$250,000	FY Cost \$250,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is to purchase additional Cerner services for migrations and upgrades. It is essential to ensure a smooth, efficient, and secure transition of healthcare data and systems. Cerner provides expert support, system optimization, and data integrity assurance, reducing the risk of downtime and compliance issues. Their services help map legacy data, integrate with existing workflows. These services will help ensure Cerner's expertise minimizes disruptions, enhances patient care continuity, and ensures the new system is fully optimized from day one.			

Project ID AITP145_UABHS		Campus UABHS	Project Status New
Project Name Cloud Access Security Broker			
University Unit Information Security			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$6,000,000	FY Cost \$3,000,000	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description CASB (Cloud Access Security Broker) governs access to cloud resources and ensures security configuration across cloud tenants and resources.			

Project ID AITP202_UABHS		Campus UABHS	Project Status New
Project Name DC Blox - Synergy Enclosure Fully populated			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,320,000	FY Cost \$1,320,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The purchase of a fully loaded Synergy Enclosure is needed to accommodate growth and further system migrations moving forward. A fully loaded enclosure ensures a seamless, high-performance infrastructure with optimized compute, storage, and networking capabilities. Investing in a complete setup minimizes downtime and enhances overall system reliability, delivering immediate value and long-term operational benefits.			

Project ID AITP219_UABHS		Campus UABHS	Project Status New
Project Name DocTime (Ludi)			
University Unit Projects			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$825,600	FY Cost \$225,200	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description DocTime by Ludi is the tool utilized by UAB St. Vincents for several provider scheduling and payment functions including time and effort logging, shift management, expense, invoice and ad-hoc payment, and locum tenens. Some functions may be extended to other parts of UABME.			

Project ID AITP124_UABHS			Campus UABHS			Project Status New		
Project Name Epic - Ambient Listening								
University Unit EHR								
Year Project Started 2025			Length of Project 5 Years			FY Stage of Project Year 1		
Funding Source Hospital Plant Funds			Total Project Cost \$7,574,302			FY Cost \$500,000		
Project Type Implementation					Category Software			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Ambient listening uses AI tools to enhance efficiency of clinical documentation. Estimated pricing is based on UABH and UAB St. Vincent's volume pricing and broad adoption.								

Project ID AITP11_UABHS		Campus UABHS	Project Status New
Project Name Epic - Data Conversion and Archival			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$10,460,895	FY Cost \$5,289,265	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The data archival system will allow us to securely store and manage historical data from older systems that are being replaced, in an effort to preserve data long-term, ensure continued access, and comply with regulatory requirements. The scope of this contract includes UABH, HSF, UAB St. Vincent's, and UAB CEH systems. Heightened review in process.			

Project ID AITP131_UABHS		Campus UABHS	Project Status New
Project Name Epic - Data Innovations			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,132,131	FY Cost \$210,000	
Project Type Software - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Data Innovations integrates laboratory devices with the electronic medical record. UABH, UAB St. Vincents, Cooper Green and UAB Medical West will be utilizing Data Innovations to interface instruments to Epic. Heightened review process has been completed.			

Project ID AITP126_UABHS		Campus UABHS	Project Status New
Project Name Epic hosting fees			
University Unit EHR			
Year Project Started 2025	Length of Project 10 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$86,814,693	FY Cost \$3,067,488	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The recurring, annual hosting fees for the Epic electronic medial record system.			

Project ID AITP134_UABHS		Campus UABHS	Project Status New
Project Name Epic - First Databank			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,751,543	FY Cost \$350,309	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description First Databank is a service that provides drug database and medication decision support within the electronic Medical record. This product was selected as the solution for use within Epic. Contract includes UABH, UAHSF, UAB St Vincent's, and UAB CEH. Heightened review process has been completed.			

Project ID AITP129_UABHS		Campus UABHS	Project Status New
Project Name Epic - GE Centricity			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$2,290,890	FY Cost \$272,101	
Project Type Software - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description GE Centricity Perinatal software provides electronic fetal monitoring functionality. The contract includes UABH and UAB St. Vincents. The FY25-26 cost includes \$97,101 to add UAB Medical West to the contract. Heightened review in process.			

Project ID AITP123_UABHS		Campus UABHS	Project Status New
Project Name Epic - Generative AI			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,312,120	FY Cost \$454,200	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Epic Generative AI Suite is a set of generative AI features available in Epic's software. The proposal below includes a pricing model that supports utilization and broad adoption of the Epic Generative AI Suite across Your organization.			

Project ID AITP130_UABHS		Campus UABHS	Project Status New
Project Name Epic - Haemonetics SafeTrace			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$3,823,284	FY Cost \$489,296	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Safetrace transfusion management software provides a blood bank solution from testing to transfusion. The contract includes UABH and UAB St. Vincents. The FY25-26 cost includes \$289,296 to add UAB Medical West to the contract. Heightened review in process.			
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Project ID AITP140_UABHS		Campus UABHS	Project Status New
Project Name Epic - LRS License Software for Epic Printing			
University Unit EHR			
Year Project Started 2026	Length of Project 3 years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,300,000	FY Cost \$433,333	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description LRS Software for Epic Printing - Third party software			

Project ID AITP137_UABHS		Campus UABHS	Project Status New
Project Name Epic - Med West Mobile Devices for Rover (Epic)			
University Unit EHR			
Year Project Started 2026	Length of Project 1 year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$1,000,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Mobile devices (iPhone or android) for Epic Rover mobile solution - UAB Medical West			

Project ID AITP128_UABHS		Campus UABHS	Project Status New
Project Name Epic - Phillips Capsule			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$22,739,875	FY Cost \$1,857,286	
Project Type Software - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Phillips Capsule provides a medical device integration platform to connect medical devices to the Epic EMR. The contract includes UABH and UAB St. Vincents. The FY25-26 cost includes \$184,879 to add UAB Medical West to the contract. Heightened review in process.			

Project ID AITP127_UABHS			Campus UABHS			Project Status New		
Project Name Epic - Phillips ECG Software								
University Unit EHR								
Year Project Started 2025			Length of Project 5 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$1,500,000			FY Cost \$75,794		
Project Type Software - New					Category Software			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Phillips ECG provides Electrocardiogram management software to utilize for ECG interpretations. The contract includes UABH and UAB St. Vincents. Heightened review in process.								
393								

Project ID AITP138_UABHS		Campus UABHS	Project Status New
Project Name Epic - STV Mobile Devices for Rover (Epic)			
University Unit EHR			
Year Project Started 2026	Length of Project 1 year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$3,400,000	FY Cost \$3,400,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Mobile devices (iPhone or android) for Epic Rover mobile solution - UAB ST. Vincents			

Project ID AITP133_UABHS		Campus UABHS	Project Status New
Project Name Epic - Surescripts			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,188,361	FY Cost \$62,500	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Surescripts serves as an intermediary between electronic medical records and pharmacies to transmit electronic prescriptions and medication history information. Heightened review process completed.			

Project ID AITP139_UABHS		Campus UABHS	Project Status New
Project Name Epic - UABHS Mobile Devices for Rover (Epic)			
University Unit EHR			
Year Project Started 2026	Length of Project 1 year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,600,000	FY Cost \$2,600,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Mobile devices (iPhone or android) for Epic Rover mobile solution - UAB Health System			

Project ID AITP125_UABHS		Campus UABHS	Project Status New
Project Name Epic - VOIP for Clinical Communications			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,782,500	FY Cost \$556,500	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Epic VoIP is designed for optimized communication in healthcare settings. Like Secure Chat, Epic VoIP facilitates effective patient care amongst all staff by connecting users to the right people at the right time.			

Project ID AITP135_UABHS		Campus UABHS	Project Status New
Project Name Experian			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$13,355,850	FY Cost \$250,000	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Experian provides claims clearinghouse, real-time eligibility, referral authorization, address verification, admission notification, outpatient claims scrubber, propensity to pay and remote identity verification apps for the patient portal functions as a part of the Epic implementation. Pricing includes a base support fee plus volume based fees for transactional data. Heightened review process completed.			
398			

Project ID AITP16_UABHS		Campus UABHS	Project Status New
Project Name Google BCP, Azure. AWS Security Platform, with Infrastructure			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,800,000	FY Cost \$600,000	
Project Type Implementation		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Moving infrastructure to the cloud with AI requires having a configurable security platform. Part of the cost of the cloud migration is security infrastructure, including software, tools, professional services.			

Project ID AITP200_UABHS		Campus UABHS	Project Status New
Project Name GSB Core System Replacement			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$1,000,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description To mitigate serious risks caused by degrading, aging infrastructure in the General Services Building data center (universal power supplies, HVAC, chilled water piping, etc.), patient care and other systems must be relocated to the DC BLOX data center as soon as possible. Although HSIS had already started the process, findings from a recent assessment by Introba require us to accelerate the migration. Additional seeding and transition equipment is needed to accomplish this. This equipment includes new storage containers, servers, and various network equipment.			

Project ID AITP17_UABHS		Campus UABHS	Project Status New
Project Name HealthQuest Migration to Cloud Hosting			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,500,000	FY Cost \$1,500,000	
Project Type Equipment and System Upgrade		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project will move hosting of the HealthQuest hospital registration and billing system to the cloud if it becomes required by the vendor prior to the transition to Epic.			

Project ID AITP18_UABHS		Campus UABHS	Project Status New
Project Name Hexavue to Steris Conversion			
University Unit Projects			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project	
Funding Source Hospital Plant Funds	Total Project Cost \$2,300,000	FY Cost \$1,725,000	
Project Type New		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Lights, Boom and Video Replacement, Minimal. Replacing existing system with same vendor. Surgeons may ask for remote access to videos from this system. This may move to cloud and we will have to address remote access. Media is only kept for about 2 weeks right now.			

Project ID AITP204_UABHS		Campus UABHS	Project Status New
Project Name HPe Server / Blade / Chassis Maintenance			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$500,000	FY Cost \$500,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual HPE server, blade, and chassis maintenance agreement to ensures maximum uptime, reliability, and performance. It provides expert support, proactive monitoring, and rapid issue resolution, minimizing downtime and costly disruptions. With access to firmware updates, parts replacement, and priority service, the agreement helps extend hardware lifespan and optimize system efficiency, ensuring smooth business operations.			

Project ID AITP230_UABHS		Campus UABHS	Project Status New
Project Name Hybridge Solutions, Inc.			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$450,000	FY Cost \$500,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Patient care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Provide resources to supplement UAB staffing for the Kronos Cloud migration project.			

Project ID AITP19_UABHS		Campus UABHS	Project Status New
Project Name Hyland OneContent to OnBase Migration			
University Unit Projects			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$917,160	FY Cost \$917,160	
Project Type Equipment and System Upgrade		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Hyland application used by hospital revenue cycle staff for document imaging must be transitioned to the vendor's newer cloud-based platform. We expect most of the cost being in the one-time implementation, first-year cost.			
405			

Project ID AITP238_UABHS		Campus UABHS	Project Status New
Project Name IBM - 200 hours of services for Tech Support Team			
University Unit Technical and Professional Services			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$250,000	FY Cost \$250,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Request for additional IBM Service hours needed for various technical process due to upgrades, data migrations and other Oracle backend related support services.			

Project ID AITP182_UABHS		Campus UABHS	Project Status New
Project Name IBM Authorized Software Asset Management Provider			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 3 years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$280,000	FY Cost \$140,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description IBM-Authorized Software Asset Management Provider ("ASP" or "IASP") will provide services to inventory HSIS IBM software licenses and deployments. Deloitte will provide the IASP Service Delivery and Reporting Requirements. The Services shall be performed and assist HSIS in meeting its requirements as an IBM licensee holder.			

Project ID AITP197_UABHS		Campus UABHS	Project Status New
Project Name IDX Cloud Migration			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 3 years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,469,000	FY Cost \$500,000	
Project Type		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project will move hosting of the IDX healthcare scheduling system to the cloud.			

Project ID AITP179_UABHS		Campus UABHS	Project Status New
Project Name Insulin Calculator			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$2,060,000	FY Cost \$298,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Patient Care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacement of the existing insulin calculator built by HSIS with Endotool by Monarch Medical Technologies. In addition to our existing adult glycemic patients, Endotool will also accommodate OB patients requiring IV insulin.			

Project ID AITP199_UABHS		Campus UABHS	Project Status New
Project Name Interface Engine Replacement			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 1 year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,200,000	FY Cost \$1,200,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacement for End of Life (EoL) Interface Engine hardware. This replacement would consist of 1 production node and 1 Disaster recovery (DR) node. Nodes are EoL 2026.			

Project ID AITP22_UABHS		Campus UABHS	Project Status New
Project Name Kronos Cloud Migration (Dimensions SaaS environment)			
University Unit Projects			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$5,395,918	FY Cost \$2,517,673	
Project Type Equipment and System Upgrade		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project replaces the current on-premises solution for workforce time and attendance management. The vendor will no longer support the existing system. Clients must move to a Software as a Service (SaaS) cloud solution by 3/31/2027.			

Project ID AITP147_UABHS		Campus UABHS	Project Status New
Project Name Managed Intrusion Prevention System			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$250,000	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Intrusion detection system to monitor internal network for malicious activity. Suggested by cyber insurance brokers as next focus.			

Project ID AITP211_UABHS		Campus UABHS	Project Status New
Project Name Montgomery Data Center DR Server Refresh			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,640,000	FY Cost \$2,640,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description To replace Disaster Recovery Servers for end-of-life (EoL) Cisco Blades and service new EMR VDI environment, Critical 1 applications, EMR third party applications, and general compute.			

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Project ID AITP148_UABHS		Campus UABHS	Project Status New
Project Name Network Configuration Solution			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$250,000	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This software tool is required to meet PCI (payment card industry) requirements. Organizations are required to review Access Control Lists on an annual basis which includes thousands of network devices. Suggested by cyber insurance brokers as next focus.			

Project ID AITP27_UABHS		Campus UABHS	Project Status New
Project Name OmniCell cloud migration, carousel replacement, cabinet replacement			
University Unit Projects			
Year Project Started 2024	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$20,000,000	FY Cost \$7,000,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Pharmacy is migrating its storage from on premises to the cloud, as well as replacing its medication dispensing devices. Moving to cloud storage will help optimize operations and medication management.			

Project ID AITP28_UABHS		Campus UABHS	Project Status New
Project Name OR Scheduling Block and Prescreening clinic optimization software			
University Unit Projects			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$650,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This software provides an automated solution to optimize OR scheduling and increase capacity.			

Project ID AITP229_UABHS		Campus UABHS	Project Status New
Project Name Oracle Health			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,400,000	FY Cost \$1,400,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Patient care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Provide technical resources to extract data from the AT hosted instance of Cerner and the cloud components of the UAB Medicine instance of Cerner for migration and archival.			

Project ID AITP180_UABHS		Campus UABHS	Project Status New
Project Name Palantir			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$3,000,000	FY Cost \$600,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB imperative for World-Class IT			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Palantir will require real-time, AI-driven decisions for our Supply Chain team. Foundry AI platform and implementation to assist with External Transfers, OR Schedule Balancing, Ambulatory Access, and Legacy system transition.			

Project ID AITP29_UABHS		Campus UABHS	Project Status New
Project Name Phenom talent management system / candidate management system			
University Unit Projects			
Year Project Started 2024	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,225,000	FY Cost \$525,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Phenom connects all the stakeholders in the talent recruitment ecosystem into a unified AI-driven platform, which will allow UAB Medicine to manage and optimize talent data, marketing, and processes to keep the enterprise staffed.			

Project ID AITP31_UABHS		Campus UABHS	Project Status New
Project Name Replace legacy cable TV system in inpatient rooms with a comprehensive AV and infotainment platform at UAB Medical West, UAB Hospital, and UAB-St. Vincent's			
University Unit Projects			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$32,007,480	FY Cost \$1,431,645	
Project Type Implementation		Category Telemed	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description At UAB Medical West, the system will provide audiovisual and infotainment services that will facilitate healthcare delivery and directly benefit patients and families. At UAB Hospital and UAB-St. Vincent's, the project will also include provision of the necessary infrastructure (monitors, power, networking). As well, the systems will be used for provider consults, virtual nursing, virtual sitters, and language translation to improve efficiency. Vibe by eVideon			

Project ID AITP136_UABHS		Campus UABHS	Project Status New
Project Name Rhapsody Interface Engine - Cloud			
University Unit EHR			
Year Project Started 2026	Length of Project Year 2	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$590,000	FY Cost \$590,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Temporary Interface Engine for STV and UABHS Interface buildouts. Two year agreement.			

Project ID AITP218_UABHS		Campus UABHS	Project Status New
Project Name ROI Vendor - Release of Information (HIM)			
University Unit Projects			
Year Project Started 2026	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$500,000	
Project Type Software - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description New ROI vendor for release of information			

Project ID AITP34_UABHS		Campus UABHS	Project Status New
Project Name Seneca Resources Staff Augmentation			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$500,000	FY Cost \$250,000	
Project Type New		Category Staff Augmentation	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This provides a Business Intelligence Analyst for the Enterprise Data Warehouse.			

Project ID AITP35_UABHS		Campus UABHS	Project Status New
Project Name ServiceNow			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$3,500,000	FY Cost \$1,500,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This would replace Cherwell, our current IT service ticketing and project management system.			

Project ID AATP36_UABHS		Campus UABHS	Project Status New
Project Name Smartpump replacement			
University Unit Projects			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project	
Funding Source Hospital Plant Funds	Total Project Cost \$34,000,000	FY Cost	
Project Type Implementation		Category Patient Monitoring	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacement of aging IV infusion pumps with newer devices that use advanced technology to reduce medication administration errors.			

Project ID AITP132_UABHS		Campus UABHS	Project Status New
Project Name Solventum (3M)			
University Unit EHR			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$17,797,743	FY Cost \$2,888,357	
Project Type Subscription - New		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Solventum software by 3M provides grouper, encoder, medical necessity and CDI functionality as a part of the Epic implementation. Contract includes UABH, UAB St. Vincents, and UAB CEH. Heightened review in process.			

Project ID AITP244_UABHS			Campus UABHS			Project Status New		
Project Name St Vincent's TSA exit								
University Unit Technical and Professional Services								
Year Project Started 2024			Length of Project 2 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$420,000			FY Cost \$220,000		
Project Type Existing Subscription					Category Staff Augmentation			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Staff augmentation through a contracted resource to complete inventory of St Vincent's end user devices, applications and then replace all end user devices prior to the EPIC go-live.								
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Project ID AITP226_UABHS		Campus UABHS	Project Status New
Project Name St. Vincent's Cardiology PACS Consolidation			
University Unit Projects			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,057,500	FY Cost \$352,500	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The St. Vincent's Cardiology PACS is the display and reporting system used for echocardiograms, vascular sonograms, nuclear medicine perfusion images, and more. Migration to a central archive will allow for unified access to patient imaging records across UAB Medicine and eventual integration with the unified Epic EHR. Expenses are assessed at a flat annual fee to cover application maintenance and module licensing.			

Project ID AITP227_UABHS		Campus UABHS	Project Status New
Project Name St. Vincent's Cath Lab Software Conversion			
University Unit Projects			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,500,000	FY Cost \$1,500,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Merge Hemo software and associated hardware at St. Vincent's Cath Lab has been identified as end-of-life by the vendor. Migration to the UAB standard (Philips Xper) for hemodynamic monitoring will allow for a centralized solution for hemodynamic monitoring and eventual integration with the unified Epic EHR.			

Project ID AITP225_UABHS		Campus UABHS	Project Status New
Project Name St. Vincent's Radiology PACS Consolidation			
University Unit Projects			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$5,000,000	FY Cost \$1,125,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The St. Vincent's Radiology PACS is the primary clinical image archive and display system for managing images captured on CT, MRI, US, X-ray, and several other modalities. The current system is at end of life in December 2025. System migration to a central archive will allow for unified access to patient imaging records across UAB Medicine entities and eventual integration with the unified Epic EHR. Expenses are estimates because they are based on a monthly per click fee (i.e., volume dependent).			

Project ID AITP37_UABHS		Campus UABHS	Project Status New
Project Name Stryker Smart Bed Replacement			
University Unit Projects			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project	
Funding Source Hospital Plant Funds	Total Project Cost \$900,000	FY Cost \$2,250,000	
Project Type New		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacing aging Smart Beds in 2025 that would require integration. Beds would be wireless, not connected to Nurse Call.			

Project ID AITP192_UABHS		Campus UABHS	Project Status New
Project Name STV Network Core, Distribution and Access Switch Maintenance and Support			
University Unit Infrastructure			
Year Project Started 2026	Length of Project Ongoing	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$700,000	FY Cost \$700,000	
Project Type Other		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 39 month maintenance and support for new UABHS (UABHS or St. Vincent's?) network switches from Arista Networks. All upfront cost.			

Project ID AITP193_UABHS		Campus UABHS	Project Status New
Project Name STV Network Core, Distribution and Access Switch Software			
University Unit Infrastructure			
Year Project Started 2026	Length of Project Ongoing	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,234,358	FY Cost \$1,234,358	
Project Type Software - New		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 39 month software fee for Operating System new UABHS Arista network switches. All upfront cost. Same as above.			

Project ID AITP194_UABHS		Campus UABHS	Project Status New
Project Name STV Network Core, Distribution and Access Switch Subscription			
University Unit Infrastructure			
Year Project Started	Length of Project Ongoing	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$271,692	FY Cost \$271,692	
Project Type Snbscription - New		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 39 month subscription fee for usage for new UABHS Arista network switches. All upfront cost. Same as above.			

Project ID AITP111_UABHS		Campus UABHS	Project Status New
Project Name STV End User Desktop Replacement			
University Unit Desktop			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$7,500,000	FY Cost \$1,500,000	
Project Type Implementation		Category	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Hardware required to migrate UAB St. Vincent's to UAB Medicine standards, including PC, Thin Clients, and Monitors.			

Project ID AITP185_UABHS		Campus UABHS	Project Status New
Project Name STV Infoblox			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$868,000	FY Cost \$868,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 3-year St. Vincent's agreement - DNS/DHCP. Infoblox provides integrated DNS (Domain Name System) and DHCP (Dynamic Host Configuration Protocol) solutions that are critical for managing core network services in modern IT environments. These tools ensure efficient, secure, and automated assignment of IP addresses and name resolution, which are foundational for all network communication. Overall, Infoblox tools are essential for ensuring stable, secure, and manageable enterprise network operations.			

Project ID AITP188_UABHS		Campus UABHS	Project Status New
Project Name STV MS Licenses			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$570,244	FY Cost \$570,244	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual Microsoft User Licenses for St. Vincent's users. Annual Microsoft End User Licenses, including MS Enterprise Mobility and Security Suite, MS A3, MS A3 Step-Up subscription, MS Core Server Platform, MS SQL Server, Windows Remote Desktop Services, Windows Azure, Azure AD P1, Teams Dial-in Conferencing for HSIS, and A5 Compliance Licenses.			

Project ID AITP191_UABHS		Campus UABHS	Project Status New
Project Name STV Network Access Switch Replacement			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,000,000	FY Cost \$2,000,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description As part of ongoing network equipment refresh efforts for St. Vincent's, a plan to replace end-of-life (EOL) network equipment that was not addressed during the initial TSA implementation rollout was developed. These legacy devices no longer meet current performance, security, or support standards, and their replacement is essential to ensure long-term reliability, maintainability, and compatibility with evolving infrastructure needs. The upgrade will be strategically phased to minimize disruption and align with organizational priorities.			

Project ID AITP186_UABHS		Campus UABHS	Project Status New
Project Name STV New Data Circuits			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,200,000	FY Cost \$1,200,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual Cost estimate for St. Vincent's Data Circuits - All locations. As part of our infrastructure expansion and modernization efforts, new data circuits are being implemented to support increased bandwidth, improved network reliability, and enhanced connectivity across sites. These circuits are essential for supporting cloud services, remote access, high-volume data transfers, and overall business continuity. The monthly recurring costs (MRCs) associated with these circuits cover ongoing service provider fees for bandwidth, maintenance, and network support. These costs are necessary to ensure continuous, secure, and high-performance connectivity, which is critical to maintaining operational efficiency and meeting current and future business demands.			

Project ID AITP189_UABHS		Campus UABHS	Project Status New
Project Name STV New Phone System			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$1,000,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Year 1 estimated costs related to replacement of existing phone system for St. Vincent's. Phase 1: Main and East. Professional Services, Hardware, gateways, contact center, 911, etc.			

Project ID AITP183_UABHS			Campus UABHS			Project Status New		
Project Name STV Nurse Call Replacement								
University Unit Infrastructure								
Year Project Started 2026			Length of Project 1 Year			FY Stage of Project Year 1		
Funding Source Hospital Plant Funds			Total Project Cost \$1,000,000			FY Cost \$1,000,000		
Project Type Implementation					Category Infrastructure			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Estimated cost for replacement of current St. Vincent's (STV) Nurse Call System at all 5 STV hospital locations. This system is currently being supported by a vendor. The current nurse call system is close to reaching end-of-life status, which will be resulting in increasing reliability issues, limited support from the manufacturer, and the inability to source replacement parts. These limitations present significant risks to patient safety, staff efficiency, and compliance with modern healthcare standards. A prompt and strategic investment in a new nurse call system will safeguard care quality, improve operational efficiency, and future-proof communication infrastructure in the facility.								

Project ID AITP190_UABHS		Campus UABHS	Project Status New
Project Name STV Proofpoint licenses			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$134,228	FY Cost \$134,228	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 5200 Mailboxes at St. Vincent's licensed for securing email with Targeted Attack Protection URL Defense & Attachment Defense, Threat Response Auto-Pull, Dynamic Reputation, Spam, Virus Protection, Zero-Hour Anti-Virus, Email Firewall, Smart Search - F-Secure – Virtual			

Project ID AITP187_UABHS		Campus UABHS	Project Status New
Project Name STV Rhapsody Engine			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$590,000	FY Cost \$590,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Temporary Rhapsody Engine - Cloud – for St. Vincent’s. This engine will be required for Epic project interface testing and builds. There is a need for a temporary, cloud-based Rhapsody interface engine during the Epic implementation. This solution will provide a flexible, scalable environment for managing HL7 and other healthcare messaging formats while our on-premises systems are undergoing maintenance, migration, or reconfiguration. A cloud-based instance allows for rapid deployment, reduces hardware dependency, and ensures continuity of interfaces critical to patient care, operational workflows, and third-party integrations. Once project is over this solution can be decommissioned with minimal disruption.			

Project ID AITP184_UABHS		Campus UABHS	Project Status New
Project Name STV WI-Fi Replacement Estimate			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$1,000,000	
Project Type Implementation		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Cost estimated for replacement of end of life (EoL) access points and Wi-Fi gateways for all St. Vincent's locations. To enhance network performance, security, and support for modern devices and applications, the existing outdated Wi-Fi infrastructure will be replaced with a new, state-of-the-art wireless solution. The previous system, which struggled with inconsistent coverage, limited bandwidth, and compatibility issues, no longer met the growing demands of users and operational requirements. The upgrade involves the deployment of high-performance access points, improved network controllers, and enhanced management tools. Modernization will ensure faster connection speeds, broader coverage, increased reliability, and better support for high-density environments. It also introduces improved security protocols to protect sensitive data and meet compliance standards.			

Project ID AITP112_UABHS		Campus UABHS	Project Status New
Project Name UABHS End User - Desktop Replacement			
University Unit Desktop			
Year Project Started 2026	Length of Project Ongoing	FY Stage of Project Ongoing	
Funding Source Hospital Plant Funds	Total Project Cost \$6,694,000	FY Cost \$2,700,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Lifecycle refresh of UAB Medicine hardware including Desktop/Laptop/Mac, Thin Client, and Printer hardware.			

Project ID AITP220_UABHS		Campus UABHS	Project Status New
Project Name Xsolis implementation			
University Unit Projects			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$8,500,000	FY Cost \$1,200,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Xolis provides tools for utilization and care management to ensure appropriate level of care, manage concurrent authorizations and predict discharge readiness. Contract includes UABH and UAB St. Vincent's. Pricing is based on volumes. Increased usage will increase annual recurring costs.			

Project ID AITP141_UABHS		Campus UABHS	Project Status New
Project Name ZeroTouch			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$4,500,000	FY Cost \$1,500,000	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Device management solution that improves device deployment and configuration by automating the process, allowing IT teams to remotely configure and manage devices without manual intervention, leading to faster deployment and reduced IT workload. Financial justification will be provided as part of project request.			

Project ID AFTP1_UABHS		Campus UABHS	Project Status Ongoing
Project Name A5 Compliance Licensing			
University Unit Information Security			
Year Project Started 2024	Length of Project 3 Years		FY Stage of Project
Funding Source Hospital Plant Funds	Total Project Cost \$1,500,000	FY Cost \$500,000	
Project Type Implementation		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Expanding One Drive storage and implementation to support the Microsoft Office 365 implementation and tie in the security platform.			

Project ID AITP217_UABHS		Campus UABHS	Project Status Ongoing
Project Name Abbott Chemistry Analyzers			
University Unit Project			
Year Project Started 2025	Length of Project Ongoing	FY Stage of Project Ongoing	
Funding Source Hospital Plant Funds	Total Project Cost \$6,793,480	FY Cost \$1,358,696	
Project Type Software - Renewal		Category Hardware	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description FMV lease for 3 Architect analyzers, with the optional future upgrade to 3 Alinity analyzers at a future for Lab. Reduced reagent schedule resulting in \$38K savings.			

Project ID AITP2_UABHS		Campus UABHS	Project Status Ongoing
Project Name Ambient Detection and Response Tool for Sepsis (DARTS)			
University Unit Infrastructure Project			
Year Project Started 2020	Length of Project 6 Years	FY Stage of Project Year 6	
Funding Source Hospital Plant Funds	Total Project Cost \$2,220,000	FY Cost \$370,200	
Project Type Implementation		Category Patient Monitoring	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Ambient Clinical Analytics Detection and Response Tool for Sepsis (DARTS) is an automated early detection system using a customizable algorithm to detect potential sepsis, enabling earlier treatment of this life-threatening condition. DARTS will also replace manual documentation during triage.			

Project ID AITP173_UABHS		Campus UABHS	Project Status Ongoing
Project Name Annual Hitachi Maintenance Renewal			
University Unit Infrastructure			
Year Project Started 2022	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$3,000,000	FY Cost \$3,000,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Hitachi provides storage area network infrastructure support for UAB Hospital. Hitachi support includes full-time response for parts replacement and services, a dedicated service engineer, on-site software patching and upgrades, technical engineer troubleshooting support, and root-cause analysis and resolution. This system-wide, long-term contract is a one year cost-leveled contract across the life of each individual storage device/component.			

Project ID AITP3_UABHS		Campus UABHS	Project Status Ongoing
Project Name Antelope Innovations, LLC			
University Unit Technical and Professional Services			
Year Project Started 2024	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$450,000	FY Cost \$225,000	
Project Type Implementation		Category Staff Augmentation	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Professional Services in support of Generative AI / Robotics Process Automation initiatives.			

Project ID AITP149_UABHS		Campus UABHS	Project Status Ongoing
Project Name Axonius Asset Management			
University Unit Information Security			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$222,738	
Project Type Subscription - New		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Metadata tool for collecting asset data and tracking agent status and vulnerabilities by location and ownership of specific devices.			

Project ID AITP234_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (Citrix)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$290,000	FY Cost \$290,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description In the absence of hiring certified resources, Bahwan CyberTek, Inc. and HSIS have partnered to support the HSIS Citrix team through a "follow-the-sun" model for server support and managed services. This approach ensures customer needs are met regardless of geographic location or local time. Given the limited availability of qualified professionals in this specialized field, this partnership enables the delivery of 24/7 technical support to our customers.			

Project ID AITP233_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (DBA)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$289,307	FY Cost \$289,307	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description In the absence of hiring certified resources, Bahwan CyberTek, Inc. and HSIS have partnered to support the HSIS Database Administration (DBA) team through a “follow-the-sun” model for database support and managed services. This approach ensures customer needs are met regardless of geographic location or local time. Given the limited availability of qualified professionals in this specialized field, this partnership enables the delivery of 24/7 technical support to our customers.			

Project ID AITP235_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (F5)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$158,216	FY Cost \$158,216	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description In the absence of hiring certified resources, Bahwan CyberTek, Inc. and HSIS have partnered to support the HSIS F5 Network team through a “follow-the-sun” model for F5 support and managed services. This approach ensures customer needs are met regardless of geographic location or local time. Given the limited availability of qualified professionals in this specialized field, this partnership enables the delivery of 24/7 technical support to our customers.			

Project ID AITP240_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (HPTA-HFRA)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$500,000	FY Cost \$250,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Custom department operations support for HR and Finance			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Support for custom HSIS Personnel Tracking (HPTA) and HSIS Financial Reporting (HFRA) Applications. The employee who developed and has been supporting these applications and databases is retiring at the of the calendar year and this staff augmentation will cover that responsibility.			
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Project ID AITP232_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (Informatica EMPI support)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$270,000	FY Cost \$270,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Patient care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Assist the HSIS EMPI team member with Informatica EMPI support due to inability to find qualified candidates for hire.			

Project ID AITP236_UABHS		Campus UABHS	Project Status Ongoing
Project Name Bahwan Staff Augmentation (Linux)			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$140,000	FY Cost \$140,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description In the absence of hiring certified resources, Bahwan CyberTek, Inc. and HSIS have partnered to support the HSIS Server Linux team through a “follow-the-sun” model for Linux support and managed services. This approach ensures customer needs are met regardless of geographic location or local time. Given the limited availability of qualified professionals in this specialized field, this partnership enables the delivery of 24/7 technical support to our customers.			

Project ID AITP167_UABHS		Campus UABHS	Project Status Ongoing
Project Name Business Service Management (BMC) Health Quest Distributed Systems			
University Unit Infrastructure			
Year Project Started 2020	Length of Project 4 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$426,740	FY Cost \$140,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description An annual agreement is necessary for the Business Service Management (BMC)-distributed products, specifically Control-D for AIX. This software distributes reports and documents to clinics and the hospital for various systems and enables end users to access and view these reports online. New contract in 2026 is a 30% increase.			

Project ID AITP168_UABHS		Campus UABHS	Project Status Ongoing
Project Name Business Service Management (BMC) Mainframe Products for HealthQuest batch cycle			
University Unit Infrastructure			
Year Project Started 2024	Length of Project 5 Year	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$2,132,605	FY Cost \$550,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Business Service Management (BMC) mainframe suite allows UABHS to run Health Quest Batch cycle 24 x 7. This is critical tool for our financial system, Health Quest, which produces charges for patient care received. New contract in 2026. Annual cost may increase.			

Project ID AITP176_UABHS		Campus UABHS	Project Status Ongoing
Project Name C Spire Data Center Contract			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 4 Year	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,900,000	FY Cost \$1,900,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual cost for the C Spire co-Location data center site. A new 4 year agreement was signed in December 2023.			

Project ID AITP5_UABHS		Campus UABHS	Project Status Ongoing
Project Name Call Center / Chatbot (ServiceNow)			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,600,000	FY Cost \$800,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Access (Call) center is reviewing ServiceNow to partially automate its operations via chatbots and similar technologies.			

Project ID AATP6_UABHS		Campus UABHS	Project Status Ongoing
Project Name CBORD Dietary Ticketless Ordering System			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$375,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Integrated solution providing smart tools for patients with hotel style room service and personalized meal ordering using mobile technology. Tickets and printer issues account for seventy five percent of the technology issues current experienced by Food & Nutrition Services.			

Project ID AITP7_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cerner Migration to Cloud Hosting			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$8,000,000	FY Cost \$2,500,000	
Project Type Equipment and System Upgrade		Category Infrastructure	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project would move hosting of the Cerner EHR to the cloud, if Oracle Health makes remote hosting a requirement prior to the move to Epic.			

Project ID AITP44_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cerner software contracts			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$37,542,226	FY Cost \$12,480,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Cerner is the application suite and EHR currently used for clinical care delivery in UAB Hospital, the Spain Rehabilitation Center, the Center for Psychiatric Medicine, the Health Services Foundation and other outpatient clinics. This umbrella contract includes multiple Cerner-related contracts such as PowerChart, PathNet, RadNet, SurgiNet, and FirstNet. Cerner's annual support cost continue to escalate based on annual scope of use evaluations.			

Project ID AITP178_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cisco Meraki Licenses (Renewal)			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 3 years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$490,000	FY Cost \$170,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Renewal of Maintenance and Support for 1,034 Meraki devices (new agreement over 3 years). By integrating multiple security features in a single platform, the Meraki devices enable secure remote connectivity and are used by various departments that provide services remotely (Call Center, Radiology, etc.).			
468			

Project ID AITP181_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cisco Smartnet Networking			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$5,152,683	FY Cost \$1,717,561	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 3-year support agreement for Cisco Total Care (SmartNet) that provides support for all Cisco Network infrastructure (traditional network services such as LAN, WAN, data centers, wireless, internet perimeter, and network security) as well as other services (such as device management, SmartNet Portal, and others).			

Project ID AITP159_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cisco Smartnet Telecom - Hardware			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$580,000	FY Cost \$580,000	
Project Type Contract Renewal		Category	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description SmartNet Telecom hardware support services. 3 year agreement. Pay full amount upfront.			

Project ID AITP158_UABHS		Campus UABHS	Project Status Ongoing
Project Name Cisco Smartnet Telecom - Software/Licenses			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$6,500,000	FY Cost \$2,073,020	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 3-year support agreement for Cisco Total Care (SmartNet) that provides support for all CiscoTelecomand Communications infrastructure (telecom services, such as telephony, servers, and communication security) as well as other technical assistance that includes access to Cisco engineers and online technical support. Access to these specialized services is essential to telecom architecture, design, planning and provides insight into next-generation technologies and roadmaps.3-year support agreement for Cisco Total Care (SmartNet) that provides support for all Cisco Telecom and Communications infrastructure (telecom services, such as telephony, servers, and communication security) as well as other technical assistance that includes access to Cisco engineers and online technical support. Access to these specialized services is essential to telecom architecture, design, planning and provides insight into next-generation technologies and roadmaps.			

Project ID AITP157_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Cisco Smartnet Telecom -TAC								
University Unit Infrastructure								
Year Project Started 2023			Length of Project 3 Years			FY Stage of Project Year 3		
Funding Source Hospital Plant Funds			Total Project Cost \$721,000			FY Cost \$240,021		
Project Type Contract Renewal					Category Infrastructure			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description This line item is for Cisco Technical Assistance Center (TAC) Annual maintenance on all Cisco Telecommunication software and systems. Required for troubleshooting issues with systems.								

Project ID AITP156_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Citrix License Agreement								
University Unit Infrastructure								
Year Project Started 2022			Length of Project 5 Years			FY Stage of Project Year 5		
Funding Source Hospital Plant Funds			Total Project Cost \$4,451,445			FY Cost \$1,001,105		
Project Type Existing Subscription					Category Infrastructure			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Citrix is a platform that enables access to virtual desktops and applications across a data network. It is used to deliver Cerner applications and virtual desktops to hospital and clinic staff and also allows personnel working remotely to access resources as if they were on-site. Unlike a regular desktop or application that runs locally on a computer, Citrix runs them on a server and presents them to devices such as PCs, tablets, smartphones, and thin clients that run the Citrix Receiver application. Citrix also enables management of virtual desktops and applications to multiple users with similar requirements.								

Project ID AITP8_UABHS		Campus UABHS	Project Status Ongoing
Project Name ClearPass			
University Unit Information Security			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$250,000	
Project Type Subscription and Prof Svcs		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description ClearPass is a NAC (Network Access Control) tool that provides a complete, enterprise-wide solution that automates continuous visibility, compliance enforcement and risk mitigation, securing against risks from all connected devices, including medical devices. This program ensures all network connected devices meet the minimum security specifications for the Health System. This functionality and detail is not available without software that can recognize all types of devices including medical equipment like infusion pumps and other network connected systems like HVAC controls and alarms.			

Project ID AITP195_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Cloud Storage/DRaS Services (AWS, Azure, Google)								
University Unit Infrastructure Project								
Year Project Started 2026			Length of Project 1 Year			FY Stage of Project Year 1		
Funding Source Hospital Plant Funds			Total Project Cost \$750,000			FY Cost \$750,000		
Project Type Existing Subscription					Category Infrastructure			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description As UABHS continues to expand our cloud services, additional AWS (Amazon Web Services), Microsoft Azure, and Google cloud resources will be required. These services will range from meeting storage needs, providing backup solutions, enabling basic cloud services, disaster recovery, and more.								
475								

Project ID AITP237_UABHS		Campus UABHS	Project Status Ongoing
Project Name Convergent On-Call Support - Nurse Call			
University Unit Technical and Professional Services			
Year Project Started 2026	Length of Project 5 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$705,425	FY Cost \$141,085	
Project Type Existing Subscription		Category Staff Augmentation	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description HSIS assumed responsibility for support of the Nurse Call system when it was expanded in 2021. Because we were unable to recruit sufficient personnel to cover 101 nursing units, 9,835 devices, and more, we contracted with Covergint On-Call to provide support after-hours, weekends, and holidays. This has been successful and cost effective, and so we have continued to use as Convergent to augment our staff.			

Project ID AITP153_UABHS		Campus UABHS	Project Status Ongoing
Project Name Convergent/Ascom - Software Support and Maintenance			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$1,115,926	FY Cost \$371,975	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Ascom Telligence tool is an integrated clinical workflow and communication system designed for healthcare environments. It is primarily used in hospitals and care facilities to improve patient care by enabling faster response to nurse calls, streamline communication between patients, nurses, and clinical staff, enhance workflow efficiency through real-time alerts, task management, and patient data integration and support patient safety with features like customizable alarms and reporting tools.			

Project ID AITP9_UABHS		Campus UABHS	Project Status Ongoing
Project Name Crash Cart Management Program			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$375,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project provides crash cart expiration data with a dashboard and alert criteria to enable proactive replacement.			

Project ID AITP142_UABHS		Campus UABHS	Project Status Ongoing
Project Name Crowdstrike EDR			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,996,157	FY Cost	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Crowdstrike Endpoint Detection and Response (EDR) is our next-generation antivirus/anti-malware tool. Crowdstrike also monitors our environment 24/7 including looking for anomalous behavior in our Active Directory accounts.			

Project ID AITP228_UABHS		Campus UABHS	Project Status Ongoing
Project Name DAS Health - Cooper Green			
University Unit Technical and Professional Services			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$800,000	FY Cost \$349,800	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Patient care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Assist Cooper Green Mercy Health Authority with NextGen workflow recommendations and suggested system configurations.			

Project ID AITP90_UABHS		Campus UABHS	Project Status Ongoing
Project Name Data Storage Life Cycle/EOL Replacement			
University Unit Infrastructure Project			
Year Project Started 2025	Length of Project Ongoing	FY Stage of Project Ongoing	
Funding Source Hospital Plant Funds	Total Project Cost \$2,200,000	FY Cost \$2,200,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project provides for routine life cycle/end-of-life replacement of storage arrays and equipment. This includes 4x48 port cards (\$400K), additional storage area network (SAN) storage (\$400K), General Services Building SAN Directors (\$300K), and SAN storage capacity growth (\$1M).			
481			

Project ID AITP177_UABHS		Campus UABHS	Project Status Ongoing
Project Name DC BLOX Data Center			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,200,000	FY Cost \$1,200,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual cost for the DC Blox data center. This is a 5-year agreement.			

Project ID AITP52_UABHS		Campus UABHS	Project Status Ongoing
Project Name Delinea PAM			
University Unit Information Security			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$750,145	FY Cost \$201,914	
Project Type Subscription and Prof Svcs		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This Privileged Access Management (PAM) system enables controls for high-level access to IT systems and is required for cyber insurance purposes. The PAM solution protects domain administrator accounts as well as administrator accounts on local machines, which is often an avenue of attack for cyber criminals. This solution also captures recordings of any administrative activities providing proof of changes made during a cyber investigation.			
483			

Project ID AITP13_UABHS		Campus UABHS	Project Status Ongoing
Project Name Digital Pathology			
University Unit Projects			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$6,500,000	FY Cost \$2,700,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Department of Pathology is transitioning to workflows that are digitally-based for slides. The new system will be tailored for use by pathologists and staff as a Pathology specific Picture Archiving and Communications System. The fiscal year costs are estimates.			

Project ID ATP155_UABHS		Campus UABHS	Project Status Ongoing
Project Name End User Microsoft (Cals/A1 licenses) Yearly			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,723,382	FY Cost \$2,723,383	
Project Type License Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description UABHS obtains Microsoft software and application licenses for its ~23,000 users, and these licenses must be renewed annually. Annual Microsoft End User Licenses include MS Enterprise Mobility and Security Suite, MS A3, MS A3 Step-Up subscription, MS Core Server Platform, MS SQL Server, Windows Remote Desktop Services, Windows Azure, Azure AD P1, Teams Dial-in Conferencing for HSIS, and A5 Compliance Licenses.			

Project ID AITP245_UABHS			Campus UABHS			Project Status Ongoing		
Project Name End-User Device PM Resource for TSA and EPIC								
University Unit Technical and Professional Services								
Year Project Started 2024			Length of Project 2 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$312,000			FY Cost \$130,000		
Project Type Existing Subscription					Category Staff Augmentation			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description This contract hires a Technical Project Manager to manage the End-user device inventory and deployment at St Vincent's.								

Project ID AITP224_UABHS		Campus UABHS	Project Status Ongoing
Project Name Enterprise-Rx			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$275,000	FY Cost \$275,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Renewal for Enterprise-Rx which the contract is over \$750,000. It will only be renewed to get us to Epic go live.			
487			

Project ID AITP115_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Epic 2 Go-Lives EHR Implementation Services			
University Unit Desktop Project			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$37,236,000	FY Cost \$15,646,552	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendor: Epic This line item represents professional fees, from Epic, to support the on-site go-lives. Items include application implementation services, project coordination, systems infrastructure services, interfaces, and conversions.			
488			

Project ID AITP108_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Epic - Capital - Epic 2 Go-Lives Licensed Software								
University Unit Clinical/Financial Applications								
Year Project Started 2024			Length of Project 5 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$37,261,400			FY Cost \$4,848,468		
Project Type Implementation					Category Software			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Vendor: Epic This line item represents the licensing fee for Epic. The dollar amoujnts match the already contracted amount.								

Project ID AITP114_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Hardware: Infrastructure			
University Unit Desktop			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,400,000	FY Cost \$1,400,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Various. This line item represents infrastructure upgrades needed to support the Epic implementation including network considerations.			
490			

Project ID AITP113_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Hardware: Peripheral Devices			
University Unit Desktop			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$8,887,150	FY Cost \$8,887,150	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Various. This line item represents peripheral devices such as workstations, monitors, tap n go devices, and the like to support the Epic implementation. Some devices need to be upgraded.			

Project ID AITP118_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Epic - Capital - Other Capital Costs								
University Unit EHR								
Year Project Started 2024			Length of Project 5 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$1,089,000			FY Cost \$424,000		
Project Type Implementation					Category Software			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Vendors: Various. This line item represents other costs related to the Epic implementation including project team office space buildout, food and miscellaneous expenses for change and adoption, project team office space technology, training room technology, Epic UGM attendance, Sphinx assessment testing fee, and recommended physician builder training.								

Project ID AITP110_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Subscriptions / Content Services			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$120,000	FY Cost \$0	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Various. Includes AMA, IMO, Optum, and others. This line item represents any one-time fees for subscriptions related to the Epic implementation.			
493			

Project ID AITP109_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Third Party Licensed Software			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$14,632,055	FY Cost \$5,399,238	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Various. Includes Concord, Baxter Welch-Allyn, Timeless, Topcon Harmony, Varian Aria, Waystar, and others. This item represents any non-Epic third-party software which is needed to support care delivery. Epic does not provide solutions for these software items today.			

Project ID AITP116_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - Third Party Professional Services			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$129,806,082	FY Cost \$59,115,810	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Apex, Optimum, Resourcing HQ, Epic, Healthlink Advisors, Deloitte. This line item represents one-time professional fees, from various vendors, to support the implementation, build, testing, and go-live support for both Epic go-lives.			
495			

Project ID AITP117_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Capital - UAB Health System Labor Implementation Support			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$55,619,033	FY Cost \$22,550,829	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This line item represents internal labor costs to support the implementation, build, testing, and go-live support for both Epic go-lives. Items include project labor (existing), Super User Project Costs, SME Project Time, and Travel - UAB Staff to Epic HQ.			

Project ID AITP32_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - Main Project Line Item			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$378,000,000	FY Cost \$147,790,240	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Electronic Health Record (EHR) is the primary electronic version of a patient's medical history that is maintained by the provider, UAB Hospital and ambulatory practices over time and includes key administrative and clinical data relevant to that patient's care. The EHR includes the patient's demographics, problems, progress notes, medications, laboratory results, vital signs and other clinical information. It encompasses applications supporting the organization's missions including: Core Clinical Systems (Outpatient EHR, Inpatient EHR, Pharmacy, Emergency Department), Analytics (Enterprise analytics, Research, Data sharing), Specialty and Ancillary Modules (Oncology, Cardiovascular, Imaging, Ophthalmology), Revenue Cycle (Hospital billing, Outpatient billing, Professional billing), Continuing Care (Clinical case management, Behavioral health, Home care, Long term care), Care Management (Population health, Coordinated care management, Affiliate portal), Access and Customer Service (Patient registration, Enterprise scheduling, Patient flow, Health information management), Patient Experience (Patient recruiting, Contact and call management, Patient portal, Mobile chart app for patients). This project will replace multiple, disparate legacy EHR components with a modern, fully integrated Electronic Health Record and Revenue Cycle platform (EPIC), enhancing patient care and business operations. Costs include winding down use of the current systems during the transition.			

Project ID AITP120_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - One-time Operating - Epic 2 Go-Lives EHR Implementation Services			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$703,000	FY Cost \$0	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendor: Epic This line item represents professional fees, from Epic, to support the training of staff.			

Project ID AITP119_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - One-time Operating - Hosting One Time Fees			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$10,000	FY Cost \$5,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendor: Epic This line item represents any one-time fees to initiate the Epic hosting model.			

Project ID AITP121_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - One-time Operating - One-time Third Party and Application Management Services Costs			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$24,679,199	FY Cost \$6,109,733	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Vendors: Various. Includes HPG. This line item represents the costs to support legacy systems as we have transitioned many staff out of supporting existing systems to support and development of the new Epic platform. Items include: third party application management ongoing support costs (such as HPG), training for Data Innovations, Epic optimization services, Implementation planning, scoping, final TCO, staffing, Hyland OnBase training, and A/R outsourcing fees.			
500			

Project ID AITP122_UABHS		Campus UABHS	Project Status Ongoing
Project Name Epic - One-time Operating - UAB Health System Labor Implementation Support			
University Unit EHR			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$32,612,615	FY Cost \$10,153,001	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Internal labor costs This line item represents one-time operating costs to support the implementation, build, testing, and support of the Epic system and go-live. Items include end user training labor costs, end user training premium backfill labor costs, SME Premium Backfill Costs, Super User Go-live Support Costs, and retention bonuses for existing staff.			
501			

Project ID AITP41_UABHS		Campus UABHS	Project Status Ongoing
Project Name GRC Renewal			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,800,000	FY Cost \$600,000	
Project Type Subscription and Prof Svcs		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description A GRC (Governance Risk and Compliance) tool is used by UAB Medicine to track and assess compliance for HIPAA and multiple other state and federal regulations. GRC is used for policy management, regulatory compliance, DR/BCP and IT incident management.			

Project ID AITP59_UABHS		Campus UABHS	Project Status Ongoing
Project Name HealthQuest software contracts			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,535,752	FY Cost \$441,136	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description HealthQuest, an Altera product, is the revenue cycle product used for registration and billing throughout UAB hospital facilities.			
503			

Project ID AITP231_UABHS		Campus UABHS	Project Status Ongoing
Project Name Hybridge Solutions, Inc.			
University Unit Technical and Professional Services			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$980,000	FY Cost \$980,000	
Project Type Contract Renewal		Category Staff Augmentation	
Campus Strategic Directions Patient care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Assist Lawson team with implementation of GL, AP, supply chain functions for UAB St. Vincents, UAB Callahan and UAB Medical West. Assist in hardware and software upgrades for the base platform in use at UABH and UAB HSF and provide support assistance.			

Project ID AITP198_UABHS		Campus UABHS	Project Status Ongoing
Project Name IBM 7300 Flash Storage for Tivoli Storage Pools			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$822,000	FY Cost \$822,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacement of 2 petabytes (1 million gigabytes) of IBM 7300 flash storage for Tivoli backup storage pools. The Tivoli Storage Manager supports various operating systems and applications, offering features such as incremental backups, deduplication, and tape and disk storage options. It is used for data backup and recovery.			

Project ID AITP171_UABHS			Campus UABHS			Project Status Ongoing		
Project Name IBM AEWLC monthly maintenance								
University Unit Infrastructure								
Year Project Started 2023			Length of Project 1 Year			FY Stage of Project Year 1		
Funding Source Hospital Plant Funds			Total Project Cost \$950,000			FY Cost \$950,000		
Project Type Contract Renewal					Category Infrastructure			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Annual hardware maintenance and support for IBM AIX nodes. This includes legacy Health Quest, Lawson, Virtual tapes and Storage units. \$73,000.00 monthly Health Quest maintenance IBM.								

Project ID AITP172_UABHS		Campus UABHS	Project Status Ongoing
Project Name IBM Cerner MQ license Cerner 2025 - PVU Subscription Cerner Application			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$600,000	FY Cost \$600,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Due to an end-of-life mandatory system migration brought on by Oracle/Cerner, HSIS has to purchase new IBM MQ licenses for the new Linux environment. This is the Cerner MQ license renewal for maintenance and support.			

Project ID AITP196_UABHS		Campus UABHS	Project Status Ongoing
Project Name IDX Node Replacement (will not be needed if migrated to cloud)			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 3 years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$741,372	FY Cost \$741,372	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The IDX healthcare scheduling system allows us to manage appointments, schedules, and resources, and be integrated with our Cerner EHR. This will enable replacement of the IDX Production, Test, and Disaster Recovery nodes, including storage.			

Project ID AITP174_UABHS		Campus UABHS	Project Status Ongoing
Project Name Infoblox			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$932,737	FY Cost \$310,912	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 3 year licensing, support and maintenance renewal for all Infoblox hardware/software, which is used for network traffic management and related functions. Infoblox tools are essential for ensuring stable, secure, and manageable enterprise network operations.			

Project ID AITP100_UABHS		Campus UABHS	Project Status Ongoing
Project Name Informatica			
University Unit Clinical/Financial Applications			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$1,000,000	FY Cost \$275,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Informatica MDM software is utilized as the UAB Health System's master patient index.			

Project ID AITP66_UABHS		Campus UABHS	Project Status Ongoing
Project Name InfoVerity IICS			
University Unit Enterprise Data Warehouse			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$825,000	FY Cost \$200,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project will convert the PowerInsight Enterprise Data Warehouse data loading routines from Informatica PowerCenter to IICS, as required by the vendor's (Cerner) end-of-life requirements.			

Project ID AITP12_UABHS		Campus UABHS	Project Status Ongoing
Project Name Inpatient Clinical Surveillance, Decision Support, Alarm Management, and Remote Consult Workflow			
University Unit Projects			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$12,015,818	FY Cost \$2,211,250	
Project Type Renewal and growth accommodation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Platform to facilitate inpatient Clinical Surveillance, Decision Support, Alert Management, Alert Escalation, Remote Consult Workflow, and Note Documentation at UAB Medicine and outreach hospitals by specialty tele-consult services, virtual nursing, virtual sitters, and central telemetry.			

Project ID AITP154_UABHS		Campus UABHS	Project Status Ongoing
Project Name Ipro/Reveal/NetMail (Email Archive)			
University Unit Infrastructure			
Year Project Started 2021	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$801,063	FY Cost \$267,021	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual renewal of our email archiving system licenses and support. In keeping with security good practices, email is automatically transferred from the "live" system to the archive after two years, where it is not accessible outside UAB Medicine.			

Project ID AITP146_UABHS		Campus UABHS	Project Status Ongoing
Project Name JND Legal Administration / eDiscovery			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$900,000	FY Cost \$300,000	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description JND is the name of the 3rd party Legal hold and eDiscovery platform used by UABHS risk management and Legal to archive and store information for both internal and external legal cases and litigation holds.			

Project ID AITP99_UABHS		Campus UABHS	Project Status Ongoing
Project Name Kronos			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$2,021,506	FY Cost \$1,143,262	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Kronos software is utilized for time and attendance management. The contract includes UABH, HSF, Cooper Green, UAB St. Vincents.			

Project ID AITP101_UABHS		Campus UABHS	Project Status Ongoing
Project Name Lawson software			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 4 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$3,870,877	FY Cost \$880,000	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Lawson software by Infor provides General Ledger, AP, Materials and Inventory Management functionality. Contract includes UABH, UAB St. Vincents, Callahan. UAB Med West will transition to Lawson use as part of the transition to Epic.			

Project ID AITP242_UABHS		Campus UABHS	Project Status Ongoing
Project Name Lumen NLAN to ELAN Agreement			
University Unit Technical and Professional Services			
Year Project Started 2024	Length of Project 3 years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$900,640	FY Cost \$305,213	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Lumen ELAN agreement for 36 months covering remote sites under the UABHS, the UAHSF (University of Alabama Health Services Foundation) and Callahan. It was decided to combine them into a single agreement under the customer name of The Board of Trustees of the University of Alabama on behalf of University of Alabama Hospital. HSIS will invoice each departmental entity as monthly recurring charges, with accounting units provided by each of the various departmental entities.			
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Project ID AITP241_UABHS		Campus UABHS	Project Status Ongoing
Project Name Lumen SIP (Session Initiation Protocol) Agreements			
University Unit Technical and Professional Services			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,009,129	FY Cost \$336,376	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 3-year Lumen SIP (Session Initiation Protocol) Agreements. Lumen is our carrier that provides local, long distance, and international calling. Lumen also provides all our telephone numbers (205-801-XXXX, 205-934-XXXX, 205-975-XXXX, 205-996-XXXX, etc.). SIP is a protocol used for telephone and other communications.			

Project ID AITP72_UABHS		Campus UABHS	Project Status Ongoing
Project Name Medallia Contract Renewal			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$4,268,374	FY Cost \$786,737	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Medallia is the platform used to poll patients about their care experience at UAB and track their responses. It is also used to gauge employees' job satisfaction. The contract was renewed last year for 5 years.			

Project ID AITP143_UABHS		Campus UABHS	Project Status Ongoing
Project Name Medigate Renewal			
University Unit Information Security			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,550,000	FY Cost \$660,000	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Medigate is our Medical IoT (Internet of Things) solution, which allows for device discovery, profiling of devices, and security management of devices connected to the medical network, including biomedical equipment. Includes UAB Medical West and ST. Vincents licensing counts and will be in effect at the end of the TSA.			

Project ID AITP106_UABHS		Campus UABHS	Project Status Ongoing
Project Name Meditech - Callahan			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$454,320	FY Cost \$155,983	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Meditech software provides registration, patient care and billing functions for Callahan Eye Hospital Authority.			

Project ID AITP214_UABHS		Campus UABHS	Project Status Ongoing
Project Name Meditech Expanse Service and Support			
University Unit Medical West Hospital Authority			
Year Project Started 2022	Length of Project 6 Years	FY Stage of Project Year 5	
Funding Source Hospital Plant Funds	Total Project Cost \$2,628,322	FY Cost \$706,683	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Patient Care			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Meditech Expanse Electronic Medical Record Service and Support Fees - Length of project is determined by replacement with Epic. No contractual term.			

Project ID AITP104_UABHS		Campus UABHS	Project Status Ongoing
Project Name Microsoft - DMO			
University Unit Clinical/Financial Applications			
Year Project Started 2021	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Hospital Plant Funds	Total Project Cost \$3,946,913	FY Cost \$1,200,000	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Microsoft Dragon Medical One with PowerMic Mobile provides tools for voice dictation of documentation. There are separate contracts for UABME and UAB St. Vincents in evaluation for consolidation. We plan to include UAB Medical West in the consolidated enterprise license to create a unified speech recognition tool compatible with Epic.			

Project ID AITP25_UABHS		Campus UABHS	Project Status Ongoing
Project Name MIM Expansion			
University Unit Projects			
Year Project Started 2024	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$912,237	FY Cost \$182,247	
Project Type Equipment and System Upgrade		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description MIM serves as the primary system for display and interpretation of Nuclear Radiology images. Expansion will replace the existing Segami system used by Nuclear Cardiology, which is out of date, without a feasible upgrade path, and will make MIM available on all Radiology workstations to enable tumor measurements, assist with interventional techniques such as confirmation of tumor ablation, and use of modules for liver assessment.			

Project ID AITP175_UABHS		Campus UABHS	Project Status Ongoing
Project Name Montgomery Data Center Contract			
University Unit Infrastructure			
Year Project Started 2015	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$690,000	FY Cost \$690,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project covers annual cost for the Retirement Systems of Alabama Montgomery disaster recovery secondary data center location.			

Project ID AITP213_UABHS		Campus UABHS	Project Status Ongoing
Project Name NetApp Storage Support and Maintenance			
University Unit Infrastructure Project			
Year Project Started 2024	Length of Project 3 years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$3,500,000	FY Cost 1,166,668	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Support and Maintenance for new NetApp Storage, which provides data storage and management, is an essential part of support. This is a 3 year agreement to ensure data availability, performance, and security, optimize storage efficiency, and quickly resolve issues. Maintenance includes firmware updates, hardware replacements, and proactive monitoring to enhance reliability and extend the system's lifespan.			

Project ID AITP209_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Access Switch - North Pavilion			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,851,000	FY Cost \$1,851,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Replacement of multiple essential network access switches due to end of hardware support: FOT-6500 (Faculty Towers), GSB-6500 (General Services Building), H101-6500 (Highlands), H102-6500 (Highlands), JNW-6500 (John N Whitaker Building), NW-6500 (North Pavilion), SW-6500 (Spain Wallace), TKC-6500 (The Kirkin Clinic), WP-6500 (West Pavilion) and more.			

Project ID AITP75_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Access Switch Life Cycle Refresh			
University Unit Infrastructure			
Year Project Started 2025	Length of Project Ongoing	FY Stage of Project Ongoing	
Funding Source Hospital Plant Funds	Total Project Cost \$2,200,000	FY Cost \$2,200,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project replaces multiple network access switches essential for networking due to end of hardware support: FOT (Faculty Office Building), GSB (General Services Building) , HI (Highlands), JNW (John W. Building, NW (North Pavilion), SW (Spain Wallace), TKC (Kirklin Clinic), VC (Vocer Hall), VC, WIF (Women's and Infants), WP (West Pavilion), etc.			

Project ID AITP205_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Montgomery EoVS Equipment Refresh			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,300,000	FY Cost \$1,300,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Routine replacement of network equipment for routine life cycle/end-of-life/end-of-vulnerability (EoV). Cisco has changed the EoV from 5 years to 3 years, which means no support will be available. A large amount of Cisco network equipment will need to be replaced. This includes network equipment at the Montgomery data center (\$710K), production DCs (\$1.6M), and wireless access point replacement (\$1.1M).			

Project ID AITP206_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Refresh Production Edge/DMZ routing and switching			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$650,000	FY Cost \$650,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Routine refresh of Production Edge/Demilitarized Zone (DMZ) routing and switching, with the exception of the ASR routers. A DMZ is a buffer between an internal network and an external, untrusted network, adding an extra layer of security.			

Project ID AITP207_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Wireless Access Point Replacement			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$800,000	FY Cost \$800,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project replaces end of life wireless access points (WAP) in several locations around the Health System: Center for Psychiatric Medicine, Spain Rehab Center (SRC), SRC Bridge, The John N Whitaker Building, The Kirklin Center (TKC), TKC Annex, TKC parking deck and also includes Juniper WAPs, Oberon Panels and Retrofit Doors.			

Project ID AITP79_UABHS		Campus UABHS	Project Status Ongoing
Project Name Network Wireless Access Point Life Cycle Replacement			
University Unit Infrastructure Project			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$800,000	FY Cost \$800,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project replaces wireless access points (WAP) in several locations around the Health System: Center for Psychiatric Medicine, Spain Rehab Center (SRC), SRC Bridge, The John N Whitaker Building, The Kirklin Center (TKC), TKC Annex, TKC parking deck and also includes Juniper WAPs, Oberon Panels and Retrofit Doors.			

Project ID AITP26_UABHS		Campus UABHS	Project Status Ongoing
Project Name New Rehab Facility - Patient Engagement / Digital Health			
University Unit Projects			
Year Project Started 2024	Length of Project Year 2	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$850,000	FY Cost \$75,000	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This provides digital solutions for patients, family members and caregivers in acute, ambulatory, and post-acute settings at Spain Rehabilitation. These use mobile devices and Smart TV technology to facilitate collaboration and engagement and improve workflow efficiency. This project is a proof-of-concept in a subset of units in our new rehabilitation facility. The inpatient rehabilitation facility is exploring digital whiteboard technology to display patient schedules and other pertinent care plan information to patients and family.			

Project ID AITP105_UABHS		Campus UABHS	Project Status Ongoing
Project Name NextGen - Callahan			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,650,136	FY Cost \$566,547	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description NextGen software provides registration, patient care and billing functions for Ophthalmology Services Foundation.			

Project ID AITP102_UABHS		Campus UABHS	Project Status Ongoing
Project Name NextGen - Cooper Green			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,756,354	FY Cost \$682,355	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description NextGen software provides registration, patient care tools and billing functions for Cooper Green Mercy Health Authority.			

Project ID AITP223_UABHS		Campus UABHS	Project Status Ongoing
Project Name Omnicell			
University Unit Projects			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$4,000,000	FY Cost \$800,000	
Project Type Hardware, Equipment or System - New		Category Hardware	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for an IV compounding robot. It will be utilized for sterile compounding within the pharmacy. This is a 5 year contract.			

Project ID AITP222_UABHS		Campus UABHS	Project Status Ongoing
Project Name Omnicell			
University Unit Projects			
Year Project Started 2025	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,500,000	FY Cost \$300,000	
Project Type Hardware, Equipment or System - New		Category Hardware	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description 5 Omnicell cabinets and 5 Anesthesia carts for the UED expansion and Highlands new unit build. The current MSA is over 750,000			

Project ID AITP162_UABHS		Campus UABHS	Project Status Ongoing
Project Name Oracle America			
University Unit Infrastructure			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Hospital Plant Funds	Total Project Cost \$6,500,000	FY Cost \$1,300,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Annual Enterprise Oracle licenses/support/maintenance contract. In 2022 HSIS signed a new Oracle agreement that eliminates the need for annual true-up license fees. This streamlined approach reduced administrative overhead and minimized the risk of compliance issues, as license usage is now aligned with a set agreement. Additionally, it provides greater flexibility and transparency, allowing HSIS to focus more on growth and innovation rather than on periodic license audits or adjustments that were not budgeted.			

Project ID AITP163_UABHS		Campus UABHS	Project Status Ongoing
Project Name Oracle Java Licensing			
University Unit Infrastructure			
Year Project Started 2022	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$3,160,000	FY Cost \$2,300,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description JAVA 8 licensing is required to operate several UABHS legacy applications, including the Cerner EHR, the Oracle financial system, AccuReg, and others. As well, some websites will only function if JAVA is installed on a desktop. In 2023, Oracle introduced a new enterprise-wide metric licensing model that requires organizations to license all end users even if only one JAVA-dependent application resides on your network. This required us to purchase licenses for our entire population of approximately 22,000 users.			

Project ID AITP82_UABHS		Campus UABHS	Project Status Ongoing
Project Name Packet Broker (Gigamon or Arista) 40 g			
University Unit Information Security			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$1,500,000	FY Cost \$500,000	
Project Type Equipment and System Upgrade		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Gigamon and Arista are packet broker devices that analyze network traffic and feed data to our security systems, which include Medigate, which scans medical devices, and the Intrusion Detection System (IDS). This is currently at peak capacity. In order to support new network upgrades, the packet broker, on which both these systems are dependent, must be upgraded.			

Project ID AITP161_UABHS		Campus UABHS	Project Status Ongoing
Project Name Park Place Hardware Support			
University Unit Infrastructure			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$750,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description As legacy hardware such as storage systems and servers age, maintaining performance, reliability, and security becomes a challenge. Manufacturers often phase out support for legacy equipment, but do not End of Life (EoL), making services costly to source parts or obtain timely maintenance. To address this issue and extend the life of some of our existing infrastructure, HSIS has partnered with Park Place Hardware Support as a third-party service provider. Park Place provides cost-effective hardware support solutions, ensuring continued operational stability while allowing time for strategic planning and phased technology upgrades.			

Project ID AITP150_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Proofpoint (Renewal)								
University Unit Infrastructure								
Year Project Started 2025			Length of Project 1 Year			FY Stage of Project Year 1		
Funding Source Hospital Plant Funds			Total Project Cost \$1,255,694			FY Cost \$1,255,694		
Project Type Existing Subscription					Category Infrastructure			
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description This project is for the annual Proofpoint renewal, for which costs are paid up front. Proofpoint is a comprehensive cybersecurity solution that focuses on email and data protection. It offers advanced threat intelligence and defense against evolving cyber threats, including phishing attacks, malware, and ransomware. The Proofpoint Security Tool employs sophisticated threat detection mechanisms, real-time analysis, and machine learning algorithms to identify and block malicious content.								

Project ID AITP151_UABHS		Campus UABHS	Project Status Ongoing
Project Name RedHat Licenses (Renewal)			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$800,000	FY Cost \$800,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is a consolidation of all Operating System Red Hat licenses yearly renewals. Red Hat provides Linux OS and other enterprise software tools. By consolidating licenses, we will simplify our licensing infrastructure, reduce administrative overhead, and ensure that we are utilizing Red Hat products in accordance with licensing agreements.			

Project ID AITP85_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Reliaquest 24/7 SOC with SIEM Migration to Chronicle								
University Unit Information Security								
Year Project Started 2023			Length of Project 2 Years			FY Stage of Project Year 2		
Funding Source Hospital Plant Funds			Total Project Cost \$4,856,831			FY Cost 1,592,855		
Project Type Existing Subscription					Category Security			
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description Reliaquest provides 24/7 monitoring of hospital security systems. Combining our SIEM (Security Incident and Event Management) platform with a 3rd party service we are able to monitor logs from multiple systems and respond immediately through the use of the 24/7 service and internal on-call specialists. Moving to Google Chronicle is needed to ingest unlimited logs/alerts from systems that are currently out of scope.								

Project ID ATP208_UABHS		Campus UABHS	Project Status Ongoing
Project Name Replacement of Access Switches - Women's and Infants (WIC)			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,880,000	FY Cost \$1,880,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This is the replacement of 50+ Cisco network access switches that are End of Life (EoL) in 2026. These devices are located throughout the Women's and Infants (WIC) building.			

Project ID AITP203_UABHS		Campus UABHS	Project Status Ongoing
Project Name Replacement of EOL (End of Life) Network Uninterruptable power supplies			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$570,000	FY Cost \$570,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project represents routine replacement of end of life network switch uninterruptible power supply (UPS) throughout enterprise.			

Project ID AITP201_UABHS		Campus UABHS	Project Status Ongoing
Project Name Routine Server Life Cycle/EOL (End of Life) Replacement			
University Unit Infrastructure Project			
Year Project Started 2025	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$2,000,000	FY Cost \$2,000,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for routine life cycle/end-of-life server equipment replacement and growth, including Synergy blade servers at C Spire and the DC BLOX data center locations.			

Project ID ATP243_UABHS		Campus UABHS	Project Status Ongoing
Project Name SecureIT Penetration Testing/Security Services			
University Unit Technical and Professional Services			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$300,000	FY Cost \$150,000	
Project Type Other		Category Security	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for security services professional engagements that perform required penetration testing, purple team exercises, and security configuration.			

Project ID AITP166_UABHS		Campus UABHS	Project Status Ongoing
Project Name Sirius/CDW (IBM) AIX maintenance for Lawson, Cerner, Tivoli and NIM (All)			
University Unit Infrastructure			
Year Project Started 2024	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,400,000	FY Cost \$1,400,000	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for annual AIX PF2 software maintenance support for all AIX operating system nodes: Lawson (software for resource planning and human resources), Cerner (EHR), Tivoli (software supporting IT security, storage, monitoring, etc.) servers are running AIX as the operating system.			

Project ID AITP169_UABHS		Campus UABHS	Project Status Ongoing
Project Name Sirius/CDW WebSphere and Spectrum Protect yearly maintenance			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Year	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$2,700,000	FY Cost \$900,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Bolster software infrastructure			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Spectrum Protect is a tool that supports backup for end user files and systems that reside on enterprise infrastructure and storage units. WebSphere is proprietary software that directs transactions to the correct location within our Cerner and Health Quest applications.			

Project ID AATP170_UABHS		Campus UABHS	Project Status Ongoing
Project Name Sirius/IBM - GSB Mainframe Support (HealthQuest)			
University Unit Infrastructure			
Year Project Started 2020	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,200,000	FY Cost \$400,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for ongoing hardware and technical support costs for the Health Quest application's mainframe computer. The Health Quest application is our financial and front-end patient registration, scheduling and billing revenue cycle management system.			

Project ID AITP215_UABHS			Campus UABHS			Project Status Ongoing		
Project Name Solventum								
University Unit Medical West Hospital Authority								
Year Project Started 2023			Length of Project 5 Years			FY Stage of Project Year 4		
Funding Source Hospital Plant Funds			Total Project Cost \$2,229,052			FY Cost \$454,673		
Project Type Subscription - Renewal					Category Software			
Campus Strategic Directions Patient Care								
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.								
Project Description This project is for the Solventum Products Renewal including 3M Coding and Reimbursement System Plus (encoder), Fluency Direct (voice to text), and CDI Engage One (clinical documentation improvement).								

Project ID AITP4_UABHS		Campus UABHS	Project Status Ongoing
Project Name SSL VPN			
University Unit Information Security			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$250,000	
Project Type Implementation		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Increased use of the VPN during Covid for remote work increased costs and licensing for the AnyConnect Virtual Private Network (VPN). This new VPN product and process will replace our existing VPN with a secure remote access solution that gives users only the access required, increasing security and reducing costs of full VPN profiles. This change will decrease risks of connecting devices from unsecured networks.			

Project ID AITP212_UABHS		Campus UABHS	Project Status Ongoing
Project Name Start Upgrade of Nurse Call Units from T5 to T6 Due to EOS 2027			
University Unit Infrastructure Project			
Year Project Started 2026	Length of Project Year 1	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$589,720	FY Cost \$589,720	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is an upgrade of Nurse Call (NC) Units from T5 to T6 due to the T5s reaching end of service and end of support in 2027. This is the start of replacing all NC units, specifically MEB (Medical Education Building), NPAV (North Pavilion), WTIN (Wallace Tumor Institute Building), TKC (The Kirklin Clinic). The total for all 79 units is expected to be \$8,154,510 and to take 36 months. Original uplift involves approximately 34 units.			

Project ID AITP38_UABHS		Campus UABHS	Project Status Ongoing
Project Name Talis			
University Unit Projects			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$2,986,250	FY Cost 997250	
Project Type Implementation		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Talis is the anesthesia system in use at UAB Main and UAB West.			

Project ID AITP216_UABHS		Campus UABHS	Project Status Ongoing
Project Name Tegria Hosting for Expanse			
University Unit Medical West Hosptial Authority			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 5	
Funding Source Hospital Plant Funds	Total Project Cost \$1,776,647	FY Cost \$523,378	
Project Type Subscription - Renewal		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is for Tegria Hosting Fees which support the Meditech Expanse Electronic Medical Record.			

Project ID AITP98_UABHS		Campus UABHS	Project Status Ongoing
Project Name Teletracking software contracts			
University Unit Clinical/Financial Applications			
Year Project Started 2025	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$3,152,691	FY Cost \$809,975	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Teletracking software is utilized at UABH and UAB Medical West for patient throughput. Teletracking CMS is utilized in the UABHSF Infusion Clinic for tracking of patients throughout their clinic journey.			

Project ID AITP91_UABHS		Campus UABHS	Project Status Ongoing
Project Name Tenable One Vulnerability Scanning			
University Unit Information Security			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$762,000	FY Cost \$222,378	
Project Type Implementation		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description Tenable Vulnerability Scanning is a tool used to assess the security of the systems on our network based on detected and known security vulnerabilities. It works in conjunction with our threat intelligence platform to determine the highest rated threats based on actual attacks seen around the world. This allows the HSIS team to focus on the threats most applicable to our environment.			

Project ID ATP221_UABHS		Campus UABHS	Project Status Ongoing
Project Name Therapy			
University Unit Projects			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$206,600	
Project Type Subscription - Renewal		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The subscription with Therapy is for Specialty Pharmacy Services. This subscription helps UAB pharmacies improve patient adherence, achieve and maintain multiple accreditations, and streamline efficiencies. This is a renewal of a previous contract that will send it over the 750,000 threshold for the life of the contract.			

Project ID ATTP107_UABHS		Campus UABHS	Project Status Ongoing
Project Name Varian ARIA (Oncology Information System)			
University Unit Clinical/Financial Applications			
Year Project Started 2026	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$750,000	FY Cost \$325,000	
Project Type Subscription and Prof Svcs		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is an upgrade/integration of the Varian ARIA (Oncology Information System) with St. Vincent's.			

Project ID AITP160_UABHS		Campus UABHS	Project Status Ongoing
Project Name VMWare (Renewed May FY23)			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$13,000,000	FY Cost \$4,400,000	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description VMware provides enterprise level virtualization, a technology that enables creation of virtual computing resources, such as servers. This allows HSIS to better manage infrastructure for support, deployment, patching, upgrading, security, and disaster preparedness. It also decreases the total cost of ownership by more efficient use of hardware and lower support costs compared to non-virtualized environments. This is a hospital-wide, long-term contract that was negotiated at a steep discount. The VMware platform, which underpins our entire virtual server environment, consists of ~4,000 virtual servers. All virtualized applications within our environment run on VMware, including UAB Hospital's Cerner, non-Cerner, and Citrix infrastructure.			

Project ID AITP165_UABHS		Campus UABHS	Project Status Ongoing
Project Name Vocera/Stryker Equipment Hospital Lease			
University Unit Infrastructure			
Year Project Started 2023	Length of Project 3 Years	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$1,300,000	FY Cost \$386,282	
Project Type New		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is a three-year lease for Vocera wearable badges, which nurses and other healthcare staff use for voice communication, clinical alerts, and nurse call.			

Project ID AITP164_UABHS		Campus UABHS	Project Status Ongoing
Project Name Vocera/Stryker maintenance			
University Unit Infrastructure			
Year Project Started 2021	Length of Project 2 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,139,790	FY Cost \$569,895	
Project Type Contract Renewal		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project provides maintenance and support services for our Vocera system and devices, which are used enterprise-wide for hands-free, voice-driven, secure clinical communications.			

Project ID AITP144_UABHS		Campus UABHS	Project Status Ongoing
Project Name Web Filter Renewal			
University Unit Information Security			
Year Project Started 2026	Length of Project 3 Years	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$1,989,809	FY Cost \$663,270	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description The Web Filter product protects users from phishing links, malware, and other malicious sites on the internet.			

Project ID AITP103_UABHS		Campus UABHS	Project Status Ongoing
Project Name Wolters Kluwer UpToDate			
University Unit Clinical/Financial Applications			
Year Project Started 2024	Length of Project 4 Year	FY Stage of Project Year 3	
Funding Source Hospital Plant Funds	Total Project Cost \$4,027,897	FY Cost \$968,045	
Project Type Contract Renewal		Category Software	
Campus Strategic Directions Aligns with UAB Medicine Pillar Goal			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This software provides evidence-based content (clinical, drug, patient, other) used by providers and other caregivers to improve health outcomes and help address decision-making.			

Project ID AITP97_UABHS		Campus UABHS	Project Status Ongoing
Project Name WOW Cart Replacement Project			
University Unit Desktop			
Year Project Started 2025	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Hospital Plant Funds	Total Project Cost \$500,000	FY Cost \$250,000	
Project Type Hardware Replacement		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project replaces old Workstations-on-Wheels/Nursing Unit Carts. Some devices are over 10 years old. We will plan to replace 25-30 carts for this FY and budget for more after the EPIC project if necessary.			

Project ID AITP152_UABHS		Campus UABHS	Project Status Ongoing
Project Name Zoom/Eleveo Call Recording (Renewal)			
University Unit Infrastructure			
Year Project Started 2025	Length of Project 5 year	FY Stage of Project Year 1	
Funding Source Hospital Plant Funds	Total Project Cost \$400,000	FY Cost \$78,780	
Project Type Existing Subscription		Category Infrastructure	
Campus Strategic Directions Provide a reliable, available, and robust network infrastructure for UAB Hospital.			
UA System Core Value The purpose of improving the lives and health of the citizens of the State of Alabama.			
Project Description This project is an annual contract renewal. Eleveo integrates with Zoom to let us capture and store audio and video from our Zoom meetings and calls. Eleveo is required for training, auditing, and maintaining a record of important discussions.			



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Project ID AITP8-UAH		Campus UAH	Project Status New
Project Name Access Switch Replacement			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$4,360,000	FY Cost \$4,360,000	
Project Type Hardware, Equipment or System - Replacement		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The Access Layer Switch project will replace the aging network switch infrastructure that was installed in 2014/2015 across campus. The core of this architecture will reach end-of-support in the next 12 months, which will cause UAH to no longer be able to get required support on the infrastructure. This could also impact our ability to obtain software and security vulnerability patches. This project would replace the entire access layer infrastructure across campus, including all buildings and wiring closets.			

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Project ID AITP10-UAH		Campus UAH	Project Status New
Project Name Campus UPS Replacement			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$750,000	FY Cost \$750,000	
Project Type Hardware, Equipment or System - Replacement		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The Campus UPS (Uninterrupted Power Supply) project will replace the end-of-life APC lead-acid UPS systems across campus with new lithium-ion systems. The existing systems no longer have support, and have had increased failures (outside of the batteries), which is impacting service operations in different locations.			

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Project ID AITP9-UAH		Campus UAH	Project Status New
Project Name Data Center Switch Replacement			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$960,000	FY Cost \$960,000	
Project Type Hardware, Equipment or System - Replacement		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The Data Center Switch project will replace the end-of-life switches currently supporting the UAH data center and server cluster. This project will coincide with the data center move to the new Engineering building. This project will ensure UAH can get software and security updates for the data center infrastructure while supporting higher bandwidths.			

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Project ID AITP11-UAH		Campus UAH	Project Status New
Project Name ERP (Enterprise Resource Planning) Modernization			
University Unit Office of Information Technology			
Year Project Started 2026	Length of Project 10 Years	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$35,000,000	FY Cost \$4,000,000	
Project Type Software Upgrade		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description The ERP Modernization project will migrate UAH from an on-premise ERP provider to a more modern Software-as-a-Service ERP vendor. This modernization project will include Finance, HCM (Human Capital Management), Research, and Student. This project will also operationally transform UAH into a new, efficient operating model campus-wide. UAH is in the process of vetting vendors with campus partners. The selected ERP will replace the current agreement with Ellucian for the Banner system.			

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Project ID AITP2-UAH		Campus UAH	Project Status New
Project Name Pure Storage			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 5 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,371,000	FY Cost \$287,910	
Project Type License Renewal		Category Infrastructure	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Pure Storage is a Platform-as-a-Service (PaaS) solution that allows UAH to replace aging computing infrastructure at a faster rate and better monitor usage of storage. Pure allows UAH to procure storage and the associated funding only in the increments needed. UAH currently uses Pure Storage in the on-campus data center (260 Terabytes) and the disaster recovery site in Atlanta (260 Terabytes).			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP3-UAH		Campus UAH	Project Status Ongoing
Project Name Banner ERP (Enterprise Resource Planning)			
University Unit Office of Information Technology			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$3,359,490	FY Cost \$711,944	
Project Type License Renewal		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project is for the renewal of the Ellucian Banner Enterprise Resource Planning (ERP) agreement, which includes modules for Student, Financial Aid, Finance, and Human Resources and is the system of record for the university. UAH estimates 5% escalation for each contracted year.			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP4-UAH		Campus UAH	Project Status Ongoing
Project Name Banner Technical Advisory Services / Banner Technology Support			
University Unit Office of Information Technology			
Year Project Started 2023	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$282,461	FY Cost \$282,461	
Project Type Subscription and Prof Svcs		Category Staff Augmentation	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Banner Technical Advisory Services is a consulting agreement with Ellucian to provide support for the ERP (Banner). The length is one (1) year and is necessary to provide enough time and resources to address any issues and to ensure that the ERP is updated properly. The price is stable throughout the length of the agreement, but can change as we execute new agreements. At the end of this agreement, UAH would have to either execute a new agreement with the company or hire staff with the appropriate skills. This contract can be terminated with written notice.			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP5-UAH		Campus UAH	Project Status Ongoing
Project Name CrowdStrike End Point Detection			
University Unit Office of Information Technology			
Year Project Started 2020	Length of Project 1 Year	FY Stage of Project Year 1	
Funding Source Annual Budget (O&M)	Total Project Cost \$405,326	FY Cost \$405,326	
Project Type License Renewal		Category Security	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description CrowdStrike is a cybersecurity solution designed to mitigate cybersecurity threats and incidents. The tool enhances the security capabilities of the Cybersecurity team, protects systems against malware, and enables measurement and understanding of real-time security threats, conditions, and events. CrowdStrike is an integral component to the OIT Chief Information Security Officer, as well as the University of Alabama System's endpoint security vision for all System entities.			

Annual Information Technology Budget Plan FY 2025-2026

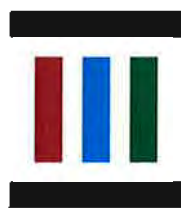
Project ID AITP6-UAH		Campus UAH	Project Status Ongoing
Project Name Elsevier			
University Unit Library			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$2,082,314	FY Cost \$436,701	
Project Type Contract Renewal		Category Library Subscription	
Campus Strategic Directions Teaching & Learning			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Elsevier provides access to published journal titles, book chapters and handbook series support research and scholarly endeavors of all UAH students, faculty, staff and researchers. The renewal of this product will continue to provide access to access research journals, book chapters, handbooks, etc. This number is inclusive of UAH's portion of the UA System contract with Elsevier. This ongoing subscription was renewed in 2021. Total project cost is the yearly cost multiplied by the years of the contract.			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP1-UAH		Campus UAH	Project Status Ongoing
Project Name ERP Evaluation and Migration			
University Unit Office of Information Technology			
Year Project Started 2024	Length of Project 2 Years	FY Stage of Project Year 2	
Funding Source Central Funds	Total Project Cost \$3,000,000	FY Cost \$1,500,000	
Project Type Software Upgrade		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description This project is to move to the existing on-premise Ellucian Banner ERP system to their cloud-based SaaS (software as a service) model or to evaluate an alternative ERP solution. The on-premise Banner instance was initially installed in 2004. A new or upgraded ERP moves UAH to a more contemporary platform to align our technological infrastructure with the evolving needs of the university and its community.			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP7-UAH		Campus UAH	Project Status Ongoing
Project Name Oracle Campus Wide License			
University Unit Office of Information Technology			
Year Project Started 2022	Length of Project 5 Years	FY Stage of Project Year 4	
Funding Source Annual Budget (O&M)	Total Project Cost \$964,079	FY Cost \$204,468	
Project Type Existing Subscription		Category Software	
Campus Strategic Directions Enterprise Architecture			
UA System Core Value Be accountable for every dollar we receive while maintaining the highest standards of excellence in every program and endeavor.			
Project Description Oracle is the database which supports the Ellucian Banner ERP. This 5-year term aligns with the Banner license. An increase in cost is calculated at 5% per year.			



University of Alabama System®

Individually Distinct. Altogether Stronger.

Annual Information Technology Plan 2025-2026



University of
Alabama System.

Annual Information Technology Budget Plan
FY 2025-2026

Project ID AITP4-UA System			Campus UA System			Project Status New		
Project Name ADVA Backbone Equipment Maintenance and Support								
University Unit University of Alabama Regional Optical Network (UASRON)								
Year Project Started 2026			Length of Project 5 Years			FY Stage of Project Year 1		
Funding Source Departmental R&R-Plant			Total Project Cost \$1,500,000			FY Cost \$300,000		
Project Type Contract Renewal - Other					Category Infrastructure			
Campus Strategic Directions								
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.								
Project Description The UASRON uses ADVA for fiber components and equipment. This plan item is for a five-year maintenance and support agreement for those ADVA components and equipment, covering the period ending September 2030. This contract is still being negotiated; therefore, the amounts provided are our best estimation based on available information.								



**University of
Alabama System.**

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP2-UA System		Campus UA System	Project Status New
Project Name Spectrum Dark Fiber IRU			
University Unit University of Alabama Regional Optical Network (UASRON)			
Year Project Started 2026	Length of Project 20 Years	FY Stage of Project Year 1	
Funding Source Departmental R&R-Plant	Total Project Cost \$2,500,000	FY Cost \$575,000	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Spectrum provides the dark fiber for the Birmingham metro ring of the UASRON. It is provided under a 20-year dark fiber IRU (indefensible rights of use) agreement that will terminate in June 2026. This plan item is for renewal of the dark fiber IRU for a period of 20 years, which co-terms with the primary Lumen dark fiber. As we are in the very early stages of this renewal, the amounts provided here are our best estimates. The total project costs include potential one-time design, planning, and engineering costs, as well as, annual maintenance fees.			



University of
Alabama System

Annual Information Technology Budget Plan
FY 2025-2026

Project ID AITP3-UA System			Campus UA System			Project Status New		
Project Name Uniti Fiber Dark Fiber IRU								
University Unit University of Alabama Regional Optical Network (UASRON)								
Year Project Started 2026			Length of Project 20 Years			FY Stage of Project Year 1		
Funding Source Departmental R&R-Plant			Total Project Cost \$2,500,000			FY Cost \$575,000		
Project Type Contract Renewal - Other					Category Infrastructure			
Campus Strategic Directions								
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.								
Project Description Uniti Fiber provides the dark fiber and colocation sites for the Birmingham-Tuscaloosa spur of the UASRON. It is provided for under a 20-year dark fiber IRU (indefensible rights of use) agreement that will terminate in January 2026. This plan item is for renewal of the dark fiber IRU for a period of 20 years, which co-terms with the primary Lumen dark fiber. As we are in the very early stages of this renewal, the amounts provided here are our best estimates. The total project costs include potential one-time design, planning, and engineering costs, as well as, annual maintenance and colocation fees.								



University of
Alabama System

Annual Information Technology Budget Plan
FY 2025-2026

Project ID AITP5-UA System		Campus UA System	Project Status Ongoing
Project Name Axonius: IT Asset Management			
University Unit University of Alabama System			
Year Project Started 2024	Length of Project 3 Years	FY Stage of Project Year 2	
Funding Source Annual Budget (O&M)	Total Project Cost \$1,384,618	FY Cost \$453,940	
Project Type Subscription - Renewal		Category Security	
Campus Strategic Directions			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description Axonius is a cybersecurity platform designed to give organizations visibility and control over assets within their network. UA System leveraged its purchasing power by combining contracts to secure pricing at or below current entities' contracts while expanding the platform's capabilities. This product is currently used by campuses, and the FY 2025-2026 total accounts for credits given based on current individual contracts. All UA System entities are included in this contract.			

Annual Information Technology Budget Plan FY 2025-2026

Project ID AITP1-UA System		Campus UA System	Project Status Ongoing
Project Name Lumen Dark Fiber IRU, Maintenance, & Colocation Agreement			
University Unit University of Alabama Regional Optical Network (UASRON)			
Year Project Started 2025	Length of Project 20 Years	FY Stage of Project Year 2	
Funding Source Departmental R&R-Plant	Total Project Cost \$11,300,000	FY Cost \$400,000	
Project Type Contract Renewal - Other		Category Infrastructure	
Campus Strategic Directions			
UA System Core Value Make higher education accessible and diverse, prepare our students for success, and meet the workforce needs of the State.			
Project Description The University of Alabama System Regional Optical Network (UASRON) is a secure, dark-fiber network owned and operated by The University of Alabama System. It connects all three institutions to the Internet exchange points. Lumen provides UASRON with primary dark fiber and colocation services. This plan item is a 20-year IRU (indefensible rights of use) for the dark fiber and includes colocation and maintenance services, terminating in August 2046. The total project costs include one-time design, planning, and engineering costs, as well as, annual maintenance and colocation fees. This is the first renewal of the UASRON contract, the first 20-year contract having expired this past year. The Lumen, Spectrum, and Uniti dark fiber projects combine to create the full pathways to connect the campuses.			

**UNIVERSITY OF ALABAMA SYSTEM
BOARD RULE 415
BOARD SUBMITTAL CHECKLIST CRITERIA**

**BOARD SUBMITTAL CHECKLIST NO. 12
FACILITY LEASE REQUIRING BOARD APPROVAL ¹⁾**

CAMPUS: The University of Alabama

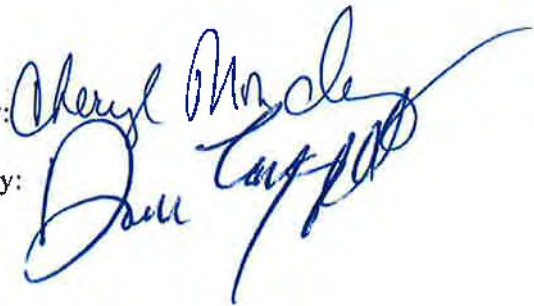
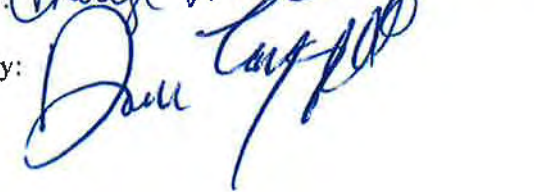
PROJECT NAME: Lease for Off-Campus Housing - KRE UP III, East Edge Owner, LLC

MEETING DATE: June 5-6, 2025

- ☒ 1. Board Submittal Checklist No. 12
- ☒ 2. Transmittal Letter to Chancellor from Campus President requesting project be placed on the agendas for the forthcoming Physical Properties Committee and Board of Trustees (or Executive Committee) Meetings
- ☒ 3. Proposed Board Resolution requesting approval of Facility Lease by the Board of Trustees
- ☒ 4. Summary of Lease providing location; size of property; terms; consideration; name and relationship of lessee
- ☒ 5. Copy of proposed Facility Lease Agreement
- ☒ 6. Location Map(s)

Prepared by:

Approved by:

¹⁾ Reference Tab 3U - Board Rule 415 Instructional Guide

April 29, 2025

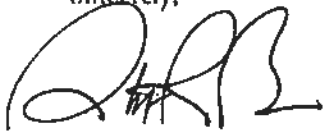
Chancellor Sid J. Trant
The University of Alabama System
500 University Boulevard East
Tuscaloosa, Alabama 35401

Dear Chancellor Trant:

I am pleased to send to you for approval under Board Rule 415 the attached documents for a lease agreement with KRE UP III East Edge Owner LLC. (East Edge Apartments) located in Tuscaloosa, AL. The agreement is for supplemental housing for the year 2026-2027 with the option to renew through 2036.

The item has been thoroughly reviewed and has my endorsement. With your concurrence, I ask that it be added to the agenda for The Board of Trustees of The University of Alabama at their regular meeting on June 5 - 6, 2025.

Sincerely,



Stuart R. Bell
President

Enclosure



THE UNIVERSITY OF ALABAMA®

NOTICE OF AWARD OF PRICE CONTRACT

KRE UP III East Edge Owner LLC
901 Main St Ste 4940
Dallas, TX 75202

Representative: Travis Roberts
Email: troberts@universitypartners.com
Phone: 469-338-4104

Commodity/Service: Lease of Off Campus Apartments

RFP No: UA25-109
Date: 4/2/2025

Contract Term: 8/1/2026 to 7/31/2027
Renewal Option Thru: 7/31/2036
Terms: Net 30

Buyer: Amy Chambley
Email: atchamb@ua.edu

The Contractor is hereby awarded this Price Contract, (hereinafter "Contract") to furnish the goods and/or services listed as required by the University of Alabama during the Contract term indicated above. Shipments are to be made only upon receipt of official notification. Unless otherwise specified herein, this Contract incorporates by reference the Solicitation, [The University of Alabama's General Terms and Conditions](#), and Contractor's Response to Solicitation and these documents are expressly made a part hereof and, in conjunction with any additional documents listed in Section 1 below, collectively represent the Contract Documents. In the event of any conflict between the terms and provisions of this Contract and the terms and provisions of the Contract Documents, the terms and provisions of the Contract shall control. In the event of conflicts between various instruments comprising the Contract Documents, the priority will be in the order listed in Section 1 below.

DESCRIPTION

This document ("Notice of Award of Price Contract and Agreement") establishes a contract between The Board of Trustees of The University of Alabama, for and on behalf of The University of Alabama, Tuscaloosa, Alabama (herein referred to as the "the University") and KRE UP III East Edge Owner LLC (hereinafter referred to as "Contractor") to provide a(n) Commodity/Service as described in the above referenced Request for Proposal.

NOW, THEREFORE, the premises considered and further in consideration of the promises and obligations to be kept and performed by the parties hereto, the University and Contractor hereby agree as follows:

1. **Agreement.** The relationship between the University and Contractor shall be governed by the following documents:
 - (a) Notice of Award of Price Contract;
 - (b) Appendix A – Master Lease Agreement
 - (c) The University of Alabama Request for Proposal No. UA25-109
 - (d) Contractor response to University requested compliance and questions dated 02/28/2025;
 - (e) Contractor response to RFP No. UA25-109 dated 02/26/2025;
 - (f) Appendix B, FERPA and Privacy Provisions
2. **Services/Commodities.** The Contract requires that, in exchange for the specified consideration from the University, Contractor will provide the goods and/or services described in the Request for Proposal for this Contract.
3. **Signature Authority.** The representative of the University in executing the Contract represents that he/she signs as a properly authorized representative of University and does not assume any personal liability for compliance with the terms and conditions of the Contract. Contractor representative represents and warrants that he/she is the duly appointed agent and representative of Contractor, with full authority to execute the Contract, without any further requirements or approvals.
4. **Captions.** The captions and headings in this Contract are for convenience of reference only and in no way define, limit, or describe the scope or intent of any provision or sections of this Contract.
5. **Counterparts.** This Contract may be executed in multiple counterparts (no one of which need contain the signatures of more than one party hereto so long as each party hereto executes at least one such counterpart), each of which shall be deemed an original and all of which, when taken together, shall constitute and be one and the same instrument.

OFFICIAL APPROVAL
THE UNIVERSITY OF ALABAMA

KRE UP III East Edge Owner LLC

Kevin R. Stevens
Executive Director of Procurement Services Date

Signature Date

Cheryl Mowdy Date
Senior Associate Vice President for Operations
Division of Finance and Operations
The University of Alabama

Typed or Printed Name

Title

By signing this contract, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.

APPENDIX A

STATE OF ALABAMA COUNTY OF TUSCALOOSA

LEASE AGREEMENT FOR APARTMENTS

THIS LEASE AGREEMENT FOR APARTMENTS dated as of the 3rd day of April, 2025 (sometimes herein "Effective Date"), between KRE UP III East Edge Owner, LLC (hereinafter called "Landlord") and THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA, a public corporation, for and on behalf of The University of Alabama with an address for purposes of this lease of Box 870399, Tuscaloosa, AL 354887-0399 (herein called "Tenant" or the "University").

INTRODUCTION

1. Landlord is the owner of the real property described on Exhibit "A" attached hereto with a street address of 1131 Jackson Ave, Tuscaloosa, AL 35401 (sometimes hereinafter, the "Land").
2. Landlord has developed (or is in the process of developing) a residential apartment complex on the Land known as East Edge Apartments (sometimes hereinafter, the "Complex").
3. Tenant desires to lease those residential apartments in the Complex which are identified on Exhibit "B" attached hereto (sometimes hereinafter, singularly, as an "Apartment" and, collectively, as "Apartments").
4. Each and every one of the Apartments may sometimes hereinafter be referred to as the "Premises".
5. The Apartments are contained in one (1) or more buildings located in the Complex, singularly, a "Building" and, together, the "Buildings".
6. Tenant intends, subject to the terms and conditions hereof, to assign Tenant's students to the Apartments (each of which may sometimes be referred to herein as a "Subtenant" (and sometimes together as "Subtenants") and pursuant to the University's standard housing contract with each of the Subtenants, such housing contracts being sometimes referred to herein, singularly, as a "Sublease" and, together, as "Subleases".
7. The managing agent of the Complex is KRE UP Management, LLC, which, together with any successor managing agent for the Complex, shall be referred to as the "Managing Agent."
8. The Complex contains certain Common Areas, defined below, and any reference to Complex herein shall also include areas of the Complex considered as Common Areas.

NOW, THEREFORE, for and in consideration of the mutual promises and covenants contained herein, and other good and valuable consideration, Landlord and Tenant agree as follows:

ARTICLE I

Premises, Term and Fixed Rent

- 1.01 Landlord hereby leases the Premises to Tenant, and Tenant hereby leases the Premises from Landlord upon and subject to the terms, covenants, provisions and conditions of this lease, and the representations set out in Exhibit "E" attached hereto.
- 1.02 Landlord shall be obligated to provide and pay for the Landlord Supplied Utilities as defined and as provided in Section 13.01 below.
- 1.03 The term of this lease shall commence on, August 1, 2026 and end July 31, 2027, a term of twelve (12) months or on such earlier date upon which the term of this lease shall expire or be cancelled or terminated pursuant to any of the conditions or covenants of this lease. The term shall renew for subsequent twelve (12) month terms, upon mutual agreement of the Fixed Rent proposed for the renewal term. Fixed rent proposal shall be sent by Tenant to Landlord not later than May 1 for the renewal term, Landlord to propose rental rates for renewal term by June 1. Tenant's intent to amend the contract for the renewal term shall be issued by July 1st. If Tenant wishes to not renew the lease for the following Academic Year, notice must be provided no later than July 1st. By way of example, for AY27 (8/1/27-7/31/28), Tenant would need to notify Landlord by July 2026 if they are choosing not to renew. In consideration thereof, Tenant agrees to pay Landlord on the first day of each month of said term in advance, as rent for 462 total bedrooms the sum of four hundred seventy-two thousand six hundred forty-seven dollars (\$472,647) per month for year one. Room availability shall be as shown in Exhibit B.
- 1.04 The rents for each term shall be and shall consist of fixed rent (herein called "Fixed Rent") as specified on Exhibit "C" for those units identified on Exhibit "B" attached hereto (sometimes hereinafter, the "Fixed Rent Schedule") which includes on a per bed basis for each unit a base rent, a fixed fee for furniture, and a fixed utility charge all to be paid in lawful money of the United States to Landlord at its office, or such

APPENDIX A

other place, or to Managing Agent and at such other place, as Landlord shall designate by notice to Tenant.

- 1.05 (a) The "Commencement Date" shall be August 1, 2026.
(b) The "Rent Commencement Date" shall be the Commencement Date.
- 1.06 Tenant covenants and agrees to pay Fixed Rent per the Fixed Rent Schedule promptly when due, without notice or demand therefor and without any abatement, deduction or setoff for any reason whatsoever, except as may be expressly provided in this lease.
- 1.07 Fixed Rent shall be due and payable on or before the Due Dates set forth on the Fixed Rent Schedule.
- 1.08 No payment by Tenant or receipt or acceptance by Landlord of a lesser amount than the correct Fixed Rent shall be deemed to be other than a payment on account, nor shall any endorsement or statement on any check or any letter accompanying any check or payment be deemed an accord and satisfaction, and Landlord may accept such check or payment without prejudice to Landlord's right to recover the balance or pursue any other remedy in this lease or otherwise at law provided.

ARTICLE 2

Use of Premises

- 2.01 Tenant shall use the Premises for purposes of entering into Subleases with its Subtenants (who shall utilize the Apartment subject to each Sublease for residential purposes only and subject to the terms and conditions provided in the Subleases). No Apartment shall be utilized for any other purpose. Landlord shall have a certificate of occupancy for each Building that is the subject of this Lease prior to the Commencement Date.
- 2.02 If any governmental license or permit shall be required for the proper and lawful use of the Premises or any part thereof as provided in 2.01 above, Landlord, at its expense, shall duly procure and thereafter maintain such license or permit. Tenant shall at all times comply with the terms and conditions of each such license or permit procured by Landlord, as well as any other license or permit affecting the Premises.
- 2.03 Tenant shall not at any time use or occupy the Premises, or suffer or permit anyone to use or occupy the Premises, or do anything in the Premises, or suffer or permit anything to be done in, brought into or kept on the Premises (nor shall Tenant permit any Subtenant or Subtenant Guest, defined below, to do any of the foregoing), that in any manner in the reasonable discretion of Landlord: (a) violates the Certificate of Occupancy for the Complex or any portion thereof; (b) causes or is liable to cause injury to the Premises or any equipment, facilities or systems therein; (c) constitutes a violation of the laws and requirements of any public authorities; (d) impairs or tends to impair the character, reputation or appearance of the Premises or the Complex (or any portion of either); (e) impairs or tends to impair the proper and economic maintenance, operation and repair of the Premises or the Complex (or any portion of either) and/or its equipment, facilities or systems; (f) annoys or inconveniences or tends to annoy or inconvenience other tenants or occupants of the Complex; or (g) constitutes a nuisance, public or private. For purposes of this Lease, "Subtenant Guest" shall mean any guest, invitee, visitor, agent, contractor or vendor of any Subtenant, any guest, invitee, visitor, agent, contractor or vendor of a Subtenant Guest or any other person accompanying a Subtenant or Subtenant Guest, and any other person visiting or otherwise entering upon the Complex with the express or implied permission of a Subtenant or Subtenant Guest.
- 2.04 With respect to the Subleases and selection of Subtenants, Tenant shall not discriminate on the basis of race, creed, religion, gender or national origin and shall otherwise at all times be in compliance with all applicable law, regulations, orders, decrees and similar requirements of all governmental authorities and/or tribunals with respect to the leasing or subleasing of residential apartments and other residential real property.
- 2.05 Landlord will furnish one swipe card or similar access card to each Subtenant. Tenant shall require each Subtenant to pay for the replacement of lost or stolen access cards.

ARTICLE 3

Common Areas

- 3.01 The Common Areas (as defined below) and other facilities in or about the Complex shall at all times be subject to the control and management of Landlord and/or Managing Agent or such other parties as Landlord may designate. Tenant shall have no right or interest in the Common Areas, except as expressly granted in this Lease. Landlord shall have and hereby reserves at any time and from time to time during the term of this Lease the right, in its sole discretion: (a) to redesignate, modify, alter, expand, reduce and to change the Common Areas, including without limitation, the area, level, location and arrangement of all parking areas and driveways; (b) to change the character, dimensions and locations of the Common Areas; and (c) to temporarily close any part of the Common Areas for any periods deemed necessary by Landlord or Managing Agent to make repairs or alterations or otherwise.
- 3.02 "Common Areas" shall mean those areas of the Complex which Landlord and/or Managing Agent deem, in their discretion in the future, to be generally available to all tenants in the Complex on a non-exclusive

APPENDIX A

basis. Examples may include the clubhouse, conference rooms, if any, lobbies, atriums, hallways, public seating areas, public bathrooms, drinking fountains, entrances, elevators and any passageways thereto, corridors, pool, pool deck, vending areas, parking areas, all streets, sidewalks and landscaped areas and any and all other similar facilities or areas provided for the non-exclusive common use or benefit of tenants in the Complex. Tenant acknowledges that some of the above Common Areas are merely planned and will not exist during all or some of the term of this lease.

- 3.03 Landlord and Tenant agree that: (a) Tenant and Subtenants shall have non-exclusive use of all Common Areas; and (b) Tenant agrees to obey (and to cause Subtenants and Subtenant Guests to obey) any and all Rules and Regulations relating to the Common Areas from time to time.

ARTICLE 4

Subordination to Superior Parties

- 4.01 This lease, and all rights of Tenant hereunder, are and shall be subject and subordinate to all mortgages that may now or hereafter affect the Land and/or any portion of the Complex, whether or not such mortgages shall also cover other lands and/or buildings, to each and every advance made or hereafter to be made under such mortgages, and to all renewals, modifications, replacements and extensions of such mortgages. This section shall be self-operative and no further instrument of subordination shall be required. In confirmation of such subordination, Tenant shall promptly execute, acknowledge and deliver any instrument that Landlord, any such mortgage holder or any of their respective successors in interest may reasonably request to evidence such subordination. If Tenant fails to execute, acknowledge or deliver any such instruments within ten (10) days after request therefor, Tenant hereby irrevocably constitutes and appoints Landlord as Tenant's attorney-in-fact, coupled with an interest, to execute and deliver any such instruments for and on behalf of Tenant. Any mortgage to which this lease is subject and subordinate may sometimes be called herein a "Superior Mortgage" and the holder of a Superior Mortgage may sometimes be called herein a "Superior Mortgagee." Superior Mortgages may sometimes herein be collectively referred to as "Superior Instruments" and singularly as a "Superior Interest" and Superior Mortgagees may also be collectively referred to as "Superior Parties" and singularly as a "Superior Party".
- 4.02 If any act or omission of Landlord would give Tenant the right, immediately or after lapse of a period of time, to cancel or terminate this lease, or to abate or offset against the payment of rent or to claim a partial or total eviction, Tenant shall not exercise such right (a) until it has given written notice of such act or omission to Landlord and each Superior Mortgagee whose name and address shall previously have been furnished to Tenant, and (b) until a reasonable period for remedying such act or omission shall have elapsed following the giving of such notice and following the time when such Superior Mortgagee shall have become entitled under such Superior Mortgage to remedy the same (which reasonable period shall in no event be less than the period to which Landlord would be entitled under this lease or otherwise, after similar notice, to effect such remedy plus thirty (30) days), provided such Superior Mortgagee shall with due diligence give Tenant notice of intention to, and commence and continue to, remedy such act or omission.
- 4.03 If any Superior Party, or any designee of any Superior Party, shall succeed to the rights of Landlord under this lease, whether through possession or foreclosure action or otherwise, then at the request of such party so succeeding to Landlord's rights (herein called "Successor Landlord") and upon such Successor Landlord's written agreement to accept Tenant's attornment, Tenant shall attorn to and recognize such Successor Landlord as Tenant's landlord under this lease and shall promptly execute and deliver any instrument that such Successor Landlord may reasonably request to evidence such attornment. Upon such attornment this lease shall continue in full force and effect as a direct lease between the Successor Landlord and Tenant upon all of the terms, conditions and covenants as set forth in this lease, and subject to any and all the rights and claims of Tenant based on the actions or omissions of the Landlord that may have accrued prior to such attornment.

ARTICLE 5

Quiet Enjoyment

- 5.01 So long as Tenant pays all of the Fixed Rent and observes and performs all of Tenant's other obligations hereunder, Tenant shall peaceably and quietly have, hold and enjoy the Premises without hindrance, ejection or molestation by Landlord or any person lawfully claiming through or under Landlord, subject, nevertheless, to the provisions of this lease and to Superior Mortgages.

ARTICLE 6

Assignment, Subletting and Mortgaging

- 6.01 Except with respect to Subleases permitted as contemplated below and which are otherwise in full compliance with the terms of this lease, Tenant shall not, whether voluntarily, involuntarily, or by

APPENDIX A

operation of law or otherwise (a) assign in whole or in part or otherwise transfer in whole or in part this lease or the term and estate hereby granted, or offer or advertise to do so, (b) sublet the Premises or any part thereof, or offer or advertise to do so, or allow the same to be used, occupied or utilized by anyone other than Tenant, or (c) mortgage, pledge, encumber or otherwise hypothecate this lease or the Premises or any part thereof in any manner whatsoever. Any attempts to do any of the acts referred to in (a) - (c) above shall, at the option of Landlord, be null void and of no effect.

- 6.02 If this lease be assigned, whether or not in violation of the provisions of this lease, Landlord may collect rent from the assignee. If the Premises or any part thereof are sublet or occupied by any person, firm or entity other than Subtenants, whether or not in violation of this lease, Landlord may, after default by Tenant, and expiration of Tenant's time to cure such default, collect rent from the subtenant or occupant. In either event, Landlord may apply the net amount collected to the Fixed Rent and Additional Charges herein reserved, but no such assignment, subletting, occupancy or collection shall be deemed a waiver of any of the provisions of Section 6.01, or the acceptance of the assignee, subtenant or occupant as a substitute tenant, or a release of Tenant from the performance by Tenant of Tenant's obligations under this lease. References in this lease to use or occupancy by others (that is, any person, firm or entity other than Tenant) shall not be construed as limited to Subtenants and those claiming under or through Subtenants but shall also include licensees and others claiming under or through Tenant, immediately or remotely.
- 6.03 In order for a Sublease to a Subtenant to be permitted under the provisions of this lease, it is agreed:
- (a) The Sublease shall be to a student of Tenant;
 - (b) The Sublease shall be in a form approved by Landlord prior to the execution of such sublease;
 - (c) No subletting under the Sublease shall be for a term (including any renewal or extension options contained in the sublease) ending later than one (1) day prior to the expiration date of this lease;
 - (d) No Sublease shall be valid or permitted under this lease, and no subtenant shall take possession of the Premises or any part thereof, until the identity, home address, phone number, contact information for times when the University is closed (for example, Christmas holiday, spring break, etc.), and apartment assignment of each Subtenant has been delivered in writing to Landlord, together with a written representation from Tenant that Subtenant has executed a Sublease; (Landlord acknowledges that Tenant's ability to provide some of the foregoing information may be limited or prohibited by the Family Educational Rights and Privacy Act ("FERPA") and, if so, Tenant shall only be required to provide information permitted by FERPA).
 - (e) Each Sublease shall provide that the Subtenant may not assign its rights thereunder or further sublet the Apartment demised under the Sublease, in whole or in part.

ARTICLE 7

Compliance with Laws

- 7.01 Tenant shall give prompt notice to Landlord of any notice it receives of the violation of any law or requirement of any public authority with respect to the Premises or the use or occupation thereof by the Subtenants. Landlord, at its expense, shall comply with all laws and requirements of public authorities as shall affect the Premises, but may defer compliance so long as Landlord shall be contesting the validity or applicability thereof. Tenant shall be responsible for ensuring that Subtenants comply with all present and future laws and requirements of any public authorities applicable to the Complex and/or the Premises or the use and occupation thereof, and for the abatement of any nuisance in, on or about the Premises or the Complex arising from or attributable to Subtenant's use and occupation thereof.

ARTICLE 8

Insurance

- 8.01 Landlord and Tenant each agree to provide and maintain during the entire term of this lease at its sole expense insurance coverage as set out on Exhibit "I".

APPENDIX A

ARTICLE 9

Rules and Regulations

- 9.01 Tenant, through the terms of its Sublease with Subtenants, shall require each Subtenant to faithfully observe and comply with any and all rules and regulations as Landlord and/or Managing Agent at any time or times hereafter may make and communicate to Tenant or Subtenant, that, in Landlord's judgment, shall be necessary for the reputation, safety, care and appearance of the Complex, or the preservation of good order therein, or the operation or maintenance of the Complex (any and all of rules and regulations being herein called "Rules and Regulations" and a copy of the current version of the same being attached hereto as Exhibit "D"); provided, however, that in case of any conflict or inconsistency between the provisions of this lease and any of the Rules and Regulations, the provisions of this lease shall control.
- 9.02 Nothing in this lease contained shall be construed to impose upon Landlord any duty or obligation to enforce the Rules and Regulations against Tenant, Subtenant, Subtenant Guest or any other tenant or any employees or agents of Tenant or any other tenant, and Landlord shall not be liable to Tenant, any Subtenant or any Subtenant Guest, for violation of the Rules and Regulations by another tenant or her/his/its employees, agents, invitees, guests, visitors or licensees.

ARTICLE 10

Discharge of Liens

- 10.01 Tenant will not create, or permit to be created, and remain any mechanics' lien filed against the Premises, the Complex or the Land, for work, labor, services, or materials, done for or supplied to or claimed to have done for or supplied to Tenant. Tenant shall discharge any such lien, at its sole cost and expense by the payment thereof or by filing any bond required by law, within ten (10) days from the earlier of:
- (i) the date Tenant receives written demand from Landlord to discharge said lien, or
 - (ii) the date Tenant obtains knowledge that any such lien has been filed.

If Tenant shall fail to discharge any such lien, Landlord may at its option, discharge the same and treat the cost thereof as additional rent, due any payable upon receipt by Tenant of a written statement of cost from Landlord. It is hereby expressly agreed that such discharge of any lien by Landlord shall not be deemed to waive or release Tenant from its Default under this lease for failing to discharge the same.

To the extent of those claims are not barred by Tenant's immunity pursuant to Article I, Section 14, Constitution of Alabama of 1901, as amended, and the eleventh amendment of the U.S. Constitution, Tenant will indemnify and hold Landlord harmless from and against any and all claims, damages and expenses incurred by Landlord, arising from any liens placed against the Premises or the Complex and the Land, as a result of any activities of Tenant, Subtenants and Subtenant Guests.

ARTICLE 11

Removal of Property of Tenants and Subtenants

- 11.01 On or before the Expiration Date of this lease (or immediately upon any earlier termination of this lease) Landlord and Tenant shall conduct an inspection of each unit to determine if any repairs or other refurbishment of the Apartments is necessary before an Apartment will be made available for subsequent occupation. If so, Landlord shall, on behalf of Tenant, repair, or make suitable arrangements for making such repairs, any and all damage to the Premises and/or any repairs necessary in order to comply with Tenant's obligations under this lease including, without limitation, the obligations under Article 12 and the representations of Landlord in Exhibit "E". Tenant shall reimburse Landlord for the reasonable cost of such repairs.
- 11.02 Any other items of Tenant/Subtenant Property that shall remain in the Premises after the Expiration Date of this lease, or within 15 days following an earlier termination date, may at the option of Landlord, be deemed to have been abandoned, and in such case such items may be retained by Landlord as its property or disposed of by Landlord, without accountability, in such manner as Landlord shall determine.

**APPENDIX A
ARTICLE 12**

Repairs and Maintenance

- 12.01 Tenant shall (and shall require each Subtenant in each Sublease), at Tenant's expense if Subtenant shall violate such requirement, throughout the term of this lease, to take good care of and maintain in good order and condition the Apartments and the fixtures and improvements therein. Tenant shall be responsible for all repairs, interior and exterior, structural and non-structural, ordinary and extraordinary, foreseen or unforeseen, in and to the Apartments, the need for which arises out of: (a) the failure of Tenant or Subtenant to comply with the foregoing repair and maintenance obligations; or (b) (i) the performance, installation, removal, or existence of alterations to an Apartment by Tenant, or Subtenant without the Landlord's prior consent, (ii) the moving of any Tenant/Subtenant Property in or out of the Apartments, or (c) any act, omission, misuse or neglect of Tenant, any Subtenants or Subtenant Guests, or their respective employees, agents, contractors, guests, vendors, visitors or invitees, but excluding normal wear and tear.
- 12.02 Tenant or Subtenant shall give Landlord prompt notice of any defective condition in any plumbing, heating, air-conditioning or ventilation system or electrical lines or other mechanical systems located in, servicing or passing through the Premises. Following such notice, Landlord shall endeavor to remedy the conditions, but at the expense of Tenant if Tenant, any Subtenant or any Subtenant Guest is responsible for same under the provisions of this article.
- 12.03 Except as otherwise expressly provided in this lease, Landlord shall have no liability to Tenant or any Subtenant, nor shall Tenant's covenants and obligations under this lease be reduced or abated in any manner whatsoever, by reason of any inconvenience, annoyance, interruption or injury arising from Landlord's making any repairs or changes that Landlord is required or permitted by this lease, or required by law, to make in or to the fixtures, equipment or appurtenances of the Premises.

ARTICLE 13

Landlord Services

- 13.01 The only services which Landlord shall be required to provide in connection with this lease and the Premises shall be to supply the following utility services: electricity, water, sewer, trash, internet (from a provider with which Landlord will contract for the Complex) (together "Landlord Supplied Utilities"). Landlord shall have no other responsibility for the supply of any other services including, without limitation, telephone service.
- 13.02 Landlord shall not in any way be liable or responsible to Tenant for any loss, damage or expense that Tenant may sustain or incur if (i) the supply of any utilities to the Premises is temporarily interrupted or (ii) the quantity or character of electric service is changed or is no longer available or suitable for Tenant's requirements by reason of any requirement of the public utility serving the Building. Landlord will also not be liable to interruption of services due to circumstances out of Landlord's control.

ARTICLE 14

Compliance with Subleases

- 14.01 Except as otherwise provided in this lease, the Managing Agent Managing Agent will cooperate with Tenant in fulfilling its obligation to cause the subtenants to comply with their respective obligations under the subleases.

ARTICLE 15

Landlord Access to Building and Premises

- 15.01 Landlord reserves the right and Tenant agrees that Landlord, Managing Agent and/or any person authorized by either of them shall be permitted to conduct, and each and every Sublease shall contain a provision specifically permitting Landlord, Managing Agent and/or persons authorized by either to conduct the activities provided in this Article 15.
- 15.02 Landlord reserves the right, and upon reasonable prior notice, Tenant shall permit Landlord, Managing Agent and/or persons authorized by either, to install, erect, use and maintain pipes, ducts and conduits in and through the Premises.

APPENDIX A

- 15.03 Landlord, Managing Agent and/or persons authorized by either of them shall have the right to enter and/or pass through the Premises at any time or times (a) to examine the Premises and to show them to Superior Mortgagees, or prospective purchasers, mortgagees or lessees of the Premises; (b) to make such repairs, alterations, additions and improvements in or to the Premises and/or in or to the Building(s) or its facilities and equipment as Landlord, Managing Agent and/or persons authorized by either of them is or are required or desire to make, and (c) to read any utility meters located in or around the Premises; provided, however, that if such entry and/or pass through requires entry into an Apartment, then before entering the Apartment Landlord shall give Tenant no less than 48 hours advance notice of its impending entry into the Apartment. For the purpose of making repairs or maintaining its Premises, Landlord, Managing Agent and such persons authorized by either of them shall be allowed to take all necessary repair and maintenance materials into any of the Apartments and/or in or around any of the Buildings that may be required in connection therewith, without any liability to Tenant or any Subtenant and/or without any reduction of covenants and obligations hereunder, subject to the notice to tenant requirement.
- 15.04 Landlord reserves the right, without it being deemed a constructive eviction and without incurring any liability to Tenant or Subtenant therefor, or affecting or reducing any of Tenant's covenants and obligations hereunder, to make or permit to be made, at reasonable times and under reasonable conditions, such repairs in or to the Premises and/or any of the Buildings and the fixtures and equipment thereof, as well as in or to the entrances, doors, halls, passages, elevators, escalators and stairways thereof, and other public parts of the Premises or Building(s), as Landlord shall deem necessary or desirable.
- 15.05 If Tenant or any Subtenant shall not be personally present to open and permit an entry into the Premises at any time when for any reason an entry therein shall be urgently necessary by reason of fire or other emergency, Landlord, Managing Agent or any person authorized by either of them, with concurrent notice to the Tenant, may forcibly enter the same without liability or obligation to Tenant or any Subtenant (provided, however, reasonable care shall be afforded to Subtenant Property) and without in any manner affecting the obligations and covenants of Tenant under this lease.

ARTICLE 16

Damage or Destruction

- 16.01 If any Building or any Apartment situated therein shall be partially or totally damaged or destroyed by fire or other casualty (and if this lease shall not be terminated as in this article hereinafter provided), Landlord shall repair the damage to and restore and rebuild the Building and the Apartment (excluding Subtenant Property) with reasonable dispatch after notice to Landlord of the damage or destruction.
- 16.02 If all or part of any Building or any Apartment situated therein shall be damaged or destroyed or rendered completely or partially untenantable on account of fire or other casualty, the Fixed Rent under Article 3 hereof shall be abated in the proportion that the untenantable area of the Premises bears to the total area of the Premises, for the period from the date of the damage or destruction to (i) the date the damage to the Premises shall be substantially repaired (provided, however, that if in Landlord's judgment such repairs would have been substantially completed at an earlier date but for Tenant's having failed to reasonably cooperate with Landlord in effecting such repairs, then the Premises shall be deemed to have been repaired substantially on such earlier date and any reduction or abatement shall cease) or (ii) if the Building and not an Apartment is so damaged or destroyed, the date on which the Apartment shall be made tenantable; provided, however, should Tenant or any of its Subtenants reoccupy a portion of the Apartment during the period the repair work is taking place and prior to the date that the an Apartment are substantially repaired or made tenantable, the Fixed Rent allocable to such reoccupied portion, based upon the proportion that the area of the reoccupied portion of the Apartment bears to the total area of the Apartment, shall be payable by Tenant from the date of such occupancy.
- 16.03 If any of the Buildings shall be totally damaged or destroyed by fire or other casualty, or if the Building(s) shall be so damaged or destroyed by fire or other casualty (whether or not the any of the Apartments therein are damaged or destroyed) that its repair or restoration requires more than ninety (90) days, then in any such case Landlord or Tenant may terminate this lease by giving the other notice to such effect within thirty (30) days after the date of the casualty.
- 16.04 Landlord shall have no liability to Tenant for inconvenience, loss of business or annoyance arising from any repair or restoration of any portion of the Premises or of the Building(s) pursuant to this article. Landlord shall use reasonable efforts to make such repair or restoration promptly and in such manner as to not unreasonably interfere with Tenant's use and occupancy of the Premises.
- 16.05 Landlord will not carry insurance of any kind on Subtenant Property and shall not be obligated to repair any damage to or replace any Subtenant Property and Subtenants agree to look solely to their respective insurance for recovery of any damage to or loss of Subtenant Property.

APPENDIX A

ARTICLE 17

Eminent Domain

- 17.01 If the whole or a substantial part of the Premises is condemned or acquired in lieu of condemnation for any public or quasi-public use or purpose, by right of eminent domain or otherwise, then the term of this Lease shall cease and terminate as of the date when title vests in such governmental authority. Landlord shall be entitled to receive the entire award or payment in connection with any taking without reduction therefrom for any estate vested in Tenant by this lease or any value attributable to the unexpired portion of the term of this lease and Tenant shall receive no part of such award except as hereinafter expressly provided in this article. Tenant hereby expressly assigns to Landlord all of its right, title and interest in and to every such award or payment and waives any right to the value of the unexpired portion of the term of this lease. Tenant may make a separate claim against the condemning authority for a separate award for the value of any Tenant's tangible personal property and trade fixtures, for moving and relocating expenses and for such business damages and/or consequential damages as may be allowed by law, provided the same shall not diminish the amount of Landlord's award.
- 17.02 If less than a substantial part of the Premises is condemned or acquired in lieu of condemnation for any public or quasi-public use or purpose, by right of eminent domain or otherwise, the rent shall be equitably adjusted on the date when title vests in such governmental authority and the Lease shall otherwise continue in full force and effect. For purposes of this article, Landlord, in its sole discretion, shall have determined whether a "substantial part of the Premises" shall be taken.

ARTICLE 18

Surrender; Tenant's Duties Upon Expiration or Termination

- 18.01 On or before Expiration Date or earlier termination of this lease and in addition to, and not in lieu of the other duties and obligations under this lease and other applicable laws and requirements of any public authorities, or in any document incorporated herein by reference, Tenant shall require each Subtenant to fulfill all requirements outlined in the Rules and Regulations; and also (b) Tenant shall fulfill or perform the following duties:
1. remove all items of Subtenant Property on or before the Expiration Date or the date of the earlier termination of this lease;
 2. have its Subtenants immediately vacate all Apartments at the time of said expiration or termination;
 3. return all Apartments to Landlord in substantially the same or better condition as the Apartments existed, subject to normal wear and tear, when Tenant took possession, clean and free of trash and debris, and with all appliances and fixtures in good condition and clean and suitable for use by the subsequent tenant;
 4. pay all Fixed Rent due from Tenant to Landlord, including, without limitation, charges for damages, the amount of which shall be determined in the reasonable discretion of Landlord;
 5. comply with and fulfill all other duties, liabilities, requirements and obligations of Tenant under this Lease and Subtenant under the Sublease.
- 18.02 No act or thing done by Landlord or its agents shall be deemed an acceptance of a surrender of the Premises, and no agreement to accept such surrender shall be valid unless in writing and signed by Landlord and each Superior Mortgagee whose mortgage provides that no such surrender may be accepted without its consent.

**APPENDIX A
ARTICLE 19**

Default by Tenant

19.01 The happening of any one or more of the following listed events (hereafter referred to singly as a "Default" and plurally as "Defaults") shall constitute a breach of this lease on the part of the Tenant, namely:

- (a) the failure of Tenant to pay any part or portion of the Fixed Rent as provided for in this Lease, although no legal or formal demand has been made, and such failure to pay continues for a period of five (5) days after written notice addressed to Tenant has been delivered by Landlord; or
- (b) if Tenant violates or fails to perform any of the other conditions, covenants, or agreements of this Lease made by Tenant, and any violation or failure to perform any of those conditions, covenants, or agreements continues for a period of five (5) days after written notice thereof has been delivered by Landlord to Tenant, or, in the case where the violation or failure to perform cannot be corrected within five (5) days, Tenant does not begin to correct the violation or failure to perform within five (5) days after receiving Landlord's written notice and/or Tenant thereafter does not diligently pursue the correction of the violation or failure to perform and cure the same within a period not to exceed thirty (30) days.

ARTICLE 20

Landlord's Remedies

20.01 Upon the occurrence of any Default, Landlord shall have the right, at any time thereafter without limiting Landlord in the exercise of any right or remedy at law or in equity which Landlord may otherwise have by reason of such Default, to exercise any or all of the following remedies:

- (a) maintain the lease in full force and effect and recover the rent and other monetary charges as they become due, without terminating Tenant's right to possession irrespective of whether Tenant shall have abandoned the Premises. Landlord at any time during the term of this Lease may elect to terminate this Lease by virtue of such previous default of Tenant; or
- (b) terminate Tenant's right to possession by any lawful means, and Tenant shall immediately surrender possession of the Premises to Landlord; or
- (c) recover from Tenant the entire rent then remaining unpaid for the full lease term and any other damages incurred by Landlord by reason of Tenant's default.

ARTICLE 21

No Waivers

21.01 The failure of Landlord to insist in any one or more instances upon the strict performance of any one or more of the obligations of Tenant under this lease, or to exercise any election herein contained, shall not be construed as a waiver or relinquishment for the future of the performance of such one or more obligations of this lease or of the right to exercise such election, and such right to insist upon strict performance shall continue and remain in full force and effect with respect to any subsequent breach, act or omission. The receipt by Landlord of Fixed Rent or partial payments thereof with knowledge of breach by Tenant of any obligation of this lease shall not be deemed a waiver of such breach.

21.02 If there be any agreement between Landlord and Tenant providing for the cancellation of this lease upon certain provisions or contingencies and/or an agreement for the renewal hereof at the expiration of the term, the right to such renewal or the execution of a renewal agreement between Landlord and Tenant prior to the expiration of the term shall not be considered an extension thereof or a vested right in Tenant to such further term so as to prevent Landlord from cancelling this lease and any such extension thereof during the remainder of the original term; such privilege, if and when so exercised by Landlord, shall cancel and terminate this lease and any such renewal or extension; any right herein contained on the part of Landlord to cancel this lease shall continue during any extension or renewal hereof; any option on the part of Tenant herein contained for an extension or renewal hereof shall not be deemed to give Tenant any option for a further extension beyond the first renewal or extended term.

ARTICLE 22

Curing Tenant's Defaults

22.01 If Tenant shall default in the performance of any of Tenant's obligations under this lease, Landlord or any Superior Mortgagee without thereby waiving such default, may (but shall not be obligated to) perform the same for the account and at the expense of Tenant, without notice in a case of emergency, and in any other case only if such default continues after the expiration of the applicable grace period, if any.

APPENDIX A

ARTICLE 23

Broker

- 23.01 Tenant covenants, warrants and represents that no broker was instrumental in bringing about or consummating this lease and that Tenant had no conversations or negotiations with any broker except the Broker concerning the leasing of the Premises.

ARTICLE 24

Notices

- 24.01 Any notice, statement, demand, consent, approval or other communication required or permitted to be given, rendered or made by either party to this lease or pursuant to any applicable law or required of public authority (collectively, "notices") shall be in writing (whether or not so stated elsewhere in this lease) and shall be deemed to have been properly given, rendered or made only if sent by registered or certified mail, return receipt requested, posted in a United States post office station or letter box in the continental United States, or by overnight mail through a reputable courier such as UPS or FedEx, addressed to the other party at the address set forth Section 24.03 below, and shall be deemed to have been given, rendered or made on the day so mailed. Either party may, by notice as aforesaid, designate a different address or addresses for notices intended for it. Notwithstanding the foregoing, with respect to an occurrence presenting imminent danger to the health or safety of persons or damage to property in, on or about the Premises or during a postal strike, notices may be hand delivered to a party at the address to which notices to that party are to be sent, provided that the same notice is also sent in the manner set forth above.
- 24.02 Notices hereunder from Landlord may be given by Managing Agent or by Landlord's attorney.
- 24.03 Unless a different address is designated in the manner described in Section 24.01, notice shall be set to the parties at the following addresses:

If to Landlord, to:
Travis Roberts
901 Main Street Suite 4940
Dallas, TX 75202

If to the University, to:

Office of Counsel, University of Alabama Office
222 Rose Administration Building, Box 870106
Tuscaloosa, Alabama 35487

With a required copy to:
Executive Director
Housing and Residential Communities
Robert E. Witt Student Activity Center
Box 870399
654 Abercrombie Lane
Tuscaloosa, Alabama 35487-0399

The Clery Act of 1990 requires colleges and universities to report certain crime data, support victims of violence, and publicly outline the policies and procedures they have put into place to victims of violence, and publicly outline the policies and procedures they have put into place to improve safety on campus and other specified non-campus property. As part of this reporting requirement, Vendor must report any fire or criminal act occurring on the premises leased to the University under this agreement within 24 hours after occurrence to the following University Representative:

Assistant Manager Life Safety
Phone:
email:

Report must include the following: the nature, date, time, location of each fire or criminal act

APPENDIX A

ARTICLE 25

Estoppel Certificates

- 25.01 Each party agrees, at any time and from time to time, on or prior to the tenth day following a written request by the other party, to execute and deliver to the other a statement certifying that this lease is unmodified and in full force and effect (or if there have been modifications, that the same is in full force and effect as modified and stating the modifications), certifying the Commencement Date, Expiration Date and the dates to which the Fixed Rent have been paid, certifying whether or not any security deposit has been delivered in connection herewith and the amount thereof, stating whether or not, to the best of the signer's knowledge, the other party is in default in performance of any of its obligations under this lease, and, if so, specifying each such default of which the signer shall have knowledge and stating whether or not, to the best of the signer's knowledge, any event has occurred that with the giving of notice or passage of time, or both, would constitute such a default, and, if so, specifying each such event, it being intended that any such statement delivered pursuant hereto shall be deemed a representation and warranty to be relied upon by the party requesting the certificate and by others with whom such party may be dealing, regardless of independent investigation. Tenant also shall include or confirm in any such statement such other information concerning this lease as Landlord may reasonably request. Tenant also shall execute and deliver such a statement to any Superior Party within ten (10) days after request by any such Superior Party therefor, and to include or confirm any such statement such other information concerning this lease as such Superior Party may reasonably request.

ARTICLE 26

No Representation by Landlord

- 26.01 Tenant expressly acknowledges and agrees that Landlord has not made and is not making, and Tenant, in executing and delivering this lease, is not relying upon, any warranties, representations, promises or statements, except to the extent that the same are expressly set forth in this lease or in any other written agreement that may be made between the parties concurrently with the execution and delivery of this lease and shall expressly refer to this lease. All understandings and agreements heretofore had between the parties are merged in this lease and any other written agreement(s) made concurrently herewith, which alone fully and completely express the agreement of the parties and which are entered into after full investigation, neither party relying upon any statement or representation not embodied in this lease or any other written agreement(s) made concurrently herewith.

ARTICLE 27

Holdover

- 27.01 In the event the lease is not renewed or a new lease is not entered into between the parties, and if Tenant shall then hold over after the expiration of the term of this lease, and if Landlord shall then not proceed to remove Tenant from the Premises in the manner permitted by law (or shall not have given written notice to Tenant that Tenant must vacate the Premises) irrespective of whether or not Landlord accepts rent from Tenant for a period beyond the Expiration Date, the parties hereby agree that Tenant's occupancy of the Premises after the expiration of the term shall be under a month-to-month tenancy commencing on the first day after the expiration of the term, which tenancy shall be upon all of the terms set forth in this lease. Further, Landlord shall not be required to perform any work, furnish any materials or make any repairs within the Premises during the holdover period. It is further stipulated and agreed that if Landlord shall, at any time after the expiration of the original term or after the expiration of any term created thereafter, proceed to remove Tenant from the Premises as a holdover, the Fixed Rent for the use and occupancy of the Premises during any holdover period shall be calculated at one hundred fifty percent (150%) of the Fixed Rent then payable by Tenant. In addition to the foregoing, Landlord shall be entitled to recover from Tenant any losses or damages arising to the subject property from such holdover. Notwithstanding the foregoing, a Subtenant who after the Expiration Date or date of earlier termination of this lease signs a lease with the Landlord may continue to occupy the Apartment under the terms and conditions and at the rent specified in the lease between the Subtenant and Landlord.

APPENDIX A

ARTICLE 28

Miscellaneous Provisions and Definitions

- 28.01 No agreement shall be effective to change, modify, waive, release, discharge, terminate or effect an abandonment of this lease, in whole or in part, including, without limitation, this Section 28.01, unless such agreement is in writing, refers expressly to this lease and is signed by the party against whom enforcement of the change, modification, waiver, release, discharge, termination or effectuation of the abandonment is sought.
- 28.02 Except as otherwise expressly provided in this lease, the obligations of this lease shall bind and benefit the successors and assigns of the parties hereto with the same effect as if mentioned in each instance where a party is named or referred to; provided, however, that no violation of the provisions of Article 6 shall operate to vest any rights in any successor or assignee of Tenant.
- 28.03 Tenant shall look only to Landlord's estate and property in the Land and the Building(s) for the satisfaction of Tenant's remedies, for the collection of a judgment (or other judicial process) requiring the payment of money by Landlord in the event of any default by Landlord hereunder, and no other property or assets of Landlord or its partners, members, officers, directors, shareholders or principals, disclosed or undisclosed, shall be subject to levy, execution or other enforcement procedure for the satisfaction of Tenant's remedies under or with respect to this lease, the relationship of Landlord and Tenant hereunder or Tenant's use or occupancy of the Premises.
- 28.04 For the purposes of this lease, the following terms have the meanings indicated:
- (a) The term "mortgage" shall include a mortgage and the term "holder of a mortgage" or "mortgagee" or words of similar import shall include a mortgagee of a mortgage or a beneficiary of a deed of trust.
 - (b) The term "laws and requirements of any public authorities" and words of a similar import shall mean laws and ordinances of any or all of the federal, state, city, town, county, borough and village governments and rules, regulations, orders and directives of any and all departments, subdivisions, bureaus, agencies or offices thereof, and any other governmental, public or quasi-public authorities having jurisdiction over the Premises, and the direction of any public officer pursuant to law, whether now or hereafter in force.
 - (c) The term "Tenant" shall mean the Tenant herein named or any assignee or other successor in interest (immediate or remote) of the Tenant herein named, which at the time in question is the owner of the Tenant's estate and interest granted by this lease; but the foregoing provisions of this subsection shall not be construed to permit any assignment of this lease or to relieve the Tenant herein named or any assignee or other successor in interest (whether immediate or remote) of the Tenant herein named from the full and prompt payment, performance and observance of the covenants, obligations and conditions to be paid, performed and observed by Tenant under this lease.
 - (d) The terms "Landlord shall have no liability to Tenant" or "the same shall be without liability to Landlord" or "without incurring any liability to Tenant therefor," or words of similar import shall mean that Tenant is not entitled to terminate this lease, or to claim actual or constructive eviction, partial, or total, or to receive any abatement or diminution of rent, or to be relieved in any manner of any of its other obligations hereunder, or to be compensated for loss or injury suffered or to enforce any other right or kind of liability whatsoever against Landlord under or with respect to this lease or with respect to the use or occupancy of the Premises by Tenant or Subtenant.
 - (e) The terms "herein," "hereof," and "hereunder," and words of similar import, shall be construed to refer to this lease as a whole, and not to any particular article or section, unless expressly so stated.
 - (f) The term "and/or" when applied to one or more matters or things shall be construed to apply to any one or more or all thereof as the circumstances warrant at the time in question.
 - (g) The term "person" shall mean any natural person or persons, a partnership, a corporation, and any other form of business or legal association or entity.
- 28.05 Except as otherwise provided in this lease, whenever prior consent or permission of Landlord is required before the Tenant is authorized to take a particular type of action, the decision to do so shall be within the sole discretion of the Landlord. This decision shall not constitute a breach under this lease, or a defense by the Tenant to its performance of any covenant, duty, or obligation hereunder that the Landlord delayed or withheld the granting of consent or permission, whether or not the decision to do so was, in the Tenant's opinion, prudent, reasonable, or based on good cause.
- 28.06 Tenant agrees that any clerical errors or mistakes in this Lease arising from oversight or omission may be corrected by the Landlord at any time and at its own discretion.

APPENDIX A

- 28.07 Upon the expiration or other termination of this lease neither party shall have any further obligation or liability to the other except as otherwise expressly provided in this lease and except for such obligations as by their nature or under the circumstances can only be, or by the provisions of this lease, may be, performed after such expiration or other termination; and, in any event, unless otherwise expressly provided in this lease, any liability for a payment that shall have accrued to or with respect to any period ending at the time of expiration or other termination of this lease shall survive the expiration or other termination of this lease.
- 28.08 If any Superior Mortgagee shall require any modification(s) of this lease, Tenant shall, at Landlord's request, promptly execute and deliver to Landlord such instruments effecting such modification(s) as Landlord shall require, provided that such modification(s) do not adversely affect in any material respect any of Tenant's rights under this lease.
- 28.09 The submission by Tenant of the lease in draft form shall be deemed submitted solely for Landlord's consideration and not for acceptance and execution. Such submission shall have no binding force or effect and shall confer no rights nor impose any obligations, including brokerage obligations, on either party unless and until both Landlord and Tenant shall have executed the lease and duplicate originals thereof shall have been delivered to the respective parties.
- 28.10 Irrespective of the place of execution or performance, this lease shall be governed by and construed in accordance with the laws of the State of Alabama, without regard to conflict of laws principles. If any provisions of this lease or the application thereof to any person or circumstance shall, for any reason and to any extent, be invalid or unenforceable, the remainder of this lease and the application of that provision to other persons or circumstances shall not be affected but rather shall be enforced to the extent permitted by law. The table of contents, captions, headings and titles in this lease are solely for convenience of references and shall not affect its interpretation. This lease shall be construed without regard to any presumption or other rule requiring construction against the party causing this lease to be drafted. Each covenant, agreement, obligation or other provision of this lease on Tenant's part to be performed, shall be deemed and construed as a separate and independent covenant of Tenant, not dependent on any other provision of this lease. All terms and words used in this lease shall be deemed to include any other number and any other gender as the context may require.
- 28.11 All bills, invoices or statements rendered to Tenant pursuant to the terms of this lease shall be deemed binding and conclusive if, within sixty (60) days of receipt of the same, Tenant fails to notify landlord, in writing, of its intention to dispute such bill, invoice or statement.
- 28.12 Tenant shall not record this lease or any memorandum thereof.
- 28.13 The rights in favor of Landlord and Tenant set forth in this lease shall be for the exclusive benefit of Landlord and Tenant, respectively, it being the express intention of the parties that in no event shall such rights be conferred upon or for the benefit of any third party, except for the Subtenants who are students at The University of Alabama.
- 28.14 Any claims against the Tenant shall be submitted to the Alabama State Board of Adjustment to the extent within its jurisdiction. The Tenant does not waive and specifically reserves all immunities to which it is entitled by the laws of the State of Alabama and the United States, including Article I, section 14 of the Constitution of Alabama, and the Eleventh Amendment to the United States Constitution. Exclusive jurisdiction and venue of any claims not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment shall be in the Circuit Court of Tuscaloosa County, Alabama, or the United States District Court for the Northern District of Alabama, Western Division.

(Signature Page Follows)

APPENDIX A

IN WITNESS WHEREOF, Landlord and Tenant have duly executed this lease as of the day and year first above written.

TENANT:

The Board of Trustees of The University of Alabama

By: _____

Cheryl Mowdy
Senior Associate Vice President for
Operations

Division of Finance and Operations
The University of Alabama

LANDLORD:

KRE UP III East Edge Owner, LLC

By:

Travis Roberts
CEO
University Partners

APPENDIX A
EXHIBIT A
DESCRIPTION OF THE LAND

East Edge , 1131 Jackson Ave, Tuscaloosa, AL 35401

APPENDIX A
EXHIBIT B

Schedule of Reserved Beds

Bld	Floor	Unit	Unit Type	Bed Count
3	2	3209	STD	1
3	2	3210	STD	1
3	2	3215	STD	1
3	2	3217	STD	1
3	2	3221	STD	1
3	2	3227	STD	1
3	2	3235	STD	1
3	2	3236	STD	1
3	3	3303	STD	1
3	3	3304	STD	1
3	3	3310	STD	1
3	3	3315	STD	1
3	3	3316	STD	1
3	3	3317	STD	1
3	3	3328	STD	1
3	3	3333	STD	1
3	3	3334	STD	1
3	3	3335	STD	1
3	3	3336	STD	1
3	4	3402	STD	1
3	4	3403	STD	1
3	4	3409	STD	1
3	4	3410	STD	1
3	4	3415	STD	1
3	4	3416	STD	1
3	4	3417	STD	1
3	4	3418	STD	1
3	4	3420	STD	1
3	4	3421	STD	1
3	4	3422	STD	1
3	4	3427	STD	1
3	4	3428	STD	1
3	4	3433	STD	1
3	4	3434	STD	1
3	4	3435	STD	1
3	4	3436	STD	1
3	5	3501	STD	1
3	5	3503	STD	1
3	5	3518	STD	1
3	5	3520	STD	1
3	5	3513	1X1	1
1	1	1102	3X3 Renovated	3
1	1	1103	3X3 Renovated	3

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1	2	1203	3X3 Renovated	3
1	2	1206	3X3 Renovated	3
1	3	1302	3X3 Renovated	3
1	3	1306	3X3 Renovated	3
1	3	1307	3X3 Renovated	3
1	4	1402	3X3 Renovated	3
1	4	1403	3X3 Renovated	3
1	4	1406	3X3 Renovated	3
1	4	1407	3X3 Renovated	3
2	1	2103	3X3 Renovated	3
2	1	2104	3X3 Renovated	3
2	1	2105	3X3 Renovated	3
2	1	2109	3X3 Renovated	3
2	1	2114	3X3 Renovated	3
2	1	2115	3X3 Renovated	3
2	1	2118	3X3 Renovated	3
2	1	2119	3X3 Renovated	3
2	1	2120	3X3 Renovated	3
2	1	2121	3X3 Renovated	3
2	2	2202	3X3 Renovated	3
2	2	2204	3X3 Renovated	3
2	2	2205	3X3 Renovated	3
2	2	2209	3X3 Renovated	3
2	2	2214	3X3 Renovated	3
2	2	2215	3X3 Renovated	3
2	2	2218	3X3 Renovated	3
2	2	2219	3X3 Renovated	3
2	2	2220	3X3 Renovated	3
2	3	2303	3X3 Renovated	3
2	3	2305	3X3 Renovated	3
2	3	2309	3X3 Renovated	3
2	3	2315	3X3 Renovated	3
2	3	2318	3X3 Renovated	3
2	3	2319	3X3 Renovated	3
2	3	2320	3X3 Renovated	3
2	3	2321	3X3 Renovated	3
4	1	4106	3X3 Renovated	3
4	1	4107	3X3 Renovated	3
4	1	4108	3X3 Renovated	3
4	1	4115	3X3 Renovated	3
4	1	4120	3X3 Renovated	3
4	1	4122	3X3 Renovated	3
4	2	4206	3X3 Renovated	3
4	2	4207	3X3 Renovated	3
4	2	4208	3X3 Renovated	3
4	2	4209	3X3 Renovated	3
4	2	4215	3X3 Renovated	3
4	2	4219	3X3 Renovated	3

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4	2	4220	3X3 Renovated	3
4	3	4306	3X3 Renovated	3
4	3	4307	3X3 Renovated	3
4	3	4308	3X3 Renovated	3
4	3	4316	3X3 Renovated	3
2	1	2106	4X4 Renovated	4
2	1	2112	4X4 Renovated	4
2	1	2117	4X4 Renovated	4
2	1	2124	4X4 Renovated	4
2	2	2206	4X4 Renovated	4
2	2	2211	4X4 Renovated	4
2	2	2212	4X4 Renovated	4
2	2	2217	4X4 Renovated	4
2	2	2224	4X4 Renovated	4
2	3	2307	4X4 Renovated	4
2	3	2312	4X4 Renovated	4
2	3	2323	4X4 Renovated	4
2	3	2324	4X4 Renovated	4
4	1	4102	4X4 Renovated	4
4	1	4110	4X4 Renovated	4
4	1	4114	4X4 Renovated	4
4	1	4118	4X4 Renovated	4
4	2	4214	4X4 Renovated	4
4	2	4218	4X4 Renovated	4
4	2	4223	4X4 Renovated	4
4	2	4229	4X4 Renovated	4
4	3	4305	4X4 Renovated	4
4	3	4310	4X4 Renovated	4
4	3	4312	4X4 Renovated	4
4	3	4314	4X4 Renovated	4
4	1	2107	4X4 Renovated	4
2	1	2111	4X4 Renovated	4
2	1	2116	4X4 Renovated	4
2	2	2207	4X4 Renovated	4
2	2	2216	4X4 Renovated	4
2	3	2306	4X4 Renovated	4
2	3	2311	4X4 Renovated	4
2	3	2317	4X4 Renovated	4
4	1	4105	4X4 Renovated	4
4	1	4126	4X4 Renovated	4
4	2	4205	4X4 Renovated	4
4	2	4212	4X4 Renovated	4
4	3	4318	4X4 Renovated	4
1	1	1104	4X4P Renovated	4
1	2	1201	4X4P Renovated	4
1	2	1205	4X4P Renovated	4

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1	2	1208	4X4P Renovated	4
1	3	1301	4X4P Renovated	4
1	3	1304	4X4P Renovated	4
1	4	1401	4X4P Renovated	4
1	4	1404	4X4P Renovated	4
1	4	1405	4X4P Renovated	4
2	1	2113	4X4P Renovated	4
2	1	2122	4X4P Renovated	4
2	2	2201	4X4P Renovated	4
2	3	2301	4X4P Renovated	4
4	1	4127	4X4P Renovated	4
4	2	4217	4X4P Renovated	4
1	1	1101	4X4P Renovated	4
1	2	1204	4X4P Renovated	4
1	3	1305	4X4P Renovated	4
1	4	1408	4X4P Renovated	4
2	1	2110	4X4P Renovated	4
2	2	2210	4X4P Renovated	4
2	2	2213	4X4P Renovated	4
2	2	2222	4X4P Renovated	4
2	3	2310	4X4P Renovated	4
2	3	2313	4X4P Renovated	4
4	1	4111	4X4P Renovated	4
Total		160 Units		462 Beds

EXHIBIT C
FIXED RENT SCHEDULE

AY26 MLA Unit Mix		
Floorplan	Bedcount	Rate
STDL	40	\$1,533
1x1	1	\$1,455
3x3 Renovated	165	\$1,024
4x4 Renovated	152	\$924
4x4P Renovated	104	\$966
Total / Avg	462	\$1,023
Total Monthly		\$472,647
Total Annual		\$5,671,764

1. Internet, gas, trash, stormwater are all included. In addition to the Fixed Rent amounts above, Electricity and Water/Sewer are charged back to the resident on a pro-rata share of usage. Owner will supply allowance for each Resident's electric charge as follows: \$40/month for studio and 1 bedroom; \$70/month for 2 bedrooms; \$90/month for 3 bedroom apartments; \$120/month for 4 bedroom apartments. Resident is responsible for any usage billed over the conservation cap.

EXHIBIT "D"

RULES AND REGULATIONS



UNIVERSITY
P A R T N E R S

UNIVERSITY PARTNERS

RESIDENT
HANDBOOK

VERSION 4.0

UP RULES – VERSION 4.0

UNIVERSITY PARTNERS (UP) RESIDENT HANDBOOK

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WELCOME

Welcome to your community! We are pleased that you have chosen to make your home with us and we are excited about having you as a resident. Our goal is to provide exceptional service to our residents. We treat all residents with respect, enthusiasm, and a positive attitude in every encounter.

The policies and guidelines contained in this Handbook are attached to and made a part of your University Partners Apartment Lease Contract (the "Lease"). As such, you should read this Handbook carefully as you agree to be bound by these policies when you sign the Lease. You are also responsible for your guest's compliance with all policies and guidelines. Violations of rules contained in this Handbook may be subject to fines leading up to eviction. We have a right to change this Handbook from time to time as we deem necessary. Any changes to this Handbook will be effective and a part of the Lease once they have been delivered to you or posted in a public area of the community used for such purposes.

The terms "you" and "your" refer to all residents listed on the Lease. The terms "we," "us," and "our" refer to Management/Owner listed on the Lease. All terms in this Handbook shall have the same meaning as in the Lease.

Everyone in a community has the responsibility to maintain the safety and well-being of the community, to maintain the condition of the facility, and to take initiative and action if there is a violation of community standards. Your presence during any violation of the community's standards or policies ultimately condones, supports and/or encourages violation(s). You are responsible for the choices you make. If you witness a crime, please call 911 immediately, and then contact the management office.

We require that the Tenant purchase Renter's Insurance to protect yourself against loss to your personal property, as well as any damages to property in the community caused by your failure to comply with the policies and guidelines of your Lease and this Handbook.

Our vision is that individuals accept ownership of and take responsibility for resolving conflicts and problems in their community. In the event that a conflict arises that you have not been able to resolve, please do not hesitate to contact us.

THE LEASE

Occupancy Standards

The number of occupants is limited according to the terms of the Lease and/or the Lease Application or as contained in the Statement of Rental Policy as posted in the leasing office of the community. You are required to comply with these limitations.

Rent Payment

Payments are due on the first day of the month. You can pay the rent at the management office or take advantage of one of our online options.

Returned Checks

A returned check fee, plus applicable late charges will be assessed on all checks returned by a bank for any reason. Non-Sufficient funds checks will not be re-deposited. Returned checks must be redeemed by money order or cashier's check within 48 hours of notification. Late charges will be charged from the first late day.

After two returned checks, we will no longer accept checks, electronic checks or ACH payments for your rent payment, or any other charges owed.

It is your responsibility to ensure that all rent payments and other charges are paid on a timely basis. All monies received from you will first be applied to any outstanding debts such as delinquent rent, late fees, NSF's, utilities, charges for damage repair, etc. Any remaining monies will then be applied to the current month's rent. If any amounts are deducted to cover a balance, your current month's rent will not be considered paid in full and late fees will be assessed.

Utilities

The Utilities Section of the Lease Agreement specifies which utilities are provided by us and included in

your rent and which utilities are not provided by us. You and your roommates will be responsible for establishing service with the provider for any services that are not included in your rent. You will be billed and must pay those utilities directly to the utility companies, along with any deposits and fees. Where applicable, if you desire additional cable channels, if available, that will be at your expense, and you must contact the appropriate provider. You and your roommates are jointly liable for the payment of services not provided by us.

You should refer to the section on Keys under Protecting Yourself in this Handbook for the procedure on authorizing access to a guest in your absence.

YOUR APARTMENT HOME

Appearance

Patios/Balconies

You agree to keep neat and clean all patios and balconies of the apartment, and you will not use these areas for the storage of automobile parts, motorcycles, firewood or other unsightly or heavy items, or to dry clothes or towels. Only outdoor furniture (no upholstered furniture) and related patio items may be placed on any patio or balcony. Use of butane or charcoal grills on the patio is not allowed. No unsecured plants may be permitted on the ledges or protruding from the railing. You should never have more than six people on a balcony at any given time. No motorbikes or mopeds are allowed. The storage of bicycles on patios and balconies is subject to approval by management. Throwing anything off a balcony such as cigarette butts and glass bottles, etc. is subject to a fine.

Flags/Signs

Signs, school flags and banners may not be hung from patios, balconies, in windows or from any area that would be visible from the exterior without prior approval by management.

Antennas/Satellites

For safety reasons and to maintain the appearance of the community, we do not allow any kind of outside antennas or satellite dishes to be installed unless approved in writing by us, prior to installation.

If you wish to install a satellite dish, you must send written notice to us prior to installation. You agree to pay any additional security deposit required for installing the satellite dish.

The satellite dish and all wiring must be installed within your apartment, or within the confines of your patio or balcony attached to your apartment. The satellite dish must not extend beyond the edge of the patio or balcony railing and cannot hang out of a window. The dish must be installed in a manner which minimizes its visibility from the exterior.

The satellite dish may not be installed by drilling holes in railings, exterior walls, or any other location. The only acceptable way to install the satellite dish is with a removable clamp or tripod that does not require intrusion into building. The dish must be mounted securely so that it cannot be dislodged. A removable "through the glass" transmitter must be used to transmit the signal from the dish to the interior of the apartment.

You are liable for any injury or damage to persons or property caused by the dish; therefore, you must maintain liability insurance to cover any such injury or damage as long as you have the satellite dish in the community. You must provide proof of insurance (insurance certificate) as set out in the Lease. The satellite dish is installed and operated at your own risk.

We reserve the right to approve all mounting of outside antennas and satellite dishes. Not all apartment locations are positioned for good satellite reception; however, transferring to another apartment for better reception is not permitted unless the current lease has expired. You must re-apply and follow all procedures.

Windows/Draperies/Screens

Windows and doors cannot be obstructed by you. If we provide blinds on the windows, they cannot be removed by you. If you install draperies or blinds, you must remove them at the end of the Lease term and any damage to restore the apartment will be at your expense. All window coverings must show a white backing - this includes both drapes and blinds. Aluminum foil, tinting, cardboard, signs, etc. may not be placed over windows where they can be seen from the exterior. If you install draperies over blinds, any damage will be repaired and charged to you. Holiday decorations are allowed but must be removed

within one week of the holiday.

Window and patio door screens must not be removed, and nothing shall be thrown out of the windows or doors – any damage will be repaired and charged to you. You cannot leave windows or doors open during inclement weather. You will be responsible for any damage, including, but not limited to, paint, walls, cabinets, carpets, and floors resulting from failure to exercise reasonable care.

Decorating/Painting/Wall Hangings

No structural changes or additions may be made to the exterior of the building, including the front entrance, patios, and balconies. No alterations may be made to your front door or entrance to your apartment. Front door mats are encouraged, but we reserve the right to remove mats that are not designed for outdoor use, such as carpet remnants. Colored light bulbs are prohibited in all exterior fixtures.

On the interior of your apartment, you have the freedom to decorate by hanging pictures or other decorative objects. Stickers, including glow in the dark stars, double-sided adhesive foam or tape are not allowed on walls, ceilings, windows, cabinets, exterior or interior doors. It will be your responsibility to return the apartment back to the original condition or you will be charged.

All shelf paper, tub or shower decals, shelf brackets, hooks, towel holders must be removed. If you put these on walls, ceilings, doors, etc., there will be a charge for removal.

Trash

All trash should be bagged and placed inside the compactor or dumpster designated at the community and should not be left in the apartment, breezeways, or other common areas. First violations carry a \$25 fine, and at management's discretion, can increase with repeated violations, to a maximum of \$100 per occurrence. Cigarette butts must be disposed of properly and are not allowed to be thrown on the exterior. Do not put hot ashes or coals, paint, chemicals, motor oil or other hazardous materials of any nature whatsoever in any trash receptacles, dumpsters, or similar containers. We have the right to impose reasonable fines for the violation of these provisions, as well as for any littering by you or your guests.

Grills

Fire regulations prohibit using or storing gas or barbecue grills in and around buildings, including patios and balconies, and around heavily wooded areas. You should use grills in accordance with local ordinances (see community representative for restrictions).

Personal Property Restrictions

You and your guests are not allowed to place any unusually heavy objects on the floor, i.e. pool tables, waterbeds, etc. You and your guests agree not to store firearms, explosives, gasoline, dangerous or hazardous materials in your apartment, storage facility, balcony or vehicle while parked on community grounds.

Bicycles

Please use designated bicycle racks or carefully store bicycles in your apartment (bicycle hooks are not allowed). You must ride responsibly through the community and obey all posted traffic signs. The operator of a bicycle must not interfere with the right-of-way of pedestrians. Due to life safety concerns, bicycles found in patios, balconies, hallways, breezeways, stairways, blocking public access or exits will be removed and impounded (a fee may be imposed for removal and storage). To protect your bicycle from theft, get a quality lock and properly secure it to the bicycle rack. Bicycles may not be secured to any tree, shrub/plant, stairway, or walkway handrail, light or signpost, etc. Bicycles must be removed on your scheduled move-out day or will be considered abandoned.

Bed Bug General Information

Residents shall promptly notify University Partners Management of any conditions that may indicate the presence of bed bugs.

Bed bugs do not enter homes from the natural outdoor environment; instead, they must be introduced into the home from a previously infested environment. This can occur by picking them up during travel, bringing them in on used furniture, introduction by guests or visitors, or other means. Often the exact source of the infestation is unknown. Once in the home bed bugs will seek small, protected areas to hide. Infestations can grow rapidly and persist indefinitely until proper corrective actions are taken.

In case of infestation, resident is responsible to follow all protocols or directions from University Partners Management. The cost of treatment will be the sole responsibility of the resident.

In case of infestation:

- Follow all guidelines set forth by the extermination vendor to ensure a timely rectification of the issue.
 - DO NOT throw out bed or other furniture. This can spread the problem and new items will likely become infested anyway. Purchase an encasement cover for your mattress. The brand encasement recommended by a certified professional and tested thoroughly is "Protect a Bed" you may find them at <https://www.livecomfortably.com/protect-a-bed>.
 - DO NOT change where you sleep. The bed bugs will follow, and this will only spread the problem.
- Launder bed linens regularly in hot water cycle (140 Degrees Fahrenheit or hotter)
- Pull beds away from the wall so the bed and wall do not contact each other.
 - Eliminate cluttered/crowded conditions (piles of clothing, boxes filled with personal items, crowded closets, etc...) The more crowded and/or cluttered the more difficult it is to achieve control.
 - Do not store items underneath beds.

PROTECTING YOURSELF

Crime

We do not guarantee or assure your personal security and our actions are voluntary in an effort to reduce the risk of crime at the community. You agree that the provision of safety devices and patrol services will not constitute a guarantee of their effectiveness nor impose an obligation to us to continue providing these services. If you witness a crime, please call 911 immediately, and then contact the management office.

Personal Safety

Though we do our best to provide a safe living environment, no community is immune to crime. The following guidelines will provide you with basic, easy-to-follow tips to help you protect yourself.

Inside your Apartment

We recommend you follow the important safety guidelines inside your apartment as follows:

- Dial 911 for emergencies. If an emergency arises, call the appropriate governmental authorities first, and then call the management office.
- Lock your doors and windows – even when you are inside.
- Use night latches or dead bolt locks on the doors while you are inside.
- When answering the door, see who is there by looking through a window or peephole. If you do not know the person, first talk with him or her without opening the door. Do not open the door if you have any doubts.
- If you return to your residence and you think it has been entered illegally, do not enter. Call 911.
- Never give out keys, gate, or lock combinations. If lost or stolen, call us immediately to re-key and you must pay a fee for us to re-key the locks.
- Keep a complete list of the serial and identification numbers of computer, television, DVD player, stereo, etc. This will greatly aid in recovering stolen goods.
- Keep valuables out of sight by drawing curtains or blinds and storing such items away from windows.
- Never leave a note on your door stating you are not home.

Do not display apartment keys in public or carelessly leave them in the mail area, at the pool, or places where they can be easily stolen.

- Do not put your name, address, or telephone number on your key ring.

- Check your smoke detector monthly for dead batteries or malfunctions.
- Check your door locks, window latches and other security devices regularly to be sure they are working properly.
- Close and latch your windows while you are gone, particularly when you are on vacation.
- Leave a radio or television playing softly while you are gone.
- Immediately report the following to us in writing, dated and signed:
 - Any needed repairs of locks, latches, doors, windows, smoke detectors, and alarm systems; and
 - Any malfunction of other safety devices outside your apartment such as broken gate locks, burned-out lights in stairwells and parking lots, blocked passages, broken railing, etc.
- Close curtains, blinds and window shades at night.
- Mark or engrave identification on valuable personal property.
- Use lamp timers when you leave for extended periods of time.
- In a residential community, screaming may sound like horseplay. In an emergency, be specific by shouting "Help!" "Police!" or "Fire!"

Outside your Apartment

We may provide systems or services such as courtesy officers, courtesy patrols, electronic alarm systems, emergency alert buttons, pedestrian gates, limited access vehicle gates and gate houses (manned and unmanned). These services or systems are not a guarantee of personal safety or security, and they are not a guarantee against criminal activity. We have the right to alter or cancel any of these systems or services with notice. We have no duty of security or personal safety except to proceed with diligence to repair any systems after notification that such systems are not working. In the case of an emergency please dial 911. We recommend you follow the important safety guidelines outside your apartment as follows:

- When returning to your apartment late in the evening, if possible, always use the main property entrance or commonly used walkways.
- Lock your doors while you are gone.
- Tell your roommates where you are going and when you will be back.
- Do not walk alone at night.
- Do not hide a key under the doormat or a nearby flowerpot. These are the first places a burglar will look.
- Do not give entry codes or electronic gate codes to anyone.
- At all hours, carry your door key in your hand when nearing your entry door. You are more vulnerable when looking for your keys at the door.
- If you notice suspicious people loitering around the property, report them immediately to the proper authorities. DO NOT confront them yourself.

Please report any malfunctioning lights to the management office.

Renter's Insurance

You are responsible for obtaining renter's insurance for your personal belongings and to protect yourself against any liability. You understand that the insurance in place for the community does not provide coverage for your personal belongings, property damage or bodily injury. If you or your guests suffer a loss due to fire, flood, vandalism, theft, or other cause of loss, that loss will not be covered by the community's insurance. In general, renter's insurance is not expensive, and can provide substantial protection and peace of mind. Depending on the property, insurance may be required. Please verify with management.

MAINTENANCE

Service Requests

We offer 24-hour response to emergency service requests. Call 911 in case of fire or other life-threatening situations. For After-hours Emergencies (as defined below), call the management office number and explain the situation. They will contact the proper service personnel. For normal service requests, please follow the instructions provided with the Lease.

In an attempt to effectively manage after-hours calls, we categorize calls into two categories: After-hours Emergencies and Priorities.

- An After-hours Emergency is defined as any breakdown or malfunction in which life, health, or property is threatened if immediate corrective action is not taken. Though it is impossible to list or predict all possible scenarios, the list below includes acceptable oncall emergencies.
- Priorities are calls that do not conform to criteria for an After-hours Emergency – in short, they are routine service calls which can wait until morning, at which time the work order will be given priority status. You can be fined for requesting an After-hours Emergency when the call is an obvious routine service call.

After-hours Emergencies

Examples of After-hours Emergency requests are as follows:

- No heat or air-conditioning when outside temperatures are less than 50 degrees or higher than 80 degrees.
- Electrical or gas failure of any nature
- Overflowing toilet
- Stopped up toilet if only one is available in apartment.
- Water problems such as leaks, severe back-up, or broken pipes
- Malfunction of an essential appliance (non-working refrigerator)
- No water
- No hot water when affecting more than one unit, or when exterior temperatures are below 50 degrees (10 °C)
- Any unsecured entry to a residence
- Any threatening situation such as: fire, flood, severe weather, police action, protecting a crime scene (broken windows, locks, doors, etc.)
- Biohazards
- Lockouts

Lockouts

If you inadvertently lock yourself out, you can call the leasing office number. If it is after hours, listen to the prompts from the answering service in order to receive the best service. You will be required to provide photo identification and the service personnel must be able to identify you by pulling your file or the housing roster.

Property Appliance Usage

Central Air & Heat (HVAC)

If your apartment is equipped with individual unit central air and heat, an ideal temperature setting is between 71° – 74° (21 – 23 °C), with the minimum to maximum range being from 68° – 78° (20 – 25 °C) (please be aware that setting your thermostat to temperature extremes may cause damage to your HVAC unit). You should allow a minimum of ten minutes for the temperature to adjust (choosing an extreme

setting will not bypass this 10+ minute adjustment). If at any time you find that your thermostat is malfunctioning or for more detailed operating instructions, please call us.

HVAC Filters

At move-in, your HVAC filter will be new. Twice yearly, our maintenance team will schedule your filter to be replaced. Additional replacements can be requested at any time by calling the management office.

Pest Control

If your property provides interior extermination services, you will receive a letter notifying you as to when the company will exterminate your apartment. You can prepare for extermination service as follows:

- Remove all items from under kitchen and bathroom sinks.
- Pick up objects that may interfere with application.

It is recommended that all persons and pets vacate the premises during treatment and not reenter the home until treated floors, carpets and rugs are thoroughly dry. Under normal conditions, this may take 1 - 3 hours (4 hours are recommended).

- Turn off aquarium air pumps and cover tanks and pumps with plastic wrap.
- Pumps can be restarted about 3 hours after treatment.

Furniture

The supplied furniture is designed for interior use only and may not be used for exterior purposes at any time, including patio. A labor charge will be assessed for furniture that must be removed from the exterior to the interior, as well as for any damage to the furniture. Any furniture provided by you must be removed at the end of your lease term or you will be assessed charges for the removal of such items. Any such items will be considered abandoned upon change of possession of the bed space/unit.

Smoke Detectors

The smoke detectors in your apartment have been tested prior to move-in to ensure they are working properly. You are responsible for ensuring that all smoke detectors are working properly. We request that you test smoke detectors on a monthly basis. Please call us if a smoke detector starts "chirping" or if it is determined through monthly smoke alarm tests that the smoke alarm is not working properly.

Do not disable smoke detectors. Disabling a smoke detector or removing working batteries is a violation of the law and may lead to fines and possible early termination of the Lease.

YOUR COMMUNITY

Package Release

We will accept delivery of your packages, provided you have signed the University Partners Rules Acknowledgement Form. You will be required to provide identification and to sign the Package Log in order to pick up packages from the office. We will not accept delivery of any item that must be placed inside your apartment, i.e., furniture, or C.O.D. deliveries. We accept no responsibility or liability for any packages, including perishable items or those lost or damaged.

Gates/Access

If the community is controlled access, you and your guests will be expected to understand and abide by the instructions for use. If you have any questions regarding controlled access operations, you should ask a community representative.

Controlled access entries are not intended to provide security or to ensure your safety or that of your guests.

You will be issued a card, or a remote entry device. If an entry device is lost, please call us. If an entry device is not returned to us at move out, or is lost, a replacement fee will be assessed. Use caution when approaching the gates. Only one car at a time may enter. If you try to follow someone else into the community, the barrier arm/gate can, and most likely will hit your car. Should this occur, and the gate is damaged, you will be responsible for repairing such damage. Never obstruct the functioning of the gates by propping them open. Many gated entrances are monitored by cameras to ensure compliance with all rules for entrance into the community. These systems are designed to allow only those users who are authorized to enter.

- Do not allow children or pets near the gates.
- Do not enter through the exit gates. This is very dangerous and should not be attempted.
- Do not walk through the gates.
- Do not push gates with vehicles.
- We will not be liable for any damage to your or your guest's vehicle.

Access Devices

You may be issued an access device for entry into the buildings and/or amenities. Only one access device will be issued per resident. The access device must be with you at all times when using the amenities. If you lose the access device or fail to turn it in with your keys at the end of the Lease, Tenant will be charged a replacement fee. A replacement device will not be issued until we receive the replacement fee.

Parking

You and your guests are required to abide by all parking regulations established by us, as well as by the local police and fire marshal. These rules apply to all motorized vehicles, including cars, motorcycles, and scooters. We are not responsible for any damage to your or your guest's vehicle while parked at the community. For additional rules, please refer to the Statement of Rental Policy posted in the leasing office. If the conditions for parking are not met, your vehicle may be towed without notice at your expense. It is your responsibility to make sure your guests understand these parking rules and regulations as their vehicles may be towed at their expense if parked improperly. You and your guests agree to abide by the rules regarding parking:

- Any illegally parked vehicles, vehicles with expired tags, or vehicles violating the regulations below or any other applicable regulations may be towed at the expense and sole risk of the owner of the vehicle.
- Parking will not be allowed in the area marked as future residents either one before or one hour after regular business hours.
- You may not have more than one (1) vehicle in the community at one time, and your vehicle must be properly registered with our office. Additionally, two-wheel motorized vehicles may be allowed, subject to the rules of the community.
- Vehicles may not, at any time, park in a fire lane, in front of a dumpster, in any non-paved area, in crosswalks, in designated handicapped spaces, without legal permission, or in any other unauthorized location. Vehicles may not block entrances, exits or driveways. Vehicles cannot, at any time for any reason, park in reserved parking, whether covered or uncovered, unless assigned by us. Any vehicle parked in any of the above areas may be towed at the vehicle owner's expense and sole risk.
- Boats, recreational vehicles, trailers, campers, commercial vehicles, and trucks larger than the standard size may not remain on our property except for the purpose of loading and unloading, though we reserve the right to permit these vehicles in designated areas.
- You may not perform any mechanical repairs or maintenance while on the premises. You may only wash your vehicle in a designated area, if provided.
- You agree to inform your guests of all parking rules and restrictions.
- Our towing company frequently, and at random, without being called by us, tows any vehicle that is inoperable, has an expired or invalid license plate, expired temporary license plate, is in violation

of any of the other provisions of the Lease, Parking Addendum, and this Handbook. This is the only notice you will receive. You will be towed, if you are not permitted or parked correctly or you are not in compliance with all other state or local requirements, with no further notice.

- You are responsible for towing and storage charges. We are not responsible for tickets received for parking violations in any area within our community or anywhere else outside our community.
- Tenants may not transfer, sublet, or re-let this Lease or Parking Space without Management's written approval.
- Management is not responsible for any loss, expense, injury or damage to any person or property caused by items including but not limited to theft, fire, ice, snow, rain, water, or leaks. Management is not responsible for other people parking vehicles in the Tenant's Parking Space.
- Tenant agrees that Tenant is responsible for all personal property of Tenant, Tenant's guests or persons invited by Tenant in or on the Property, including loss, damage, costs, injury, or death caused by Tenant, Tenant's guests or persons invited by Tenant or for any claim due to acts or from any failure to act by Tenant, Tenant's guests or persons invited by Tenant.
- Tenant has broken this Addendum if Tenant (i) fails to pay Rent or Additional Rent when it is due, (ii) does anything which is not permitted by this Addendum, (iii) fails to do anything which is required by this Addendum, or (iv) gives Management false information, including but not limited to information or signatures on this Addendum.
- The following are in addition to rights of Management under the law. If Tenant breaks any condition of this Addendum or any Addendum to the Lease, Management can collect or go to court to collect (i) any past due Rent, (ii) any money which is due for the Term from Tenant, (iii) for damages caused by Tenant or Tenant's breaking any conditions of the Lease or Tenant's doing of any act which is not permitted by the Lease, and or provide notification to Tenant the Lease has been broken, Management may have vehicle removed from parking space at Tenant's expense.
- By signing the lease, the tenant agrees to give up the right to receive any notice from management to vacate the parking space before management takes legal action against the tenant.

Parking Permits

- If your community requires a parking permit (decal), you expressly agree to display that permit on the lower corner of the driver's side of your front windshield. Vehicles violating this provision may be towed at the vehicle owner's expense.
- Two-wheel motorized vehicles are subject to these requirements.
- A parking permit allows you to park on premises upon availability. A permit in no way guarantees the availability of parking in front of your building or even on the property. A permit does not allow vehicles to park in fire lanes, crosswalks, designated handicapped spaces, in front of dumpsters, blocking entrances, exits or driveways, or to park in any other unauthorized area.
- Parking permits become invalid at the end of the Lease term or earlier, if the Lease is terminated either by the community or you, or if you sublease your apartment.
- Permits expire prior to the start of each fall academic term. If you renew the Lease, you must obtain a new permit from us at the end of your current lease term. Your old permit will not protect you against towing.
- Parking permits are valid only on the vehicle registered on the Parking Addendum. Permits are non-transferable between vehicles or between residents and non-residents.
- The community reserves the right to revoke parking permits at any time, for any reason.

Guest Parking

- Where applicable, your guests must park only in designated guest or visitor parking, and they are subject to all the provisions herein.
- If your community requires guest parking permits: Plan to secure one for your guests during business hours only. We reserve the right to limit the number issued each day and to issue none on

any day we choose. There is no guest or visitor parking area, only guest permits. Guest permits must be clearly in view in the area specified by management when you sign out the permit. (It is your responsibility to ask management where the guest permit should be placed). If the permit is not visible for any reason, the car could be towed at your expense.

YOUR CONDUCT

You are responsible for the consequences of your guests' actions. You should inform your guests of conduct rules and accompany them within common areas at all times.

We may exclude from the community guests or others who, in our judgment, have been violating the law, violating the Lease or any apartment rules, or disturbing other residents, neighbors, visitors, or owner representatives. We may also exclude from any outside area or common area a person who refuses to show photo identification or refuses to identify himself or herself as a resident, occupant, or guest of a specific resident in the community.

Drugs and Alcohol

You or your guests will not engage in or permit your apartment to be used for criminal activity, including drug-related criminal activity and will not engage in the manufacture, sale, or distribution of illegal drugs at any location, whether on, or near, the community. It is your responsibility to notify the proper authorities if you suspect a roommate or a guest is engaged in illegal activities.

Possession and consumption of alcoholic beverages must be in full compliance with local, state, and federal laws and regulations and in accordance with these rules and regulations.

Violation of the above shall be a material violation of the Lease and may be cause for termination of tenancy. A termination does not release you from your financial obligations under the Lease.

Smoking

Smoking is prohibited in all units and common area facilities, including the business office, community center, fitness center, pools, etc.

Cigarette butts must be disposed of in the proper receptacle and not left on the ground. If you fail to comply, a fine will be imposed by us.

Any damage to furniture, carpet, paint etc. will be the responsibility of the resident.

Overnight Guest Policy

Guests are permitted to visit residents following the outlined timeframe: a maximum of 9 days with no more than 3 consecutive nights.

EXHIBIT "E"
LANDLORD'S REPRESENTATIONS

1. The Landlord shall provide an attractive, well-maintained facility (or facilities) which meets all the appropriate fire, health, building and safety codes of the State of Alabama, the City of Tuscaloosa, Tuscaloosa, County and the terms and conditions of this Request for Proposal.
2. The Landlord shall supply all of the necessary utilities including but not limited to water, sewer, garbage, electricity, cable and high speed internet (wireless internet is acceptable) and maintain the infrastructure for utilities.
3. The Landlord shall pay all taxes and insurance requirements.
4. The Landlord will provide maintenance of the grounds, including mowing, sweeping, landscape maintenance, and trash/garbage removal.
5. The Landlord will provide maintenance services, to include, all plumbing repair, all electrical repair, maintenance of heating ventilation and air conditioning (HVAC) systems, carpentry work, painting, plaster repair, window repair, and maintenance of the basic structure of the building(s) (roofs, windows, doors, gutters, sidewalks, etc.)
6. The Landlord must have a clearly defined preventative maintenance program during the contract period and this program must be included in the vendor's proposal.
7. The Landlord must provide an on-site live-in maintenance person and/or a 24-hour on-call emergency maintenance service. Landlord must respond and initiate repairs with one hour to emergency calls, 24-7. Emergency calls are defined as ceiling leaks, no electricity, no HVAC, no hot water, clogged commodes (depending on each unit).
8. The Landlord must provide housekeeping services, to include regular sweeping and cleaning of all external hallways, stairwells, laundry facilities, entries, and public areas.
9. The facility (or facilities) must be secure with working dead-bolt locking systems in exterior entrance doors and apartment entry and hallways. The Landlord must provide exterior lighting at all entrances. All doors to individual rooms/apartments must have security devices. Facilities must meet the approval of the University Department of Public Safety.
10. The Landlord must provide a fully automatic, addressable fire alarm system that transmits an emergency signal to police (city or campus) or fire department. The system signal must indicate the location of the trouble by building, floor and apartment with interconnected alarm devices showing smoke detection, heat detection (from kitchens and furnace rooms), with internal audible and visual alarm devices in each apartment. The alarms will notify occupants in each building if an alarm is activated. Other buildings in a complex may not be notified simultaneously. A central alarm panel may be installed to monitor all devices from each facility at one location.
11. Apartments in a facility offered by the Landlord must include in each kitchen unit a full size frost free refrigerator, microwave, and an electric range. The Landlord must be responsible for maintenance, repair, or replacement of each.
12. The Landlord must provide the Tenant with sufficient keys or similar to provide each individual tenant one key. Tenant reserves the right to request keys for each unit, at no additional charge, should this become necessary.
13. The Landlord must certify the leased space to be free of friable asbestos and lead based paint.
14. The facility (or facilities) must provide adequate well-lighted on-site parking. Lighting must meet the Illumination Engineering Society of North America standards for parking lots.
15. The successful bidder should demonstrate flexibility based upon the needs of students that may be part of an affinity housing arrangements, e.g. student athletes needing extra-long beds, Greek students needing to live in close proximity to other members, etc.
16. It is preferable that the Landlord provide adequate sheltered bus stops at the property for residents while waiting on the service.
17. The Landlord must provide individual washers/dryers within the units or offer coin operated laundry on site.
18. Tenant will provide:
 - Housekeeping of individual units at end of semesters or as room changes occur, unless provided satisfactorily by the Landlord
 - Payment for rent on a monthly basis
 - Assignment of residents
 - Necessary staffing to support the programmatic needs of the UA residents living within the facility

- Residents will be subject to the rules and regulations of Housing and Residential Communities.
20. Landlord shall conduct a thorough criminal background check on all employees providing service to the Tenant, which shall include drug testing. If a Landlord employee's background check reveals any convictions or there is a positive finding of drug use on the drug test, Landlord shall not assign that employee to work on the Premises or University campus, unless otherwise agreed to in advance by the Tenant.
21. Landlord employees must conduct themselves at all times in a pleasant, courteous manner toward the public, providing excellent customer service. Operations shall be conducted in an orderly and proper manner so as not to annoy, disturb, or be offensive to others. Landlord and its employees will comply with all applicable ethical standards. Landlord's employees shall comply with and adhere to applicable University policies which address such matters as sexual harassment and other matters. The policies can be found at: <http://policies.ua.edu/>.
22. Landlord shall be responsible for the acts of its employees and agents while performing services for the Tenant.

Exhibit "F"

INSURANCE REQUIREMENTS

Landlord shall procure and maintain, at its expense, the following minimum insurance coverage insuring all services, work activities and contractual obligations undertaken in this Contract. It is the Landlord's responsibility to verify compliance of their company's insurance coverage with the following requirements and to obtain pricing to meet these requirements prior to submitting the solicitation response. These insurance policies must be with insurers acceptable to Tenant.

To the extent allowed by Alabama law and to the extent the claims are not barred by Tenant's immunity pursuant to Article I, Section 14, Constitution of Alabama of 1901, as amended, and the eleventh amendment of the U.S. Constitution, Tenant will indemnify, defend and hold Landlord and Managing Agent harmless from and against any and all claims, liabilities, damages and expenses (including reasonable attorneys' fees) incurred by Landlord or Managing Agent, arising from the breach of Tenant's obligations under this lease or the acts or omissions of Tenant, its Subtenants and Subtenants' guests.

Tenant shall procure and maintain, at its expense, the following minimum insurance coverage:

General liability insurance coverage, including a minimum (\$50,000) liability coverage per incident.

Tenant shall assume all risk of loss or damage for property loaned, leased, rented to, or in possession of Tenant and provided by Landlord in the Apartments.

These limits may be accomplished through a combination of primary and excess/umbrella liability policies written on a follow form basis. A Landlord with a desire to self-insure part or all of insurance requirements outlined above must provide audited financials for the most recent fiscal year for review and acceptance by the University. If Landlord is owned by a parent company and the financials are in the name of the parent, the University requires a parental guarantee contract for the obligations accepted by the Landlord. The University reserves the right to review and revoke self-insure status, if Landlord's financial ratings with Standard & Poor and/or Fitch ratings drop below investment grade, there is a material change in the audited financials, or Landlord entity is acquired or there is any change in the ownership structure.

TYPES OF INSURANCE	BASIC INSURANCE POLICY MINIMUM LIMITS OF LIABILITY
Worker's Compensation	Statutory - Alabama
Employers Liability (EL)	\$500,000 (each employee, each accident and policy limit)
Commercial General Liability (CGL) Each Occurrence Personal and Advertising Injury General Aggregate	\$1,000,000 \$1,000,000 \$2,000,000
Commercial Automobile Liability (CAL) (Including Hired & Non-Owned Vehicles)	\$1,000,000 each accident - combined single limit
Umbrella Liability or Excess Liability (Excess must follow-form and attach above primary CGL, CAL & EL)	\$4,000,000

Unless precluded by law, all policies of insurance maintained by Landlord or Tenant shall waive the right to recovery or subrogation against the Board of Trustees of The University of Alabama, its individual trustees, officers, directors, employees, agents and representatives and against Landlord, its agents, representatives, and affiliates respectively. Coverage is to be primary and non-contributory with other coverage, if any, purchased by the University.

The insurance policies shall be issued by an insurance company licensed to do business in the State of Alabama carrying an AM Best's rating of A- VII or better, and the certificate must be signed by an authorized agent.

In the event the Landlord was to cancel or non-renew the required insurance program, the Landlord must provide prompt written notice to the University explaining the situation. Failure to maintain the required insurance program may result in cancellation or suspension of the Contract until a replacement certificate of insurance evidencing coverage in compliance with the University's insurance requirements is provided. The Landlord may elect to change insurance carriers without prior approval, but any replacement policy(s) must provide equal coverage and the insurance company must meet the minimum financial rating (A.M. Best's A-) established by the University.

Entity Name: The University of Alabama
Event Number: 13062
Event ID: UA25-109
Event Name: Lease of Off Campus Apartments
Requested By: Amy Chambley
Created By: Amy Chambley
Due By Date: 02/26/2025 02:00 PM Central Time
Effective Start Date: 08/01/2026
Effective End Date:
Q&A Cutoff Date:
Invitation Type: Invitation Only
Assigned Commodities: none
Allow Supplier Terms and Conditions: No
Public Responses: No
Display Awardee: Hide
Posting Board Status: Published
Posting Board Expiration: 05/05/2025
Event Status: Event Under Review

Section #: Name:

1 Section 1 - Solicitation Contact Information

1.1 Solicitation Contact Information

All communication with the University regarding this Solicitation shall only be directed to the following University Purchasing Officer:

Amy Chambley
atchamb@ua.edu
205-348-0063

Please reference the Event ID and Event Name on any communications.

1.2 Accessing Solicitation Within ESM Sourcing System

This Solicitation is being administered through the University's electronic system, ESM Sourcing. All Bidders should view and respond to this Solicitation through the ESM Solutions system. See comprehensive Submission of Bids instructions in the Solicitation Instructions and Terms section below.

If you have already registered with ESM Sourcing and have been invited to this Solicitation no further action is needed.

If you have not registered with ESM Solutions or are not familiar with the process in general, please refer to the following information: <http://purchasing.ua.edu/open-bid-and-award-status/>

Once your registration is completed, you may request to be invited to this Solicitation by emailing the Purchasing Officer above. Please be sure to include the Event ID, Event Name and your Supplier Name as registered with ESM with your request.

2 Section 2 - General Specifications

2.1 GENERAL SPECIFICATIONS

The University of Alabama requests sealed bids as per attached general and technical specifications or equal unless otherwise specified in the Special Conditions.

All Bidders submitting a bid should read all specifications carefully and respond accordingly. Failure to do so may eliminate your bid from consideration due to non-compliance.



A. Pursuant to the provisions of the State of Alabama Competitive Bid Law, Article 5 of Section 41-4 of the Code of Alabama, rules and regulations adopted there under sealed bids will be received on the items noted herein by The University of Alabama Purchasing Department until the date and time stated above. In accordance with Alabama State Bid Law Section 132, where applicable, the University reserves the right to enter into negotiations with the lowest responsible Bidder.

B. The University's [General Terms and Conditions](#) apply to this Solicitation and shall become a part of any contract issued hereunder.

C. For purposes of this Solicitation, the terms and conditions shall consist of the following components:

- a) Invitation for Bid and any Addenda;
- b) [General Terms and Conditions](#)

In the event that any provision of the component parts of the Solicitation conflicts with any provision of any other component parts, the component part first enumerated shall govern.

D. Any deviations from the terms and conditions of this Solicitation should be specifically defined. If accepted by the University, the deviations shall become part of the contract, but such deviations should not conflict with the basic nature of this solicitation.

Bidders shall not submit their standard terms and conditions or purchase order terms as exceptions to or modification of the terms and conditions of this Solicitation. Each exception to or modification of a University term and condition shall be individually listed by the bidder. Failure to follow this instruction may result in the determination that a bid submission is non-responsive to a solicitation and the rejection of that bid. Bidder exceptions should be attached to your response using the Vendor Attachments section of the solicitation.

E. This Agreement and any disputes hereunder shall be governed by the laws of the State of Alabama without regard to conflict of law principles.

F. Any contract resulting from this request may be made available to other eligible entities. This may include but is not limited to; The University of Alabama System, comprised of The University of Alabama; The UAB Enterprise, consisting of The University of Alabama at Birmingham, the UAB Health System and their related foundations and affiliates, and The University of Alabama in Huntsville, Huntsville, AL; and other state entities. Contracts resulting from the award of this request cover shipments by any entity listed above. Each entity may generate its own purchase orders, payments, etc. and delivery should be made according to the instructions on the purchase order.

The thrust of the contract is to obtain greater volume price discounts by combining the volume of purchases from participating entities within the State of Alabama.

G. All bid responses, technical information and any other attachments furnished to The University of Alabama in response to this request shall be submitted electronically through the ESM Sourcing System unless otherwise stated.

H. The issuance of a University Purchase Order (PO) or a signed Contract document is required to constitute a contract between the successful Bidder and the University which shall bind the successful Bidder to furnish and deliver the commodities ordered at the prices, terms and conditions quoted and in accordance with the specifications of this Solicitation as well as the terms and conditions of the University's Purchase Order or Contract. No shipments are to be made to The University of Alabama without the issuance of a Purchase Order (PO) or appropriate authorization.

I. Any questions concerning these specifications should be directed to the Buyer listed on the signature page.

J. Solicitations are issued in complete accordance with the State of Alabama Bid Law, Article 5 of Section 41-4 of the Code of Alabama, and University policies and procedures. No department, school or office at the University has the authority to solicit or receive official Solicitations nor authorize Solicitation or Contract changes pertaining to Article 5 of Section 41-4 of the Code of Alabama other than the Procurement Department.

K. The terms and conditions included in this Solicitation along with any addenda, any University contract and/or University purchase order(s) issued referencing this Solicitation, and the University's [General Terms and Conditions](#), shall constitute the entire and exclusive Contract between the University and the successful Bidder.

L. State of Alabama Immigration Law Compliance

Compliance Notice.

By submitting a response to this Solicitation, Respondent agrees that it will fully comply with the State of Alabama Immigration Law (Act 2011-535), as amended. A Respondent also shall enroll in the E-Verify Program prior to performing any work, or continuing to perform any on-going work, and shall remain enrolled throughout the entire course of its performance of the contract awarded pursuant to this Solicitation. By signing a contract as a result of this Solicitation, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the state of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be



responsible for all damages resulting therefrom." To the fullest extent permitted by law, the Contractor shall defend, indemnify and hold harmless the University from any and all losses, consequential damages, expenses (including but not limited to, attorneys' fees), claims, suits, liabilities, fines, penalties, and any other costs arising out of or in any way related to Contractor's failure to fulfill its obligations contained in this paragraph or contained in the Alabama Immigration Law (Act 2011-535), as amended.

The successful contractor will be required to provide written certification they are in compliance with Section 9 of the State of Alabama Immigration Law (Act 2011-535). Per the scenarios described below, the document applicable to your company should be submitted prior to issuance of a University contract or purchase order.

- Scenario 1: If your company is not located in Alabama and your company does not have employees or subcontractors that work in the State of Alabama – Complete the form found [here](#) (only)
- Scenario 2: If your company is located in Alabama or your company has employees working in Alabama – Provide one-page E-verify Employment Eligibility Verification (only)

If your company has previously enrolled in E-Verify, the one-page verification can be accessed by:

- o Log onto www.uscis.gov/everify
- o Click "Edit Company Profile" and print this one-page document.
- o This one-page document must be submitted prior to a contract or purchase order being issued.

If your company is not currently enrolled in E-Verify, follow these instructions to enroll and access verification form:

- o Log onto www.uscis.gov/everify.
- o Click "Enroll in E-Verify" and follow the directions to begin enrollment process.
- o When enrollment process is complete, click "Edit Company Profile" and print this one-page document.
- o This one-page document must be submitted prior to a contract or purchase order being issued.
- o For further assistance please consult the [E-Verify Quick Reference Guide](#).

2.2 QUALIFICATIONS AND STANDARDS

Due to the importance of maintaining a safe University environment, it is imperative that the successful bidder meet certain qualifications that will guarantee The University of Alabama the successful Bidder is qualified to furnish and deliver products, equipment and services or furnish, deliver, install, service and/or repair equipment whichever is applicable as required in this Solicitation. To qualify, Bidders should meet the following requirements:

A. The Bidder, if requested, must provide in writing, a statement that the Bidder has been regularly engaged in business for a minimum three (3) years engaging in furnishing, delivering, servicing, repairing and installing, equipment, goods, or services required in this Solicitation. In lieu of the minimum number of years in business, a performance bond may be required in the amount of one hundred (100%) percent of the contract price. This bond will be used to secure the completion of the project should the successful Bidder default for any reason. Failure to comply with this requirement may eliminate your bid response from consideration.

B. Each bidder required to provide a bond, shall submit a letter from a bonding agent licensed to do business in the State of Alabama stating that if the bidding company is the successful bidder, said bonding agent will furnish a 100% performance and payment bond covering and including products and service for the duration of the contract period. Said bond shall be subject to the approval and acceptance of The University of Alabama. The Letter and Bond shall be submitted to the University Purchasing Department and be furnished within forty-eight (48) hours after request. The premium of the bond shall be paid by the successful bidder. Failure to provide the bond letter or bond may eliminate your bid from consideration in the bid award.

2.3 REFERENCES

References should include at least three (3) other universities, institutions or businesses, which the bidder has successfully provided products, services or installation of equipment similar to those required in this Solicitation in terms of manufacturer, size, features, service or type of installation. The references should include company name, address, project/delivery date, contact name, phone number, and

email address.

2.4 PRODUCT SPECIFICATIONS

Specify all terms and conditions of the warranties associated with your products with your bid response.

2.5 PRICE QUOTATION

A. **IMPORTANT:** It is required that you complete any price request within the electronic solicitation. **DO NOT** send generated price lists as your bid. Failure to comply with this request may eliminate your bid from consideration in the bid award.

B. All prices shall be quoted furnish and install (if applicable) FOB The University of Alabama, Tuscaloosa, AL 35487 prepay and allowed. Unit prices quoted should include all shipping and handling charges. Any freight claims will be the responsibility of the Bidder. The successful Bidder should transport at the time of set-up, the equipment and supplies necessary for this installation to campus. No direct shipments will be accepted.

C. It is the Bidder's responsibility to verify any information, measurements and obtain any clarifications prior to submitting the bid response. The University is not liable for any errors or misinterpretations made by the Bidder in response to this Solicitation.

D. The successful Bidder under the specifications required in this Solicitation shall furnish at its expense all equipment, labor, tools, supplies, transportation, insurance and other expenses necessary to fully perform any phase of the requirements of this Solicitation.

E. Quote prices firm for a period of ninety (90) days following the bid opening date unless otherwise stated in the Special Conditions. Bids that do not guarantee pricing firm for this period may be eliminated. Failure to quote the term for which your prices will remain firm may eliminate your bid from consideration.

F. The quoted price should include but not be limited to all cables, wires, connectors, etc. to make a complete functioning unit unless specifically stated in the special conditions.

G. Include with your bid response complete details of your company's Return Merchandise policy, including, but not limited to, amount of any restocking fee required, procedures, limitations, contact person and phone number. While the University does not enter any purchase with the intent to return items ordered, we do require this information be included with your bid response. Failure to include this information may be grounds for elimination of your bid from consideration.

2.6 DELIVERY, INSTALLATION AND TRAINING REQUIREMENTS

A. Proposed delivery dates shall be stated in number of calendar days after receipt of order.

B. All items should be delivered directly to the University by the successful Bidder and placed according to the instructions supplied by the University.

2.7 INSURANCE

A. See [General Terms and Conditions](#) for general Insurance Requirements. Additional Insurance requirements may be listed in the Special Conditions Section.

B. The successful Bidder shall provide the University Purchasing Department a certificate of insurance listing the required types of insurance and minimum liabilities specified in the [General Terms and Conditions](#) unless otherwise modified in the Special Conditions.

C. The certificate should be received by The University of Alabama Purchasing Department within three (3) days of request. Failure to comply with this request may eliminate your bid from consideration in the bid award.

D. The University reserves the right to terminate any resulting contract, if the Bidder fails to keep these policies in force for the above amounts or for the duration of the contract period.

E. The umbrella policy should be listed on the insurance certificate with an explanation of the coverage.

2.8 RESTRICTIONS ON COMMUNICATIONS WITH UNIVERSITY STAFF

From the issue date of this Solicitation until a Contractor is selected and a contract award is made, Bidders are not allowed to communicate about the subject of the IFB with any University administrator faculty, staff, or members of the Board of Trustees except:

- The Purchasing Department representative, any University Purchasing Official representing the University administration, or others authorized in writing by the Purchasing Office and

- University Representatives during Bidder presentations.

If violation of this provision occurs, the University reserves the right to reject the Bidder's response to this Solicitation.

3 Section 3 - Special Conditions

3.1 The University of Alabama is requesting sealed bids to establish a Contract for off-campus housing for students to begin on August 1, 2026 for an initial Contract term of 12 months. The University anticipates leasing approximately 400 - 750 rooms for the initial Contract term and on an as needed basis thereafter. The total number of rooms to be leased will vary and the University does not guarantee any specific quantity of rooms will be leased during any Contract term.

3.2 Pricing for this Contract must be firm for the Contract term beginning August 1, 2026 to July 31, 2027. Renewal options will be as mutually agreed. The total term length is anticipated to not exceed ten years, however, the University reserves the right to extend for a longer period at its sole discretion. For all options, the pricing structure for the entire term including renewal options shall be clearly defined. All other terms, conditions, delivery, etc. should remain the same as the original Contract unless mutually agreed otherwise. However, upon mutual agreement between the successful vendor and the University, this Contract may be renewed with a negotiable price increase in the additional years to meet the challenge of providing pricing for long periods of time. The University will consider price adjustment clauses necessary to assure the most aggressive pricing structure. Adjustments can be made no more than once per year at time of Contract renewal. A documented report or publication that is agreed upon by both parties and is consistent with market should be provided. All other terms, conditions, etc., will remain the same as the original Contract term.

Respondents may propose all or part of the options requested, however, all costs associated with the options shall be included in the proposal. The University will choose the option that is the most advantageous for the University.

3.3 The University may award this Contract to multiple vendors. Evaluation criteria for award includes, but is not limited to, location, condition of property, safety, amenities, transportation to campus, pricing, and other criteria that may be identified during the evaluation process. The University intends to award based on the criteria deemed as in the best interest of the University as solely determined by the University.

3.4 General Specifications

2.2 Does not apply to this solicitation.

2.5E is amended to include: Quote prices firm for a period of twelve (12) months upon date of award.

3.5 Solicitation Instructions and Terms:

4.1 Amended to: The University may award this contract to multiple vendors. Evaluation criteria for award includes, but is not limited to, location, condition of property, safety, amenities, transportation to campus, pricing, and other criteria that may be identified during the evaluation process. The University intends to award based on the criteria deemed as in the best interest of the University as solely determined by the University.

4 Section 4 - Quote Sheet - Leasing Prices

1. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
400 Rooms

2. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
401-500 Rooms

3. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
501 - 600 Rooms

4. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
601 - 700 Rooms

5. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
701 - 800 Rooms

4.1 Section 4.1 - Additional Questions

1. How many rooms are currently available that meet the requirements of this Solicitation for the initial Contract term beginning August 1, 2026?
2. How long will you hold the rooms until the University commits to leasing the units?
3. Please provide the property/complex name and address for your proposed rooms. List multiple as needed.
4. Is your proposed property on the University bus route? If not, would you be willing to be added?
5. Does your proposed property provide its own transportation to campus for students?
6. What utilities are included in the monthly rates (e.g. electric, water, Internet etc.)?
7. Do the proposed units include a washer/dryer? Is there an additional charge?
8. Is the unit/room furnished? Please list all furnishings in unit/room.
9. Please describe in detail the security provisions at the complex.

5 Section 5 - Sample Lease Agreement

Attached below is a sample of the Lease Agreement.

Event Attachments: **SAMPLE - Master Lease Student Housing - UA Template**

6 Section 6 - Supplier Attachments

1. This section is for any attachments that the Supplier would like to submit with their response.

For Example:

- Everfly Page or Signed Certification of Compliance (Section 2.1 L)
- Current Certificate of Insurance (Section 2.7)
- Any additional files or notes that you wish to attach to your bid response.

7 Section 7 - Solicitation Instructions and Terms

All University of Alabama Solicitations are made upon and subject to the following conditions, if applicable, unless otherwise noted in the Solicitation:

1.0 Preparation of Bids

1.1 All Solicitations are subject to the provisions and requirements of all applicable laws, including, but not limited to, Article 5 of Title 41 of the Code of Alabama, and the rules, regulations and policies of The University of Alabama including The University of Alabama's General Terms and Conditions. No department, school or office at the University has the authority to solicit or receive official Solicitations nor authorize Solicitation or Contract changes other than the Purchasing Department. All Solicitations are issued under the direct supervision of the Executive Director for Procurement Services.

1.2 Solicitations are administered through the University's electronic system, ESM Source. Bidders should respond through the system. Supplier (Bidder) Registration through ESM is required, however, and is free of charge. Please reference ESM's Supplier User Guides for system utilization instructions which include, but are not limited to:

- Registering as a New Supplier
- Supplier Login
- Supplier Profile
- Supplier Response Process

1.3 Bidders are expected to examine the complete Solicitation and all attachments including drawings, specifications and instructions. Failure to do so is at Bidder's risk.

1.4 Costs for developing the proposals and any subsequent activities prior to Contract award are solely the responsibility of the Bidders. The University of Alabama will provide no reimbursement for such costs.

1.5 Bidders shall furnish information required by the Solicitation. Bidders should base their Response on the scope of work and specifications in the Solicitation and should not base Response on actual or perceived past practices not specifically identified in the Solicitation. The University is not liable for any errors, omissions or misinterpretations in Bidder's Response. The University reserves the right to reject Responses with incomplete information or which are presented on a different form. All Responses become the property of the University and will be subject to public disclosure under Code of Alabama 1975 36-12-40 and 36-12-41.

1.6 A legally authorized company representative must submit Bidder's Response. Submitting a Response certifies that the Bidder has read and fully understands all specifications and instructions and agrees to all terms and conditions stipulated in the Solicitation.

1.7 No Contingent Fees

No person or selling agency shall be employed, retained, or given anything of monetary value to solicit or secure this Contract, except bona fide employees of the Respondent or bona fide established commercial or selling agencies maintained by the Respondent for the purpose of securing business. For breach or violation of this provision, the University shall have the right to reject the proposal, annul the Contract without liability, or, at its discretion, deduct from the Contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee or other benefit.

1.8 Prices shall be entered in spaces provided on the form. All unit prices and mathematical extensions and totals shall be indicated where required. In cases of errors, the University will evaluate and make determination in the University's best interest.

1.9 Should any potential Bidder doubt the true meaning of any part of the Solicitation, a written request for an Interpretation may be submitted to the University. Unless specified otherwise in the Solicitation, requests for such interpretation shall be made in writing no later than 4 days/96 hours prior to Solicitation due date. Requests should be made using the Q&A function within the Solicitation (See Supplier Response Process User Guide – Q&A Section for instruction). The University's response to requests for interpretation shall be made as promptly as is practicable. The University may choose to respond to such requests through the Q&A function within the Solicitation or by formal Addendum, both of which shall be made part of the Solicitation. Failure by the University to send or any potential Bidder to receive such interpretation(s) shall not relieve any Bidder from any obligations under the Solicitation or the Bidder's Response. Any interpretations, corrections or changes to the Solicitation made in any other manner, including oral explanations and instructions, are not binding upon the University.

1.10 From the issue date of this Solicitation until a Contract is awarded, Bidders or potential Bidders are not allowed to communicate about the subject Solicitation with any University administrator, faculty, staff, or members of the Board of Trustees of the University of Alabama except:

- As per Section 1: Solicitation Contact Information
- Any Purchasing Officer representing the University administration, or others authorized in writing by the Purchasing Office
- University Representatives during Bidder presentations or demonstrations, if applicable

Failure to comply with this requirement may be grounds for the Bidder's disqualification.

2.0 Submission of Bids

2.1 Responses, and modifications thereof, should be returned via electronic submission through ESM Source before the due date and time set forth in the Solicitation. The official time is as follows:

- Electronic submissions – Time shown within the ESM Source system within Solicitation
- Non-Electronic submissions – Time on the recording clock in the Procurement Services Building

Responses received after the designated time and date in the Solicitation will not be considered.

2.2 Responses may be modified or withdrawn if done so prior to the exact time and date for receipt of the Solicitation. Withdrawn Responses may be resubmitted, with or without modifications, up to the Solicitation due date and time. No Responses may be withdrawn after the due date and time without the express authorization of The University of Alabama Purchasing Department. For electronic submissions, modifications or withdrawals shall be made through ESM Source. See Supplier Response Process User Guide – Retracting a Response section for instructions.

2.3 For Responses other than electronic submissions through ESM Source, Bidders shall show the Solicitation due date and time, the Solicitation number, and the name and address of the Bidder on the face of the bid envelope.

2.4 The successful Bidder shall provide written certification of its compliance with the State of Alabama Immigration Law as a prerequisite to the issuance of a Purchase Order or Contract. See State of Alabama Immigration Law Compliance section of Solicitation for additional detail. To expedite the order placement process, the applicable confirming document may be submitted with the Response using the Supplier Attachment section of the Solicitation.

3.0 Specification Requirements

3.1 Unless otherwise specified in the Solicitation, all items are to be new, unused, and not remanufactured in any way.

3.2 Whenever a trade name, brand name, model or catalog numbers are followed by the words "or equal" or "approved equal" in the Solicitation, it is for the purpose of item identification and to establish standards of quality, style, and features. Responses on equivalent items, substantially the same, are invited. However, to receive consideration, sufficient descriptive literature and/or specifications to clearly identify the item and provide for competitive evaluation must accompany the Response. The University will be the sole judge of equality and suitability. If Bidder does not identify exceptions to the specifications shown in the Solicitation, Bidder will be required to furnish the brand name(s), models, numbers, etc. specified in the Solicitation. Any proposed "equal" item specifications should be attached to your response using the Supplier Attachments section.

3.3 Unless stated otherwise in the Solicitation, alternates will be considered. Alternates may be made in addition to responding to the Solicitation or as the only response to the Solicitation. However, the University is under no obligation to consider or accept an alternate and it reserves the right to reject any and all such Responses. The ESM Source system will only allow one Response per Bidder. If Bidders chooses to submit a second alternative Response, the Response in its entirety should be attached to your primary Response using the Supplier Attachments section.

3.4 The University reserves the right to request samples of any or all items in order to determine compliance with the specifications. The Bidder must provide the samples at no charge to the University. Samples are non-returnable and failure to comply may be cause for rejection of the Response. Samples must be received in the Purchasing Office, unless requested otherwise, within seventy-two (72) hours of the request.

3.5 A field demonstration of the equipment/products/installation and training that are being bid may be required for evaluation purposes. Such demonstration will be at the Bidder's expense and shall be given at The University of Alabama, Tuscaloosa, AL or at a site where the equipment/products/installation is functioning in a similar manner and environment to that in which it would be used at The University of Alabama. The demonstration must take place within forty-eight (48) hours of request unless other arrangements are agreed to by the University. Failure to comply with this request may be grounds for elimination of the Response from consideration in the award.

3.6 The University reserves the right to request any or all Bidders to give an oral presentation of their product(s) and/or service(s) which would include a detailed analysis of how each of the requirements described in the bid Response will be addressed, for the purpose of clarification or to amplify the materials presented in any part of the Response. Bidders may be selected for presentations and may be provided a script and/or instructions for the presentations in order to provide the evaluation team further insight regarding their Response and to clarify any issues. Failure to comply with this request may be grounds for elimination of the Response from consideration in the award. If applicable, the presentations, as solely determined by the University, will be scheduled by the University Purchasing Department prior to the award of the Contract. However, Bidders are cautioned that the Purchasing Department is not required to request such presentations; therefore, all bid Responses should be complete and meet all submittal requirements indicated in the Solicitation. The University of Alabama reserves the right to award the Contract based on Responses without discussion.

3.7 Except as otherwise specified in the Solicitation, all prices must be firm. Prices subject to qualifications, such as escalation or other variables, may be rejected as non-responsive.

3.8 Unless otherwise stipulated in the Solicitation, all quantities are estimates and do not represent a minimum guarantee. The University reserves the right to purchase more or less than the estimated quantities. The University is obligated only for those quantities ordered.

3.9 Specify any quantity breaks for orders that will result in savings to the University.

3.10 Proposed delivery dates shall be stated in number of calendar days after receipt of order.

3.11 All offers shall be F.O.B. destination, freight prepaid and allowed unless otherwise specified within the Solicitation.

3.12 The University of Alabama reserves the right to require a performance bond from the successful Bidder at its discretion. Unless specifically to the contrary in the Solicitation, the cost of the bond shall be paid for entirely by the successful Bidder.

3.13 The apparent silence of this specification and any supplemental specifications as to any details, or the omission of a detailed description concerning any point shall be regarded as meaning that the best commercial practices are to prevail, and that only materials of first quality and correct type, size, and design are to be used. All workmanship is to be first quality. All interpretations of this specification shall be made on the

basis of this statement.

3.14 The Bidder agrees to abide with all applicable federal, state, county and city laws and regulations and to be responsible for obtaining and/or possessing any and all permits and licenses that may be required.

3.15 The Bidder must be factory authorized to sell and install (if required by the Solicitation) the equipment being quoted. All maintenance service must be provided by the Contractor with factory trained service personnel trained on the equipment bid. A letter from the manufacturer verifying the Bidder's status as a factory authorized dealer or reseller with factory trained installation/ service personnel must be provided within forty-eight (48) hours when requested by the University Purchasing Department.

3.16 For Solicitations specified as "furnish and install" the Contractor will have the complete responsibility for the items or system until it is in place and working. All transportation and cooperation arrangements will be responsibility of the Contractor. The delivery of equipment will be coordinated so that items will be delivered directly to the installation site. This will minimize the risk of damage and avoid double handling by University personnel.

3.17 Installation, service, training and repair will be performed by the Contractor's employees and may NOT be subcontracted with other individuals or companies unless agreed upon in writing by the University. The installation, service, training and repair personnel shall be under the direct supervision of a full time supervisor employed by the Contractor.

3.18 Installation, service, training and repair personnel must have an overall clean/ neat appearance, uniform shirt including company name and name tag must be worn at all times. T-shirts are acceptable if company name and employee picture is displayed and name tag is affixed.

3.19 The Contractor shall NOT assume possession or control of any part of the installed equipment/products or any site where this work is provided.

3.20 It is the sole responsibility of the Contractor to ensure that all affiliates, subsidiaries or subcontractors and their agents and employees provide the product, installation and services as outlined in the specifications of this Solicitation and will conform to all requirements set forth.

3.21 The relationship of the Contractor to the University shall be that of the independent contractor. All equipment used by the Contractor in the performance of the requirements hereunder shall at all times be under the exclusive control of the Contractor, and all expenses incurred by the Contractor in the operations of the delivery and installation shall be paid by the Contractor without reimbursement. Nothing in the Contract will be construed as authority for the Contractor to make commitments, which will bind the University to or otherwise act on behalf of the University, except as the University may expressly authorize in writing.

3.22 Unless otherwise stated in the Solicitation, any provisions for trade-in of existing equipment is not included.

3.23 In order to conserve costs and energy, The University of Alabama follows the Energy Star guidelines established by the U.S. Environmental Protection Agency and the U.S. Department of Energy. A list of equipment categories can be found on the Energy Star website. Should the Solicitation include equipment found in the categories listed on the Energy Star website, and your company is awarded a Contract to furnish the equipment, the equipment delivered to the University must be Energy Star labeled.

4.0 Bid Openings, Evaluation and Award

4.1 Responses will become accessible to Purchasing within the ESM Source system after the event due date and time. Invitation for Bid Solicitation openings will be accessible by virtual method unless specified otherwise within the Solicitation. Access to the virtual bid openings will be by request only. Please contact the Purchasing buyer contact shown in the Solicitation to request access to the virtual bid opening no later than 9:00 a.m. CST of the Solicitation due date. Request for Proposal Solicitation openings will not be accessible.

4.2 For Invitation for Bid Solicitations, Responses will be evaluated and the award made to the lowest responsive, responsible Bidder who offers the best value to the University and meets the terms, conditions, and specifications of the Solicitation. For Request for Proposals Solicitations, Responses will be evaluated based on the criteria set forth in the Solicitation.

4.3 The University reserves the right to accept or reject any and all Responses or part of a Response and waive informalities, technical defects and minor irregularities in the Responses received.

4.4 Any award made based on Responses to a Solicitation is contingent upon available funding and shall not imply any approvals that may be required by State Law or University policies.

4.5 Unless stated otherwise in the Solicitation, the University reserves the right to award the Contract to the lowest aggregate Bidder for all items, or an item by item basis, or a group of like items whichever is found to be in the best interest of the University. The University will consider Responses submitted on an "all or nothing" basis if the Response is clearly designated as such.

4.6 When required, the proper and timely submission of any performance and payment bonds is a material condition for award/performance of this order. The Contractor is not authorized to proceed with work and/or deliveries unless all required bonds have been obtained, are acceptable to and received by the University.

4.7 For Invitation for Bid Solicitations, the status of the event in ESM Source may be changed to "Awarded" prior to Contract award. Such status is for informational purposes and shall only signify the University's intent to award to the apparent lowest responsive and responsible Bidder as determined at that point in the evaluation. The University reserves the right to change its intent to award to a Bidder at any point prior to Contract award. This section applies to Invitation for Bid Solicitations only.

4.8 The issuance of a University Purchase Order or Contract signed by an authorized University signatory is required to formally award a Solicitation and constitute a Contract between the successful Bidder and the University. Bidder(s) reserve the right to protest. Protests of any Solicitation must be made prior to due date and time. Protests of any intended award must be made within ten (10) calendar days after the University's intent to award to a Bidder is indicated as described above.

4.9 Bid results are only available after an award is made and will not be available to Bidders during the bid evaluation process. Bid results must be requested via Open Records Request per the instructions found at: <https://strategiccommunications.ua.edu/services/open-records>

5.0 Delivery and Installation

5.1 All items shall be properly aligned, adjusted, cleaned, fully assembled, installed and completely functioning in proper working order. No payments will be made until the entire installation and training is complete and every part of the system is functioning correctly.

5.2 The Contractor will be responsible for repair or replacing any damage incurred to the interior or exterior of University property when such damage is inflicted by the Contractor or their employees. Unless otherwise directed by The University of Alabama, installation will be performed during the University's normal workdays: Monday through Friday, 8:00 a.m. – 4:45 p.m. est.

5.3 Risk of loss shall remain with the Contractor until all items have been installed and accepted by The University of Alabama.

5.4 No alternate products will be accepted once an order has been placed. Orders will be placed for specific products with the manufacturer brand, product number and item description indicated at time of order placement. At no time is a substitute product to be shipped to The University of Alabama.

5.5 Delivery does not constitute acceptance. All products delivered to the University as a result of an award of this Contract are subject to inspection and testing. Items that do not meet specifications will be rejected and returned to the Contractor at Contractor's expense. Failure to reject upon receipt, however, does not relieve the Contractor of this liability. If tests subsequent to delivery reveal a failure to meet specifications, the Contractor shall be deemed to have breached his Contract.

5.6 If the University is unable to allow the installation to begin at the time indicated by the Contractor, the Contractor agrees to store all items for a period of up to sixty (60) days after the specified installation date at no additional charge to the University unless otherwise specified in the Contract.

5.7 The Contractor will be required to pay the University for cleaning flooring where installation is conducted, if the Contractor soils or scuffs flooring during installation.

5.8 The Contractor shall be responsible for the proper disposal of any packing and debris resulting from his work and removing it from The University of Alabama campus.

6.0 Payment and Taxes

6.1 The Contractor shall be paid, at the prices stipulated, for items or services delivered and accepted, upon the submission of proper invoices to the billing address shown on the Contract or purchase order. The University's standard payment terms are net 30 days after acceptance.

6.2 The University reserves the right to accept or reject prompt payment discounts offered by the Contractor.

6.3 The University utilizes a Purchasing Card (P-Card) program as a method of payment. The University assumes that all Contractors will accept the University's P-Card as a method of payment. No additional charges may be added for acceptance of the P-Card. Refusal or inability to accept P-Card payments may be grounds for termination of Contract at the University's sole discretion.

6.4 The University of Alabama is a public institution as described in Alabama Department of Revenue Sales and Use Tax Rules, 810-6-3-.47.04, and is specifically exempted under the law from state sales and use taxes on all tangible personal property purchased for its sole use and benefit and under its control. The University is also entitled to exemption from the Federal Excise Tax. All Bidders shall take this into consideration when submitting their Response. Exemption certificates will be furnished upon request. Bidders are informed that material purchased by the Contractor for the performance of this Contract for the University are not exempt. All adjustments and allowances for the current sales and/or use tax shall be included in the price submitted in the Response.

7.0 Performance and Fulfillment

7.1 Contractor will be responsible for promoting products and/or services to the University campus. All promotional materials must be reviewed by the University Purchasing Department and approved prior to distribution (paper or electronic). All costs will be at the Contractor's expense.

7.2 The Contractor may be required to furnish a monthly, quarterly, or annual summary of purchases made under the provisions of the Contract. The format and frequency of the report will be determined by the University.

7.3 The Contractor must agree to replace, free of charge, all defective items delivered under Contract. All transportation charges covering return and replacement of items is to be borne by the successful Bidder.

7.4 The Contractor must provide service manuals with full documentation and schematics when applicable and appropriate.

7.5 The Contractor's employees are not allowed to bring to the University departments or job site their spouses, children, pets, or any person that is not employed by the company.

7.6 Parking - Contractor's staff members will be required to purchase appropriate University parking permits in order to access parking where such permits are required. Permits are available through Parking Services located in the Campus Drive Parking Deck, 451 Campus Drive East, Monday – Friday, 8:00 a.m. until 5:00 p.m.

7.1 Section 7.1 - Solicitation Definitions

1. Addendum - Written or graphic Instructions issued by The University of Alabama prior to the receipt of Responses that modify or interpret the Solicitation documents by addition, deletions, clarification, or corrections.
2. Bidder (also referred to as "Supplier" or "Respondent") – A person, firm, or entity who submits a Response to a Solicitation. And, who has the capability in all respects to perform fully the Contract requirements and the integrity and reliability that will assure good faith performance. Any Bidder may be represented by an agent after submitting evidence demonstrating the agent's authority. The agent cannot certify as to his own agency status.
3. Contract (also referred to as "Notice of Award of Price Contract") – The entire written agreement between the parties and executed by a person authorized to execute contracts on behalf of a party, including, but not limited to, the Invitation for Bid or Request for Proposal and its specifications, terms, and conditions, Solicitation instructions, Solicitation addenda, Bidder's offer, the Contract document, and Contract amendments if any, including, without limitations, the University's General Terms and Conditions and the purchase order or agreement document excluding correspondence of any type unless specifically accepted by both parties in writing. In the event Contractor enters into terms of use, end user agreements, or other agreements or understandings, whether electronic, click-through, or shrink-wrap, and whether verbal or written, with University employees or other end users not authorized to execute contracts on behalf of the University, such agreements shall be null, void, and without effect as a part of the Contract, and the terms of this Contract shall apply. Contract is non-exclusive and shall not prohibit the

University from entering into similar contracts, unless explicitly stated in the Contract.

4. Contractor – A person, company, corporation, organization or other legal entity with whom the University has executed a Contract.
5. Days – All days referenced are based on calendar days, unless otherwise specified.
6. ESM Sourcing – The University's electronic Solicitation system for which bids are issued by the University and Responses are submitted.
7. Premises (also referred to as "Facilities") – Any premises owned, operated, licensed, leased, or under partial or complete control of University. Premises shall also include any other areas in which Contractor will perform the work, pursuant to this Contract.
8. Purchasing – The University of Alabama, Purchasing Department, 1500 Flint River Drive, Tuscaloosa, AL, 35404
9. Purchasing Officer (also referred to as "Buyer") – The individual assigned to the Solicitation and designated to contract for goods and/or services for The University of Alabama.
10. Response (also referred to as "Offer" or "Proposal") – A complete offer in response to a Solicitation, which if accepted, would bind the Bidder to perform in accordance with the resultant Contract.
11. "Shall", "Must" or "Will" – Indicate a mandatory requirement(s) that must be addressed. Failure to address these mandatory requirements may result in rejection of your Response as non-responsive. However, the University reserves the right to request additional information, but is not required to do so.
12. "Should" – Indicates something that is recommended, but not mandatory. If the Bidder fails to provide recommended information, the University may, at its sole option, ask the Bidder to provide information or evaluate the Response without the information.
13. Solicitation – Including but not limited to Invitation for Bid, Request for Proposal, or such other request as defined by The University of Alabama.
14. The University of Alabama (also referred to as "University") – The Board of Trustees of The University of Alabama, a public corporation and constitutional instrumentality of the State of Alabama.

Terms and Conditions

ESM Sourcing Terms

None

General Terms and Conditions

For purposes of this Solicitation, the "terms and conditions" shall consist of the following components:

- a) The Solicitation and any Addenda
- b) [University General Terms and Conditions](#)

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided in Code of Alabama 6-5-180 that

1. The attached response has been arrived at independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other vendor of materials, supplies, equipment or services described in the Invitation for Bids, designed to limit independent bidding or competition;
2. The contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids.
3. The bidder is legally entitled to enter into contracts with The University of Alabama and is not in violation of any prohibited conflict of interest, including those prohibited by the Code of Alabama 13A-10-62, as amended 1975.
4. I have fully informed myself regarding the accuracy of the statement made above.

Event Specific Terms and Conditions

None

From: [Travis Roberts](#)
To: [Amy Chambley](#)
Subject: [EXTERNAL] Event Number: 13062, Event ID: UA25-109 and Event Name: Lease of Off Campus Apartments - East Edge - University Partners
Date: Friday, February 28, 2025 2:50:23 PM
Attachments: [image001.png](#)
[image002.png](#)
[image003.png](#)

Amy

We appreciate the follow up questions and hope below helps to clarify University Partners and East Edge response.

Based on currently proposed master lease structure we are able to offer a maximum bed count of 461 beds. We have adjusted our rental rate increase down to 5% from 6% for like-kind bed types and is reflected in the summary chart below. Because we are offering you more 4-beds, and those rates are less expensive as a whole, in the aggregate, the rent per bed you are paying is only a ~2% increase (\$1,022/bed average rate for AY26-27 vs. \$1,004/bed for AY25-26)

AY26 Revised MLA Unit Mix		
Floorplan	Bedcount	Rate
STDL	40	\$1,533
3x3 Renovated	165	\$1,024
4x4 Renovated	152	\$924
4x4P Renovated	104	\$966
Total / Avg	461	\$1,022

I apologize that our property can not increase the bed count more but as discussed the one year nature of this master lease limits our ability to do so. If the university every increases the term of the master lease we would reconsider the bed count and be open to a potential where the full property was included.

Thank you for your patience and we appreciate the partnership UP and U of Alabama have had to date.

Travis Roberts
 Principal - CEO

469.338 4104 | 901 Main Street, Suite 4940 | Dallas, TX 75202

Entity Name: The University of Alabama
Event Number: 13062
Event ID: UA25-109
Event Name: Lease of Off Campus Apartments
Event Currency: United States Dollars - USD
Requested By: Amy Chambley
Created By: Amy Chambley
Due By Date: 02/26/2025 02:00 PM Central Time
Effective Start Date: 08/01/2026
Effective End Date:
Q&A Cutoff Date:
Invitation Type: Invitation Only
Assigned Commodities: none
Allow Supplier Terms and Conditions: No
Public Responses: No
Allow Multiple Response: No
Display Awardee: Hide
Posting Board Status: Published
Event Status: Event Under Review
Award Note: none

Supplier Response: University Partners - Total Score : 0.00

Section 1 - Solicitation Contact Information

1.1 Solicitation Contact Information

All communication with the University regarding this Solicitation shall only be directed to the following University Purchasing Officer:

Amy Chambley
atchamb@ua.edu
205-348-0063

Please reference the Event ID and Event Name on any communications.

1.2 Accessing Solicitation Within ESM Sourcing System

This Solicitation is being administered through the University's electronic system, ESM Sourcing. All Bidders should view and respond to this Solicitation through the ESM Solutions system. See comprehensive Submission of Bids instructions in the Solicitation Instructions and Terms section below.

If you have already registered with ESM Sourcing and have been invited to this Solicitation no further action is needed.

If you have not registered with ESM Solutions or are not familiar with the process in general, please refer to the following information: <http://purchasing.ua.edu/open-bid-and-award-status/>

Once your registration is completed, you may request to be invited to this Solicitation by emailing the Purchasing Officer above. Please be sure to include the Event ID, Event Name and your Supplier Name as registered with ESM with your request.

Section 2 - General Specifications

2.1 GENERAL SPECIFICATIONS

The University of Alabama requests sealed bids as per attached general and technical specifications or equal unless otherwise specified in the Special Conditions.



All Bidders submitting a bid should read all specifications carefully and respond accordingly. Failure to do so may eliminate your bid from consideration due to non-compliance.

A. Pursuant to the provisions of the State of Alabama Competitive Bid Law, Article 5 of Section 41-4 of the Code of Alabama, rules and regulations adopted there under sealed bids will be received on the items noted herein by The University of Alabama Purchasing Department until the date and time stated above. In accordance with Alabama State Bid Law Section 132, where applicable, the University reserves the right to enter into negotiations with the lowest responsible Bidder.

B. The University's [General Terms and Conditions](#) apply to this Solicitation and shall become a part of any contract issued hereunder.

C. For purposes of this Solicitation, the terms and conditions shall consist of the following components:

a) Invitation for Bid and any Addenda;

b) [General Terms and Conditions](#)

In the event that any provision of the component parts of the Solicitation conflicts with any provision of any other component parts, the component part first enumerated shall govern.

D. Any deviations from the terms and conditions of this Solicitation should be specifically defined. If accepted by the University, the deviations shall become part of the contract, but such deviations should not conflict with the basic nature of this solicitation.

Bidders shall not submit their standard terms and conditions or purchase order terms as exceptions to or modification of the terms and conditions of this Solicitation. Each exception to or modification of a University term and condition shall be individually listed by the bidder. Failure to follow this instruction may result in the determination that a bid submission is non-responsive to a solicitation and the rejection of that bid. Bidder exceptions should be attached to your response using the Vendor Attachments section of the solicitation.

E. This Agreement and any disputes hereunder shall be governed by the laws of the State of Alabama without regard to conflict of law principles.

F. Any contract resulting from this request may be made available to other eligible entities. This may include but is not limited to: The University of Alabama System, comprised of The University of Alabama; The UAB Enterprise, consisting of The University of Alabama at Birmingham, the UAB Health System and their related foundations and affiliates, and The University of Alabama in Huntsville, Huntsville, AL; and other state entities. Contracts resulting from the award of this request cover shipments by any entity listed above. Each entity may generate its own purchase orders, payments, etc. and delivery should be made according to the instructions on the purchase order.

The thrust of the contract is to obtain greater volume price discounts by combining the volume of purchases from participating entities within the State of Alabama.

G. All bid responses, technical information and any other attachments furnished to The University of Alabama in response to this request shall be submitted electronically through the ESM Sourcing System unless otherwise stated.

H. The issuance of a University Purchase Order (P#) or a signed Contract document is required to constitute a contract between the successful Bidder and the University which shall bind the successful Bidder to furnish and deliver the commodities ordered at the prices, terms and conditions quoted and in accordance with the specifications of this Solicitation as well as the terms and conditions of the University's Purchase Order or Contract. No shipments are to be made to The University of Alabama without the issuance of a Purchase Order (P#) or appropriate authorization.

I. Any questions concerning these specifications should be directed to the Buyer listed on the signature page.

J. Solicitations are issued in complete accordance with the State of Alabama Bid Law, Article 5 of Section 41-4 of the Code of Alabama, and University policies and procedures. No department, school or office at the University has the authority to solicit or receive official Solicitations nor authorize Solicitation or Contract changes pertaining to Article 5 of Section 41-4 of the Code of Alabama other than the Procurement Department.

K. The terms and conditions included in this Solicitation along with any addenda, any University contract and/or University purchase order(s) issued referencing this Solicitation, and the University's [General Terms and Conditions](#), shall constitute the entire and exclusive Contract between the University and the successful Bidder.

L. State of Alabama Immigration Law Compliance

Compliance Notice.

By submitting a response to this Solicitation, Respondent agrees that it will fully comply with the State of Alabama Immigration Law (Act 2011-535), as amended. A Respondent also shall enroll in the E-Verify Program prior to performing any work, or continuing to perform any on-going work, and shall remain enrolled throughout the entire course of its performance of the

contract awarded pursuant to this Solicitation. By signing a contract as a result of this Solicitation, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the state of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom." To the fullest extent permitted by law, the Contractor shall defend, indemnify and hold harmless the University from any and all losses, consequential damages, expenses (including but not limited to, attorneys' fees), claims, suits, liabilities, fines, penalties, and any other costs arising out of or in any way related to Contractor's failure to fulfill its obligations contained in this paragraph or contained in the Alabama Immigration Law (Act 2011-535), as amended.

The successful contractor will be required to provide written certification they are in compliance with Section 9 of the State of Alabama Immigration Law (Act 2011-535). Per the scenarios described below, the document applicable to your company should be submitted prior to issuance of a University contract or purchase order.

- Scenario 1: If your company is not located in Alabama and your company does not have employees or subcontractors that work in the State of Alabama – Complete the form found [here](#) (only)
- Scenario 2: If your company is located in Alabama or your company has employees working in Alabama – Provide one-page E-verify Employment Eligibility Verification (only)

If your company has previously enrolled in E-Verify, the one-page verification can be accessed by:

- o Log onto www.uscis.gov/everify
- o Click "Edit Company Profile" and print this one-page document.
- o This one-page document must be submitted prior to a contract or purchase order being issued.

If your company is not currently enrolled in E-Verify, follow these instructions to enroll and access verification form:

- o Log onto www.uscis.gov/everify.
- o Click "Enroll in E-Verify" and follow the directions to begin enrollment process.
- o When enrollment process is complete, click "Edit Company Profile" and print this one-page document.
- o This one-page document must be submitted prior to a contract or purchase order being issued.
- o For further assistance please consult the [E-Verify Quick Reference Guide](#).

2.2 QUALIFICATIONS AND STANDARDS

Due to the importance of maintaining a safe University environment, it is imperative that the successful bidder meet certain qualifications that will guarantee The University of Alabama the successful Bidder is qualified to furnish and deliver products, equipment and services or furnish, deliver, install, service and/or repair equipment whichever is applicable as required in this Solicitation. To qualify, Bidders should meet the following requirements:

A. The Bidder, if requested, must provide in writing, a statement that the Bidder has been regularly engaged in business for a minimum three (3) years engaging in furnishing, delivering, servicing, repairing and installing, equipment, goods, or services required in this Solicitation. In lieu of the minimum number of years in business, a performance bond may be required in the amount of one hundred (100%) percent of the contract price. This bond will be used to secure the completion of the project should the successful Bidder default for any reason. Failure to comply with this requirement may eliminate your bid response from consideration.

B. Each bidder required to provide a bond, shall submit a letter from a bonding agent licensed to do business in the State of Alabama stating that if the bidding company is the successful bidder, said bonding agent will furnish a 100% performance and payment bond covering and including products and service for the duration of the contract period. Said bond shall be subject to the approval and acceptance of The University of Alabama. The Letter and Bond shall be submitted to the University Purchasing Department and be furnished within forty-eight (48) hours after request. The premium of the bond shall be paid by the successful bidder. Failure to provide the bond letter or bond may eliminate your bid from consideration in the bid award.

2.3 REFERENCES

References should include at least three (3) other universities, institutions or businesses, which the bidder has successfully provided products, services or installation of equipment similar to those required in this Solicitation in terms of manufacturer, size, features, service or type of installation. The references should include company name, address, project/delivery date, contact name, phone number, and email address.

2.4 PRODUCT SPECIFICATIONS

Specify all terms and conditions of the warranties associated with your products with your bid response.

2.5 PRICE QUOTATION

A. **IMPORTANT:** It is required that you complete any price request within the electronic solicitation. DO NOT send generated price lists as your bid. Failure to comply with this request may eliminate your bid from consideration in the bid award.

B. All prices shall be quoted furnish and install (if applicable) FOB The University of Alabama, Tuscaloosa, AL 35487 prepay and allowed. Unit prices quoted should include all shipping and handling charges. Any freight claims will be the responsibility of the Bidder. The successful Bidder should transport at the time of set-up, the equipment and supplies necessary for this installation to campus. No direct shipments will be accepted.

C. It is the Bidder's responsibility to verify any information, measurements and obtain any clarifications prior to submitting the bid response. The University is not liable for any errors or misinterpretations made by the Bidder in response to this Solicitation.

D. The successful Bidder under the specifications required in this Solicitation shall furnish at its expense all equipment, labor, tools, supplies, transportation, insurance and other expenses necessary to fully perform any phase of the requirements of this Solicitation.

E. Quote prices firm for a period of ninety (90) days following the bid opening date unless otherwise stated in the Special Conditions. Bids that do not guarantee pricing firm for this period may be eliminated. Failure to quote the term for which your prices will remain firm may eliminate your bid from consideration.

F. The quoted price should include but not be limited to all cables, wires, connectors, etc. to make a complete functioning unit unless specifically stated in the special conditions.

G. Include with your bid response complete details of your company's Return Merchandise policy, including, but not limited to, amount of any restocking fee required, procedures, limitations, contact person and phone number. While the University does not enter any purchase with the intent to return items ordered, we do require this information be included with your bid response. Failure to include this information may be grounds for elimination of your bid from consideration.

2.6 DELIVERY, INSTALLATION AND TRAINING REQUIREMENTS

A. Proposed delivery dates shall be stated in number of calendar days after receipt of order.

B. All items should be delivered directly to the University by the successful Bidder and placed according to the instructions supplied by the University.

2.7 INSURANCE

A. See General Terms and Conditions for general Insurance Requirements. Additional Insurance requirements may be listed in the Special Conditions Section.

B. The successful Bidder shall provide the University Purchasing Department a certificate of insurance listing the required types of insurance and minimum liabilities specified in the General Terms and Conditions unless otherwise modified in the Special Conditions.

C. The certificate should be received by The University of Alabama Purchasing Department within three (3) days of request. Failure to comply with this request may eliminate your bid from consideration in the bid award.

D. The University reserves the right to terminate any resulting contract, if the Bidder fails to keep these policies in force for the above amounts or for the duration of the contract period.

E. The umbrella policy should be listed on the insurance certificate with an explanation of the coverage.

2.8 RESTRICTIONS ON COMMUNICATIONS WITH UNIVERSITY STAFF

From the issue date of this Solicitation until a Contractor is selected and a contract award is made, Bidders are not allowed to communicate about the subject of the IFB with any University administrator faculty, staff, or members of the Board of Trustees except:

- The Purchasing Department representative, any University Purchasing Official representing the University administration, or others authorized in writing by the Purchasing Office and
- University Representatives during Bidder presentations.

If violation of this provision occurs, the University reserves the right to reject the Bidder's response to this Solicitation.

Section 3 - Special Conditions

3.1 The University of Alabama is requesting sealed bids to establish a Contract for off-campus housing for students to begin on August 1, 2026 for an initial Contract term of 12 months. The University anticipates leasing approximately 400 - 750 rooms for the initial Contract term and on an as needed basis thereafter. The total number of rooms to be leased will vary and the University does not guarantee any specific quantity of rooms will be leased during any Contract term.

3.2 Pricing for this Contract must be firm for the Contract term beginning August 1, 2026 to July 31, 2027. Renewal options will be as mutually agreed. The total term length is anticipated to not exceed ten years, however, the University reserves the right to extend for a longer period at its sole discretion. For all options, the pricing structure for the entire term including renewal options shall be clearly defined. All other terms, conditions, delivery, etc. should remain the same as the original Contract unless mutually agreed otherwise. However, upon mutual agreement between the successful vendor and the University, this Contract may be renewed with a negotiable price increase in the additional years to meet the challenge of providing pricing for long periods of time. The University will consider price adjustment clauses necessary to assure the most aggressive pricing structure. Adjustments can be made no more than once per year at time of Contract renewal. A documented report or publication that is agreed upon by both parties and is consistent with market should be provided. All other terms, conditions, etc., will remain the same as the original Contract term.

Respondents may propose all or part of the options requested, however, all costs associated with the options shall be included in the proposal. The University will choose the option that is the most advantageous for the University.

3.3 The University may award this Contract to multiple vendors. Evaluation criteria for award includes, but is not limited to, location, condition of property, safety, amenities, transportation to campus, pricing, and other criteria that may be identified during the evaluation process. The University intends to award based on the criteria deemed as in the best interest of the University as solely determined by the University.

3.4 General Specifications

2.2 Does not apply to this solicitation.

2.5E is amended to include: Quote prices firm for a period of twelve (12) months upon date of award.

3.5 Solicitation Instructions and Terms:

4.1 Amended to: The University may award this contract to multiple vendors. Evaluation criteria for award includes, but is not limited to, location, condition of property, safety, amenities, transportation to campus, pricing, and other criteria that may be identified during the evaluation process. The University intends to award based on the criteria deemed as in the best interest of the University as solely determined by the University.

Section 4 - Quote Sheet - Leasing Prices

1. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate

400 Rooms

Scenario 1 anticipates existing AY25 East Edge MLA unit mix/bed count. This proposal is 2-3% below anticipated market rents. See attachment for clear breakdown. Studio - 10 beds - \$1463/bed Studio Large - 40 beds - \$1548/bed 1x1 - 1 bed - \$1468/bed 3x3 Renovated - 165 beds - \$1034/bed 4x4 Renovated - 100 beds - \$933/bed 4x4P Renovated - 60 beds - \$975/bed Total: 376 Beds - \$1065/bed - \$400,440 monthly

Response Attachments:

- 376 bed Proposal

2. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate

401-500 Rooms

Scenario 2 anticipates a diversified unit mix from the existing AY25 East Edge MLA prioritizing 4x4 unit types. This proposal is 2-3% below anticipated market rents and is only a ~2.7% increase per bed cost on the current agreement for ay25. See attachment for clear breakdown. Studio Large - 40 beds - \$1548/bed 3x3 Renovated - 165 beds - \$1034/bed 4x4 Renovated - 152 beds - \$933/bed 4x4P Renovated - 104 beds - \$975/bed Total: 461 Beds - \$1032/bed - \$475,752 monthly

Response Attachments:

• 461 bed Proposal

3. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
501 - 600 Rooms

4. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
601 - 700 Rooms

5. Specifications: The University intends to sign a master lease agreement and will sublease to students as needed.

All pricing should include the following:

1. Proposed rooms are preferred to be within a unit that will house students with all bedrooms leased to the University.
2. All Units/Rooms must be furnished. Items to include but not limited to: Couch, dining table, television, microwave, oven, refrigerator, bed with mattress, dresser and desk.
3. List each room type and the amount of bedrooms per type with cost.

12 Month Lease Monthly Rate
701 - 800 Rooms

Section 4.1 - Additional Questions

1. How many rooms are currently available that meet the requirements of this Solicitation for the initial Contract term beginning August 1, 2026?

461 Rooms

2. How long will you hold the rooms until the University commits to leasing the units?

July 1, 2025

3. Please provide the property/complex name and address for your proposed rooms. List multiple as needed.

Eaat Edge 1131 Jackson Avenue, Tuscaloosa, AL 35401

4. Is your proposed property on the University bus route? If not, would you be willing to be added?

Yes, it is included on the bus route.

5. Does your proposed property provide its own transportation to campus for students?

No. In addition to the property being pedestrian to campus the University bus provides transportation directly from the property to campus.

6. What utilities are included in the monthly rates (e.g. electric, water, Internet etc.)?

Internet, gas, trash, stormwater are all included. Electricity and Water/Sewer are charged back to the resident. Owner will supply allowance for each Resident's electric charge as follows: \$40/month for studio and 1 bedroom; \$70/month for 2 bedrooms; \$90/month for 3 bedroom apartments; \$120/month for 4 bedroom apartments. Resident is responsible for any usage billed over the conservation cap.

7. Do the proposed units include a washer/dryer? Is there an additional charge?

Yes, all units include a washer/dryer. No additional charge.

8. Is the unit/room furnished? Please list all furnishings in unit/room.

Yes, Furnished, Living Room: Sofa/Love Seat, Lounge Chair, Coffee Table, TV Stand, Dining Table, Dining Chairs (2-4 depending on floor plan size); Kitchen: Bar Stools (2-4 depending on floor plan size); Bedrooms: Mattress (Full XL), Bed Frame, Desk, Dresser (2 drawer (non renovated), 4 drawer (renovated); Desk chair. *Will differ depending on floor plan size.

9. Please describe in detail the security provisions at the complex.

Cameras are located around the community, which is referenced for any emergency situations. All apartment doors have access control limited to the residents of that unit. All amenity and common area spaces are fob access, including clubhouse. Third-party security patrol on site daily. Vehicle patrol M-W; Officer Patrol 9 hours Thursday - Sunday.

Section 5 - Sample Lease Agreement

Attached below is a sample of the Lease Agreement.

Section Attachments:

- SAMPLE - Master Lease Student Housing - UA Template

Section 6 - Supplier Attachments

1. This section is for any attachments that the Supplier would like to submit with their response.

For Example:

- EVerify Page or Signed Certification of Compliance (Section 2.1 L)
- Current Certificate of Insurance (Section 2.7)
- Any additional files or notes that you wish to attach to your bid response.

Response Attachments:

- E Verify

Section 7 - Solicitation Instructions and Terms

All University of Alabama Solicitations are made upon and subject to the following conditions, if applicable, unless otherwise noted in the Solicitation:

1.0 Preparation of Bids

1.1 All Solicitations are subject to the provisions and requirements of all applicable laws, including, but not limited to, Article 5 of Title 41 of the Code of Alabama, and the rules, regulations and policies of The University of Alabama including The University of Alabama's General Terms and Conditions. No department, school or office at the University has the authority to solicit or receive official Solicitations nor authorize Solicitation or Contract changes other than the Purchasing Department. All Solicitations are issued under the direct supervision of the Executive Director for Procurement Services.

1.2 Solicitations are administered through the University's electronic system, ESM Source. Bidders should respond through the system. Supplier (Bidder) Registration through ESM is required, however, and is free of charge. Please reference ESM's Supplier User Guides for system utilization instructions which include, but are not limited to:

- Registering as a New Supplier
- Supplier Login
- Supplier Profile
- Supplier Response Process

1.3 Bidders are expected to examine the complete Solicitation and all attachments including drawings, specifications and instructions. Failure to do so is at Bidder's risk.

1.4 Costs for developing the proposals and any subsequent activities prior to Contract award are solely the responsibility of the Bidders. The University of Alabama will provide no reimbursement for such costs.

1.5 Bidders shall furnish information required by the Solicitation. Bidders should base their Response on the scope of work and specifications in the Solicitation and should not base Response on actual or perceived past practices not specifically identified in the Solicitation. The University is not liable for any errors, omissions or misinterpretations in Bidder's Response. The University reserves the right to reject Responses with incomplete information or which are presented on a different form. All Responses become the property of the University and will be subject to public disclosure under Code of Alabama 1975 36-12-40 and 36-12-41.

1.6 A legally authorized company representative must submit Bidder's Response. Submitting a Response certifies that the Bidder has read and fully understands all specifications and instructions and agrees to all terms and conditions stipulated in the Solicitation.

1.7 No Contingent Fees

No person or selling agency shall be employed, retained, or given anything of monetary value to solicit or secure this Contract, except bona fide employees of the Respondent or bona fide established commercial or selling agencies maintained by the Respondent for the purpose of securing business. For breach or violation of this provision, the University shall have the right to reject the proposal, annul the Contract without liability, or, at its discretion, deduct from the Contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee or

other benefit.

1.8 Prices shall be entered in spaces provided on the form. All unit prices and mathematical extensions and totals shall be indicated where required. In cases of errors, the University will evaluate and make determination in the University's best interest.

1.9 Should any potential Bidder doubt the true meaning of any part of the Solicitation, a written request for an interpretation may be submitted to the University. Unless specified otherwise in the Solicitation, requests for such interpretation shall be made in writing no later than 4 days/96 hours prior to Solicitation due date. Requests should be made using the Q&A function within the Solicitation (See Supplier Response Process User Guide – Q&A Section for instruction). The University's response to requests for interpretation shall be made as promptly as is practicable. The University may choose to respond to such requests through the Q&A function within the Solicitation or by formal Addendum, both of which shall be made part of the Solicitation. Failure by the University to send or any potential Bidder to receive such interpretation(s) shall not relieve any Bidder from any obligations under the Solicitation or the Bidder's Response. Any interpretations, corrections or changes to the Solicitation made in any other manner, including oral explanations and instructions, are not binding upon the University.

1.10 From the issue date of this Solicitation until a Contract is awarded, Bidders or potential Bidders are not allowed to communicate about the subject Solicitation with any University administrator, faculty, staff, or members of the Board of Trustees of the University of Alabama except:

- As per Section 1: Solicitation Contact Information
- Any Purchasing Officer representing the University administration, or others authorized in writing by the Purchasing Office
- University Representatives during Bidder presentations or demonstrations, if applicable

Failure to comply with this requirement may be grounds for the Bidder's disqualification.

2.0 Submission of Bids

2.1 Responses, and modifications thereof, should be returned via electronic submission through ESM Source before the due date and time set forth in the Solicitation. The official time is as follows:

- Electronic submissions – Time shown within the ESM Source system within Solicitation
- Non-Electronic submissions – Time on the recording clock in the Procurement Services Building

Responses received after the designated time and date in the Solicitation will not be considered.

2.2 Responses may be modified or withdrawn if done so prior to the exact time and date for receipt of the Solicitation. Withdrawn Responses may be resubmitted, with or without modifications, up to the Solicitation due date and time. No Responses may be withdrawn after the due date and time without the express authorization of The University of Alabama Purchasing Department. For electronic submissions, modifications or withdrawals shall be made through ESM Source. See Supplier Response Process User Guide – Retracting a Response section for instructions.

2.3 For Responses other than electronic submissions through ESM Source, Bidders shall show the Solicitation due date and time, the Solicitation number, and the name and address of the Bidder on the face of the bid envelope.

2.4 The successful Bidder shall provide written certification of its compliance with the State of Alabama Immigration Law as a prerequisite to the issuance of a Purchase Order or Contract. See State of Alabama Immigration Law Compliance section of Solicitation for additional detail. To expedite the order placement process, the applicable confirming document may be submitted with the Response using the Supplier Attachment section of the Solicitation.

3.0 Specification Requirements

3.1 Unless otherwise specified in the Solicitation, all items are to be new, unused, and not remanufactured in any way.

3.2 Whenever a trade name, brand name, model or catalog numbers are followed by the words "or equal" or "approved equal" in the Solicitation, it is for the purpose of item identification and to establish standards of quality, style, and features. Responses on equivalent items, substantially the same, are invited. However, to receive consideration, sufficient descriptive literature and/or specifications to clearly identify the item and provide for competitive evaluation must accompany the Response. The University will be the sole judge of equality and suitability. If Bidder does not identify exceptions to the specifications shown in the Solicitation, Bidder will be required to furnish the brand name(s), models, numbers, etc. specified in the Solicitation. Any proposed "equal" item specifications should be attached to your response using the Supplier Attachments section.

3.3 Unless stated otherwise in the Solicitation, alternates will be considered. Alternates may be made in addition to responding to the Solicitation or as the only response to the Solicitation. However, the University is under no obligation to consider or accept an alternate and it reserves the right to reject any and all such Responses. The ESM Source system will only allow one Response per Bidder. If Bidders chooses to submit a second alternative Response, the Response in its entirety should be attached to your primary Response using the Supplier Attachments section.

3.4 The University reserves the right to request samples of any or all items in order to determine compliance with the specifications. The Bidder must provide the samples at no charge to the University. Samples are non-returnable and failure to comply may be cause for rejection of the Response. Samples must be received in the Purchasing Office, unless requested otherwise, within seventy-two (72) hours of the request.

3.5 A field demonstration of the equipment/products/installation and training that are being bid may be required for evaluation purposes. Such demonstration will be at the Bidder's expense and shall be given at The University of Alabama, Tuscaloosa, AL or at a site where the equipment/products/installation is functioning in a similar manner and environment to that in which it would be used at The University of Alabama. The demonstration must take place within forty-eight (48) hours of request unless other arrangements are agreed to by the University. Failure to comply with this request may be grounds for elimination of the Response from consideration in the award.

3.6 The University reserves the right to request any or all Bidders to give an oral presentation of their product(s) and/or service(s) which would include a detailed analysis of how each of the requirements described in the bid Response will be addressed, for the purpose of clarification or to amplify the materials presented in any part of the Response. Bidders may be selected for presentations and may be provided a script and/or instructions for the presentations in order to provide the evaluation team further insight regarding their Response and to clarify any issues. Failure to comply with this request may be grounds for elimination of the Response from consideration in the award. If applicable, the presentations, as solely determined by the University, will be scheduled by the University Purchasing Department prior to the award of the Contract. However, Bidders are cautioned that the Purchasing Department is not required to request such presentations; therefore, all bid Responses should be complete and meet all submittal requirements indicated in the Solicitation. The University of Alabama reserves the right to award the Contract based on Responses without

discussion.

3.7 Except as otherwise specified in the Solicitation, all prices must be firm. Prices subject to qualifications, such as escalation or other variables, may be rejected as non-responsive.

3.8 Unless otherwise stipulated in the Solicitation, all quantities are estimates and do not represent a minimum guarantee. The University reserves the right to purchase more or less than the estimated quantities. The University is obligated only for those quantities ordered.

3.9 Specify any quantity breaks for orders that will result in savings to the University.

3.10 Proposed delivery dates shall be stated in number of calendar days after receipt of order.

3.11 All offers shall be F.O.B. destination, freight prepaid and allowed unless otherwise specified within the Solicitation.

3.12 The University of Alabama reserves the right to require a performance bond from the successful Bidder at its discretion. Unless specifically to the contrary in the Solicitation, the cost of the bond shall be paid for entirely by the successful Bidder.

3.13 The apparent silence of this specification and any supplemental specifications as to any details, or the omission of a detailed description concerning any point shall be regarded as meaning that the best commercial practices are to prevail, and that only materials of first quality and correct type, size, and design are to be used. All workmanship is to be first quality. All interpretations of this specification shall be made on the basis of this statement.

3.14 The Bidder agrees to abide with all applicable federal, state, county and city laws and regulations and to be responsible for obtaining and/or possessing any and all permits and licenses that may be required.

3.15 The Bidder must be factory authorized to sell and install (if required by the Solicitation) the equipment being quoted. All maintenance service must be provided by the Contractor with factory trained service personnel trained on the equipment bid. A letter from the manufacturer verifying the Bidder's status as a factory authorized dealer or reseller with factory trained installation service personnel must be provided within forty-eight (48) hours when requested by the University Purchasing Department.

3.16 For Solicitations specified as "furnish and install" the Contractor will have the complete responsibility for the items or system until it is in place and working. All transportation and cooperation arrangements will be responsibility of the Contractor. The delivery of equipment will be coordinated so that items will be delivered directly to the installation site. This will minimize the risk of damage and avoid double handling by University personnel.

3.17 Installation, service, training and repair will be performed by the Contractor's employees and may NOT be subcontracted with other individuals or companies unless agreed upon in writing by the University. The installation, service, training and repair personnel shall be under the direct supervision of a full time supervisor employed by the Contractor.

3.18 Installation, service, training and repair personnel must have an overall clean/ neat appearance, uniform shirt including company name and name tag must be worn at all times. T-shirts are acceptable if company name and employee picture is displayed and name tag is affixed.

3.19 The Contractor shall NOT assume possession or control of any part of the installed equipment/products or any site where this work is provided.

3.20 It is the sole responsibility of the Contractor to ensure that all affiliates, subsidiaries or subcontractors and their agents and employees provide the product, installation and services as outlined in the specifications of this Solicitation and will conform to all requirements set forth.

3.21 The relationship of the Contractor to the University shall be that of the independent contractor. All equipment used by the Contractor in the performance of the requirements hereunder shall at all times be under the exclusive control of the Contractor, and all expenses incurred by the Contractor in the operations of the delivery and installation shall be paid by the Contractor without reimbursement. Nothing in the Contract will be construed as authority for the Contractor to make commitments, which will bind the University to or otherwise act on behalf of the University, except as the University may expressly authorize in writing.

3.22 Unless otherwise stated in the Solicitation, any provisions for trade-in of existing equipment is not included.

3.23 In order to conserve costs and energy, The University of Alabama follows the Energy Star guidelines established by the U.S. Environmental Protection Agency and the U.S. Department of Energy. A list of equipment categories can be found on the Energy Star website. Should the Solicitation include equipment found in the categories listed on the Energy Star website, and your company is awarded a Contract to furnish the equipment, the equipment delivered to the University must be Energy Star labeled.

4.0 Bid Openings, Evaluation and Award

4.1 Responses will become accessible to Purchasing within the ESM Source system after the event due date and time. Invitation for Bid Solicitation openings will be accessible by virtual method unless specified otherwise within the Solicitation. Access to the virtual bid openings will be by request only. Please contact the Purchasing buyer contact shown in the Solicitation to request access to the virtual bid opening no later than 9:00 a.m. CST of the Solicitation due date. Request for Proposal Solicitation openings will not be accessible.

4.2 For Invitation for Bid Solicitations, Responses will be evaluated and the award made to the lowest responsive, responsible Bidder who offers the best value to the University and meets the terms, conditions, and specifications of the Solicitation. For Request for Proposals Solicitations, Responses will be evaluated based on the criteria set forth in the Solicitation.

4.3 The University reserves the right to accept or reject any and all Responses or part of a Response and waive informalities, technical defects and minor irregularities in the Responses received.

4.4 Any award made based on Responses to a Solicitation is contingent upon available funding and shall not imply any approvals that may be required by State Law or University policies.

4.5 Unless stated otherwise in the Solicitation, the University reserves the right to award the Contract to the lowest aggregate Bidder for all items, on an item by item basis, or a group of like items whichever is found to be in the best interest of the University. The University will consider Responses submitted on an "all or nothing" basis if the Response is clearly designated as such.

4.6 When required, the proper and timely submission of any performance and payment bonds is a material condition for award/performance of this order. The Contractor is not authorized to proceed with work and/or deliveries unless all required bonds have been obtained, are acceptable to and received by the University.

4.7 For Invitation for Bid Solicitations, the status of the event in ESM Source may be changed to "Awarded" prior to Contract award. Such status is for informational purposes and shall only signify the University's intent to award to the apparent lowest responsive and responsible Bidder as

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determined at that point in the evaluation. The University reserves the right to change its intent to award to a Bidder at any point prior to Contract award. This section applies to Invitation for Bid Solicitations only.

4.8 The issuance of a University Purchase Order or Contract signed by an authorized University signatory is required to formally award a Solicitation and constitute a Contract between the successful Bidder and the University. Bidder(s) reserve the right to protest. Protests of any Solicitation must be made prior to due date and time. Protests of any intended award must be made within ten (10) calendar days after the University's intent to award to a Bidder is indicated as described above.

4.9 Bid results are only available after an award is made and will not be available to Bidders during the bid evaluation process. Bid results must be requested via Open Records Request per the instructions found at: <https://strategiccommunications.ua.edu/services/open-records>

5.0 Delivery and Installation

5.1 All items shall be properly aligned, adjusted, cleaned, fully assembled, installed and completely functioning in proper working order. No payments will be made until the entire installation and training is complete and every part of the system is functioning correctly.

5.2 The Contractor will be responsible for repair or replacing any damage incurred to the interior or exterior of University property when such damage is inflicted by the Contractor or their employees. Unless otherwise directed by The University of Alabama, installation will be performed during the University's normal workdays: Monday through Friday, 8:00 a.m. – 4:45 p.m. cst.

5.3 Risk of loss shall remain with the Contractor until all items have been installed and accepted by The University of Alabama.

5.4 No alternate products will be accepted once an order has been placed. Orders will be placed for specific products with the manufacturer brand, product number and item description indicated at time of order placement. At no time is a substitute product to be shipped to The University of Alabama.

5.5 Delivery does not constitute acceptance. All products delivered to the University as a result of an award of this Contract are subject to inspection and testing. Items that do not meet specifications will be rejected and returned to the Contractor at Contractor's expense. Failure to reject upon receipt, however, does not relieve the Contractor of this liability. If tests subsequent to delivery reveal a failure to meet specifications, the Contractor shall be deemed to have breached his Contract.

5.6 If the University is unable to allow the installation to begin at the time indicated by the Contractor, the Contractor agrees to store all items for a period of up to sixty (60) days after the specified installation date at no additional charge to the University unless otherwise specified in the Contract.

5.7 The Contractor will be required to pay the University for cleaning flooring where installation is conducted, if the Contractor soils or scuffs flooring during installation.

5.8 The Contractor shall be responsible for the proper disposal of any packing and debris resulting from his work and removing it from The University of Alabama campus.

6.0 Payment and Taxes

6.1 The Contractor shall be paid, at the prices stipulated, for items or services delivered and accepted, upon the submission of proper invoices to the billing address shown on the Contract or purchase order. The University's standard payment terms are net 30 days after acceptance.

6.2 The University reserves the right to accept or reject prompt payment discounts offered by the Contractor.

6.3 The University utilizes a Purchasing Card (P-Card) program as a method of payment. The University assumes that all Contractors will accept the University's P-Card as a method of payment. No additional charges may be added for acceptance of the P-Card. Refusal or inability to accept P-Card payments may be grounds for termination of Contract at the University's sole discretion.

6.4 The University of Alabama is a public institution as described in Alabama Department of Revenue Sales and Use Tax Rules, 810-6-3-4.7.04, and is specifically exempted under the law from state sales and use taxes on all tangible personal property purchased for its sole use and benefit and under its control. The University is also entitled to exemption from the Federal Excise Tax. All Bidders shall take this into consideration when submitting their Response. Exemption certificates will be furnished upon request. Bidders are informed that material purchased by the Contractor for the performance of this Contract for the University are not exempt. All adjustments and allowances for the current sales and/or use tax shall be included in the price submitted in the Response.

7.0 Performance and Fulfillment

7.1 Contractor will be responsible for promoting products and/or services to the University campus. All promotional materials must be reviewed by the University Purchasing Department and approved prior to distribution (paper or electronic). All costs will be at the Contractor's expense.

7.2 The Contractor may be required to furnish a monthly, quarterly, or annual summary of purchases made under the provisions of the Contract. The format and frequency of the report will be determined by the University.

7.3 The Contractor must agree to replace, free of charge, all defective items delivered under Contract. All transportation charges covering return and replacement of items is to be borne by the successful Bidder.

7.4 The Contractor must provide service manuals with full documentation and schematics when applicable and appropriate.

7.5 The Contractor's employees are not allowed to bring to the University departments or job site their spouses, children, pets, or any person that is not employed by the company.

7.6 Parking - Contractor's staff members will be required to purchase appropriate University parking permits in order to access parking where such permits are required. Permits are available through Parking Services located in the Campus Drive Parking Deck, 451 Campus Drive East, Monday – Friday, 8:00 a.m. until 5:00 p.m.

Section 7.1 - Solicitation Definitions

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1. Addendum - Written or graphic instructions issued by The University of Alabama prior to the receipt of Responses that modify or interpret the Solicitation documents by addition, deletions, clarification, or corrections.
2. Bidder (also referred to as "Supplier" or "Respondent") - A person, firm, or entity who submits a Response to a Solicitation. And, who has the capability in all respects to perform fully the Contract requirements and the integrity and reliability that will assure good faith performance. Any Bidder may be represented by an agent after submitting evidence demonstrating the agent's authority. The agent cannot certify as to his own agency status.
3. Contract (also referred to as "Notice of Award of Price Contract") - The entire written agreement between the parties and executed by a person authorized to execute contracts on behalf of a party, including, but not limited to, the Invitation for Bid or Request for Proposal and its specifications, terms, and conditions, Solicitation instructions, Solicitation addenda, Bidder's offer, the Contract document, and Contract amendments if any, including, without limitations, the University's General Terms and Conditions and the purchase order or agreement document excluding correspondence of any type unless specifically accepted by both parties in writing. In the event Contractor enters into terms of use, and user agreements, or other agreements or understandings, whether electronic, click-through, or shrink-wrap, and whether verbal or written, with University employees or other end users not authorized to execute contracts on behalf of the University, such agreements shall be null, void, and without effect as a part of the Contract, and the terms of this Contract shall apply. Contract is non-exclusive and shall not prohibit the University from entering into similar contracts, unless explicitly stated in the Contract.
4. Contractor - A person, company, corporation, organization or other legal entity with whom the University has executed a Contract.
5. Days - All days referenced are based on calendar days, unless otherwise specified.
6. ESM Sourcing - The University's electronic Solicitation system for which bids are issued by the University and Responses are submitted.
7. Premises (also referred to as "Facilities") - Any premises owned, operated, licensed, leased, or under partial or complete control of University. Premises shall also include any other areas in which Contractor will perform the work, pursuant to this Contract.
8. Purchasing - The University of Alabama, Purchasing Department, 1500 Flint River Drive, Tuscaloosa, AL, 35404
9. Purchasing Officer (also referred to as "Buyer") - The individual assigned to the Solicitation and designated to contract for goods and/or services for The University of Alabama.
10. Response (also referred to as "Offer" or "Proposal") - A complete offer in response to a Solicitation, which if accepted, would bind the Bidder to perform in accordance with the resultant Contract.
11. "Shall", "Must" or "Will" - Indicate a mandatory requirement(s) that must be addressed. Failure to address these mandatory requirements may result in rejection of your Response as non-responsive. However, the University reserves the right to request additional information, but is not required to do so.
12. "Should" - Indicates something that is recommended, but not mandatory. If the Bidder fails to provide recommended information, the University may, at its sole option, ask the Bidder to provide information or evaluate the Response without the information.
13. Solicitation - Including but not limited to Invitation for Bid, Request for Proposal, or such other request as defined by The University of Alabama.
14. The University of Alabama (also referred to as "University") - The Board of Trustees of The University of Alabama, a public corporation and constitutional instrumentality of the State of Alabama.

Terms and Conditions

ESM Sourcing Terms

None

General Terms and Conditions

For purposes of this Solicitation, the "terms and conditions" shall consist of the following components:

- a) The Solicitation and any Addenda
- b) University General Terms and Conditions

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided in Code of Alabama 6-5-180 that

1. The attached response has been arrived at independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other vendor of materials, supplies, equipment or services described in the Invitation for Bids, designed to limit independent bidding or competition;
2. The contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids.
3. The bidder is legally entitled to enter into contracts with The University of Alabama and is not in violation of any prohibited conflict of interest, including those prohibited by the Code of Alabama 13A-10-62, as amended 1975.
4. I have fully informed myself regarding the accuracy of the statement made above.

Event Specific Terms and Conditions

None



Company ID Number: 72760

Client Company ID Number: 1143673

Approved by:

Employer KRE UP Management LLC	
Name (Please Type or Print) Jyothsna Chaliki	Title
Signature Electronically Signed	Date 11/15/2016
E-Verify Employer Agent ADP TotalSource, Inc. (GA)	
Name (Please Type or Print) Jyothsna Chaliki	Title
Signature Electronically Signed	Date 11/15/2016
Department of Homeland Security – Verification Division	
Name (Please Type or Print) USCIS Verification Division	Title
Signature Electronically Signed	Date 11/21/2016



Company ID Number: 72760

Client Company ID Number: 1143673

Information Required for the E-Verify Program	
Information relating to your Company:	
Company Name	KRE UP Management LLC
Company Facility Address	901 Main St Ste 4940 Dallas, TX 75202
Company Alternate Address	901 Main St Ste 4940 Dallas, TX 75202
County or Parish	DALLAS
Employer Identification Number	825013667
North American Industry Classification Systems Code	531
Parent Company	
Number of Employees	5 to 9
Number of Sites Verified for	2 site(s)



Company ID Number: 72760



Client Company ID Number: 1143673

Are you verifying for more than 1 site? If yes, please provide the number of sites verified for in each State:

LA	1
TX	1



Company ID Number: 72760

Client Company ID Number: 1143673

Information relating to the Program Administrator(s) for your Company on policy questions or operational problems:

Name	Kim Dunlap
Phone Number	4694541701
Fax	
Email	kdunlap@universitypartners.com

APPENDIX B

FERPA and PRIVACY PROVISIONS

UA Contractor, their subsidiaries, affiliates, assignees, as well as the employees, agents, and representatives of those entities agree to abide by relevant privacy laws, including but not limited to the limitations on re-disclosure of personally identifiable information from education records set forth in The Family Educational Rights and Privacy Act (FERPA), 34 C.F.R. § 99.33 (a)(2), and agree to comply with the terms set forth below. 34 C.F.R. § 99.33(a)(2) states that the officers, employees and agents of a party that receives education record information from the University may use the information, but only for the purposes for which the disclosure was made.

Definition: Covered data and information (CDI) includes paper and electronic student education record information supplied by the University, as well as any personally identifiable data provided by University students to UA Contractor.

Acknowledgment of Access to CDI: UA Contractor acknowledges that the Agreements potentially allow UA Contractor access to CDI.

Prohibition on Unauthorized Use or Disclosure of CDI: UA Contractor agrees to hold CDI in strict confidence. UA Contractor shall not use or disclose CDI received from or on behalf of the University (or its students) except as permitted or required by the agreement or this addendum, as required by law, or as otherwise authorized in writing by the University. UA Contractor agrees that it will protect the CDI it receives from or on behalf of the University according to commercially acceptable standards and no less rigorously than it protects its own confidential information. UA Contractor agrees not to use CDI for any purpose other than the purpose for which the disclosure was made.

Return or Destruction of CDI: Upon termination, cancellation, expiration or other conclusion of the Agreements, UA Contractor shall return all CDI to the University or, if return is not feasible, destroy any and all CDI. If UA Contractor destroys the information, UA Contractor shall provide the University with a certificate confirming the date of destruction of the data.

Remedies: If the University reasonably determines in good faith that UA Contractor has materially breached any of its obligations under the Agreements relating to these FERPA requirements, the University, in its sole discretion, shall have the right to require UA Contractor to submit to a plan of monitoring and reporting; provide UA Contractor with a fifteen (15) day period to cure the breach; or terminate the Agreements immediately if cure is not possible. Before exercising any of these options, the University shall provide written notice to UA Contractor describing the violation and the action it intends to take. If the Office of the Chief Privacy Officer of the U.S. Department of Education determines that UA Contractor improperly disclosed personally identifiable information obtained from the University's education records or CDI, the University may not allow UA Contractor access to its education records or CDI for at least five years.

Maintenance of the Security of Electronic Information: UA Contractor shall develop, implement, maintain, and use appropriate administrative, technical, and physical security measures to preserve the confidentiality, integrity, and availability of all electronically maintained or transmitted CDI received from, or on behalf of the University or its students. These measures will be extended by contract to all subcontractors used by UA Contractor.

Reporting of Unauthorized Disclosures or Misuse of Covered Data and Information: UA Contractor shall as expeditiously as possible, but in no event later than ten days of discovery, report to the University any use or disclosure of CDI not authorized by the Agreements or in writing by the University. UA Contractor's report shall identify: (i) the nature of the unauthorized use or disclosure, (ii) the CDI used or disclosed, (iii) who made the unauthorized use or received the unauthorized disclosure, (iv) what UA Contractor has done or shall do to mitigate any deleterious effect of the unauthorized use or disclosure, and (v) what corrective action UA Contractor has taken or shall take to prevent future similar unauthorized use or disclosure. UA Contractor shall provide such other information, including a written report, as reasonably requested by the University.

Compliance with Alabama Breach Notification Law: To the extent UA Contractor maintains, stores, processes or is otherwise permitted access to *data in electronic form* containing the *Sensitive Personally Identifying Information* of any Alabama resident in connection with Contractor's provision of services to University, Contractor agrees to comply with all aspects of the Alabama Data Breach Notification Act of 2018 codified in Ala. Code §§8-38-1, et. seq. Contractor agrees that in addition to implementing reasonable security measures as required by the Act (including an appropriate disposal of records), Contractor shall conduct a prompt and good faith investigation of potential *breaches of security*. In the event of a *breach involving data stored in an electronic form*, Contractor shall notify University as expeditiously as possible, but in no event later than 10 days following the determination of the *breach of security* or reason to believe the breach occurred, to allow University sufficient time to comply with its breach notification requirements under the Act. Contractor understands that its failure to comply with the notification provisions of the Alabama Data Breach Notification Act constitutes a material breach of its agreement with the University and may subject Contractor to the penalty provisions of the Alabama Deceptive Trade Practices Act, Ala. Code §8-19-11. For the purposes of this provision, *italicized terms* shall have the meaning ascribed in Ala. Code §§8-38-2.

Indemnity: UA Contractor shall defend and hold the University harmless from all claims, liabilities, damages, or judgments involving a third party, including the University's costs and attorney fees, which arise as a result of UA Contractor's failure to meet any of its obligations under this acknowledgment document.

**THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF ALABAMA**

KRE UP III East Edge Owner LLC

Signature

Signature

Printed Name

Printed Name

Title

Title

Tabulation of Bids

Lease of Off-Campus
Bid Name: Apartments
Bid Number: JA25-109
Bid Due Date: February, 26, 2025 at 2:00pm CST
Bid Location: 1500 Flint River Dr., Tuscaloosa, AL 35404

Vendor: University Partners

Studio - Qty 10 \$1463
 STDL - Qty 40 \$1548
 1x1 - Qty 1 \$1468
12 month Lease 400 Rooms 3x3 Renovated - Qty 165 \$1034
 4x4 Renovated - Qty 100 \$933
 4x4P Renovated - Qty 60 \$975
 TOTAL Rooms - 376

Studio Large - Qty 40 \$1533
 3x3 Renovated - Qty 165 \$1024
12 month Lease 401 - 500 Rooms 4x4 Renovated - Qty 152 \$924
 4x4P Renovated - Qty 104 \$966
 TOTAL Rooms - 461

12 month Lease 501 - 600 Rooms No Bid

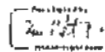
12 month Lease 601 - 700 Rooms No Bid

12 month Lease 701 - 800 Rooms No Bid

Apartment Name: East Edge 1131 Jackson Ave, Tuscaloosa, AL 35401

Procurement Services Review:

I certify the above recap and approve the recommended award of the contract to the proposed awardee.


 Kevin R. Stevens

Executive Director of Procurement Services

Apr-01-2025

Date

EAST EDGE APARTMENTS LOCATION MAP



EAST EDGE APARTMENTS



ALABAMA

East Edge Apartments

Studio L 1 bed / 1 bath



East Edge Apartments

3 bed / 3 bath Renovated



East Edge Apartments

4 bed / 4 bath Renovated



**UNIVERSITY OF ALABAMA SYSTEM
BOARD RULE 415
BOARD SUBMITTAL CHECKLIST CRITERIA**

**BOARD SUBMITTAL CHECKLIST NO. 8
ACQUISITION OF PROPERTY ¹**

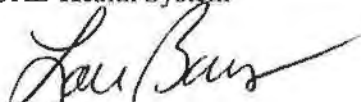
CAMPUS: UAB Medicine Enterprise

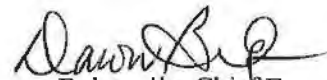
PROJECT NAME: Acquisition of Ownership Interest in Cotton Exchange, Cullman, AL

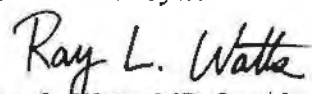
MEETING DATE: June 5-6, 2025

- ☒ 1. Board Submittal Checklist No. 8
- ☒ 2. Transmittal Letter to Chancellor from Campus President requesting project be placed on the agendas for the forthcoming Physical Properties Committee and Board of Trustees (or Executive Committee) Meetings
- ☒ 3. Proposed Board Resolution requesting approval of acquisition of property by the Board of Trustees
- ☒ 4. Executive Summary
- ☒ 5. Locator Map(s)
- ☒ 6. Property Appraisals or Executive Summary of Appraisal
- ☒ 7. Proposed Purchase Contract
- ☐ 8. Phase I -- Environmental Assessment

Prepared by: 
Arpan K. Limdi, Chief Facilities Officer
UAB Health System

Approved by: 
Lou Baverso, Chief Operating Officer
UAB Health System

Approved by: 
Dawn Bulgarella, Chief Executive Officer
UAB Health System

Approved by: 
Ray L. Watts, MD, President
UAB

¹ Reference Tab 38 - Board Rule 415 Instructional Guide

RAY L. WATTS, M.D.
President

April 18, 2025

Mr. Sid Trant, Chancellor
The University of Alabama System
500 University Boulevard East
Tuscaloosa, Alabama 35401

RE: Cotton Exchange of Cullman, LLC Membership Interest Acquisition
(212 4th Avenue Southeast, Cullman, Alabama, 35055)

Dear Chancellor Trant:

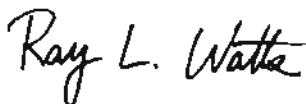
Enclosed is a resolution with supporting documentation requesting approval of the purchase of the remaining direct and indirect membership interest of Davis Properties Ltd and Wyatt Family Trust in Cotton Exchange of Cullman, LLC.

This opportunity enables the UAB Medicine Enterprise to control the real property located at 212 4th Avenue Southeast in Cullman, Alabama and secure potential space for a future UAB Medicine clinic within the Cullman market. In addition, the minority owner of this entity is a Henderson and Walton Women's Center subsidiary, and this endeavor will further solidify UAB's working relationship with this private practice.

This item has been thoroughly reviewed and has our endorsement. With your concurrence, we ask that this resolution be added to the agenda of the Physical Properties Committee as an Action Item for the Board of Trustees at their regularly scheduled meeting.

Please let us know if there are any questions.

Sincerely,



Ray L. Watts, M.D.
President

Enclosure

cc: Ms. Dawn Bulgarella
Ms. Dottie Pak
Mr. Mark Foley
Mr. Michael Rodgers
Mr. Arpan Limdi
Ms. Kirsten Burdick

OFFICE OF THE PRESIDENT

1070 Administration Building | 701 20th Street South

Mailing Address:

AB 1070 | 1720 2ND AVE SOUTH | BIRMINGHAM AL 35294-0110

phone: 205.934.4636 | fax: 205.975.8505

www.uab.edu

UAB HEALTH SYSTEM
EXECUTIVE OFFICES

April 18, 2025

Ray L. Watts, MD
Chair and President, UAB Health System Authority
1720 2nd Avenue South, 1070 AB
Birmingham, Alabama 35294

RE: Cotton Exchange of Cullman, LLC Membership Interest Acquisition
(212 4th Avenue Southeast, Cullman, Alabama, 35055)

Dear Chair Watts:

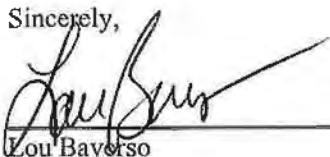
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This opportunity enables the UAB Medicine Enterprise to control the real property located at 212 4th Avenue Southeast in Cullman, Alabama and secure potential space for a future UAB Medicine clinic within the Cullman market. In addition, the minority owner of this entity is a Henderson and Walton Women's Center subsidiary, and this endeavor will further solidify UAB's working relationship with this private practice.

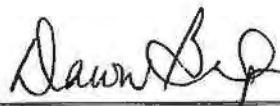
This item has been thoroughly reviewed and has our endorsement. With your concurrence, we ask that it be added to the agenda as an Action Item of the upcoming UAB Health System Authority Board of Directors meeting.

Please let us know if there are any questions.

Sincerely,



Lou Bavorso
Chief Operating Officer
UAB Health System



Dawn Bulgarella
Chief Executive Officer
UAB Health System

Enclosure

cc: Ms. Brenda Carlisle
Ms. Dorothy Pak
Mr. Michael Rodgers

Mr. Mark Foley
Mr. Arpan Limdi
Ms. Kirsten Burdick

**EXECUTIVE SUMMARY
PROPOSED BUSINESS INTEREST ACQUISITION
BOARD OF TRUSTEES EXECUTIVE SUBMITTAL**

Meeting Date: June 5th, 2025 – June 6th, 2025

CAMPUS: University of Alabama at Birmingham

PROPERTY NAME: The Cotton Exchange of Cullman

LOCATION: 212 4th Ave Southeast, Cullman, Alabama 35055

ACQUISITION METHOD: ☐ Gift ☒ Purchase ☐ Other

Proposed Closing Date 07/01/25 Price \$2,100,000.000

LEGAL DESCRIPTION:	Commencing at the intersection of the West boundary of Fifth Avenue Northeast and the South boundary of Second Street being the point of beginning; thence west along the South boundary of Second Street 132 feet; thence South 90 degrees 44 feet; thence west 90 degrees 132 feet to a point located on the East boundary of Fourth Avenue, Northeast; thence South 90 degrees along said Forth Avenue Northeast 142.8 feet; thence East 90 degrees 264 feet to a point on the West boundary of Fifth Avenue Northeast; thence north 90 degrees 186.8 feet to the point of beginning.
	Parcel ID –17-02-10-4-018-001.001 Locator Map (Included in Appraisal)

LAND SIZE:	.99 Acres (43,124 Square feet)
IMPROVEMENTS:	The property is a good quality, multi-tenant medical office within the central business district of Cullman. The facility has a functional design set up for two tenant spaces but could easily be divided into three or even four tenant spaces. The property has 62 lined parking spaces on asphalt paving.
ENVIRONMENTAL SURVEY:	Due Diligence activities are in process.
CONDITION OF PROPERTY:	The building and parking lot are in good condition. There are no immediate needs for improvements or renovations.

APPRAISAL VALUE:	An appraisal was completed November 8, 2024 by Tillman, Allen, and Sizemore, L.L.C. and indicates a market value of \$2,647,000. Appraisal (Attachment No. 1)

LICENSED APPRAISER:	Charles Daniel Sizemore – Tillman, Allen, and Sizemore, L.L.C. (AL Cert. No. G00592)
JUSTIFICATION FOR ACQUISITION:	In the fall of 2024, the members of the Cotton Exchange of Cullman, LLC – Davis Properties Ltd (37.5%), Wyatt Family Trust (37.5%), and HWWC Real Estate Company, LLC (25%) – discussed the disposition of the majority owners’

interest in the company. During those discussions, Dr. Jeffery Gunnels of Henderson and Walton Women's Center, PC and registered agent of HWWC Real Estate Company, LLC, recommended the majority owners to connect with UAB Health System as a potential purchaser of The Cotton Exchange. Dr. Gunnels' recommendation was prompted by his recognition of a preexisting working relationship between St. Vincent's (UAB) and Henderson and Walton in the Bayley 56, LLC joint venture which owns the 5th and 6th floors of POB IV at St. Vincent's Main Campus.

The precedent set by Bayley 56, LLC as a strategic partnership with a private practice to control interest in local real estate was followed and UAB Medicine Enterprise negotiated the purchase price of the controlling 75% of The Cotton Exchange of Cullman, LLC to \$2,100,000.

This purchase price is 79.34% of the appraised value of the property; however, the transaction enables UAB Health System through UAB Medicine Enterprise to own space within the market and secure potential to establish a future UAB Medicine presence in Cullman. In addition, this transaction will serve as a catalyst to continue strengthening the working relationship with Henderson and Walton.

ACQUISITION METHOD:

☒ Cash

Closing Date: Upon acceptance of Board of Trustees

☐ Trade

☐ Gift

OTHER ATTACHMENTS:

☒ Proposed Board Resolution to Authorize UAB Medicine Enterprise to Proceed with Acquisition of Business Interest in Real Property located in Cullman, Alabama.

List of Attachments:

1. Appraisal
2. Purchase Agreement Letter of Intent



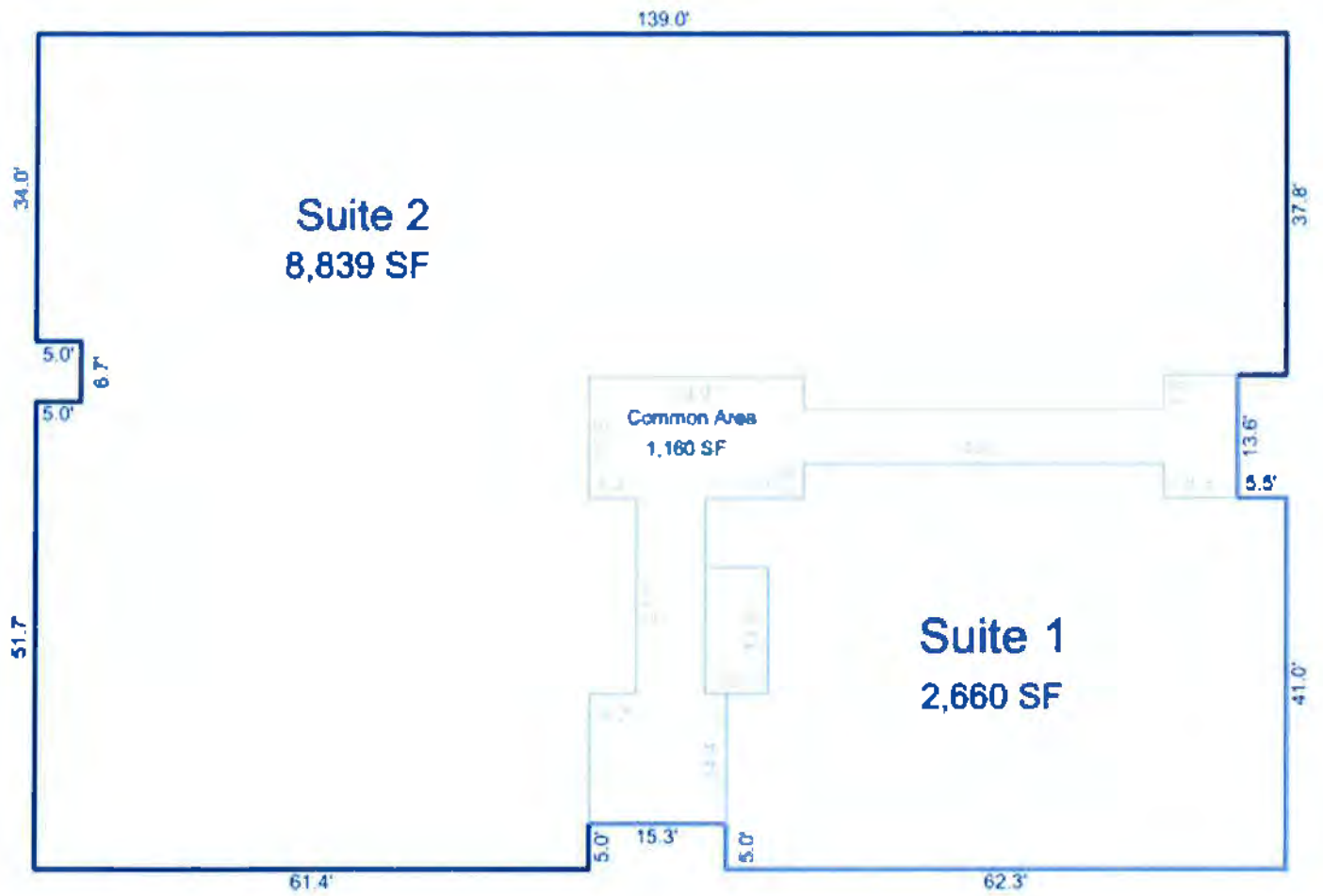


Cotton Exchange of Cullman – 212 4th Avenue Southeast, Cullman, Alabama 35055



Cotton Exchange of Cullman - Street View of Property

SKETCH



Cotton Exchange of Cullman - 212 4th Avenue Southeast, Cullman, Alabama 35055

ATTACHMENT NO. 1

APPRAISAL REPORT

TILLMAN, ALLEN & SIZEMORE, L.L.C.

**Professional Real Estate Valuation,
Counseling, & Brokerage**

704 South 4th Street
Gadsden, Alabama 35901

APPRAISAL REPORT

**COTTON EXCHANGE OF CULLMAN
212 4TH AVENUE SE
CULLMAN, ALABAMA 35055**

FOR

**MILLER MURCHISON
REAL ESTATE MANAGER
UAB HEALTH SYSTEMS**

DATE OF REPORT: NOVEMBER 8, 2014

Phone: (256)547-2042
Fax: (256)547-2096
e-mail: eds@tasllc.net
Internet URL: www.tasllc.net

TILLMAN, ALLEN & SIZEMORE L.L.C.
Professional Real Estate Valuation, Counseling, & Brokerage

R. Scott Allen, MAI*
C. Daniel Sizemore*
Lora K. Stevenson
*Alabama Certified General Appraiser

704 South 4th Street
Gadsden, Alabama 35901

November 8, 2014

Miller Murchison
Real Estate Manager
UAB Health System

Re: Cotton Exchange of Cullman, LLC
212 4th Avenue SE
Cullman, Alabama 35055

Sirs:

In accordance with your request, I have viewed and appraised the above referenced property. The purpose of the appraisal was to estimate the leased fee market value of the subject property. This appraisal may not be used nor relied upon by anyone other than the client, for any purpose whatsoever, without the express written consent of the appraiser.

The reader's attention is noted to the general assumptions and limiting conditions commencing in the addenda of this report. In addition, there are several extraordinary assumptions and limiting conditions. An extraordinary assumption is defined by USPAP as "an assumption directly related to a specific assignment, as of the effective date of the assignment results, which if found to be false, could alter the appraiser's opinions or conclusions." These are detailed as follows:

1. It is assumed that there are no structural defects hidden by floor or wall coverings or any other hidden or unapparent conditions of the property; that all mechanical equipment that is considered a part of the real estate are in good working condition; and that all electrical components and the roofing are in good condition. If the client has any questions regarding these items, it is the client's responsibility to order the appropriate inspections. The writers do not have the skill or expertise needed to make such inspections. The writer specifically assumes no responsibility for these items.
2. Unless otherwise stated in this report, the existence of hazardous material, which may or may not be present on the property, was not observed by the appraiser. The appraiser has no knowledge of the existence of such materials on or in the property. The appraiser, however, is not qualified to detect such substances. The presence of substances such as asbestos, urea-formaldehyde foam insulation, or other potentially hazardous materials may affect the value of the property. The value estimate is predicated on the assumption that there is no such material on or in the property that would cause a loss in value. No responsibility is assumed for any such conditions, or for any expertise of engineering knowledge required to discover them. The client is recommended to retain an expert in this field, if desired.

3. The writer has not been provided a survey of the subject site and specifically assumes there are no easements/encroachments which negatively impact the site. Furthermore, the writer assumes that the land size is not marketably different from that utilized in this report.

After careful consideration of all known matters which affect the present and foreseeable future utility, desirability and therefore marketability of the property, it is our opinion of value is as follows:

RECONCILED VALUE CONCLUSION			
Appraisal Premise	Interest Appraised	Date Value	Value Opinion
"As Is"	Leased Fee	November 4, 2024	\$2,647,000.

I trust the attached report is satisfactory. However, if you should have any questions concerning the above referenced property, please feel free to contact me at any time.

Sincerely,

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Property Identification

Client Identification	Miller Murchison, Real Estate Manager – UAB Health Systems
Property Address	212 4 th Avenue SE, Cullman, Alabama 35055
Tax Parcel Number	17-02-10-4-018-001.001
Property Owner	Cotton Exchange of Cullman, LLC

Site

Zoning	CBD
FEMA Flood Map No.	01043C0212E
Flood Zone	Zone X
Land Area	43,124+- SF / 0.99+- Acres

Existing Improvements

Property Use	Multi-tenant Medical Office
Gross Building Area	12,659+- SF
Year Built	1980
Condition	Good
Quality	Good
Land/Building Ratio	3.4/1

Valuation Opinions

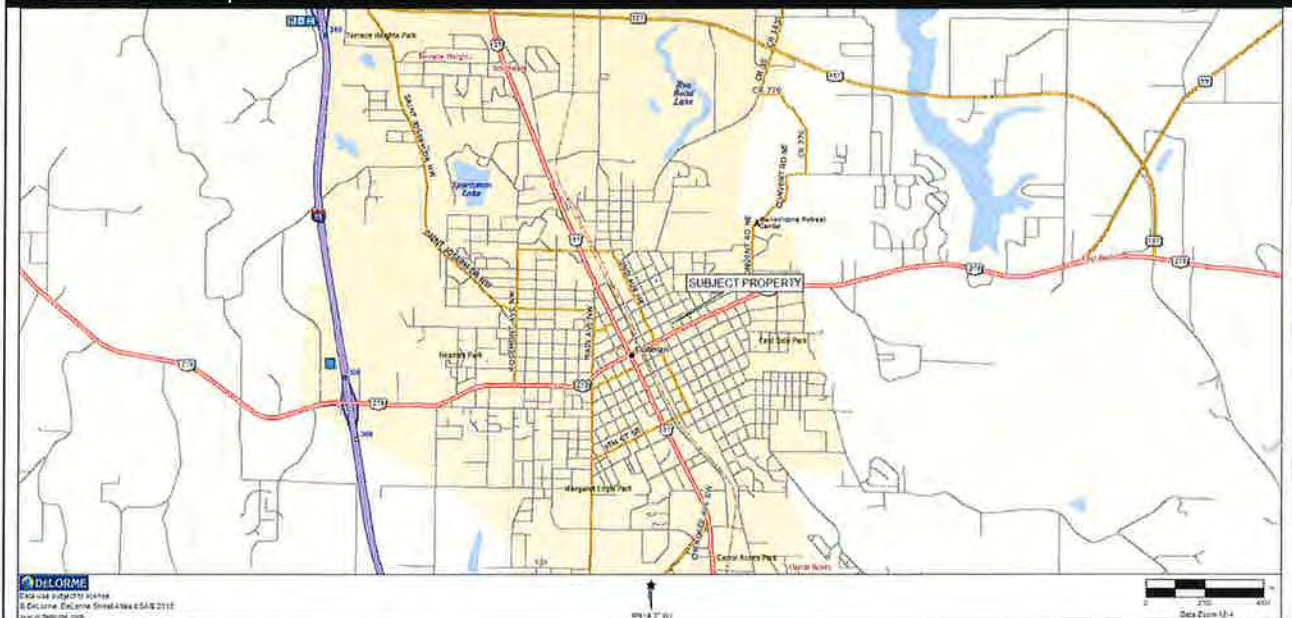
Highest & Best Use – As Vacant	Commercial
Highest & Best Use – As Improved	Multi-tenant Medical Office
Estimated Exposure Time	12 Months
Estimated Marketing Time	12 Months
Cost Approach	Not Utilized
Income Approach	\$2,647,000.
Sales Comparison Approach	\$2,660,000.

RECONCILED VALUE CONCLUSION			
Appraisal Premise	Interest Appraised	Date Value	Value Opinion
"As Is"	Leased Fee	November 4, 2024	\$2,647,000.

STREET VIEW OF SUBJECT



NEIGHBORHOOD/LOCATION MAP



Real Estate Identification

The subject property address is 212 4th Avenue SE, Cullman, Alabama 35055. The property is identified by Cullman County for ad valorem tax purposes as Tax Parcel 17-02-10-4-018-001.001.

Use of Real Estate as of the Effective Date of Value

As of the effective date of the appraisal, the subject property is utilized as a multi-tenant, medical clinic.

Ownership of the Property

According to the Cullman County Tax Assessor, title to the subject property is vested in the name of Cotton Exchange of Cullman LLC.

History of the Property

The writer is not aware of any open market transactions involving the subject within the previous 3+- years.

Analysis of Listings/Offers/Contracts

The writer is not aware of any current listings, offers, or contracts involving the subject property other than the current leases of the subject facility which are discussed in the Income Approach section of this report.

Client and Intended Users of the Appraisal

The client in this assignment is UAB Health Systems. The client is the sole intended user and there are no other intended users. Other than the client, no other parties should rely on this appraisal for any other use.

Intended Use of the Appraisal

The sole intended use of this report is for loan underwriting, utilizing the subject property as collateral.

Definition of Value

The definition of market value under which the subject property is appraised is as follows:

Market value means the most probable price which a property should bring in a competitive and open market under all conditions requisite to a fair sale, the buyer and seller each acting prudently and knowledgeably, and assuming the price is not affected by undue stimulus. Implicit in this definition is the consummation of a sale as of a specified date and the passing of title from seller to buyer under conditions whereby: buyer and seller are typically motivated; both parties are well informed or well advised, and acting in what they consider their own best interests; a reasonable time is allowed for exposure in the open market; payment is made in terms of cash in U.S. dollars or in terms of financial arrangement comparable thereto; and the price represents the normal consideration for the property sold unaffected by special or creative financing or sales concessions granted by anyone associated with the sale. The above definition is as required by the Financial Institutions Reform Recovery and Enforcement Act and the Office of the Comptroller of Currency.

Valuation Scenarios, Property Rights and Effective Date of Value

Per the scope of assignment, the developed opinion of value for the subject property is under the following scenarios of value:

Valuation Scenario	Effective Date of Value
As Is – Leased Fee	November 4, 2024

Date of Report

The date of this report is November 8, 2024.

Assumptions and Conditions of Appraisal

This appraisal assignment and the opinions reported herein are subject to the General Assumptions and Limiting Conditions contained in the report and the following extraordinary assumptions and/or hypothetical conditions, the use of which might have affected the assignment results.

1. It is assumed that there are no structural defects hidden by floor or wall coverings or any other hidden or unapparent conditions of the property; that all mechanical equipment that is considered a part of the real estate are in good working condition; and that all electrical components and the roofing are in good condition. If the client has any questions regarding these items, it is the client's responsibility to order the appropriate inspections. The writers do not have the skill or expertise needed to make such inspections. The writer specifically assumes no responsibility for these items.
2. Unless otherwise stated in this report, the existence of hazardous material, which may or may not be present on the property, was not observed by the appraiser. The appraiser has no knowledge of the existence of such materials on or in the property. The appraiser, however, is not qualified to detect such substances. The presence of substances such as asbestos, urea-formaldehyde foam insulation, or other potentially hazardous materials may affect the value of the property. The value estimate is predicated on the assumption that there is no such material on or in the property that would cause a loss in value. No responsibility is assumed for any such conditions, or for any expertise of engineering knowledge required to discover them. The client is recommended to retain an expert in this field, if desired.
3. The writer has not been provided a survey of the subject site and specifically assumes there are no easements/encroachments which negatively impact the site. Furthermore, the writer assumes that the land size is not marketably different from that utilized in this report.

Hypothetical Conditions

A hypothetical condition is defined by USPAP as "a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purposes of analysis."

There are no Hypothetical Conditions associated with this report.

Estimated Exposure and Marketing Time

Exposure time is defined by The Dictionary of Real Estate Appraisal, 7th Edition as 1. *The time a property remains on the market.* 2. *The estimated length of time the property interest being appraised would have been offered on the market prior to the hypothetical consummation of a sale at market value on the effective date of the appraisal; a retrospective estimate based on an analysis past events assuming a competitive and open market.*

Exposure time is presumed to occur prior to the effective date of the appraisal. The overall concept of reasonable exposure time encompasses not only adequate, sufficient and reasonable time but also adequate, sufficient and reasonable effort. Exposure time differs for various types of real estate and value ranges and under varying market conditions. In order to estimate the exposure time, the primary source is by interviews with market participants and review of days on market of both comparable listings and sales data. In addition, the writer considers historical, current and expected trends in the market. Based upon the preceding, it is the writer's opinion that a reasonable estimate to an exposure time would be 12+- months.

Marketing Time is defined as *"An opinion of the amount of time it might take to sell a real or personal property interest at the conclude market value level during the period immediately after the effective date of an appraisal. Marketing time differs from exposure time, which is always assumed to precede the effective date of an appraisal."* (Advisory Opinion 7 of the Appraisal Standards Board of the Appraisal Foundation).

The estimate of marketing period is different from exposure time in that the marketing period is an estimate of the future time that active marketing would most likely be required in order to realize the value of the subject property estimated in this report. The estimated marketing time periods can vary from exposure time depending on anticipated changes in market conditions that would impact the future, but will not have an effect on the past historical influences that has been considered in the exposure time. In addition to the historical data considered in the exposure time period of the property, the writer has also considered any anticipated changes in the marketplace that would influence the subject property either positively or negatively, based upon review of market data and interviewing knowledgeable market participants. Based on all considerations, it is the writer's opinion a reasonable marketing period for the subject property would be in the range of 12 months.

SCOPE OF APPRAISAL

The elements addressed in the Scope of Work are the extent to which the subject property is identified, the extent to which the subject property is inspected, the type and extent of data research, the type and extent of analysis applied, the type of appraisal report prepared, and the inclusion or exclusion of items of non-realty in the development of the value opinion. These items are discussed as follows.

Extent to Which the Property Was Identified

The subject property was identified by examination of public records, and its tax identification numbers. The economic characteristics of the subject property was review of market data obtained through public records, appraiser files, multiple listing service data as well as knowledgeable market participants. The physical

characteristics were identified by property inspection and the examination of the readily visible aspects of the property.

Extent to Which the Property was Inspected

The writer made a personal viewing of the subject property on November 4, 2024. Field measurements of the improvements were made by the appraiser.

Type and Extent of Data Researched

The market area, neighborhood, zoning data, and comparable listings and transactions to the subject property was obtained through public records, in-house files, multiple listing service data, as well as interviewing knowledgeable market participants.

Type and Extent of Analysis Applied

After analysis of the subject property's physical and legal characteristics, the highest and best of the property was concluded to be a multi-tenant medical clinic. The writer has considered the three traditional approaches to value; however, the building is a 44+- year old building and it is the writer's opinion the Cost Approach would have limited applicability and has been omitted. Omission of the Cost Approach is not considered to impact the reliability of the final market value conclusion. The writer has provided the Sales Comparison and Income Approaches to value.

Report Type

The analysis, opinions and conclusions are contained in this report in conformity with Uniform Standards of Professional Appraisal Practice (USPAP) of the Appraisal Foundation; the Code of Professional Ethics and Standards of Professional Appraisal Practice of the Appraisal Institute; and the requirements of our clients as we understand them. This is an Appraisal Report as defined by the Uniform Standards of Professional Appraisal Practice under Standards Rule 2-2a.

Personal Property/FF&E

All personal property and equipment is excluded from the valuation.

MARKET ANALYSIS

The subject property is located within the downtown area of Cullman, one street removed from US Highway 278 and five blocks removed from US Highway 31, which are the main traffic arteries through Cullman. US Highway 278 is an east/west corridor, which provides access to Blount County to the east, while providing access to Interstate 65 to the west. US Highway 31 is the major north/south thoroughfare, and generally runs parallel to Interstate 65 through the county. Highway 31 provides access to Hanceville to the south, while providing access to Vinemont and further into Morgan County to the north. The remaining roads and streets are generally laid out in a grid type manner through downtown.

The development within the neighborhood is typical of downtown development, with a mixture of common wall and free-standing development, with banks and governmental and municipal uses, while the primary commercial type development is largely found along US Highway 31. The neighborhood is fully built out, and demand for the neighborhood is considered very good, with redevelopment occurring.

Site Characteristics

Address	212 4 th Avenue S.E., Cullman, Alabama
Gross Land Area	43,124+- SF / 0.99+- Acres
Shape	Irregular
Topography	Generally level
Drainage	Average
Grade	At grade
Utilities	All

Street Frontage/Access

Street Name	4 th Avenue SE
Street Type	Two lane with center turn lane, paved street
Frontage (Linear Ft)	142.8'
Number of Curb Cuts	1, also open for street front parking
Traffic Count:	2,814 ALDOT 2023

Street Name	5 th Avenue SE
Street Type	Two lane, paved street
Frontage (Linear Ft)	186.8'
Number of Curb Cuts	1
Traffic Count:	Not Available

Street Name	2 nd Street SE
Street Type	Two lane, paved street
Frontage (Linear Ft)	132'
Number of Curb Cuts	1
Traffic Count:	Not Available

Flood Zone Data

FEMA Panel #	01043C0212E
Flood Map Date	5/24/11
Flood Zone	Zone X
Comments	The property is not located within a flood zone

Other Site Conditions

Environmental Issues	No environmental problems were disclosed by the property contactor viewed at the time of inspection.
Easements/Encroachments	Assumed typical utility easements
Wetlands Classification	None reflected on the National Wetlands Inventory mapper

Zoning Designation

Zoning Jurisdiction	City of Cullman
Zoning Designation	CBD, Central Business District
Zoning Comments	This is intended to be a liberal commercial zoning allowing a range of office and retail uses. Multi-family use is allowed as

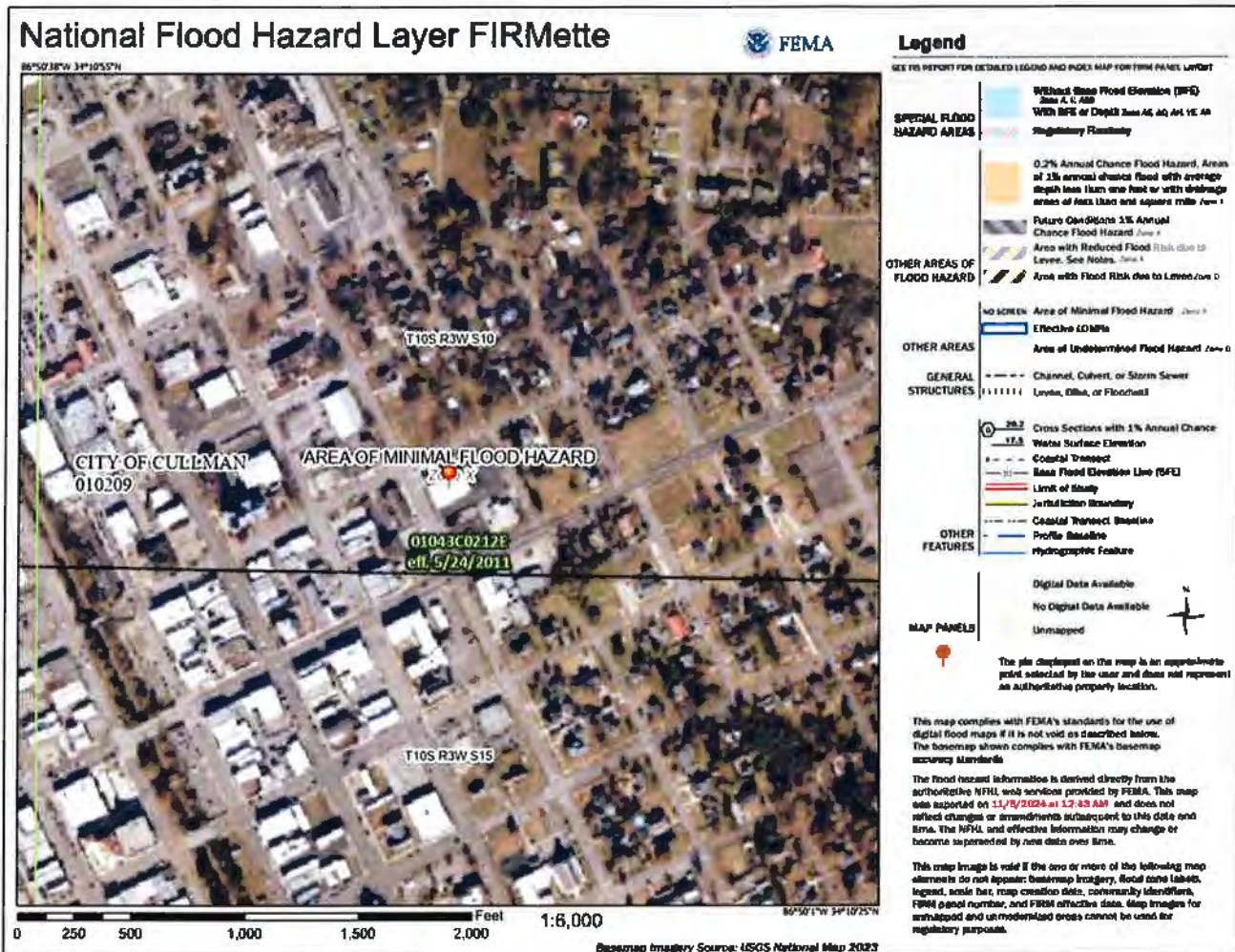
Analysis/Comments on Site

a conditional use. Most manufacturing uses and single family residential uses are prohibited.

The subject site is one block removed from 3rd Street/US Highway 278, and is a lot with frontage along three sides. The site is located within the central business district of Cullman and is considered well located with good frontage, exposure, and includes street front parking.







Type	Multi-tenant medical office
Gross Building Area:	12,659 SF
Gross Leasable Area:	11,499 SF
Construction	Masonry / 1 Story
Foundation	Slab
Exterior Walls	Brick veneer
Roof Type	Membrane roof
HVAC	Central
Quality	Good
Condition	Good
Year Constructed	1980; Subsequently renovated
Additional	Exterior has (3) entrance points and has good quality decorative exterior finishes

Interior Characteristics

The building is currently designed with two tenant spaces, but appears to have originally been designed to accommodate three tenant spaces. There is a central corridor/common area which is approximately 1,160 SF or 9.16% of the gross building area. Included within this area are (2) 2 fixture restrooms.

Suite 100 – Cullman Surgical Associates – This suite is a 2,660 SF (lease states 2,500 SF). The suite has a typical medical layout with waiting room, reception area, (4) exam type rooms, (3) offices, break room, and typical support areas. The typical finish within this units is as follows:

Flooring:	LVP
Walls:	Painted gypsum board
Ceilings:	Suspended tile
Lighting:	Fluorescent
Restrooms:	(2) 2 fixture restrooms
Additional:	base cabinetry in reception office; Exam rooms include base/wall cabinetry with sink Break room includes base/wall cabinetry with sink

Suite 200 – Henderson & Walton Women's Center – This suite is a combined suite which contains a total of 8,839 SF (lease stated 8,800 SF). The suite includes a main waiting lobby which divides procedure areas and the exam areas.

The procedure area includes a separate waiting room, 2 tech rooms, file room, (3) treatment areas, office, and dressing room as well as (2) restrooms.

The exam area is the combination of two suites. The original portion has matching wings with a shared lab area. Both sides include a triage room, restroom, (5) exam rooms, nurse station and office.

The added suite area includes an additional waiting area and reception office, business office, restroom, break room, and (3) exam rooms in the combination suite.

The typical finish within this units is as follows:

Flooring: Stained concrete, carpet, vinyl tile, LVT
Walls: Painted gypsum board
Ceilings: Suspended tile
Lighting: Fluorescent, can lighting
Restrooms: (5) 2 fixture restrooms
Additional: base cabinetry in reception offices;
Exam rooms include base cabinetry with sink
Break rooms include base/wall cabinetry with sink

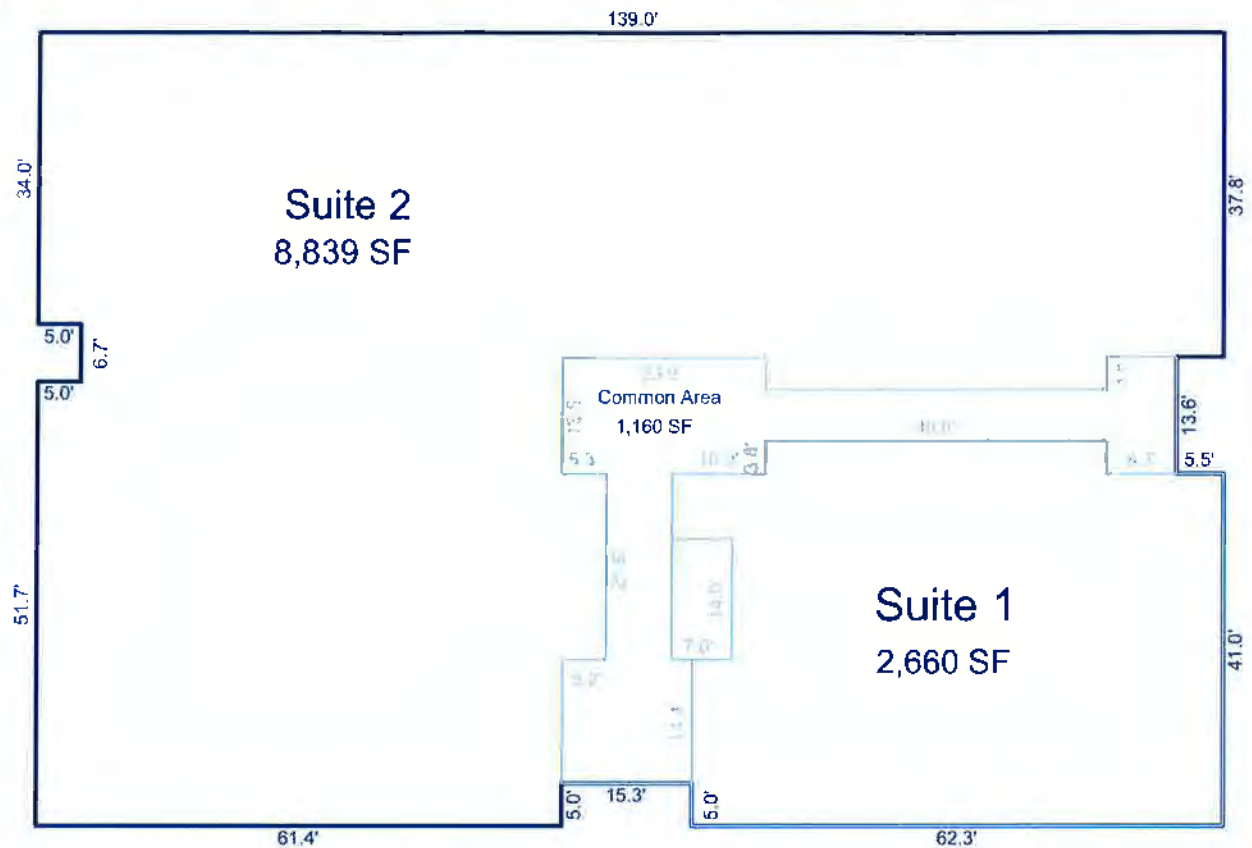
Site Improvements

Perimeter sidewalks;
Perimeter landscaping;
Asphalt paving with (62) lined parking spaces
*Additional street front parking along 4th Avenue

CONCLUSION

The subject facility is a good quality, multi-tenant medical office within the central business district of Cullman. The facility has a functional design which is currently designed for (2) tenant spaces, but could easily be divided into 3 or even 4 tenant spaces. The facility appears to be well maintained and is considered well located for the market.

SKETCH



Sketch by ApexSketch

COMMENT TABLE 1

Code	Description	Factor	Net Size	Perimeter	Net Totals
GBA1	First Floor	1.0	12658.8	493.8	12658.8

Net BUILDING	cnt	1	(rounded)	12,659

COMMENT TABLE 2

COMMENT TABLE 3

Photo 1: View of subject from 4th Avenue



Photo 2: Rear/side view of subject



Photo 3: Rear/side view of subject



Photo 4: View of parking lot g



Photo 3: View of parking lot



Photo 4: Common area



Photo 7: View of common area



Photo 8: Suite 100 - Lobby



Photo 9: Suite 100 – Reception



Photo 10: Suite 100 – Typical restroom



Photo 11: Suite 100 - Office



Photo 12: Suite 100 – Treatment room



Photo 13: Suite 100 - Breakroom



Photo 14: Suite 100 - Hallway



Photo 15: Suite 200 – Waiting room



Photo 16: Suite 200 – Reception/Hallway

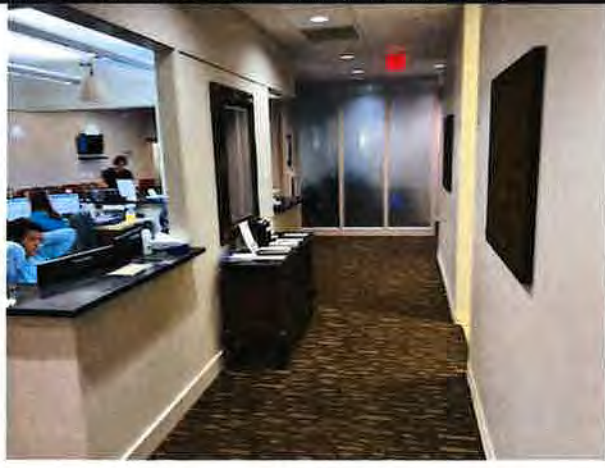


Photo 17: Suite 200 - Lab



Photo 18: Suite 200 – Triage room



Photo 19: Suite 200 – Typical exam room

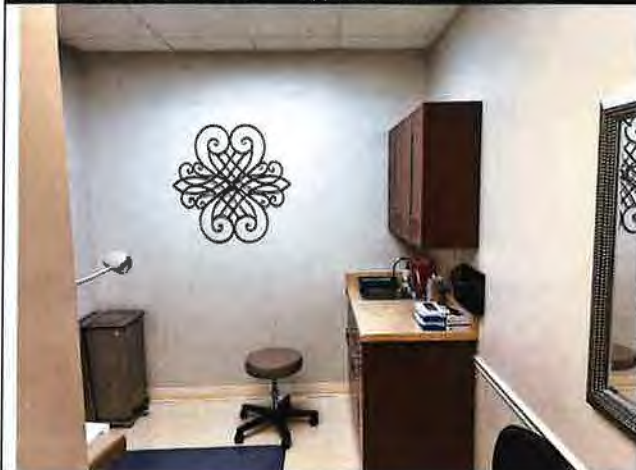


Photo 20: Suite 200 – Typical exam room

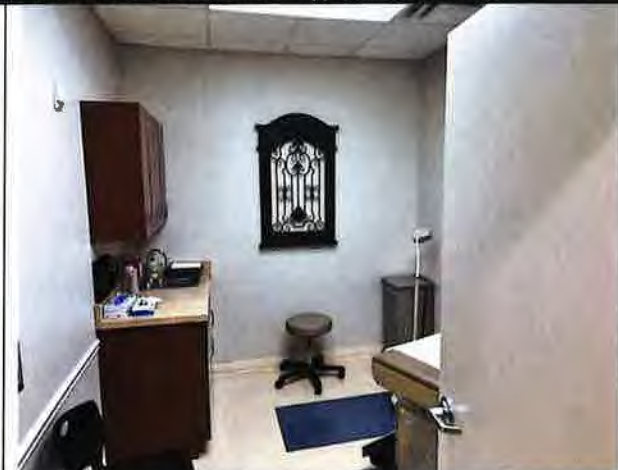


Photo 21: Suite 200 - Office



Photo 22: Suite 200 – Additional waiting



Photo 23: Suite 200- Additional reception



Photo 24: Suite 200 – File room



Photo 25: Suite 200 - Mammogram



Photo 26: Suite 200 – Bone Density



The subject property's ad valorem taxes assessed by the Cullman County Tax Assessor's office is shown as follows:

Ownership	Cotton Exchange of Cullman LLC
Tax Identification #	17-02-10-4-018-001.001
Land Value	\$415,600.00
Improvement Value	<u>\$1,193,200.00</u>
Total Value	\$1,608,800.00
Assesment	0.2
Mil. Rate	<u>0.0385</u>
Estimated Ad Valorem Taxes	\$12,387.76

Comments: The ad valorem taxes are below the estimated market value contained within this report. This is not uncommon within the market and is advantageous to the property owner.

The Highest and Best Use of a property is the use that is legally permissible, physically possible, and financially feasible which results in the highest value. An opinion of the highest and best use results from consideration of the criteria noted above, under the market conditions or likely conditions as of the effective date of value. Determination of the Highest and Best Use results from the judgement and analytical skills of the appraiser. It represents an opinion, not a fact. In appraisal practice, the concept of highest and best use represents the premise upon which value is based.

HIGHEST & BEST USE – AS VACANT

In determining the highest and best use of the property as if vacant, the following criteria are examined: Legal permissibility, physical possibility, financial feasibility and maximum productivity. These are discussed as follows:

Legally Permissible

The subject site is located within an area zoned CBD, Central Business District, by the City of Cullman. This is a fairly liberal commercial zoning. This zoning allows a range of retail and office uses. This zoning also allows multi-family residential on conditional uses. This zoning generally prohibits industrial and single family residential uses.

Physically Possible

The subject property is 0.99+- Acre site, which has an irregular shape, however, frontage along 3 streets and sufficient land areas whereas the site could be developed with a range of uses. The site has access to all utilities. Physically, the site could be developed with most uses which would be permitted by the zoning, provided the size of the site could accommodate the development.

Financially Feasible/Maximally Productive

The site could physically and legally be developed with a range of retail, office or multi-family uses. The development within the neighborhood is a mixture of office and local retail use and it does not appear that there is marketable difference in return based upon use; therefore, it is the writer's opinion the highest and best use of the site would be some form of commercial use.

HIGHEST AND BEST USE – AS IMPROVED

The subject site is improved with a multi-tenant medical office. The building could be utilized for general office use as an alternative use; however, this would be an underutilization of the plumbing/cabinetry features of the facility. Medical office use is considered both consistent and complimentary to surrounding development. The improvements are considered to be legally allowable and contribute significantly to the site as if vacant; therefore, it is the writer's opinion the highest and best use as improved would be continued use as multi-tenant medical office. This is also the use which should generate the greatest net return to the site as improved.

The appraisal process is a systematic analysis of the factors that bear upon the value of real estate. It is an orderly program by which the problem is defined, the work necessary to solve the problem is planned, and the data acquired, classified, analyzed and interpreted into an estimation of a real property market value. Three basic appraisal approaches are used by the appraiser in the estimation of a real property market value. For a typical appraisal of real estate, the three basic appraisal approaches are the Cost Approach, the Income Approach, and the Sales Comparison Approach.

The Cost Approach is that approach in appraisal analysis which is based on the proposition that the informed purchaser would pay no more than the cost of producing a substitute property with the same utility as the subject property. It is particularly true for new improvements. This process requires that the site being appraised be compared with sales of similar vacant parcels in order to arrive at an estimate of site value as of the data of the appraisal. The appraiser then takes the subject improvement and the reproduction cost new is then estimated by comparison with recently constructed properties, or by the utilization of national cost services, and/or by having cost estimators or contractors prepare estimates and by the experience of the appraiser himself. From this reproduction cost new depreciation from all sources is estimated and subtracted to arrive at the indicated present worth of the property from this approach. The indicated depreciated improvement value is then added to the indicated site value to give the estimated market value for the parcel as a whole. As discussed previously, this approach is not considered applicable and has been omitted.

The Income Approach is that procedure in appraisal analysis which converts anticipated benefits (dollar income or amenities) to be derived from the ownership of the property into a value estimate. This approach is widely applied in appraising income producing properties whereby anticipated future income and/or reversions are discounted to a present worth figure through the capitalization process. The appraiser is primarily concerned with the future benefits resulting from net income which is the net result after deducting expenses and vacancy from potential gross income. Actual rentals of comparable properties in the area are analyzed to arrive at an estimate of market rent for the subject property and expenses from these rentals or from other sales of properties are analyzed to estimate applicable expenses and vacancy ratios for the subject property. The resulting net operating income is then capitalized into a value estimate by utilizing overall capitalization rates extracted from market sales of similar improved properties or by using other techniques and methods when applicable.

The Sales Comparison Approach basically is a process of analyzing sales of similar recently sold properties in order to derive an indication of the most probable sales prices of the property being appraised. Current listings, if available, are also analyzed as they fix the high limit of the value in any market. These properties are all compared to the subject property in several different categories, including size, location, quality of construction, land-to-building ratios, time, and conditions influencing the sale as well as other factors. The differences in the data are reconciled and adjusted to the property being appraised. The final adjusted indicators are then reconciled to give an indication of market value from this approach.

Upon completion of the applicable approaches, the appraiser reconciles into a final value estimate by a review of the quality from all of the developed data utilized in the utilized approaches to value. In the final reconciliation, the appraiser must weigh all of the differences within the data of all of the utilized approaches and make a determination as to the most applicable and supportable considerations to arrive at the final value estimate.

The Income Approach is based on the theory there is a direct relationship between the income produced and the ultimate value of a property. The Income Capitalization Approach is the process of converting a projection of future income into an indication of present value through a yield capitalization process. There are two types of capitalization; direct capitalization and yield capitalization/discounted cash flow analysis.

Income producing properties, by nature, are developed and purchased for investment purposes, where earning power, including an income stream and return of investment, are the most critical elements affecting value. The process of developing the Income Approach consists of the following analysis: Market Rent Analysis, Income Analysis, Vacancy Analysis, Expense Analysis, and Rate Analysis.

Income Analysis

As discussed previously, there are currently 2 rental suites. The lease summaries are as follows

Suite 100

Lessee:	Cullman Surgical Associates, PC.		
Begin:	9/1/21		
Ends:	8/31/26		
Lease Area:	2,500 SF (Stated in Lease)		
Lease Amount:	9/1/24-8/31/25	\$37,150 Per Year;	\$3,085.83 Per Month
	9/1/25-8/31/26	\$37,900 Per Year;	\$3,158.33 Per Month
Lease Type:	Net Lease with tenant responsible for utilities, minor maintenance, janitorial, reimbursement of ad valorem taxes, insurance, and CAM		

Suite 200

Lessee:	Henderson & Walton Women's Center, PC.		
Begin:	6/1/23		
Ends:	5/31/28		
Lease Area:	8,800 SF (Stated in Lease)		
Lease Amount:	6/1/24-5/31/25	\$161,714.88 Per Year;	\$13,476.24 Per Month
	6/1/25-5/31/26	\$164,973.84 Per Year;	\$13,737.82 Per Month
	6/1/26-5/31/27	\$168,232.80 Per Year;	\$14,019.40 Per Month
	6/1/27-5/31/28	\$171,579.84 Per Year;	\$14,298.32 Per Month
Lease Type:	Net Lease with tenant responsible for utilities, minor maintenance, janitorial, reimbursement of ad valorem taxes, insurance, and CAM		

NOTE: First Addendum to Commercial Lease states that 1/5 share of Landlords' right, title and interest would be transferred to tenant upon exercising of 1st option (Option was exercised)

Third Addendum to Commercial Lease – Item 11 – States upon tenant entering into lease , landlord shall convey an undivided 5% of the of the Landlord's rights, tile and interest in and to the real property.

Market Rent Analysis

In developing an opinion of market rent, the writer has reviewed in-house data, public records, multiple listing service data, as well as interviewed leasing agents in regard to comparable data. The comparable rental data considered most helpful in the estimation of the market rent are detailed in the following pages.

Improved Lease No. 1

Property Identification

Record ID	2090
Property Type	Office, Medical Office
Address	315 2nd Street SE, Cullman, Cullman County, Alabama
Tax ID	17-02-10-3-042-004.000

Physical Data

Land Size	0.240 Acres or 10,454 SF
SF	3,800

Construction Type	Frame
Roof Type	Gabled
HVAC	Central
Stories	1
Year Built	2013
Condition	Good

General Lease Data

Tenant	Periodontal and Implant Associates, Inc.
Tenant Size	2,800 to 3,800

Improved Lease No. 1 (Cont.)**General Tenant Summary**

Owner	JMFIN Properties, LLC
Verification	Lessor
Tenant Expenses	Modified Gross

Rent Analysis

Rent	\$17.00/SF Average

Remarks

Modern constructed medical office within the CBD of Cullman. Lease began in November 2013 and ends in November 2028.

Improved Lease No. 2

Property Identification

Record ID	1930
Property Type	Commercial, Medical Office
Address	4250 Alabama Highway 157, Cullman, Cullman County, Alabama 35058
Tax ID	1047
MSA	Cullman Co
Market Type	Medical Office

Physical Data

Land Size	27.460 Acres or 1,196,158 SF	
SF	4,750	
Parking	Surface	25
Foundation	Slab	
Stories	1	
Year Built	1996	
Condition	Average	

General Lease Data

Tenant	Cullman Regional Medical Center
Tenant Size	4,750 to 4,750

General Tenant Summary

Owner Vincent Berquist
Management Co. Hill Real Estate, Jim Phillips

Rent Analysis

Rent \$17.00/SF Average

Remarks

Medical office located along the north margin of Highway 157, west of Cullman Regional Hospital.

Improved Lease No. 3

Property Identification

Record ID	2089
Property Type	Office, Medical Office
Address	1935 Highway 157, Suites A, B, C, Cullman, Cullman County, Alabama
Tax ID	17-01-01-3-005-024.000

Physical Data

SF	7,500
Construction Type	Frame
Roof Type	Gabled
Foundation	Slab
HVAC	Central
Stories	1
Year Built	2023
Condition	Good

General Lease Data

Tenant Size	2,500 to 2,500

General Tenant Summary

Owner	Affordable Properties, Inc.
Verification	Tony Wilkins, Lessor
Tenant Expenses	Modified Gross

Improved Lease No. 3 (Cont.)**Rent Analysis****Rent** \$25.00 - \$25.00/SF; \$25.00/SF Average**Remarks**

This is a 3 tenant medical office facility located across from Cullman Regional Hospital campus.
Each tenant space is 2,500 SF and leased at a rate of \$25.00 per square foot.

Improved Lease No. 4

Property Identification

Record ID	1929
Property Type	Commercial, Medical Office
Address	2021 Alabama Highway 157, Cullman, Cullman County, Alabama 35058
Tax ID	12975
MSA	Cullman Co
Market Type	Medical Office

Physical Data

Land Size	4.100 Acres or 178,596 SF	
SF	2,000	
Parking	Surface	20
Foundation	Slab	
Stories	1	
Year Built	1998	
Condition	Average	

General Tenant Summary

Owner	Affordable Properties, Inc.
Verification	Tony Wilkins, Lessor
Tenant Expenses	Modified Gross

Rent Analysis

Rent	\$18.00 - \$20.00/SF

Remarks

This is a two tenant medical office building located along the south margin of Highway 157.
Property is in a medical office complex, located across from Cullman Regional.

Improved Lease No. 5

Property Identification

Record ID	1931
Property Type	Commercial, Medical Office
Address	1979 Alabama Highway 157, Cullman, Cullman County, Alabama 35058
Tax ID	12975
MSA	Cullman Co
Market Type	Medical Office

Physical Data

Land Size	5.290 Acres or 230,432 SF	
SF	2,860	
Parking	Surface	25
Construction Type	Frame/ 1 Story	
Roof Type	Gabled	
Foundation	Slab	
Stories	1	
Year Built	1990	
Condition	Average	

General Lease Data

Tenant Amedisys Home Health Care
Tenant Size 2,860 to 2,860

General Tenant Summary

Owner Affordable Properties, Inc.
Verification Tony Wilkins, Lessee

Rent Analysis

Rent \$18.00/SF Average

Remarks

This is a single tenant medical office building located along the south margin of Highway 157.
Building is located in a medical office park located across from Cullman Regional Hospital.

Improved Lease No. 6

Property Identification

Record ID	1539
Property Type	Office, Medical Office
Address	1300 Bridge Creek Drive NE, Cullman, Cullman County, Alabama

Physical Data

SF	3,000
Construction Type	Frame, Brick
Roof Type	Shingle
Foundation	Slab
Electrical	Typical
HVAC	Yes
Year Built	2016
Condition	Very Good

General Lease Data

Tenant	Dr. Ward

General Tenant Summary

Owner	Dr. Clancy Johnson

Verification	See Comments
Tenant Expenses	Modified Gross

Rent Analysis

Rent	\$18.00/SF Average

Remarks

This is newly constructed medical office building. The owner occupies a portion of the building, while Dr. Ward occupies the rental suite which was finished per his specifications. The writer was unable to confirm lease with lessor or lessee; however, a local real estate agent who also leases medical office space stated that he had confirmed rate.

COMPARABLE RENTAL GRID						
Rental	Address	Location	Condition/ Quality	Sizes	Rent Per Month	Rates Per S.F./ Term
	SUBJECT	NA	NA	2,500 SF 8,800 SF	\$13.86 SF \$18.38 SF	Net Net
1	315 2 nd Street SE, Cullman, AL	Similar	Similar/ Similar	3,800 SF	\$17.00 SF	Modified 2013-2028
2	4250 Highway 157, Cullman, AL	Sim/Inf	Similar/ Similar	4,750 SF	\$17.00 SF	Modified 1/22 – 3 Yr
3	1935 Highway 157, Cullman, AL	Sim/Inf	Superior/ Similar	(3) 2,500 SF	\$25.00 SF	Modified 2023
4	2021 Highway 157, Cullman, AL	Sim/Inf	Similar/ Similar	2,000 SF	\$17.00 SF	Modified 12/20 – 5 Yr
5	1979 Highway 157, Cullman, AL	Sim/Inf	Similar/ Similar	2,850 SF	\$16.00 SF	Modified 11/20 – 4 Yr
6	1300 Bridge Creek Dr. NE, Cullman, AL	Inferior	Similar/ Similar	3,000 SF	\$18.00 SF	Modified 2016 - Unknown

Analysis

The rentals are all current as of the date of valuation, and considered reflective of current market conditions; however, the writer has considered the age of the leases. The rentals are all based upon current rates within the subject facilities.

Terms – The subject property is leased on a net basis, whereby the tenant pays base rent plus reimbursements for taxes, insurance, and CAM expenses. The comparables are all leased on a modified gross basis, which does not include reimbursements for taxes, insurance, and maintenance. The subject's tenant's additional expense is approximately \$2.57 per square foot, making the effective rent of the subject units between \$16.43 and \$20.95.

Location – The comparables are all local market rentals. Rental #1 is located in the CBD and considered a very similar location. Rentals #2-5 are all located in close proximity to Cullman Regional Hospital and are considered well located, but have lower land contributory values. Rental #6 is located on a secondary road off of Highway 157 and considered an inferior location.

Quality/Condition – The rentals are medical office space with the subject market, there are variances in design; however, all are modern medical office space and it is the writer's opinion a quality or and significant condition adjustment would be speculative in this instance.

Size – The subject units contain 2,500 SF and 8,800 SF (per leases). Typically, rates are discounted based upon larger sizes of units, which has been considered.

Conclusion

The comparables indicate a relatively tight range, with a range of \$17.00 to \$25.00 per square foot. Based upon similar terms, the rates of the subject units are \$16.43 and \$20.95 per square foot, with Suite 100 being the smaller tenant space and leased at the lower rate. It is the writer's opinion Suite 100 is below market rates and the market rate for this suite would be \$18.00 per square foot on the current rental terms, while Suite 200 is considered at market. This would indicate gross potential rent as follows:

Unit	Leasable Area	Rent Per SF	Annual Lease/Market Rent	Terms
Suite 100	2,500 SF	\$18.00 SF (Market) \$13.86 SF (Lease)	\$45,000 (Market) \$34,650 (Lease)	Net
Suite 200	8,800 SF	\$18.38 SF (Lease is at Market)	\$161,715	Net

VACANCY/EXPENSE ANALYSIS

The next step in the Income Approach is to estimate an allowance for vacancy/credit loss and operating expenses.

Vacancy/Credit Loss

The subject property is currently fully leased, with Suite 100 on less than a 2 year term while the primary tenant having approximately 4 years left on the term and being a credit tenant. There is strong demand within the market and good quality tenancy, as such, the writer has estimated a minimal vacancy/credit loss allowance of 2% of potential gross income.

Ad Valorem Taxes – The writer has utilized the actual ad valorem taxes which are \$12,388 annually. The reader should note, both tenants are responsible for reimbursement on a pro-rata basis; therefore, this is deducted as an expense, but also added as a \$2,275 reimbursement for Suite 100, and a \$9,663 reimbursement for Suite 200.

Insurance – The insurance expense for 2022 was \$4,856. The writer has estimated a 10% increase or \$5,343 for the projection year, which is \$0.42 per square foot, which would be in line with comparable properties. Again, this expense is reimbursed on a pro-rata basis; therefore, this is deducted as an expense, but also added as a \$1,175 reimbursement for Suite 100, and a \$4,168 reimbursement for Suite 200.

CAM Expense – The payment schedule provided the writer indicates that the 2022 common area assessment was only charge to Suite 100 at \$2,444, which equates to \$0.97 per square foot. The lease indicates that both tenants are responsible for reimbursement of this expense on a pro-rata basis. The writer has therefore estimated this expense at \$1.00 per square foot or \$11,300, being also added as a \$2,500 reimbursement for Suite 100, and a \$8,800 reimbursement for Suite 200.

Maintenance/Reserves – Per terms of the leases, the owner is responsible for roof and HVAC, both of which would be capital items, as would be parking lot repair. It is the writer's opinion that a reasonable estimate would be \$0.35 per square foot or \$4,431.

Management – The subject would require limited hands on management, the writer has estimated this expense at 2% of effective rent.

Miscellaneous – This expense is to account for miscellaneous expenses such as accounting, legal, etc. The writer has estimated this expense at 1% of EGI.

CONVERSION OF INCOME TO VALUE

The next step in the Income Approach is to convert the estimated net income into an estimate of value. Although, Suite 100 is considered below market, the writer is estimating the rent based upon market with a bottom line rent loss deduction, as such, the property would be considered stabilized and income and expenses would not be expected to have significant changes. After interviewing local realtors and market participants, it is the writer's opinion that the direct capitalization methodology would be the most market oriented approach in this instance.

The writer has also considered the 3rd Quarter 2024 RealtyRates.com Investor Survey. The Office-Medical category survey indicates a range of overall capitalization rates from 6.88 to 12.89%, with an average of 8.4%. The reader is referred to Sale #3, which sold based upon a 7.2% capitalization rate. Additionally, the writer has considered the characteristics of the property and the tenancy. The subject property is a well maintained and renovated facility, with a high underlying land value. Additionally, the primary tenant is a credit type tenant, both of which would have a positive factor on capitalization rates. When considering all factors, it is the writer's opinion a reasonable estimated to a capitalization rate would be 7%.

Estimate to Rent Loss

As discussed previously, Suite 100 is leased until August 2026 at a below market rate. The rent loss deduction is illustrated as follows:

		Market Rent	Actual Rent	Difference	Discounted Rent Loss
1	December 2024	\$3,750.	\$3,096.	\$654.	\$650.
2	January 2025	\$3,750.	\$3,096.	\$654.	\$646.
3	February 2025	\$3,750.	\$3,096.	\$654.	\$642.
4	March 2025	\$3,750.	\$3,096.	\$654.	\$639.
5	April 2025	\$3,750.	\$3,096.	\$654.	\$635.
6	May 2025	\$3,750.	\$3,096.	\$654.	\$632.
7	June 2025	\$3,750.	\$3,096.	\$654.	\$628.
8	July 2025	\$3,750.	\$3,096.	\$654.	\$624.
9	August 2025	\$3,750.	\$3,096.	\$654.	\$621.
10	September 2025	\$3,825.	\$3,158.	\$667.	\$629.
11	October 2025	\$3,825.	\$3,158.	\$667.	\$626.
12	November 2025	\$3,825.	\$3,158.	\$667.	\$622.
13	December 2025	\$3,825.	\$3,158.	\$667.	\$618.
14	January 2026	\$3,825.	\$3,158.	\$667.	\$615.
15	February 2026	\$3,825.	\$3,158.	\$667.	\$611.
16	March 2026	\$3,825.	\$3,158.	\$667.	\$608.

17	April 2026	\$3,825.	\$3,158.	\$667.	\$604.
18	May 2026	\$3,825.	\$3,158.	\$667.	\$601.
19	June 2026	\$3,825.	\$3,158.	\$667.	\$597.
20	July 2026	\$3,825.	\$3,158.	\$667.	\$594.
21	August 2026	\$3,825.	\$3,158.	\$667.	\$590.
	Sub-Total				\$13,032.
	Less: 2% Vacancy				-\$ 260.
	Less: 2% Vacancy/1% Misc.				-\$ 383.
	TOTAL RENT LOSS				\$12,389.

The full income approach is illustrated as follows:

PRO-FORMA		
INCOME		
Suite 100 – Rent/Lease	\$45,000.	Market Rent (Rent Loss Deduction Subtracted As Bottom Line Deduction)
Suite 100 - Tax Reimbursement	\$2,725.	22% of Ad Valorem Taxes
Suite 100 - Insurance Reimbursements	\$1,175.	22% of Insurance
Suite 100 – CAM	\$2,500.	\$1.00 SF
Suite 200 – Lease	\$161,715.	Actual Lease
Suite 200 – Tax Reimbursement	\$9,663.	78% of Ad Valorem Taxes
Suite 200 – Insurance Reimbursement	\$4,168.	78% of Insurance
Suite 200 – CAM	\$8,800.	\$1.00 SF
Potential Gross Income	\$235,746.	
Less: 2% Vacancy/Credit Loss	-\$4,715.	
Effective Gross Income	\$231,031.	
EXPENSES		
Ad Valorem Taxes	\$12,388.	Actual
Insurance	\$5,343.	10% increase over 2022 Expense
CAM	\$11,300.	\$1.00 SF of Leasable Area
Maintenance/Reserves	\$4,431.	Estimated @ \$0.35 SF
Management	\$4,052.	2% of EGI -Lease Income
Miscellaneous	\$2,026.	1% of EGI – Lease Income
Total	\$39,540.	
Estimated NOI	\$191,491.	
NOI \$191,491 Capitalized @ 7.2%		\$2,659,597.
Less: Rent Loss Deduction for Suite 100		-\$12,389.
Total		\$2,647,208.

MARKET VALUE OF SUBJECT BY INCOME APPROACH – LEASED FEE - \$2,647,000. (RD)

The Sales Comparison Approach develops an indication of market value by analyzing closed sales, listings, or pending sales of properties similar to the subject, analyzing the difference between the subject and the comparables utilizing appropriate elements of comparison. The Sales Comparison Approach is based upon the principles of supply and demand, balance, externalities, and substitution, or the premise that a buyer would pay no more for a specific property than the cost of obtaining a property with the same quality, utility, and perceived benefits of ownership.

Unit of Comparison

The primary unit of comparison in the subject market for medical facilities such as the subject is the price per square foot.

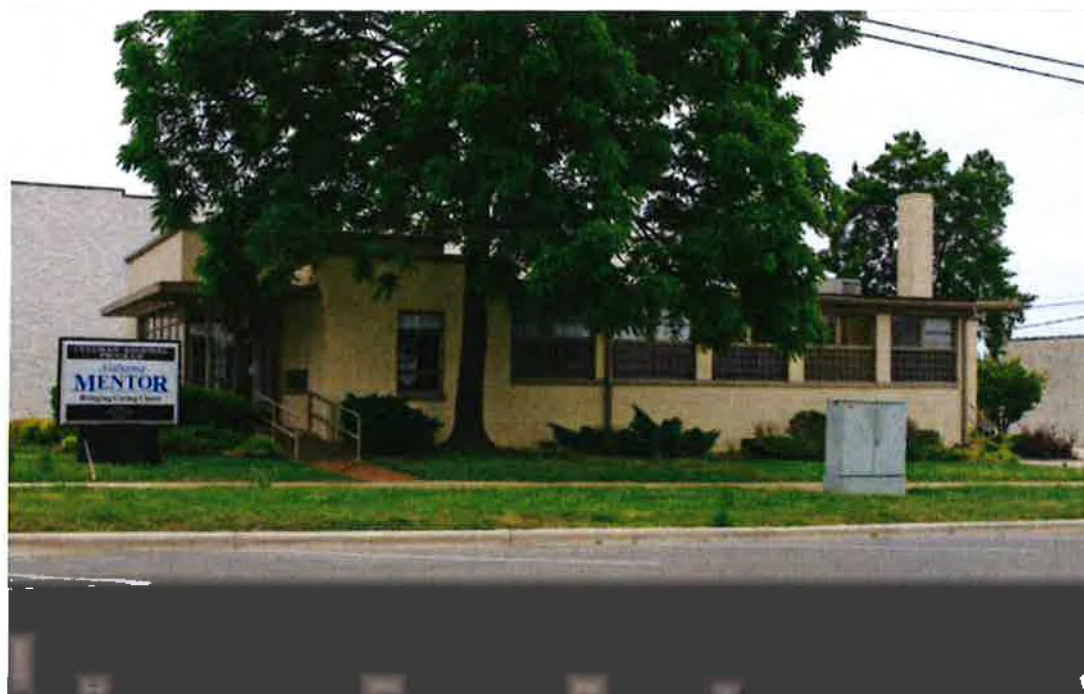
Elements of Comparison

Elements of comparison are the characteristics or attributes of properties and transactions that cause the prices of real estate to vary. The elements of comparison considered in the sales comparison approach reflect transactional characteristics (property rights, financing terms, conditions of sale, and expenditures made immediately after purchase), market conditions, location and physical characteristics such as quality and condition.

Comparable Sales Data

The writer has made a search of in-house files, public records, multiple listing service data, as well as expanding the search on a regional basis via Co-Star which is a national sales data service. The utilized sales are considered to be the most comparable data in development a market value opinion of the subject property. These sales are detailed in the following pages.

Improved Sale No. 1

Property Identification

Record ID	5909
Property Type	Office, Medical Office
Address	101 2nd Avenue SE, Cullman, Cullman County, Alabama
Tax ID	17-02-10-3-044-001.000

Sale Data

Grantor	Penta Star LLC
Grantee	Fox Ridge Development, LLC
Sale Date	March 13, 2024
Deed Book/Page	753000566
Property Rights	Fee Simple
Conditions of Sale	Arm's Length
Financing	Cash
Verification	Deed,

Sale Price	\$625,000
Cash Equivalent	\$625,000
Adjusted Price	\$625,000

Land Data

Land Size	0.303 Acres or 13,200 SF
Front Footage	232 ft Total Frontage: 100 ft 2nd Avenue; 132 ft 1st St. SE;
Topography	Level

Improved Sale No. 1 (Cont.)

Utilities All
Flood Info Clear

General Physical Data

Building Type Single Tenant
SF 3,700

Construction Type Frame, Brick Veneer
Roof Type Built Up
Foundation Slab
HVAC Central
Stories 1
Year Built 1986
Condition Renovated

Indicators

Sale Price/ SF \$168.92
Floor Area Ratio 0.28
Land to Building Ratio 3.57:1

Remarks

Medical office building in the CBD of Cullman. Building had been renovated at the time of sale, and occupied by Cullman Primary Care Psychiatry.

Improved Sale No. 2

Property Identification

Record ID	5743
Property Type	Office, Medical Office
Address	1000 2nd Avenue SW, Cullman, Cullman County, Alabama
Tax ID	17-05-15-4-008-001.007; 1.006

Sale Data

Grantor	Merchants Bank
Grantee	MSW Properties LLC
Sale Date	September 27, 2023
Deed Book/Page	747-23
Property Rights	Fee Simple
Conditions of Sale	Arm's Length
Financing	Cash
Verification	Deed

Sale Price	\$860,000
Cash Equivalent	\$860,000
Adjusted Price	\$860,000

Improved Sale No. 2 (Cont.)**Land Data**

Land Size	0.730 Acres or 31,799 SF
Front Footage	201 ft Total Frontage: 115 ft 1st Avenue SW; 86 ft Highway 31;
Topography	Sloping
Utilities	All
Flood Info	Clear

General Physical Data

Building Type	Single Tenant
SF	4,026
Construction Type	Frame, 1 Story
Roof Type	Gabled
Foundation	Slab
HVAC	Central
Stories	1
Year Built	1991
Condition	Good

Indicators

Sale Price/ SF	\$213.61
Floor Area Ratio	0.13
Land to Building Ratio	7.90:1

Remarks

This was a general office building converted to medical office use. Site is located along Highway 31; however, access to the site is via 1st Avenue.

Improved Sale No. 3

Property Identification

Record ID	5910
Property Type	Office, Medical Office
Address	1705 Main Avenue SW, Cullman, Cullman County, Alabama
Tax ID	17-05-21-1-006-001.005

Sale Data

Grantor	PRN Properties LLC
Grantee	Coleman Corner LLC
Sale Date	December 13, 2022
Deed Book/Page	735-412
Property Rights	Leased Fee
Conditions of Sale	Arm's Length
Financing	Cash
Verification	Deed, Marcus & Millichap

Sale Price	\$4,800,000
Cash Equivalent	\$4,800,000
Adjusted Price	\$4,800,000

Land Data

Land Size	2.800 Acres or 121,968 SF

Improved Sale No. 3 (Cont.)

Front Footage	721 ft Total Frontage: 275 ft Main Street; 446 ft Graham Street SW;
Topography	Level
Utilities	All
Shape	Regular
Flood Info	Clear

General Physical Data

Building Type	Single Tenant
Net SF	28,072

Area Breakdown	Building 1	19,056
	Building 2	9,016

Construction Type	Frame
Roof Type	Gabled
Foundation	Slab
HVAC	Central
Stories	2
Year Built	2005
Condition	Good

Indicators

Sale Price/ SF	\$170.99

Remarks

Sale of a medical office complex located along Main Street in Cullman. Facility included a two story 19,056 SF facility, and a detached 9,016 SF building. The reported cap rate at the time of sale was 7.2%. Tenants includes Urgent Care Associates, Heritage Health Pharmacy, Sportsmed, Thomason Law Firm, Health Mart Pharmacy.

Improved Sale No. 4

Property Identification

Record ID	5158
Property Type	Commercial, Medical Office
Property Name	Medical
Address	1848 Parkland Dr NE, Cullman, Cullman County, Alabama 35058
Tax ID	17-01-01-0-000-002.017
MSA	Cullman Co
Market Type	Medical

Sale Data

Grantor	Cullman Urology Properties, LLC
Grantee	UCA Parkland Drive, LLC
Sale Date	October 29, 2021
Deed Book/Page	7180/373.
Property Rights	Fee Simple
Conditions of Sale	Arm's Length
Financing	Cash to Seller

Sale Price	\$1,862,031
Cash Equivalent	\$1,862,031
Adjusted Price	\$1,862,031

Land Data

Land Size	1.140 Acres or 49,658 SF

Improved Sale No. 4 (Cont.)

Front Footage	Parkland Drive NE;
Zoning	B-2
Utilities	All Available

General Physical Data

Building Name	Medical Office
Building Type	Single Tenant
SF	6,724

Year Built	2013

Parking	Surface	46

Income Analysis

Expenses	\$8,141 Taxes

Net Operating Income	-\$8,141

Indicators

Sale Price/Gross SF	\$276.92

Floor Area Ratio	0.14

Land to Building Ratio	7.39:1

Occupancy at Sale	100

Expenses/Sq. Ft.	\$1.21

Overall or Cap Rate	-.44%

Net Operating Income/Sq. Ft.	-\$1.21

Remarks

Medical office facility located off of Highway 157 on the Cullman Regional Hospital Campus.

Improved Sale No. 5

**Property Identification**

Record ID	5078
Property Type	Office, Medical Office
Address	1405 CR 1466, Cullman, Cullman County, Alabama
Tax ID	17-01-01-0-000-002.000

Sale Data

Grantor	Hisobe, LLC
Grantee	Cullman Regional Medical Center, Inc.
Sale Date	July 31, 2020
Deed Book/Page	698000277
Property Rights	Fee Simple
Conditions of Sale	Arm's Length
Financing	Cash
Verification	Grantee, Public Record, Co-Star

Sale Price	\$1,300,000
Cash Equivalent	\$1,300,000
Adjusted Price	\$1,300,000

Land Data

Land Size	2.030 Acres or 88,427 SF
Topography	Level
Utilities	All

Improved Sale No. 5 (Cont.)**General Physical Data**

Building Type	Single Tenant
SF	4,357

Construction Type	Brick Veneer
Roof Type	Built Up
Foundation	Slab
HVAC	Central
Stories	1
Year Built	2000
Condition	Good

Indicators

Sale Price/ SF	\$298.37
Floor Area Ratio	0.05
Land to Building Ratio	20.30:1

Remarks

This is a dialysis clinic located as an outparcel of the Cullman Regional Hospital off of Highway 157.

Improved Sale No. 6

**Property Identification**

Record ID	5522
Property Type	Office, Medical Office
Property Name	Avalon Plaza
Address	540 Hughes Road, Madison, Madison County, Alabama
Tax ID	16-02-09-2-000-063.004

Sale Data

Grantor	Madison Medical Plaza
Grantee	779 Highway 231, LLC & etals
Sale Date	July 23, 2023
Deed Book/Page	2023-27942
Property Rights	Leased Fee
Conditions of Sale	Open Market
Financing	Cash to Seller
Verification	Rachel Merriweather, Grantee's Representative

Sale Price	\$4,650,000
Cash Equivalent	\$4,650,000

Land Data

Land Size	2.420 Acres or 105,415 SF
Zoning	Commercial
Topography	Level
Utilities	All public

Improved Sale No. S (Cont.)

General Physical Data

Building Type	Single Tenant
SF	23,965
Construction Type	Brick over frame
Roof Type	Composition shingle
Foundation	Slab
HVAC	100%
Year Built	2009
Condition	Good

Indicators

Sale Price/Gross SF	\$194.03
Floor Area Ratio	0.23
Land to Building Ratio	4.40:1

Remarks

Sale of a multi-tenant office medical office building located in the city of Madison. The building was purchased for investment purposes. Capitalization rates were not available but the building is in good condition and of average to good quality for medical office space. Rentals spaces are reportedly leased on a fully serviced basis.

IMPROVED SALES ADJUSTMENT GRID						
	Sale #1	Sale #2	Sale #3	Sale #4	Sale #5	Sale #6
Location	101 2 nd Ave SE, Cullman, AL	1000 2 nd Ave. SW Cullman, AL	1705 Main Ave SW, Cullman, AL	1848 Parkland Dr., Cullman, AL	1405 CR 1466, Cullman, AL	540 Hughes Road, Madison, AL
Date of Sale	3/24	9/23	12/22	10/21	7/20	7/23
Sales Price	\$625,000.	\$860,000.	\$4,400,000.	\$1,862,031.	\$1,300,000.	\$4,650,000.
Gross SF	3,700 SF	4,026 SF	28,072 SF	6,724 SF	4,357 SF	23,965 SF
Price Per SF	\$168.92 SF	\$213.61 SF	\$156.74 SF	\$276.92 SF	\$298.37 SF	\$194.03 SF
Property Rights	NA	NA	NA	NA	NA	NA
Finance Terms	NA	NA	NA	NA	NA	NA
Condition of Sale	NA	NA	NA	NA	NA	NA
Time/Market Conditions	1.01	1.02	1.06	1.14	1.22	1.03
Sub-Total	\$170.61 SF	\$217.88 SF	\$166.14 SF	\$315.69 SF	\$364.01 SF	\$199.85 SF
Location	0%	+5%	+5%	0%	0%	0%
Quality	+10%	+10%	0%	-10%	-15%	0%
Condition	+20%	0%	0%	-10%	0%	-10%
Size	-15%	-15%	+15%	-10%	-15%	+10%
Other	0%	0%	0%	0%	-5%	0%
Net Adjustment	+15%	0%	+20%	-30%	-35%	0%
Adjusted Sales Price Per Unit	\$196.20 SF	\$217.88 SF	\$199.37 SF	\$220.98 SF	\$236.06 SF	\$199.85 SF

ANALYSIS

Property Rights—The sales were both fee simple and leased fee transactions; however, it is the writer's opinion no adjustment would be required.

Finance Terms—The sales all had typical financing and require no adjustment.

Conditions of Sale—The sales were all open market transactions and require no adjustment in this regard.

Time/Marketing Conditions - The writer has adjusted the sales to the date of valuation based upon the US Labor and Statistics Inflation Calculator https://www.bls.gov/data/inflation_calculator.htm.

The remaining factors considered to have the greatest potential to affect the sales price were location, condition, quality, building size, and land/building ratio. These factors are discussed in the following paragraphs.

Location

Sales #1-5 are all local market sales. Sale #1 is located in the immediate neighborhood and requires no adjustment. Sales #2 and #3 are located south of the CBD of Cullman and are considered slightly inferior

locations. Sales #4 and #5 are located on the Cullman Hospital campus and require no adjustment. Sale #6 is located in Madison, Alabama, in an area which would be comparable to the subject and requires no adjustment.

Quality

The subject is a good quality, multi-tenant medical office, which is a one story construction, with approximately 9% common area.

Sale #1 and #2 are single tenant, medical offices which lack the quality of the subject, but have no common areas and has been adjusted upward 10%. Sale #3 is a multi-tenant medical office facility, which consists of a 2 story building and a one story building, and is considered overall similar in terms of quality. Sale #4 is a single tenant medical office facility which is similar in general quality, but lacking the common areas of the subject and has been adjusted downwards 10%. Sale #5 is also a single tenant medical facility, but is a specialty facility and has been adjusted downwards 15%. Sale #6 is a multi-tenant, medical office facility which is considered similar in terms of quality and requires no adjustment.

Condition

The subject facility was constructed in 1980; however, the facility was renovated in 2013, and is considered to have an effective age of 15 years.

Sale #1 was constructed in 1986 and has also been renovated, but not to the degree of the subject and is considered to have an effective age of 25 years, and has been adjusted upward 20%. Sale #2 was constructed in 1991 and subsequently renovated, and is considered to have a similar overall effective age and requires no adjustment. Sale #3 was constructed in 2005 and is considered to have a similar effective age and requires no adjustment. Sale #4 was constructed in 2013 and considered to have an effective age of 10 years and has been adjusted downward 10%. Sale #5 was constructed in 2000 and is considered to have a similar effective age and requires no adjustment. Sale #6 was constructed in 2009 and is considered to have an effective age of 10 years and has been adjusted downward 10%.

Size

The subject contains 12,659+ square feet of gross building area. Sales #1, 2, 4, and 5 are all smaller than the subject to a degree in which there was likely a positive impact on the sales price per square foot and have been adjusted downward between 10 and 15%. Alternatively, Sales #3 and #6 are both larger and this likely had a negative impact, with these sales being adjusted upward between 10 and 15%.

Other

The sales had varying land/building ratios; however, the writer has considered the locational factor as well as overall parking and it is the writer's opinion no adjustment would be required in this instance other than Sale #5 which had a 20/1 ratio and has been adjusted downward 5%.

CONCLUSION

The sales indicate an adjusted range between \$196 and \$236 per square foot, with an adjusted mean of \$211.72 per square foot. Omission of the low and high sales, slightly narrows the range between \$199 and \$220 per square foot; however, the mean is relatively similar at \$209.52 per square foot. When considering

all factors, it is the writer's opinion that a reasonable estimate as to the market value of the subject would be \$210.00 per square foot. This would indicate a total value as follows:

Gross Building Area: 12,659+- SF X \$210.00 Per SF = \$2,658,390.

MARKET VALUE BY SALES COMPARISON APPROACH: \$2,660,000.

The approaches to value are summarized as follows:

RECONCILIATION OF VALUES	
Cost Approach	Not Applicable
Income Approach	\$2,647,000.
Sales Comparison Approach	\$2,660,000.

The two approaches to value indicate similar value indications. The primary interest being appraised is the Leased Fee Value, which is most indicative by the Income Approach. The subject is valued at market rates and therefore the Sales Comparison Approach lends support to the leased fee value conclusion by the Income Approach. Based on the preceding, it is the writer's opinion that the fee simple market value estimate of the subject is as follows:

RECONCILED VALUE CONCLUSION			
Appraisal Premise	Interest Appraised	Date Value	Value Opinion
"As Is"	Leased Fee	November 4, 2024	\$2,647,000.

ADDENDA

CERTIFICATE OF APPRAISER

I, the undersigned, do hereby certify that I have personally viewed the property located at:

**COTTON EXCHANGE OF CULLMAN
212 4TH AVENUE SE
CULLMAN, ALABAMA 35055**

1. The statements of fact contained in this appraisal report, upon which the analysis, opinions and conclusions expressed herein are based, are true and correct.
2. The report and analyses, opinions, and conclusions are limited only by the reported assumptions and limiting conditions, and/or my personal, unbiased professional analyses, opinions, and conclusions.
3. The undersigned has no present or prospective interest in the property that is the subject of this report, and I have no personal interest or bias with respect to the parties involved.
4. My compensation is not contingent upon the reporting of a predetermined value or direction in value that favors the cause of the client, the amount of the value estimate, the attainment of a stipulated result, or the occurrence of a subsequent event. The appraisal assignment was not based on a requested minimum valuation, a specific valuation, or the approval of a loan.
5. My analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the requirements of Uniform Standards of Professional Appraisal Practice.
6. I certify that, to the best of my knowledge and belief, the reported analyses, opinions and conclusions were developed, and this report has been prepared, in conformity with the requirements of the Code of Professional Ethics and the Standards of Professional Appraisal Practice of the Appraisal Institute.
7. I certify that the use of this report is subject to the requirements of the Appraisal Institute relating to review by its duly authorized representatives.
8. I have made a personal viewing of the property that is the subject of this report.
9. No one provided significant real property appraisal assistance to the person signing this certification.
10. This assignment was made subject to regulations of the State of Alabama Real Estate Appraisers Board. The undersigned state certified appraiser has met the requirements of the board that allow this report to be regarded as a "certified appraisal".
11. The Assumptions and Limiting Conditions, in their entirety, are made a part of this report.
12. The appraiser has performed within the context of the competency provision of the Uniform Standards of Professional Appraisal Practice.

13. I previously appraised the subject property for the client on September 29, 2020. Other than the preceding, I have performed no other appraisal or professional services, as an appraiser or in any other capacity, regarding the property that is the subject of this report within the three year period immediately preceding acceptance of this assignment.

Disclosure of the contents of this report is governed by the By-Laws and Regulations of the Appraisal Institute. Neither all nor any part of the contents of this report (especially any conclusions as to value, the identity of the appraiser or the firm with which he is connected, or any reference to the Appraisal Institute or to the MAI designation) shall be disseminated to the public through advertising media, public relations media, news media, sales media or any other public means of communication without the prior written consent and approval of the undersigned.

After careful consideration of all known matters which affect the present and foreseeable future utility, desirability and therefore marketability of the property, it is my opinion that the market value of the subject as of the date of value is:

RECONCILED VALUE CONCLUSION			
Appraisal Premise	Interest Appraised	Date Value	Value Opinion
"As Is"	Leased Fee	November 4, 2024	\$2,647,000.

ASSUMPTIONS & LIMITING CONDITIONS

1. This appraisal covers the property as described in this report, and the areas and dimensions as shown herein are assumed to be correct.
2. The appraisers have made no survey of the property and assume no responsibility in connection with such matters. Any sketch or identified survey of the property included in this report is only for the purpose of assisting the reader to visualize the property.
3. Responsible ownership and competent management are assumed.
4. No responsibility is assumed for matters involving legal or title considerations.
5. The information identified in this report as being furnished by others is believed to be reliable, but no responsibility for its accuracy is assumed.
6. The contract for appraisal, consultation or analytical service is fulfilled and the total fee payable upon completion of the report. The appraisers are not required to engage in post appraisal consultation, give testimony or attendance in court by reason of this appraisal unless arrangement has previously been made therefore for an additional fee.
7. The allocation of total value to land or to buildings, as shown in this report, is invalidated if used separately in conjunction with any other appraisal.
8. Disclosure of the contents of this appraisal report is governed by the by-laws and regulations of the Appraisal Institute.
9. The attached plats and other exhibits are provided to help the reader understand the writer's conclusions. Any plats or drawings are generally approximations and taken from sources deemed to be reliable. However, this is not guaranteed.
10. The writers have made no study as to the conditions and strengths of the soil and are in no way certifying that the soils are suitable for a certain type of development without a complete engineering study being provided. The writers make no statement or certification as to the suitability of the subject property for construction of any buildings or development of the property with regard to subsurface conditions including the presence or absence of sinkholes, mining activities, wells, or buried tanks, and other objects including potentially hazardous gases such as radon. The client is urged to retain an expert in this field if desired.

The appraiser has inspected as far as possible by observation the land and the improvements thereon; however, it was not possible to personally observe conditions beneath the soil or hidden structural or other components. We have not critically inspected mechanical components within the improvements and no representations are made herein as to these matters unless specifically stated and considered in the report. The value estimate considers there being no such conditions that would cause a loss of value. The land or the soil of the area being appraised appears firm, however, subsidence in the area is unknown. The appraisers do not warrant against this condition or occurrence of problems arising from soil conditions.
11. The liability of the appraisers, Tillman, Allen & Sizemore, L.L.C., and employees is limited to the fee collected for the work done. There is no accountability, obligation, or liability to any third party.

The fee for this appraisal or study is for the services rendered and not for the time spend on the physical report.
12. Acceptance of, and/or use of, this appraisal report constitutes acceptance of all of the assumptions and limiting conditions.
13. The writers have not considered the impact of any environmental studies that would limit the use of the subject property. If any environmental studies affect the utilization of the subject property, the value should be amended accordingly. It is the reader's responsibility to ascertain any limitations by environmental studies that may be conducted in the future.
14. Unless otherwise stated in this report, the existence of hazardous substances, including without limitation asbestos, polychlorinated biphenyls, petroleum leakage, or agricultural chemicals, which may or may not be present on the property, or other environmental conditions, were not called to the attention of nor did the appraiser become aware of such during the appraiser's inspection. The appraiser has no knowledge of the existence of such materials on or in the property unless otherwise stated. The appraiser, however, is not qualified to test such substances or conditions. If the presence of such substances, such as asbestos, urea formaldehyde foam insulation, or other hazardous substances or environmental conditions, may affect the value of the property, the value estimated is predicated on the assumption that there is no such condition on or in the property or in such proximity thereto that it would cause a loss in value. No responsibility is assumed for any such conditions, nor for any expertise or engineering knowledge required to discover them. The client is urged to retain an expert in this field, if desired.
15. It should be understood that:
 - (a) The appraiser is not an expert in the field of hazardous materials;

- (b) The appraisal was prepared for purposes as stated in the appraisal and does not constitute an expert inspection of the property;
- (c) The only way to be certain as to the condition of the property with respect to "environmental hazards" is to have an expert in the field inspect the property;
- (d) The appraisal should not be relied upon as to whether or not environmental hazards actually exist on the property.

The value(s) estimated herein is based on the assumption that no such "environmental hazards" are associated with the subject property. If an environmental audit is performed on the subject property after the effective date of the appraisal and a negative environmental audit is the result, this firm reserves the right to review and revise this appraisal report and the value conclusions. Additional charges based on this firm's then prevailing hourly rates will be made for such services.

- 16. This firm assumes no responsibility for hidden or unapparent conditions of the property, subsoil or structures, or the correction of any defects now existing or that may develop in the future. Equipment components are assumed in good working conditions unless otherwise stated in this report.
- 17. The appraisal is based on the premise that there is full compliance with all applicable federal, state, and local environmental regulations and laws unless otherwise stated in the report; further that all applicable zoning, building, and use regulations and restrictions of all types have been complied with unless otherwise stated in the report; further it is assumed that all required licenses, consents, permits, or other legislative or administrative authority, local, state, federal and/or private entity or organization have been or can be obtained or renewed for any use considered in the value estimate.
- 18. Possession of this report or any copy thereof does not carry with it the right of publication, nor may it be used for other than its intended use; the physical report (s) remain the property of the appraiser for the use of the client, the fee being for the analytical services only. The report may not be used for any purpose by any person or corporation other than the client or the party to whom it is addressed or copied without the written consent of an officer of the appraisal firm (Tillman, Allen & Sizemore, L.L.C.) and then only in its entirety.

Neither all nor any part of the contents of this report should be conveyed to the public through advertising, public relations efforts, news, sales or other media without the written consent and approval of an officer of Tillman, Allen & Sizemore, L.L.C., nor may any reference be made in such a public communication to the Appraisal Institute or the SRA, SRPA, or MAI designations.

- 19. The appraiser may not divulge the material "evaluation" contents of the report, analytical findings or conclusions, or give a copy of the report to anyone other than the client or as designated or specified in writing except as may be required by the Appraisal Institute as they may request in confidence for ethics enforcements or by court of law or body with the power of subpoena.

This appraisal is to be used only in its entirety and no part is to be used without the whole report. All conclusions and opinions concerning the analysis are set forth in the report were prepared by the appraisers whose signatures appear on the appraisal report unless indicates as "review appraiser". No change of any item in the report shall be made by anyone other than the appraiser and/or officer of the firm. The appraiser and firm shall have no responsibility if any unauthorized change is made.

- 20. This appraisal was obtained from Tillman, Allen & Sizemore, L.L.C. or related companies and/or its individuals or related independent contractors and consists of "trade secrets and commercial or financial information" which is privileged and confidential and exempted from disclosure under 5 USC 552(b)(4). Notify the appraisers signing the report or an officer of Tillman, Allen & Sizemore, L.L.C., of any request to reproduce this appraisal in whole or in part.
- 21. The authentic copies of this report are signed in ink. Any copy that does not have an original signature is unauthorized and may have been altered.
- 22. The Americans with Disabilities Act ("ADA") became effective January 26, 1992. I (we) have not made a specific compliance survey and analysis of this property to determine whether or not it is in conformity with the various detailed requirements of the ADA. It is possible that a compliance survey of the property together with a detailed analysis of the requirements of the ADA could reveal that the property is not in compliance with one or more of the requirements of the Act. If so, this fact could have a negative effect upon the value of the property. Since I (we) have no direct evidence relating to this issue, I (we) did not consider possible non-compliance with the requirements of ADA in estimating the value of the property.

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Parcel Summary - AssuranceWeb Property



PROPERTY TAX
Cullman County, Alabama

Current Date: 11/4/2024 **Tax Year:** 2025 (Billing Year: 2025)

⚠ You are viewing a future tax year. Any values and taxes shown are estimates and are subject to change. [Click here](#) for the current amount due.

Parcel Info

PIN 41774
PARCEL 17-02-10-4-018-001.001
ACCOUNT NUMBER 81606

OWNER COTTON EXCHANGE OF
CULLMAN LLC
MAILING ADDRESS 1494 COUNTY RD 1301,
VINEMONT, AL 35179
PROPERTY ADDRESS 212 4TH AVE SE

LEGAL DESCRIPTION LOT 1 HILL RESUB OF
BETZ ADD
EXEMPT CODE
TAX DISTRICT CULLMAN

**Tax Information**

PPIN	YEAR	TAX TYPE	TAXES	PENALTIES / INTEREST	SUBTOTAL	AMT PAID	BALANCE DUE
41774	2025	REAL	\$ 12,387.76	\$ 0.00	\$ 12,387.76	\$ 0.00	\$ 12,387.76
Total Due:			\$ 12,387.76				

LAST PAYMENT DATE **N/A**

<https://cullmanproperty.countygovservices.com/Property/Summary?taxyear=2025&ppin=041774#>

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Parcel Summary - AssuranceWeb Property

PAID BY

Property Values

Total Acres 0.99
Use Value \$0
Land Value \$415,600
Improvement Value \$1,193,200
Total Appraised Value \$1,608,800
Total Taxable Value \$1,608,800
Assessment Value \$321,760

Subdivision Information

Code HILL RESUB OF BETZ
 ADDITION-HRBA
Name HILL RESUB OF BETZ
 ADDITION
Lot 1
Block
Type / Book / Page CWD / 621 / 63
S/T/R 10-10S-3W

Detail Information

TYPE	REF	DESCRIPTION	LAND USE	TC	HS	PN	APPRAISED VALUE
LAND	3	43296.000 SqFt	9460-SINGLE FAMILY RES	2	N	N	\$415,600
RES/COM 1		651 - MEDICAL OFFICE	-	2	N	N	\$1,107,600
PAVING 2		34PASP4 - PAVEMENT ASPHALT 3 1/2" (OVER 20 000 S.F.) COM	-	2	N	N	\$85,600

Building Components**Improvement**

Year Built 1980
Structure MEDICAL OFFICE
Structure Code 651
Total Living Area 12312
Building Value N/A

Computations

Stories 1.0
1st Level Sq. Ft. 12312
Add'l Level Sq. Ft. 0
Total Living Area 12312
Total Adjusted Area 12541

Materials and Features

Foundation COMBO - 100
Exterior Walls 8" BRICK - 75
Exterior Walls C B PLAIN - 25
Roof Type FLAT SHED - 100
Roof Material BU T&G - 100
Floors CERAMIC TILE - 50
Floors CARPET & UNDER - 50
Interior Finish ACCOUSTICAL CEIL - 50
Interior Finish DRYWALL - 50
Plumbing NONE - 100
Plumbing BATH 2FIX - 15
Plumbing LAVATORY SINK WALL TYPE - 15
Heat/AC HEAT/AC FHA/AC - 12312

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Parcel Summary - AssuranceWeb Property

Improvement

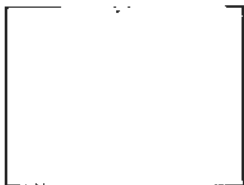
Year Built 2012
Structure PAVEMENT ASPHALT 3 1/2" (OVER
20 000 S.F.) COM
Structure Code 34PASP4
Total Living Area 28000
Building Value N/A

Materials and Features

**** No Materials / Features For This
Improvement ****

Computations

Stories 1 0
1st Level Sq. Ft. 28000
Add'l Level Sq. Ft. 0
Total Living Area 28000
Total Adjusted Area 28000

Photos**Sketches****Tax Sales**

****NO TAX SALES FOUND****

QUALIFICATIONS**C. DANIEL SIZEMORE**

Real Estate Appraiser

Certified General Real Property Appraiser #G00592

EDUCATION

University of Alabama, Tuscaloosa, Alabama

B.S. Degree, Real Estate Finance - 1997

Appraisal Courses:

110 Appraisal Principles

BC-45 Reading Blueprints

MSR-16 Cost Approach

Technology for Real Estate Appraisers

Introduction to Environmental Issues

APCO-Eminent Domain Conference

Essential Elements of Disclosures/Disclaimers

Appraisal of Land Subject to Ground Leases

Appraisal of REO & Foreclosure Properties

400 USPAP

Retail/Centers for Mortg. Underwriting

Office Buildings for Mortg. Underwriting

Ind. & Flex Bldg. for Mortg. Underwriting

Complex Properties: The Odd Side of Appraisal

Eminent Domain & Condemnation

Construction Details and Trends

Divorce & Estate Appraisals

Appraisal of Owner-Occupied Comm. Properties

510 Advanced Income Capitalization

520 Highest & Best Use and Market Analysis

530 Adv. Sales Comparison/HBU

540 Report Writing and Valuation Analysis

550 Advanced Appraisal Applications

Appraisal of Self Storage Facilities

Ad Valorem Tax Consultation

Green Buildings for Appraisers

Appraisal of Fast Food Facilities

EXPERIENCE

1997 to 1998 - Served as staff research assistant with Real Property Services.

1998 to December 2001 - Staff Appraiser with Real Property Services, Inc., working in valuation of variety of properties, including industrial, office, retail, and vacant land. In addition, extensive condemnation assignment experience and providing consulting and litigation support.

2001 to Present – Partner of Tillman, Allen & Sizemore, L.L.C

Experience in appraising commercial, industrial, special purpose, residential, acreage and extensive condemnation assignment experience. Clients include:

AmSouth Bank/Regions Bank
Colonial Bank/BB & T Bank
Compass Bank/BBVA Compass
Jacobs Bank
Wells Fargo Bank
SouthTrust/Wachovia
The Bank/Superior Bank
The Exchange Bank of Alabama
Vision Bank
Renasant Bank
Generations Bank
Noble Bank
Citizens Bank
CB&S Bank
Peoples Bank
Synovus Bank
Keystone Bank
Alabama Teacher's Credit Union
Noble Bank

Alabama Highway Department of Trans.
State of Alabama Department of Cons.
U.S. Forrest Service
City of Huntsville
City of Albertville
City of Gadsden
City of Glencoe
City of Southside
Etowah County
Cullman Economic Development
Cullman Utilities Board
Gadsden Water Authority
Huntsville Board of Education
Gadsden Board of Education
Guntersville Board of Education
Gadsden Airport Authority
Judson College
Wallace State
Gadsden State Community College

Saks 5th Avenue
BFI Waste Systems
Gadsden Regional Hospital
Riverview Regional Medical
Regional Medical Cent. Of Anniston
Alabama Power Company
Barge Waggoner Sumner & Cannon
Peoples Bank of Commerce
US Small Business Administration
Butler Burgher Group
Balch & Bingham LLP
Iberia Bank
Huntington National Bank
Land America
National Bank & Trust
Southern States Bank
First Bank of Boaz
Commerce One
Bryant Bank

State of Alabama



This is to certify that

Charles Daniel Sizemore

*having given satisfactory evidence of the necessary
qualifications required by the laws of the State of Alabama
is licensed to transact business in Alabama as a*

Certified General Real Property Appraiser

*With all rights, privileges and obligations
appurtenant thereto.*

LICENSE NUMBER: G00592
EXPIRATION DATE: 9/30/2025

Yvonne Brooks
Executive Director
ALABAMA REAL ESTATE APPRAISERS BOARD

TILLMAN, ALLEN & SIZEMORE, L.L.C.

Professional Real Estate Valuation, Counseling, & Brokerage

704 South 4th Street
Gadsden, Alabama 35901

INVOICE

NOVEMBER 8, 2024

MILLER MURCHISON
REAL ESTATE MANAGER
UAB HEALTH SYSTEMS

For Professional Services: \$3,500.

Re: COTTON EXCHANGE OF CULLMAN
 212 4TH AVENUE SE
 CULLMAN, ALABAMA 35055

INVOICE #:

Terms: Net Due Upon Receipt
 1.5% Service Charge after 30 days

The University of Alabama

Proposed Named Spaces for Board Approval

June 5-6, 2025 Board Meeting



Donor and Recognition Name	Pledge Amount	Gift/Pledge Date	Amount Paid to Date*	Percent Paid to Date	Date for Gift/Pledge Completion	Name of Space	BOT Approved Minimum
Drummond Lyon Hall							
Third Floor							
Marty and Alan Clark Office named by Mr. and Mrs. Alan B. Clark	\$15,000	10/26/2023	\$10,000	67%	10/31/2025	Office	\$15,000
Hewson Hall							
Third Floor							
Manoj V. Sunny Office named by Mr. Manoj V. Sunny	\$30,000	9/1/2020	\$17,417	58%	12/31/2026	Office	\$30,000

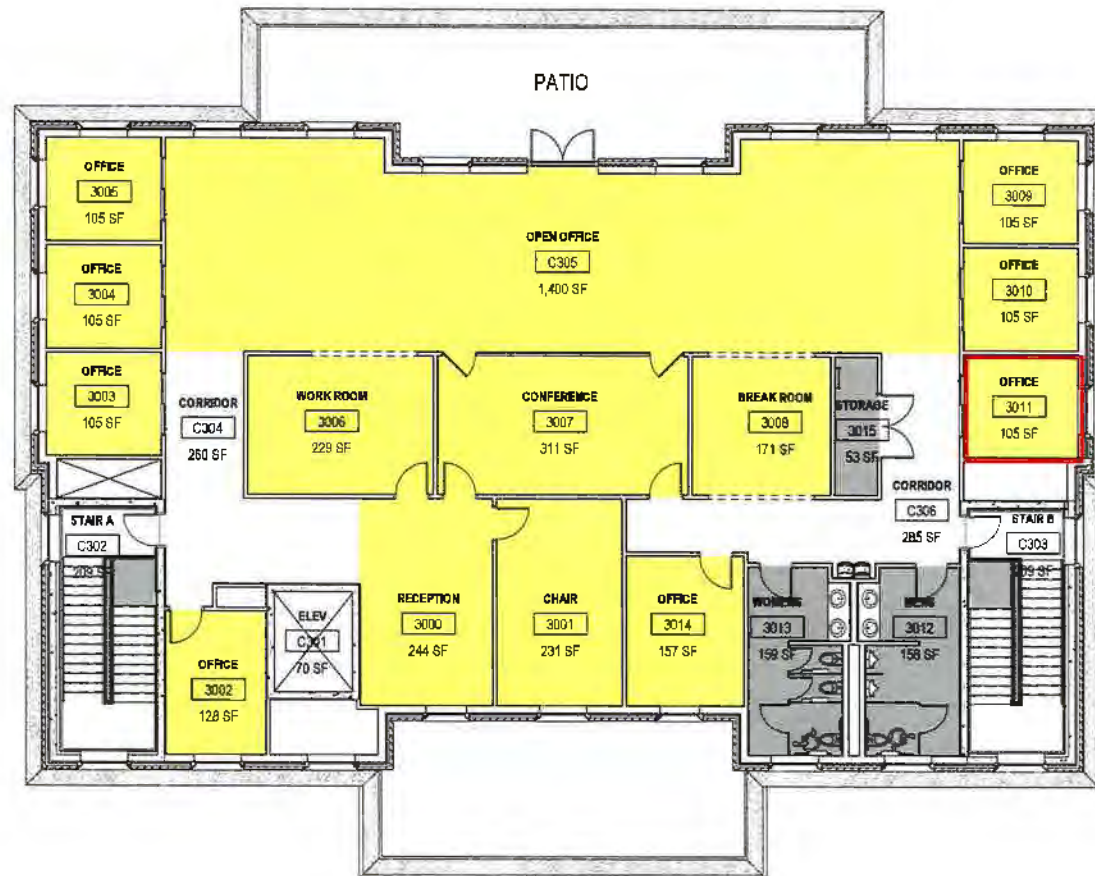
*Amount paid to date is as of April 21, 2025

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in the Fashion and Design Building (Revision)

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Ground Floor					
Large Storage Room	1155	\$554.00	\$639,870	\$211,157	\$250,000
Collection Workroom	934	\$554.00	\$517,436	\$170,754	\$200,000
Photography Studio	465	\$554.00	\$257,610	\$85,011	\$100,000
Collection Storage Room (2 available)	333	\$554.00	\$184,482	\$60,879	\$65,000
Conservation Lab	352	\$554.00	\$195,008	\$64,353	\$65,000
First Floor					
Reception/Lecture Hall	1113	\$554.00	\$616,602	\$203,479	\$350,000
Lobby	714	\$554.00	\$395,556	\$130,533	\$150,000
Exhibition Gallery	725	\$554.00	\$401,650	\$132,545	\$75,000*
Flex Office	128	\$554.00	\$70,912	\$23,401	\$25,000
Second Floor					
Studio (2 available)	1043	\$554.00	\$577,822	\$190,681	\$200,000
Library	649	\$554.00	\$359,546	\$118,650	\$125,000
Student Exhibition	614	\$554.00	\$340,156	\$112,251	\$125,000
Collaboration Area	249	\$554.00	\$137,946	\$45,522	\$50,000
Office	128	\$554.00	\$70,912	\$23,401	\$25,000
Mother's Room	62	\$554.00	\$34,348	\$11,335	\$10,000*
Third Floor					
Open Office	1400	\$554.00	\$775,600	\$255,948	\$250,000*
Patio	620	\$554.00	\$343,480	\$113,348	\$150,000
Department Chair Suite	475	\$554.00	\$263,150	\$86,840	\$100,000
Conference Room	311	\$554.00	\$172,294	\$56,857	\$50,000*
Department Chair Office	231	\$554.00	\$127,974	\$42,231	\$50,000
Reception	244	\$554.00	\$135,176	\$44,608	\$50,000
Workroom	229	\$554.00	\$126,866	\$41,866	\$50,000
Office (8 available)	115	\$554.00	\$63,710	\$21,024	\$15,000*
Breakroom	171	\$554.00	\$94,734	\$31,262	\$10,000*
Other					
Entire Building	19092	\$554.00	\$10,576,968	\$3,490,399	\$3,000,000*
Courtyard	N/A	N/A	N/A	N/A	\$350,000

**Exception to the 33% minimum is being requested in these cases so that the naming amounts for these areas will fit within the overall naming opportunity structure or in cases of previously approved booked commitments for these spaces previously approved by the Board of Trustees.*



THE UNIVERSITY OF ALABAMA®

Naming Opportunities in Hewson Hall (Revision)

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Third Floor					
Office (53 available)	221	\$405.00	\$89,505	\$29,537	\$30,000
Graduate & PhD Office (14 available)	92	\$405.00	\$37,260	\$12,296	\$15,000

©



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CULVERHOUSE COLLEGE OF BUSINESS
THE UNIVERSITY OF ALABAMA

U.A. PROJECT NO. 055-18-1373 / ABC NO. 2018465 / WBA NO.17-099



BUILDING PACKAGE COMPLIANCE SET		
code project no. 17-099	owner project name A18011	issue date 01/13/20
project name THIRD FLOOR FURNITURE PLAN		project number A143

THE UNIVERSITY OF ALABAMA®

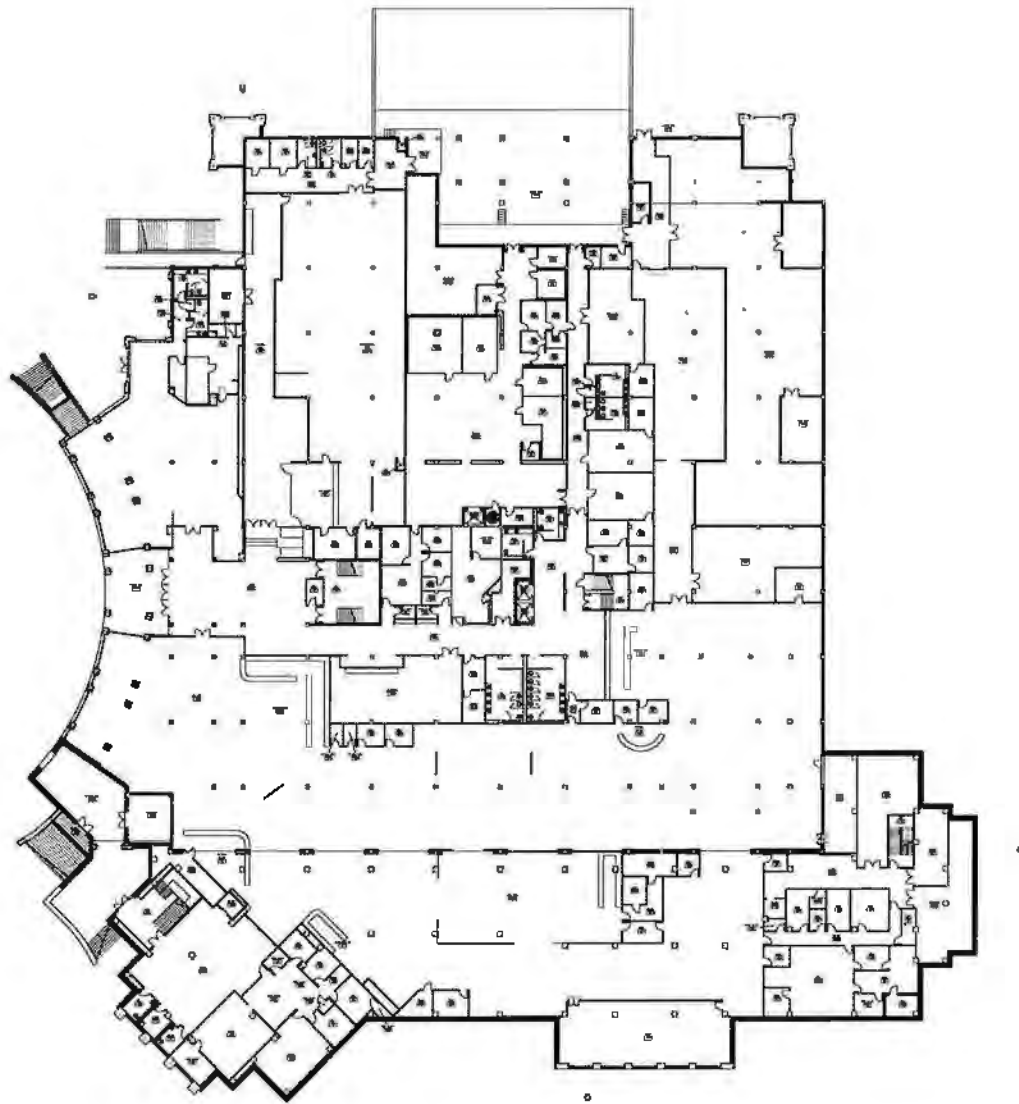
University of Alabama Culverhouse College of Business Naming Opportunities List

Description	Final
Alabama Entrepreneurship Institute	\$15,000,000
Department of Information Systems, Statistics and Management Science	\$5,000,000
Real Estate Program	\$5,000,000
Risk Management and Insurance Program	\$5,000,000
UA Business LEAD Program	\$5,000,000
Human Resources Institute	\$3,000,000

THE UNIVERSITY OF ALABAMA®

Naming Opportunities in the Student Services Center

Description	Area (Sq Ft)	Cost per Sq Ft	Cost	33%	Recommended
Campus Pantry	645	\$315.77	\$203,672	\$67,212	\$75,000





Donor(s) or Donor Representative	Fund Name	Purpose	Corpus
William D. Azar and Julia H. Azar	Zack N. Azar Jr. Endowed First Generation Business Scholarship	Priority of consideration is given to first generation students who are enrolled in the Culverhouse College of Business and demonstrate financial need, although not necessarily need as defined by federal guidelines.	\$25,000
Selden X. Bailey (deceased)	Selden X. Bailey Endowed Scholarship	Earnings are used to provide partial tuition to undergraduate or graduate students enrolled at The University of Alabama who graduated from a high school in the following Alabama counties: Coffee, Dale, Henry, Geneva, or Houston. If there are no qualified applicants from the counties listed above, the scholarship may be awarded to a qualified applicant without regard to geographical location.	\$76,433
J. Frank Barefield, Jr.	J. Frank Barefield, Jr. College of Arts and Sciences Endowment for Excellence	The endowment earnings shall be used to provide key discretionary support for the College of Arts and Sciences at the University. The support fund will be used to champion all facets of the College's mission and will provide the Dean with the flexibility to respond to the College's most critical needs as well as respond to opportunities that promote a standard of excellence.	\$5,000,000
Thomas M. Borden and Ashley R. Borden	Thomas R. Borden Endowed Music Scholarship	Priority of consideration is given to students who are enrolled in the School of Music in the College of Arts and Sciences and demonstrate financial need, although not necessarily need as defined by federal guidelines.	\$25,000
Gordon T. Carter	Gordon T. Carter Endowed Scholarship for Phi Kappa Psi	Priority of consideration shall be given to full-time undergraduate students who are members of Phi Kappa Psi Fraternity; who possess a 3.0 grade point average or higher on a 4.0 scale; and who demonstrate financial need, although not necessarily need as defined by federal guidelines.	\$25,000
751 Hugh F. Culverhouse Jr. and Eliza P. Culverhouse	~~PREVIOUS:~~ Eliza and Hugh F. Culverhouse Student Assistance Scholarship	~~PREVIOUS:~~ Priority of consideration will be given to full-time undergraduate students who are enrolled in the Culverhouse College of Commerce and Business Administration and who demonstrate financial need, although not necessarily need as defined by federal guidelines.	
	PROPOSED: Eliza and Hugh F. Culverhouse Endowed Student Assistance Scholarship	PROPOSED: Priority of consideration will be given to full-time rising junior, senior or graduate students who are enrolled in the Culverhouse College of Business, possess at least a 3.2 grade point average on a 4.0 scale, maintain at least a 3.0 grade point average while receiving the scholarship, and demonstrate financial need, although not necessarily need as defined by federal guidelines.	\$11,295,020
Ashley F. Stern	William Paul Fendley Memorial Endowed Scholarship	The scholarship is awarded to students who are enrolled in the College of Education and majoring, in order of priority, in (1) elementary education, (2) secondary education, or (3) special education.	\$29,651
Friends of Dr. Trudier Harris	Trudier Harris English Graduate Student Endowed Support Fund	Endowment earnings are used to provide support for the English Department in the College of Arts and Sciences at the University. The support fund is used for a variety of purposes in support of the program's mission, including, but not limited to, assisting graduate students studying African American issues, women's writing and Southern literature with travel expenses for research and attending conferences. If this fund is used to award scholarships, priority of consideration is given to graduate students who are enrolled in the College of Arts and Sciences and are pursuing a graduate degree in English with a focus on African American issues, women's writing and Southern literature.	\$31,098



Donor(s) or Donor Representative	Fund Name	Purpose	Corpus
The Semmes Foundation, Inc	<u>*PREVIOUS:</u> Hazel Phelps Jones Award Fund <u>PROPOSED:</u> Hazel Phelps Jones Award of Merit	<u>*PREVIOUS:</u> The endowment earnings shall be used to provide awards for senior students enrolled in the College of Arts and Sciences. The award shall be used to honor a female student who is majoring in math and/or science, and has exhibited exemplary scholarship, leadership and service to The University of Alabama. The fund earnings will be used to provide a \$5,000 cash award annually, beginning in the 2018-19 academic year, to the recipient and to purchase a plaque to display a list of the recipients in an appropriate location which will be updated annually by the College of Arts and Sciences. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder. <u>PROPOSED:</u> The endowment earnings are used to provide awards for senior students enrolled in the College of Arts and Sciences. The award is presented each year to an outstanding senior majoring in the College of Arts and Sciences who has distinguished themselves by their academic accomplishments, exceptional scholarly engagement, and outstanding service to others. The student is selected at the discretion of the Dean of the College of Arts and Sciences. The Donor Representative requests that fund earnings provide at least a \$10,000 cash award annually to the recipient, subject to change by the award committee to adjust for inflation, and to fund the engraving of a plaque to display a list of the recipients in an appropriate location which is updated annually by the College of Arts and Sciences.	\$202,890
Mr. and Mrs. Drew S. Kirkland and John S. Kirkland	Kirkland Family Bluegrass Endowed Business Scholarship	Priority of consideration will be given to students who are enrolled in the Culverhouse College of Business and graduated from a high school within the state of Kentucky.	\$25,000
Susan E. Kraft and Randy F. Kraft	Lecil Norman Smith and Susan Elise Smith Kraft Endowed Scholarship	First priority of consideration will be given to students who graduated from a Cullman City or Cullman County high school in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. Second priority of consideration will be given to students who graduated from a high school in Winston or Blount counties in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. Third priority of consideration will be given to students who graduated from any high school in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. The Donor Representative desires that applicants be well-rounded as demonstrated by their involvement in on- and off-campus extracurricular activities, and provide recommendations from teachers, school and community leaders, and/or past employers. The Donor Representative also desires if no qualifying application is received during an academic year, the scholarship is to be awarded at the discretion of the Dean.	\$27,035
Christine C. Lassiter	<u>*PREVIOUS:</u> Christine C. Lassiter Endowed Support Fund for C&BA Mentoring Programs <u>PROPOSED:</u> Christine C. Lassiter Endowed Accounting Scholarship	<u>*PREVIOUS:</u> The fund will be used to support mentoring programs in the Culverhouse College of Business. <u>PROPOSED:</u> Priority of consideration will be given to students who are enrolled in the Culverhouse School of Accountancy in the Culverhouse College of Business and are majoring in accounting.	\$25,391



Donor(s) or Donor Representative	Fund Name	Purpose	Corpus
Dr. James E. Moon and Mrs. Gail P. Moon	~~*PREVIOUS:~~ Dr. James E. Moon Endowed Support Fund for Accountancy ~~*PREVIOUS:~~ Gail Prince Moon Endowed Support Fund in Education PROPOSED: James E. and Gail P. Moon Endowed Scholarship	~~*PREVIOUS:~~ The endowment earnings will be used to provide key discretionary support for the Culverhouse School of Accountancy at the University. The support fund earnings will be used for priority needs in support of the program's mission at the discretion of the director of the department. ~~*PREVIOUS:~~ The endowment earnings will be used to provide key discretionary support for the College of Education at the University. The support fund earnings will be used for priority needs in support of the program's mission at the discretion of the Dean. PROPOSED: Priority of consideration will be given to non-traditional students who maintain employment while enrolled in the Culverhouse College of Business or the College of Education, who are either majoring in accounting or pursuing a graduate degree, and who possesses a 3.0 or higher grade point average on a 4.0 scale.	\$56,790
Ms. Michel Nicrosi and Mr. Benjamin H. Harris III	Nicrosi Family Endowed Million Dollar Band Support Fund	The endowment earnings will be used to provide discretionary support for The University of Alabama Million Dollar Band through tangible items such as uniforms, instruments and travel expenses.	\$25,000
Members of the Capstone College of Nursing Board of Visitors	Prevost Endowed Positive Impact Nursing Award	The endowment earnings will be used to provide two awards at the end of each academic year; one to a faculty member and one to a staff member at the Capstone College of Nursing who have worked to create a positive work environment through joyfulness, gratitude and helpfulness to coworkers and/or students.	\$25,992
Ashley C. Regner	Ashley C. Regner "Change the World" Endowed Business Scholarship	Priority of consideration will be given to junior or senior students with a declared major in Accounting, MIS, and/or Data Analytics in the Culverhouse College of Business. These students will be recommended by a faculty member within the College. The recipient must complete a short essay on how they plan to "change the world" for the scholarship committee to review prior to awarding.	\$41,613
Dr. Richard W. Rhone	Anne W. Rhone OLLI Endowed Support Fund	The endowment earnings will be used to provide key discretionary support for the OLLI Program in OTIDE at the University. The support fund will be used for a variety of purposes in support of the program's mission.	\$25,656
Daniel R. Rodda and Angela M. Rodda	Rodda Family Jeremiah 29:11 Endowed Business Scholarship	Priority of consideration will be given to students who are enrolled in the Culverhouse College of Business and participate in the Alabama REACH program.	\$30,000
Dr. Matthew J. Satcher	Matthew Satcher Endowed Religious Studies Support Fund	The endowment earnings will be used to provide support for the Department of Religious Studies in the College of Arts and Sciences at the University. The support fund will be used for a variety of purposes in support of the program's mission.	\$25,000
Dr. Cynthia S. Sunal	~~*PREVIOUS:~~ Cynthia Szymanski Sunal Endowed Education Scholarship PROPOSED: Cynthia Szymanski Sunal Endowed Elementary Education Scholarship	Priority of consideration will be given to junior or senior undergraduate students who are enrolled in the College of Education, graduated from a high school in Alabama, have completed the teacher education program entrance application requirements, are majoring in early childhood education or elementary education within the curriculum and instruction program and have maintained at least a 3.25 grade point average or better on a 4.0 scale. Renewal of the scholarship requires acceptance into the Teacher Education Program. No student should receive this scholarship more than two years.	\$25,340
Wes C. Tallon	Wes C. Tallon Tide Together Endowed Capstone Student Support Fund	The endowment earnings will be used to provide support for the Office of Student Care and Well-Being at the University. The support fund will be used for a variety of purposes in support of the program's mission, including, but not limited to, supporting students in need and/or crisis at the University. The fund may also be used to provide support for parents or family members of University students who have been hospitalized. Uses for this fund may include, but not be limited to, purchases of food, personal hygiene items, lodging, transportation, and gift cards for gas, groceries, or other essentials.	\$25,000
Friends of the Division of Student Life	UA Student Life Food Pantry Endowed Support Fund	The endowment earnings will be used to provide support for the student food pantry operated by the Office of Student Care and Well-Being at the University.	\$75,000



Donor(s) or Donor Representative	Fund Name	Purpose	Corpus
Windgate Charitable Foundation, Inc.	~~*PREVIOUS:~~ Windgate Charitable Foundation Endowed Book Arts Scholarship	~~*PREVIOUS:~~ Priority of consideration will be given to full- or part-time students in the book arts program in the School of Library and Information Studies. Recipients will be selected based on juried talent, not academic grades, in the field of the book arts. Financial need will also be considered, although not necessarily as defined by federal guidelines. Scholarship recipients will be called Windgate Fellows.	\$1,000,000
	~~PROPOSED:~~ Windgate Charitable Foundation Endowed Book Arts Support Fund	~~PROPOSED:~~ The endowment earnings shall be used to provide key discretionary student support for the Book Arts Programs in the School of Library and Information Studies at the University. The support fund shall be used for scholarships, which shall be a priority, and for other purposes in support of students enrolled in the Book Arts Programs.	

* Indicates Revision



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Zack N. Azar Jr. Endowed First Generation Business Scholarship

Introduction

William D. Azar and Julia H. Azar of Montgomery, Alabama, ("Donor Representative") pledge to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Culverhouse College of Business at The University of Alabama through the creation of the Zack N. Azar Jr. Endowed First Generation Business Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to first generation students who are enrolled in the Culverhouse College of Business and demonstrate financial need, although not necessarily need as defined by federal guidelines.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

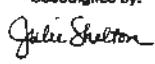
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

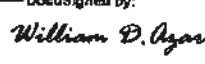
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

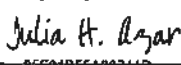
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

245886F388BFA19
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Aug-02-2024
Date

DocuSigned by:

977633C8B039E
William D. Azar
Donor Representative

Aug-08-2024
Date

Signed by:

5CF01B55A28741D
Julia H. Azar
Donor Representative

Aug-10-2024
Date

Memorandum of Agreement
*The Selden X. Bailey
Endowed Scholarship*

Selden X. Bailey of Dothan, Alabama, has contributed \$100,000 to the Capstone Foundation to fund a charitable gift annuity to promote the education of full-time students at The University of Alabama.

The Selden X. Bailey Endowed Scholarship shall therefore be established at one of the following two events, whichever occurs first:

1. At that time contributions to the fund reach the minimum endowment level for a scholarship;

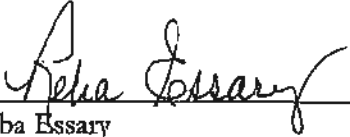
or

2. Termination of the charitable gift annuity dated October 5, 2012, between the Capstone Foundation and Frances E. Bailey and Selden X. Bailey for the lifetime benefit of Frances E. Bailey and Selden X. Bailey and upon transfer of the funds from the Capstone Foundation to The University of Alabama provided the remainder meets the minimum endowment level for a scholarship.

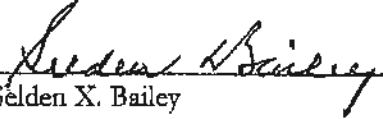
After formal endowment by The Board of Trustees of The University of Alabama, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds. The endowment earnings shall be used toward one or more scholarships under the policies and procedures of the University adopted from time to time to regulate and administer such awards, subject, however, to the following additional or supplementary conditions requested by the donor:

1. Earnings shall be used to provide partial tuition to undergraduate or graduate students enrolled at The University of Alabama who graduated from a high school in one of the following Alabama counties: Coffee, Dale, Henry, Geneva, or Houston. If there are no qualified applicants from the counties listed above, the scholarship may be awarded to a qualified applicant without regard to geographical location.
2. Recipients shall be determined by the standing scholarship committee of The University of Alabama. Decisions of the committee shall be made in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University, and coordinated with the Office of Undergraduate Admissions or such University office designated by the President for coordination of such awards.
3. If, upon expiration of the charitable gift annuity, the remainder is less than the minimum endowment level for a scholarship, and if consistent with the policies of The Board of Trustees, then the remainder may be expended for needs that most closely relate to the donor's intent, as stated herein, with the approval of the President of the University.
4. Persons or entities desiring to contribute to *The Selden X. Bailey Endowed Scholarship* shall be free to do so provided they accept the conditions governing this fund.

Memorandum of Agreement approved this 12th day
of October 2012.



Reba Essary
Associate Vice President for Finance
The University of Alabama



Selden X. Bailey
Donor



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The J. Frank Barefield, Jr. College of Arts and Sciences Endowment for Excellence

Introduction

J. Frank Barefield, Jr. of Vestavia Hills, Alabama, ("Donor Representative") intends to contribute a minimum of \$30,000,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the College of Arts and Sciences at The University of Alabama through the creation of the J. Frank Barefield, Jr. College of Arts and Sciences Endowment for Excellence ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide key discretionary support for the College of Arts and Sciences at the University. The support fund will be used to champion all facets of the College's mission and will provide the Dean with the flexibility to respond to the College's most critical needs as well as respond to opportunities that promote a standard of excellence.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the College of Arts and Sciences in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor

Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties related to the Endowed Fund, and, except for the contemporaneously executed Gift Agreement between the parties, supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. **Immunity** – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.

8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.



Dr. Stuart R. Bell Date
President
The University of Alabama

 5.8.25

J. Frank Barefield, Jr. Date
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Thomas R. Borden Endowed Music Scholarship

Introduction

Thomas M. Borden of Birmingham, Alabama, ("Donor Representative") and Ashley R. Borden pledge to contribute \$50,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the School of Music at The University of Alabama through the creation of the Thomas R. Borden Endowed Music Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to students who are enrolled in the School of Music in the College of Arts and Sciences and demonstrate financial need, although not necessarily need as defined by federal guidelines.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the School of Music in the College of Arts and Sciences. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

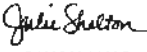
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

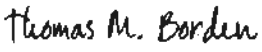
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

E46A88FA988F419
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Mar-26-2025
Date

Signed by:

A022F69F7889653
Thomas M. Borden
Donor Representative

Mar-27-2025
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP REVISED MEMORANDUM OF AGREEMENT
The Gordon T. Carter Endowed Scholarship for Phi Kappa Psi

Introduction

A memorandum of agreement was executed April 26, 2017, between The University of Alabama and Gordon T. Carter, who named The University of Alabama as primary beneficiary of his individual retirement account(s) to The Board of Trustees of The University of Alabama to promote the education of full-time students at The University of Alabama.

Mr. Carter now requests that the 2017 memorandum of agreement be rescinded and that a new memorandum of agreement be executed to revise the cease to exist language in the Administrative Provisions section.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Gordon T. Carter of Montgomery, Alabama, ("Donor Representative") intends to contribute a testamentary gift with an estimated value of \$600,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students at The University of Alabama through the creation of the Gordon T. Carter Endowed Scholarship for Phi Kappa Psi ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to full-time undergraduate students who are members of Phi Kappa Psi Fraternity, who possess a 3.0 grade point average or higher on a 4.0 scale and who demonstrate financial need, although not necessarily need as defined by federal guidelines. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Division of Student Life. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If Phi Kappa Psi fraternity ceases to exist at the University and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the Donor Representative requests the University use the endowment earnings from the Named Fund for supporting members of any fraternity or sorority at the University. Should Phi Kappa Psi fraternity become re-established at the University at a future date, and if consistent with policies of the Board, then the endowment earnings may be expended for the Donor Representative's original intent. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If funding does not reach the minimum endowment level upon receipt of the testamentary gift, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any action in connection with the fund or in amending this agreement. The Donor Representative names Wes C. Tallon, 8661 Rockbridge Circle, Montgomery, Alabama, 36116, as Alternate Donor Representative to be his/her agent and for all purposes to act in his/her place in connection with this agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

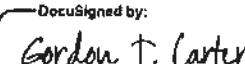
1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.

4. Disputes – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.

DocuSigned by:

4843C809A070490
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Oct-14-2022
Date

DocuSigned by:

4843C809A070490
Gordon T. Carter
Donor Representative

Oct-17-2022
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP REVISED MEMORANDUM OF AGREEMENT
The Eliza and Hugh F. Culverhouse Endowed Student Assistance Scholarship

Introduction

A memorandum of agreement for the Eliza and Hugh F. Culverhouse Student Assistance Scholarship was executed January 17, 2013, between The University of Alabama and Hugh F. Culverhouse Jr., who contributed \$120,000 to The Board of Trustees of The University of Alabama to promote the education of students in the Culverhouse College of Business at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to revise the name and purpose of the fund.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Hugh F. Culverhouse Jr. of Coral Gables, Florida, ("Donor Representative"), Eliza P. Culverhouse and the Eliza & Hugh Culverhouse Family Foundation, Inc. have contributed \$11,295,020.35 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Culverhouse College of Business at The University of Alabama through the creation of the Eliza and Hugh F. Culverhouse Endowed Student Assistance Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to full-time rising junior, senior or graduate students who are enrolled in the Culverhouse College of Business, possess at least a 3.2 grade point average on a 4.0 scale, maintain at least a 3.0 grade point average while receiving the scholarship, and demonstrate financial need, although not necessarily need as defined by federal guidelines.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted or amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University,

giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

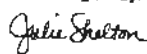
The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

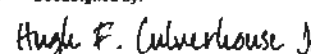
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter

of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

Mar-05-2025
Julie Shelton
Associate Vice President for Finance
The University of Alabama

DocuSigned by:

Mar-11-2025
Hugh F. Culverhouse Jr.
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The William Paul Fendley Memorial Endowed Scholarship

Introduction

Ashley F. Stern of South Lake, Texas, ("Donor Representative") pledges to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the College of Education at The University of Alabama ("University") through the creation of the William Paul Fendley Memorial Endowed Scholarship ("Named Fund"). The purpose of this document is to summarize the mutual understanding between the University and the Donor Representative for the purpose of administering this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board at that time contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded to students who are enrolled in the College of Education and majoring, in order of priority, in (1) elementary education, (2) secondary education, or (3) special education.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the College of Education. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The Board may terminate the naming rights provided in this agreement in accordance with Board Rule 411. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right

to convert the Named Fund to a current use fund with a purpose consistent with this agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the Board and with the approval of the President of the University.

Donor Representative

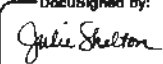
The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this agreement. The Donor Representative may name an Alternate Donor Representative to be his/her agent and for all purposes to act in his/her place in connection with this agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

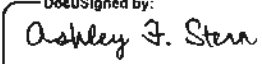
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

DocuSigned by:

Feb-19-2021
Date
Julie Shelton
Associate Vice President for Finance
The University of Alabama

DocuSigned by:

Feb-19-2021
Date
Ashley F. Stern
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The Trudier Harris English Graduate Student Endowed Support Fund

Introduction

Friends of the College of Arts and Sciences, represented herein by the Dean of the College ("Donor Representative"), intend to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to honor Dr. Trudier Harris and promote the education of students in the College of Arts and Sciences at The University of Alabama through the creation of the Trudier Harris English Graduate Student Endowed Support Fund ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide support for the English Department in the College of Arts and Sciences at the University. The support fund shall be used for a variety of purposes in support of the program's mission, including, but not limited to, assisting graduate students studying African American issues, women's writing and Southern literature with travel expenses for research and attending conferences.

If this fund is used to award scholarships, priority of consideration shall be given to graduate students who are enrolled in the College of Arts and Sciences and are pursuing a graduate degree in English with a focus on African American issues, women's writing and Southern literature.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the College of Arts and Sciences in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. The Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement.

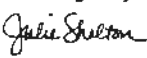
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

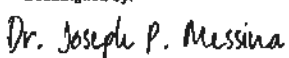
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

E4438874985F419
Julie Shelton
Associate Vice President for Finance
The University of Alabama

oct-09-2024
Date

DocuSigned by:

888459387CC0474
Dr. Joseph P. Messina, Dean
College of Arts and Sciences
The University of Alabama
Donor Representative

oct-09-2024
Date



THE UNIVERSITY OF ALABAMA
ENDOWED AWARD FUND REVISED MEMORANDUM OF AGREEMENT
The Hazel Phelps Jones Award of Merit

Introduction

A memorandum of agreement for the Hazel Phelps Jones Award Fund was executed August 28, 2018, between The University of Alabama and the Semmes Foundation, Inc., who pledged \$200,000 to The Board of Trustees of The University of Alabama to honor Hazel Phelps Jones for her academic achievements and lifetime of dedication to the University and to promote the education of students in the College of Arts and Sciences at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to revise the name and purpose of the fund.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

The Semmes Foundation, Inc. of San Antonio, Texas, represented herein by President Thomas R. Semmes ("Donor Representative") has contributed \$200,000 and pledges to contribute an additional \$250,000 to The Board of Trustees of The University of Alabama ("Board") to honor Hazel Phelps Jones for her academic achievements and lifetime of dedication to the University and promote the education of students in the College of Arts and Sciences at The University of Alabama through the creation of the Hazel Phelps Jones Award of Merit ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide awards for senior students enrolled in the College of Arts and Sciences. The award shall be presented each year to an outstanding senior majoring in the College of Arts and Sciences who has distinguished themselves by their academic accomplishments, exceptional scholarly engagement, and outstanding service to others. The student shall be selected at the discretion of the Dean of the College of Arts and Sciences. The Donor Representative requests that fund earnings provide at least a \$10,000 cash award annually to the recipient, subject to change by the award committee to adjust for inflation, and to fund the engraving of a plaque to display a list of the recipients in an appropriate location which will be updated annually by the College of Arts and Sciences.

Administrative Provisions

The selection of the award recipient(s) shall be the responsibility of the College of Arts and Sciences. Awards from this fund shall be made in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University.

giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter

of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

 4/10/25
Julie Shelton Date
Associate Vice President for Finance
The University of Alabama


Thomas R. Semmes Date
President, Semmes Foundation, Inc.
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Kirkland Family Bluegrass Endowed Business Scholarship

Introduction

Drew S. Kirkland and John S. Kirkland of Owensboro, Kentucky, ("Donor Representative") pledge to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students at The University of Alabama through the creation of the Kirkland Family Bluegrass Endowed Business Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to students who are enrolled in the Culverhouse College of Business and graduated from a high school within the state of Kentucky.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

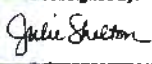
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

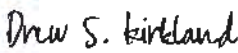
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

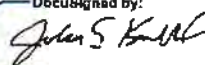
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

 Julie Shelton
 Associate Vice President for Finance
 The University of Alabama

Feb-17-2025
 Date

Signed by:

 Drew S. Kirkland
 Donor Representative

Feb-17-2025
 Date

DocuSigned by:

 John S. Kirkland
 Donor Representative

Feb-20-2025
 Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Lecil Norman Smith and Susan Elise Smith Kraft Endowed Scholarship

Introduction

Susan E. Kraft and Randy F. Kraft of Cullman, Alabama, ("Donor Representative") have contributed \$5,000 toward a pledge of \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the College of Education at The University of Alabama through the creation of the Lecil Norman Smith and Susan Elise Smith Kraft Endowed Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: First priority of consideration shall be given to students who graduated from a Cullman City or Cullman County high school in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. Second priority of consideration shall be given to students who graduated from a high school in Winston or Blount counties in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. Third priority of consideration shall be given to students who graduated from any high school in Alabama, are enrolled in the College of Education and demonstrate financial need, although not necessarily need as defined by federal guidelines. The Donor Representative desires that applicants be well-rounded as demonstrated by their involvement in on- and off-campus extracurricular activities, and provide recommendations from teachers, school and community leaders, and/or past employers. The Donor Representative also desires if no qualifying application is received during an academic year, the scholarship is to be awarded at the discretion of the Dean.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the College of Education. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings

from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction

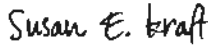
and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.

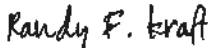
DocuSigned by:

E4688BF4063F418
Julie Shelton
Associate Vice President for Finance
The University of Alabama

May-28-2021
Date

DocuSigned by:

6259EA03A91B465...
Susan E. Kraft
Donor Representative

May-28-2021
Date

DocuSigned by:

8AB5195604114EC...
Randy F. Kraft
Donor Representative

May-28-2021
Date



THE UNIVERSITY OF ALABAMA
REVISED MEMORANDUM OF AGREEMENT
The Christine C. Lassiter Endowed Accounting Scholarship

Introduction

A memorandum of agreement for the Christine C. Lassiter Endowed Support Fund for C&BA Mentoring Programs was executed February 9, 2012, between The University of Alabama and Christine C. Lassiter, who pledged \$10,000 to The Board of Trustees of The University of Alabama to promote the education of students in the Culverhouse College of Business at The University of Alabama.

Christine C. Lassiter now requests that the 2012 memorandum of agreement be rescinded and that a new memorandum of agreement be executed to revise the name and purpose of the fund.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Christine C. Lassiter of Atlanta, Georgia, ("Donor Representative") has contributed \$10,390.81, including matching gifts and earnings, and intends to direct \$25,000 from a donor advised fund to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Culverhouse College of Business at The University of Alabama through the creation of the Christine C. Lassiter Endowed Accounting Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students in the Culverhouse College of Business at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to students who are enrolled in the Culverhouse School of Accountancy in the Culverhouse College of Business and are majoring in accounting.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse School of Accountancy in the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund.

expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

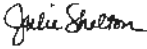
The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

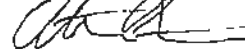
1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter.

of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.

DocuSigned by:

F4C9D6FA98BF418
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Apr-11-2023
Date

DocuSigned by:

C144417A10C9140
Christine C. Lassiter
Donor Representative

Apr-15-2023
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP REVISED MEMORANDUM OF AGREEMENT
The James E. and Gail P. Moon Endowed Scholarship

Introduction

A memorandum of agreement for the Dr. James E. Moon Endowed Support Fund for Accountancy was executed October 31, 2018, between The University of Alabama and Dr. James E. Moon and Mrs. Gail P. Moon, who intended to contribute a testamentary gift with an estimated value of \$200,000 to The Board of Trustees of The University of Alabama to promote the education of students in the Culverhouse College of Business at The University of Alabama.

A memorandum of agreement for the Gail Prince Moon Endowed Support Fund in Education was executed November 6, 2018, between The University of Alabama and Dr. James E. Moon and Mrs. Gail P. Moon, who intended to contribute a testamentary gift with an estimated value of \$65,000 to The Board of Trustees of The University of Alabama to promote the education of students in the College of Education at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to revise the name and purpose of the fund and to combine the two funds.

As a result of these changes, the revised memorandum of agreement shall now read as follows: Dr. James E. Moon and Mrs. Gail P. Moon of Tuscaloosa, Alabama, ("Donor Representative") have contributed \$49,382.84 and intend to contribute a testamentary gift with an estimated value of \$265,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students enrolled in the Culverhouse College of Business or the College of Education at The University of Alabama through the creation of the James E. and Gail P. Moon Endowed Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to non-traditional students who maintain employment while enrolled in the Culverhouse College of Business or the College of Education, who are either majoring in accounting or pursuing a graduate degree, and who possesses a 3.0 or higher grade point average on a 4.0 scale.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Office of Admissions. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted or amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with 789

Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before

the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

Julie Shelton 12/13/24

Julie Shelton
Associate Vice President for Finance
The University of Alabama

James E. Moon 12/13/2024

Dr. James E. Moon
Donor Representative

Date

Gail P. Moon 12/13/2024

Gail P. Moon
Donor Representative

Date



**THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The Nicrosi Family Endowed Million Dollar Band Support Fund**

Introduction

Ms. Michel Nicrosi and Mr. Benjamin H. Harris III of Mobile, Alabama, ("Donor Representative") pledge to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students at The University of Alabama through the creation of the Nicrosi Family Endowed Million Dollar Band Support Fund ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide discretionary support for The University of Alabama Million Dollar Band through tangible items such as uniforms, instruments and travel experiences.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the Director of the Million Dollar Band in the College of Arts and Sciences in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University shall make reasonable efforts to consult with the Donor Representative regarding an appropriate alternate use and designation for the Named Fund that aligns with the Donor Representative's wishes and the University's needs. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

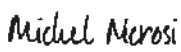
1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. **Immunity** – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States

and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.

8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

E48898F4588F41B
Julie Shelton
Feb-26-2025
Date
Associate Vice President for Finance
The University of Alabama

Signed by:

CC116730871C439
Ms. Michel Nicrosi
Feb-26-2025
Date
Donor Representative

Signed by:

CE8973D3F85248D
Mr. Benjamin H. Harris III
Feb-26-2025
Date
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED AWARD FUND MEMORANDUM OF AGREEMENT
The Prevost Endowed Positive Impact Nursing Award

Introduction

Members of the Capstone College of Nursing Board of Visitors, represented herein by the Chair of the Board ("Donor Representative"), intend to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Capstone College of Nursing at The University of Alabama through the creation of the Prevost Endowed Positive Impact Nursing Award ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for an award fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide two awards at the end of each academic year; one to a faculty member and one to a staff member at the Capstone College of Nursing who have worked to create a positive work environment through joyfulness, gratitude and helpfulness to coworkers and/or students.

Administrative Provisions

The selection of the award recipient(s) shall be the responsibility of the Capstone College of Nursing. Awards from this fund shall be made in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or

transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any action in connection with the fund or in amending this agreement. The Donor Representative names Dr. Suzanne S. Prevost, 4562 Bluegrass Pkwy, Tuscaloosa, Alabama, as Alternate Donor Representative at the time of her retirement as Dean of the Capstone College of Nursing, to be his/her agent and for all purposes to act in his/her place in connection with this agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

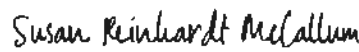
1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind

its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.

7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.

DocuSigned by:

Mar-31-2023
Julie Shelton Date
Associate Vice President for Finance
The University of Alabama

DocuSigned by:

Apr-02-2023
Susan McCallum, Acting Chair Date
Capstone College of Nursing Board of Visitors
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Ashley C. Regner "Change the World" Endowed Business Scholarship

Introduction

Ashley C. Regner of Charlotte, North Carolina, ("Donor Representative") has contributed \$31,574.84, including earnings, toward a total of \$50,000 in outright gifts, donor advised funds and matching gifts and also intends to contribute a testamentary gift with an estimated value of \$50,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Culverhouse College of Business at The University of Alabama through the creation of the Ashley C. Regner "Change the World" Endowed Business Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room and board.

The scholarship shall be awarded based on the following criteria: Priority consideration shall be given to junior and senior students with a declared major in Accounting, MIS, and/or Data Analytics in the Culverhouse College of Business. These students shall be recommended by a faculty member within the College. The recipient must complete a short essay on how they plan to "change the world" for the scholarship committee to review prior to awarding.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

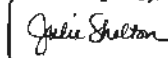
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

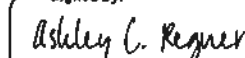
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

Julie Shelton
Associate Vice President for Finance
The University of Alabama

Mar-04-2025
Date

Signed by:

Ashley C. Regner
Donor Representative

Mar-07-2025
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The Anne W. Rhone OLLI Endowed Support Fund

Introduction

Dr. Richard W. Rhone of Tuscaloosa, Alabama, ("Donor Representative") pledges to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to honor the memory of his wife and to support the Osher LifeLong Learning Institute (OLLI) in the Office of Teaching Innovation and Digital Learning (OTIDE) at The University of Alabama through the creation of the Anne W. Rhone OLLI Endowed Support Fund ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide key discretionary support for the OLLI Program in OTIDE at the University. The support fund shall be used for a variety of purposes in support of the program's mission.

Administrative Provisions

Expenditure of these funds shall be the responsibility of OTIDE in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

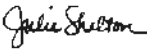
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.

7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

Docusigned by:

E48098FA66BF419
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Sep-12-2024
Date

Signed by:

5E43044ATAEC84EB
Dr. Richard W. Rhone
Donor Representative

Sep-12-2024
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP MEMORANDUM OF AGREEMENT
The Rodda Family Jeremiah 29:11 Endowed Business Scholarship

Introduction

Daniel R. Rodda ("Donor Representative") and Angela M. Rodda of Dunwoody, Georgia, intend to direct \$110,000 via a donor advised fund to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the Culverhouse College of Business at The University of Alabama through the creation of the Rodda Family Jeremiah 29:11 Endowed Business Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a scholarship. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to students who are enrolled in the Culverhouse College of Business and participate in the Alabama REACH program.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the Culverhouse College of Business. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

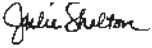
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.

6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

F469B8F7A38BF419
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Mar-31-2025
Date

Signed by:

3A7A8472E832432
Daniel R. Rodda
Donor Representative

Apr-01-2025
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The Matthew Satcher Endowed Religious Studies Support Fund

Introduction

Dr. Matthew J. Satcher of Happy Valley, Oregon, ("Donor Representative") pledges to contribute \$25,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the College of Arts and Sciences at The University of Alabama through the creation of the Matthew Satcher Endowed Religious Studies Support Fund ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide support for the Department of Religious Studies in the College of Arts and Sciences at the University. The support fund shall be used for a variety of purposes in support of the program's mission.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the Department of Religious Studies in the College of Arts and Sciences in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

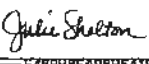
The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

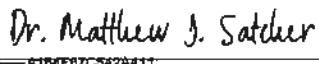
1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. **Immunity** – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States

and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.

8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

E458B8FA8B8F419
Julie Shelton
Associate Vice President for Finance
The University of Alabama

Mar-06-2025
Date

Signed by:

8164F87C542A411
Dr. Matthew J. Satcher
Donor Representative

Mar-06-2025
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SCHOLARSHIP REVISED MEMORANDUM OF AGREEMENT
The Cynthia Szymanski Sunal Endowed Elementary Education Scholarship

Introduction

A memorandum of agreement for the Cynthia Szymanski Sunal Endowed Education Scholarship was executed June 25, 2024, between The University of Alabama and Dr. Cynthia S. Sunal and Dr. Dennis W. Sunal, who pledged \$100,000 to The Board of Trustees of The University of Alabama to promote the education of students in the College of Education at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to revise the name of the fund.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Dr. Cynthia S. Sunal of Tuscaloosa, Alabama, ("Donor Representative") has contributed \$25,000 toward a pledge of \$100,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the College of Education at The University of Alabama through the creation of the Cynthia Szymanski Sunal Endowed Elementary Education Scholarship ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide scholarships for students at the University. Scholarship awards may be used to assist one or more students with expenses related to attending the University, including, but not limited to, tuition, books, miscellaneous supplies, room, and board.

The scholarship shall be awarded based on the following criteria: Priority of consideration shall be given to junior or senior undergraduate students who are enrolled in the College of Education, graduated from a high school in Alabama, have completed the teacher education program entrance application requirements, are majoring in early childhood education or elementary education within the curriculum and instruction program and have maintained at least a 3.25 grade point average or better on a 4.0 scale. Renewal of the scholarship requires acceptance into the Teacher Education Program. No student should receive this scholarship more than two years.

Administrative Provisions

The selection of the scholarship recipient(s) shall be the responsibility of the College of Education. Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted or amended from time to time, subject to the approval of the President of the University, and coordinated with the Office of Admissions or such University office designated by the President for coordination of such awards. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings

from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any action in connection with the fund or in amending this agreement. The Donor Representative names Dr. Dennis W. Sunal, 1126 Newport Dr, Tuscaloosa, Alabama, 35406-2601, as Alternate Donor Representative to be his/her agent and for all purposes to act in his/her place in connection with this agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

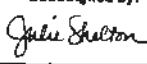
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

Julie Shelton
Associate Vice President for Finance
The University of Alabama

Mar-03-2025
Date

Signed by:

Dr. Cynthia S. Sunal
Donor Representative

Mar-05-2025
Date



**THE CAPSTONE FOUNDATION OF THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND REVISED MEMORANDUM OF AGREEMENT
The Wes C. Tallon Tide Together Endowed Capstone Student Support Fund**

Introduction

A memorandum of agreement for the Wes C. Tallon Tide Together Endowed Student Support Fund was executed October 14, 2022, between The University of Alabama and Wes C. Tallon, who intends to contribute a testamentary gift with an estimated value of \$250,000 to The Board of Trustees of The University of Alabama to promote the education of students at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to change the fund to a Capstone Foundation fund and to endow it prior to receiving the testamentary gift.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Wes C. Tallon of Montgomery, Alabama ("Donor Representative"), intends to contribute \$25,000 to the Capstone Foundation ("Foundation") to promote the education of students at The University of Alabama ("University") through the creation of the Wes C. Tallon Tide Together Endowed Capstone Student Support Fund ("Named Fund"). The Donor Representative also intends to contribute a testamentary gift with an estimated value of \$244,850. Any outright donations given to the Named Fund will reduce the balance of the testamentary gift. The purpose of this document ("Agreement") is to summarize the mutual understanding between the Foundation and the Donor Representative related to the creation and administration of this fund. The Foundation represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 23-7337238).

Fund

The Named Fund, a permanent endowment, shall be officially established by the Foundation once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the Foundation in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide support for the Office of Student Care and Well-Being at the University. The support fund shall be used for a variety of purposes in support of the program's mission, including, but not limited to, supporting students in need and/or crisis at the University. The fund may also be used to provide support for parents or family members of University students who have been hospitalized. Uses for this fund may include, but not be limited to, purchases of food, personal hygiene items, lodging, transportation, and gift cards for gas, groceries, or other essentials.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the Office of Student Care and Well-Being in the Division of Student Life in accordance with University and Foundation policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the Foundation may use the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the original intent of the Named Fund expressed above by the Donor Representative. The Board of Trustees of The University of Alabama may terminate the naming rights provided in this Agreement in

accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the Foundation and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative names Gordon T. Carter of 8661 Rockbridge Cir, Montgomery, AL 36116-8809 as Alternate Donor Representative to be his agent and for all purposes to act in his place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for

the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. **Immunity** – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. **Voluntary** – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. **Non-discrimination** – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

Signed by: Dr. Dan Layzell Apr-01-2025
75E10043A00000
Dr. Dan Layzell Date
Vice President for Finance and Operations
The University of Alabama

Signed by: Wes C. Tallon Apr-02-2025
Wes C. Tallon Date
Donor Representative



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND MEMORANDUM OF AGREEMENT
The UA Student Life Food Pantry Endowed Support Fund

Introduction

Friends of the Division of Student Life, represented herein by the Vice President of the Division (“Donor Representative”), intend to contribute ongoing gifts to The Board of Trustees of The University of Alabama (“Board”) to promote the education of students at The University of Alabama through the creation of the UA Student Life Food Pantry Endowed Support Fund (“Named Fund”). The purpose of this document (“Agreement”) is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively “the University”), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially established by a resolution of the Board once contributions to the fund reach the \$25,000 minimum endowment level for a support fund. Once endowed, the initial gift and any future contributions to this fund shall constitute an endowed corpus, which will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide support for the student food pantry operated by the Office of Student Care and Well-Being at the University.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the Office of Student Care and Well-Being in the Division of Student Life in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. The Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University may use the endowment earnings from the Named Fund as it may deem prudent to further the objectives and purposes of the University, giving due consideration to the retention of the fund name and the original intent of the Named Fund expressed above by the Donor Representative. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund.

Funding Period

If the endowment is not fully funded at the time of the execution of this Agreement and the funding does not reach the minimum endowment level within five years of inception, the University reserves the right to convert the Named Fund to a current use fund with a purpose consistent with this Agreement or transfer the gifts to an endowment fund with a similar purpose if consistent with the policies of the University and with the approval of the President of the University.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund’s expenditure criteria or in amending this Agreement.

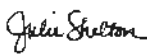
Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

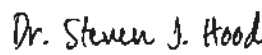
Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.
5. **Entire Agreement** – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. **Authority to Contract** – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. **Immunity** – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. **Voluntary** – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.

9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

Julie Shelton
Associate Vice President for Finance
The University of Alabama

Apr-21-2025
Date

Signed by:

Dr. Steven Hood, Vice President
Division of Student Life
The University of Alabama
Donor Representative

Apr-23-2025
Date



THE UNIVERSITY OF ALABAMA
ENDOWED SUPPORT FUND REVISED MEMORANDUM OF AGREEMENT
The Windgate Charitable Foundation Endowed Book Arts Support Fund

Introduction

A memorandum of agreement for the Windgate Charitable Foundation Endowed Book Arts Scholarship was executed June 28, 2005, between The University of Alabama and Windgate Charitable Foundation, Inc., who pledged \$1,000,000 to The Board of Trustees of The University of Alabama to promote the education of students in the School of Library and Information Studies at The University of Alabama.

The previous memorandum of agreement shall now be rescinded, and a new memorandum of agreement executed to revise the name and purpose of the fund.

As a result of these changes, the revised memorandum of agreement shall now read as follows:

Windgate Charitable Foundation, Inc. of Little Rock, Arkansas, represented herein by Executive Director Patricia M. Forgy ("Donor Representative"), has contributed \$1,000,000 to The Board of Trustees of The University of Alabama ("Board") to promote the education of students in the School of Library and Information Studies in the College of Communication and Information Sciences at The University of Alabama through the creation of the Windgate Charitable Foundation Endowed Book Arts Support Fund ("Named Fund"). The purpose of this document ("Agreement") is to summarize the mutual understanding between the Board, by and through The University of Alabama (collectively "the University"), and the Donor Representative related to the creation and administration of this fund. The University represents that it is qualified as a charitable organization and a 501(c)(3) non-profit public benefit organization (Federal Tax ID# 63-6001138).

Fund

The Named Fund, a permanent endowment, shall be officially revised by a resolution of the Board. The endowment, as revised by the Board, will be held, invested, maintained, and administered by the University in perpetuity according to its policies and procedures adopted and amended from time to time for endowed funds.

Purpose

The endowment earnings shall be used to provide key discretionary student support for the Book Arts Programs in the School of Library and Information Studies at the University. The support fund shall be used for scholarships, which shall be a priority, and for other purposes in support of students enrolled in the Book Arts Programs.

If this fund is used to award scholarships, priority of consideration shall be given to students who are enrolled in the Book Arts Programs in the School of Library and Information Studies. Financial need shall also be considered, although not necessarily need as defined by the federal guidelines. Scholarship recipients shall be called Windgate Fellows.

Administrative Provisions

Expenditure of these funds shall be the responsibility of the School of Library and Information Studies in the College of Communication and Information Sciences in accordance with University policies and procedures adopted and amended from time to time, subject to the approval of the President of the University. Consistent with charitable deduction requirements, the Donor Representative does not wish to retain any dominion or control over the Named Fund. Accordingly, the Donor Representative directs that the University will be solely responsible for determining the proper use of the Named Fund as outlined herein.

Scholarships from this fund shall be awarded in accordance with University policies and procedures adopted from time to time, subject to the approval of the President of the University, and coordinated

with the Office of Admissions or such University office designated by the President for coordination of such awards.

If the program ceases to exist and renders the designated purpose of the Named Fund no longer feasible, reasonably achievable or beneficial to the University, then the University shall make reasonable efforts to consult with the Donor Representative regarding an appropriate alternate use and designation for the Named Fund that aligns with the Donor Representative's wishes and the University's needs. The University may terminate the naming rights provided in this Agreement in accordance with applicable Board Rules in place at the time of any such action. All assets in the Named Fund, however, will remain the property of the University and will be used to carry on the purposes set forth herein.

Persons or entities desiring to contribute to the Named Fund shall be free to do so provided they accept the conditions governing this fund. Reports on the performance of the Named Fund and use of the earnings shall be provided annually to the Donor Representative.

Donor Representative

The Donor Representative shall represent all donors to this fund in determining any revisions to the Named Fund's expenditure criteria or in amending this Agreement. The Donor Representative may name an Alternate Donor Representative to be their agent and for all purposes to act in their place in connection with this Agreement if the Donor Representative becomes unable or unwilling to act. The Donor Representative may also name successive replacements by written notice to the University. This right carries forward to any successive Donor Representative.

Endowment

The endowment will be invested in The University of Alabama System Pooled Endowment Fund. This fund is invested utilizing the expertise of a number of external fund managers and is administered and reviewed by the investment committee of the Board. Spending of earnings from the endowment is set by the authority of the Board.

Additional Provisions

1. **Applicable Law** – This Agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.
2. **Waiver of Breach** – Failure of any party to require the performance of any term in this Agreement, or the waiver by any party of any breach of this Agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach.
3. **Severability** – If any portion of this Agreement is declared invalid or unenforceable for any reason, such portion is deemed severable, and the remainder of this Agreement will remain valid and enforceable unless such invalidity or unenforceability tends to substantially deprive any party of the benefits to be provided to it by this Agreement, in which case the deprived party will have the option of enforcing or terminating the Agreement. If any provision of this Agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the Agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Agreement.
4. **Disputes** – Should a dispute arise from this Agreement that cannot be resolved informally, the parties agree that they will first make a good faith effort to resolve the dispute through mediation with a mutually acceptable mediator. If mediation fails, exclusive jurisdiction and venue of any claims that are not barred by immunity, nor required to be filed before the Alabama State Board of Adjustment, shall lie in the United States District Court for

the Northern District of Alabama, Western Division (Tuscaloosa), or the Circuit Court of Tuscaloosa County, Alabama.

5. Entire Agreement – This Agreement, including all appendices, constitutes the entire agreement and understanding between the parties, and supersedes any prior agreements, negotiations, or understanding between or among the parties relating to the subject matter of this Agreement. This Agreement may not be amended or changed in any way, except by a written instrument signed by each of the parties.
6. Authority to Contract – Each party warrants and represents that the person signing this Agreement has full power and actual authority to enter into this Agreement and to bind its respective directors, officers, agents, employees, representatives, subsidiaries, affiliates, assigns, related companies, and successors to the Agreement.
7. Immunity – Nothing contained herein shall be construed as a waiver of any immunities to which the University is entitled by the constitution, laws, and statutes of the United States and the State of Alabama, including, without limitation, the immunities contained within Article 1, section 14, of the Constitution of Alabama.
8. Voluntary – This Agreement is signed voluntarily and without any duress or undue influence on the parties or their officers, employees, agents or attorneys, and no party is relying on any inducements, promises, or representations made by any other party or any of its officers, employees, agents or attorneys other than as set forth in this Agreement.
9. Non-discrimination – Notwithstanding anything herein to the contrary, in no circumstance will an award be made based on illegal criteria including, but not limited to, race, gender or national origin. Consideration is given to all highly qualified students in an inclusive and broad spectrum of characteristics and categories, thereby improving the quality and variety of the University's educational experience and product. Recipients shall be selected in accordance with the procedures developed in compliance with Title IX of the Education Amendments of 1972 and the regulations promulgated thereunder.

DocuSigned by:

Feb-24-2025
Julie Shelton Date
Associate Vice President for Finance
The University of Alabama

Signed by:

Feb-24-2025
Patricia M. Forgy Date
Executive Director
Windgate Charitable Foundation, Inc.
Donor Representative

UAB The University of Alabama at Birmingham.

Consideration of Resolution Approving Endowed Funds and Revisions to Endowed Funds
June 5th- 6th, 2025 Board Meeting

Donor(s) or Donor Representative	Fund Name	Purpose	Corpus
The Howlin' Mad Smith Detachment of the Marine Corps League	Howlin' Mad Smith Detachment of the Marine Corps Endowed Award	This endowed award will be used to support students enrolled in a degree-granting program at UAB who are current or former members of the United States Marine Corps or current or former FMF Corporals. Eligible applicants should demonstrate solid academic promise, evidenced by a minimum 2.5 GPA, and a strong record of leadership. The award is merit-based, recognizing students who show exceptional potential to serve as an inspiration to their peers and the broader community.	\$10,115
Linda Eldridge	Eldridge Travel Abroad Endowed Scholarship in UAB Honors College	This endowed scholarship supports UAB Honors College students participating in a study abroad experience of at least one month. Eligible applicants must have a minimum 3.0 GPA and demonstrate academic promise. The scholarship is merit-based and encourages global engagement.	\$25,000
Marjorie S. Price	Marjorie Price Endowed Scholarship for Philosophy	This endowed scholarship will be used to support students enrolled in a degree-granting program in the Department of Philosophy within the College of Arts and Sciences. Eligible applicants must have a minimum 3.0 GPA and demonstrate academic promise. Preference is given to students with financial need who might otherwise be unable to attend UAB.	\$100,000
Mr. Clarence B. Blair, Cassandra Cybele Reaver, Ph.D., along with various friends and family members.	*PREVIOUS: Clancy Blair, Ph.D. Endowed Scholarship in Developmental Psychology (Approved 6/9/2023)	This endowed eminent scholarship will continue to be used to support Ph.D. students who engage in research, service, and advocacy in the Department of Psychology within the College of Arts and Sciences.	\$134,461
	*PROPOSED: Clancy Blair, Ph.D. Endowed Eminent Scholarship in Developmental Psychology		
Various friends and colleagues of Dr. Mary E. Guy	*PREVIOUS: Dr. Mary E. Guy Endowed Award (Approved 4/9/2021) *PROPOSED: Dr. Mary E. Guy Endowed Scholarship	This endowed scholarship will be used to assist deserving students who are enrolled in the Master of Public Administration programs in the College of Arts and Sciences.	\$34,600
O'Neal Steel, Inc.	O'Neal Steel Endowed Scholarship for Transfer Students	This endowed scholarship will be used to support students enrolled in or admitted to a degree-granting program in the Collat School of Business at the University. Eligible applicants must have a minimum 3.0 GPA and demonstrate academic promise and achievement. Preference is given to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University.	\$32,000
Phyllis and David Hall	Hall Family Endowed Scholarship in Accounting	This endowed scholarship will be used to support students enrolled in or admitted to a degree-granting program in the Collat School of Business at the University. Eligible applicants must have a minimum 3.0 GPA and demonstrate strong academic promise and achievement. Preference is given to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University, with consideration also given to academic merit.	\$25,000
Kathy and Stephen Peoples	Johnnie Hough Beam Endowed Memorial Scholarship in Entrepreneurship	This endowed scholarship will be used to support students majoring in Entrepreneurship within the Collat School of Business at the University. Eligible applicants must have a minimum 3.0 GPA and demonstrate strong academic promise and achievement. Preference is given to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University, with additional consideration given to academic merit.	\$100,000
Nancy and Michael Borkowski	Borkowski Family Endowed Support Fund in Healthcare Quality and Safety and the Borkowski Family Endowed Support Fund in Healthcare Quality and Safety Quasi-Endowment	This endowed support fund will be used to support the Healthcare Quality and Safety program within the School of Health Professions at the University. The fund will be used to assist HQS students and alumni in submitting findings from HQS projects for publication in peer-review journals and presenting at international, national, or regional meetings.	\$10,000 The University wishes to add philanthropic funds of \$10,000 from the Strategic Initiative Fund for the establishment of a quasi-endowment titled the Borkowski Family Endowed Support Fund in Healthcare Quality and Safety.

Anonymous	Elsie Jerstad Endowed Optometry Scholarship and the Elsie Jerstad Endowed Optometry Scholarship Quasi-Endowment	This endowed scholarship will be used to support students enrolled in or admitted to a degree-granting program in the School of Optometry at the University. Eligible applicants must have a minimum 3.0 GPA and demonstrate strong academic promise and achievement. Preference is given to students who have served or plan to serve in the United States Military.	Pure endowment: \$15,500. The University wishes to add philanthropic funds of \$12,500 from the Strategic Initiative Fund to the quasi-endowment titled the Elsie Jerstad Endowed Optometry Scholarship, approved by the Board of Trustees on September 17th, 2021.
Janyce M. Sanford, M.D., M.B.A.	Janyce M. Sanford, M.D. Endowed Support Fund in the Department of Emergency Medicine	This endowed support fund will be used to support mission of the Department of Emergency Medicine within the Marnix E. Heersink School of Medicine, including the residency program, fellowships, and medical student clerkship.	\$50,000
William K. Wooten and Avook D. Wooten	William Todd Wooten Endowed Research Fund for Leukemia and Lymphoma	This endowed research fund will support clinical, basic, and translational research related to leukemia and lymphoma conducted by physician-researchers and scientists in the UAS Comprehensive Cancer Center. The fund may be used to advance understanding and pursue cures through activities such as funding personnel, supplies, equipment, collaborative initiatives, educational opportunities for residents and fellows, and the dissemination of research findings and needs.	\$339,322.37
Ethel L. Rogers and Dr. James J. Hicks	Susan Norton Hicks Memorial Fund Quasi-Endowment	This endowed support fund is used to support the robust residency education and training program by providing invaluable resources for conference attendance, essential equipment for residency training, training workshops and lectures.	The Department of Otolaryngology within the Marnix E. Heersink School of Medicine wishes to use \$84,835.95 for the establishment of a quasi-endowment related to the existing pure endowment titled the Susan Norton Hicks Memorial Fund. The corpus of the pure endowment is \$84,835.95 as of 2/28/2023.
Drs. Erika and Corey Hartman	Erika Bridgefarth Hartman, D.D.S. and Corey Louis Hartman, M.D. Endowed Faculty Scholar in Resident Education	This endowed faculty scholar fund will support the research, scholarly, and/or clinical efforts of a distinguished faculty member in the Department of Dermatology within the Marnix E. Heersink School of Medicine.	\$109,680.90

MEMORANDUM

TO: Joyner Crane, MBA
Assistant Director of Gift Administration and
Data

FROM: Kimberly Burnett
Director of Gift and Records Administration

DATE: April 10, 2025

SUBJECT: Board Resolution

Resolution Title: Consideration of Resolution Approving Endowed Funds and Revisions to
Endowed Funds.

Funds Received:

Fund Name	Amount
Howlin' Mad Smith Detachment of the Marine Corps Endowed Award	\$10,115
Eldridge Travel Abroad Endowed Scholarship in UAB Honors College	\$25,000
Marjorie Price Endowed Scholarship for Philosophy	\$100,000
Clancy Blair, Ph.D. Endowed Eminent Scholarship in Developmental Psychology	\$134,461
Dr. Mary E. Guy Endowed Scholarship	\$34,600
O'Neal Steel Endowed Scholarship for Transfer Students.	\$36,000
Hall Family Endowed Scholarship in Accounting	\$25,000
Johnnie Hough Beam Endowed Memorial Scholarship in Entrepreneurship	\$100,000
Borkowski Family Endowed Support Fund in Healthcare Quality and Safety	\$19,000
Elsie Jerstad Endowed Optometry Scholarship	\$15,500
Janyce M. Sanford, M.D. Endowed Support Fund in the Department of Emergency Medicine	\$50,000
William Todd Wooten Endowed Research Fund for Leukemia and Lymphoma	\$339,332.37
Erika Bridgeforth Hartman, D.D.S. and Corey Louis Hartman, M.D. Endowed Faculty Scholar in Resident Education	\$109,680.90

Thank you for your help.

Kimberly Marie Burnett
Kimberly Marie Burnett (Apr 16, 2025 11:25 CDT)

Kimberly Burnett

GIFT AGREEMENT

**The Howlin' Mad Smith Detachment of the Marine Corps League Endowed Award
at
The University of Alabama at Birmingham**

The following sets forth the agreement between The Howlin' Mad Smith Detachment of the Marine Corps League (the "Donor") and The Board of Trustees of The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donor, in consideration of their abiding interest in the University, hereby pledges to the University the amount of \$10,000 which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donor's gift will be used to establish an endowed award at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment The Howlin' Mad Smith Detachment of the Marine Corps League Endowed Award (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The University and the Donor agree to redirect \$7,915 in gifts from the Howlin' Mad Smith Detachment of the Marine Corps League Scholarship to the Fund. The Donor will make a subsequent gift of \$2,085 on or before August 1, 2025. Any and all payments may be prepaid. Due to the significant tax issues associated with the fulfillment of personal pledges, the University may not accept payments from certain sources to fulfill personal pledges.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

The Howlin' Mad Smith Detachment of the Marine Corps League

- ☐ I/We wish no publicity with respect to this gift.

- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donor with periodic reports related to the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program at the University and be current or former members of the United States Marine Corps or a current or former FMF Corpsman. The selected recipient will be a demonstrated leader with excellent promise to serve as an inspiration to the community and other students.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 2.5 grade point average in coursework completed prior to the time of application.
- c. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and the selection of recipients will be made by a selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the recipients.

- d. The award is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- e. The annual award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the selection committee. Any funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- f. The number and amount of awards to be granted from the Fund shall be left to the discretion of the selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- g. Recipients of the award are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

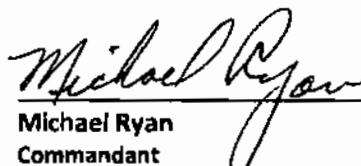
11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with

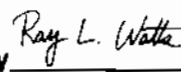
applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 28th day of February, 2025.

**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**



Michael Ryan
Commandant
The Howlin' Mad Smith Detachment of the
Marine Corps League
B00884480

By 

Ray L. Watts, M.D.
President



Tom Brannan
Senior Vice President for Advancement
and Strategic Initiatives

GIFT AGREEMENT
Eldridge Study Abroad Endowed Scholarship in UAB Honors College
at
The University of Alabama at Birmingham

The following sets forth the agreement between Linda Eldridge (the "Donor") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donor, in consideration of her abiding interest in the University, hereby pledges to the University the amount of \$30,000, which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donor's gift will be used to establish an endowed scholarship in the UAB Honors College at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment the Eldridge Travel Abroad Endowed Scholarship in UAB Honors College, subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donor made an initial payment of \$10,000 on November 21, 2024. The Donor agrees to make subsequent gifts of \$10,000 each year from 2025 to 2026, for a total gift of \$30,000. Any and all payments may be prepaid. Due to the significant tax issues associated with the fulfillment of personal pledges, the University may not accept payments from certain sources to fulfill personal pledges. If, at the time of the Donor's death, any amounts remain outstanding on the pledge, it is the Donor's intention that the outstanding amount becomes a debt of her estate and that her personal representatives pay such debt to the University out of estate assets.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Sinda Eldridge

☐ I/We wish no publicity with respect to this gift.

☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donor with periodic reports related to the Fund. The following guidelines will be used when administering and making scholarships from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the UAB Honors College at the University and must use the scholarship to study abroad for a minimum of one month.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application. The awardee must remain a full-time student in good standing in the UAB Honors College for the academic year in which the scholarship is received and the study abroad occurs or return the scholarship funds.
- c. Applications for consideration for this scholarship should be submitted to the appropriate University office by the announced deadline, and the selection of recipients will be made by a selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the recipients.

- d. The scholarship is an annual scholarship. Recipients are eligible to apply for the scholarship in subsequent years as long as they continue to meet the requirements outlined herein.
- e. The annual scholarship will be applied toward the recipient's tuition and fees for their study abroad. The total scholarship will be applied toward the costs of the study abroad program during the term in which the program occurs, unless otherwise instructed by the selection committee. The recipient must properly submit a complete study abroad application by the deadline.
- f. The number and amount of scholarships to be granted from the Fund shall be left to the discretion of the selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- g. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

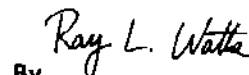
This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with

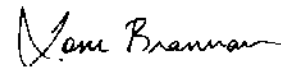
applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 16th day of January 2025.

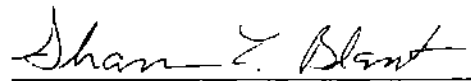
**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**


Linda Eldridge
161344


By _____
Ray L. Watts, M.D.
President



Tom Brannan
Senior Vice President for Advancement
and Strategic Initiatives



Shannon L. Blanton, Ph.D.
Dean
UAB Honors College

**GIFT AGREEMENT
Marjorie Price Scholarship in Philosophy
and the
Marjorie Price Endowed Scholarship for Philosophy
for the
College of Arts and Sciences
at
The University of Alabama at Birmingham**

The following sets forth the agreement between Jane Laudon (the "Donor") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donor, in consideration of her abiding interest in the University, hereby pledges to the University a gift of \$105,000, which will be used by the University for the purpose hereinafter set forth.

2. Purpose

A portion of the Donor's gift totaling \$5,000 will be used to establish a non-endowed scholarship in the in the Department of Philosophy within the College of Arts and Sciences at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this fund the Marjorie Price Scholarship in Philosophy (the "Fund A"), for the life of the Fund. The Donor requests that this gift be awarded to a deserving student recipient in the academic year of 2025-2026, per the guidelines of this agreement.

The remaining portion of the Donor's gift totaling \$100,000 will be used to establish an endowed scholarship in the Department of Philosophy within the College of Arts and Sciences at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment the Marjorie Price Endowed Scholarship for Philosophy (the "Fund B"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donor will make a gift of \$105,000 on or before December 31, 2024. A portion of the initial gift totaling \$5,000 will be deposited into the non-endowed scholarship account to award an immediate scholarship to a deserving recipient.

4. Alternative Use

If at some point in the future, the Funds cannot be used for the Purpose set forth above, the appropriate University executive will seek the advice of the Donor's representative in determining other possible designations for the Funds in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Marjorie S. Price

☐ I/We wish no publicity with respect to this gift.

☐ I/We wish for this gift to remain anonymous.

6. Administration

Fund A will be created when the gift is received and will be utilized for immediate awards to students. Additional gifts may be added to the Fund at any time in support of the Purpose. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the Philosophy Department at the University.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. Gregory Pence, Ph.D., shall serve as a committee member for the remainder of his tenure as faculty and/or staff at the University. The Donor acknowledges that the University will be solely responsible for the final selection of the scholarship recipients.

- e. The scholarship is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- f. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- g. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee. Awards are subject to the availability of funds.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

The University will manage Fund B in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund B will be created when the gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the Philosophy Department at the University.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. Gregory Pence, Ph.D., shall serve as a committee member for the remainder of his tenure as faculty and/or staff at the University. The Donor acknowledges that the University will be solely responsible for the final selection of the scholarship recipients.

- e. The scholarship is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- f. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- g. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify

The University will provide the Donor with periodic reports related to Funds A and B.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Funds, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Funds. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

9. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

10. Applicable Law

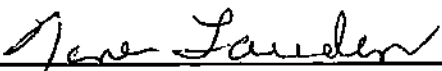
This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

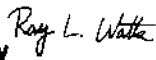
This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 30 day of November, 2024.

**The Board of Trustees of the University
of Alabama for the University of
Alabama at Birmingham**



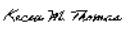
Jane Laudon

By 

Ray L. Watts, M.D.
President



Tom Brannan
Vice President for Advancement and
Strategic Initiatives



Kecia M. Thomas
Dean
College of Arts and Sciences

GIFT AGREEMENT

**Clancy Blair, Ph.D. Endowed Scholarship in Developmental Psychology
at
The University of Alabama at Birmingham**

The following sets forth the agreement between Clarence B. Blair, Jr., Ph.D. and Cassandra Cybele Raver, Ph.D. (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donors, in consideration of their abiding interest in the University, hereby pledge to the University the amount of \$31,000 which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish an endowed scholarship in the Department of Psychology within the College of Arts and Sciences at the University. (the "Purpose"). In honor of the Donors, in grateful recognition of the Donors' generosity, and in reliance upon this agreement, the University agrees to name this endowment the Clancy Blair, Ph.D. Endowed Scholarship in Developmental Psychology (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donors intend to give an initial amount of \$25,000 by recommending that distributions be made from any donor-advised fund, private foundation, or charitable lead trust established or to be established by the Donors or their family.

The Donors will also make a payment of \$1,000 on or before December 31, 2022, to support an initial scholarship for the 2023/24 academic year.

The Donors agree to make a subsequent gift of \$5,000 on or before December 31, 2023, for a total gift of \$31,000. Any and all payments may be prepaid. Due to the significant tax issues associated with the fulfillment of personal pledges, the University may not accept payments from certain sources to fulfill personal pledges. If, at the time of the Donors' death, any amounts remain outstanding on the pledge, it is the Donors' intention that the outstanding amount becomes a debt of his/her estate and that his/her personal representatives pay such debt to the University out of estate assets.

Additional gifts will be accepted by the University in furtherance of this endowment.

4. Alternative Use

If at some point in the future the Fund cannot be used for the Purpose set forth above, the appropriate University executive will seek the advice of the Donor, or Donors' representative, in determining other possible designations for the Fund in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donors' representative be unavailable in such a circumstance, the implementation of any such change shall be as close to original donor intent as possible and will be ratified by the President of the University and, if necessary, by action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donors agree to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☐ I/We wish to have my/our name listed as follows (please print clearly on the line below).

- ☐ I/We wish no publicity with respect to this gift.

- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donor with periodic reports related to the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be Developmental Psychology Ph.D. students who engage in research, service and advocacy in developmental psychology in the Department of Psychology in the College of Arts and Sciences.
- b. If there are no Developmental Ph.D. applicants, applicants from the other departmental Ph.D. programs may apply. Preference will be given to applicants with research and clinical focus on (1) poverty, (2) cognitive development, or (3) language.
- c. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- d. The Fund shall be awarded based on academic merit and may provide support for travel or research costs related to the completion of a dissertation.
- e. Applications for consideration should be submitted to the Director of the Developmental Psychology Ph.D. program by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the scholarship recipients.
- f. The scholarship is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- g. The annual award will be used to fund students' research or professional development including attending an approved academic conference.
- h. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- i. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donors' activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Final Decision on Funds

Consistent with charitable deduction requirements, the Donors do not wish to retain any dominion or control over the Fund. Accordingly, the Donors direct that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

9. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

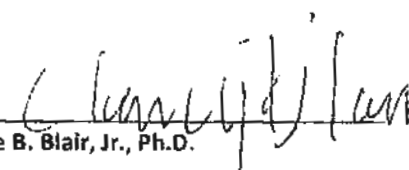
10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

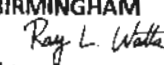
IN WITNESS WHEREOF, the Donors and representatives of the University have executed this agreement on this 11th day of December, 2022.


Clarence B. Blair, Jr., Ph.D.

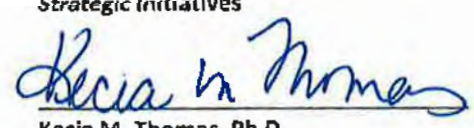
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Cassandra Cybele Raver, Ph.D.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM


By _____
Ray L. Watts, M.D.
President


Tom Brannan
Vice President for Advancement and
Strategic Initiatives


Kecia M. Thomas, Ph.D.
Dean
College of Arts and Sciences

SCHOLARSHIP GUIDELINES

**Dr. Mary E. Guy Endowed Scholarship
The University of Alabama at Birmingham**

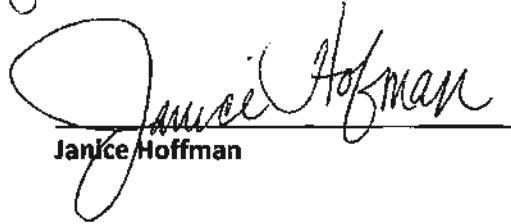
Friends and colleagues have made generous gifts for the purpose of creating a scholarship at The University of Alabama at Birmingham (UAB). Spendable earnings from the scholarship will be used to assist deserving students who are enrolled in the Master of Public Administration program at UAB. The following guidelines will be used in administering and making awards from the scholarship:

- a. Applicants must be currently enrolled in or admitted to the Master of Public Administration Program in the College of Arts and Sciences at UAB.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.5 grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to student on the basis of merit.
- d. Applicants must be second year MPA student.
- e. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. The University will be solely responsible for the final selection of the scholarship recipients.
- f. The scholarship is an annual award and is not renewable. Awards are subject to the availability of funds.
- g. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- h. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- i. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

Agreed to this 24 day of February, 2020.



Robert Palazzo, Ph.D.
Dean, College of Arts and Sciences
The University of Alabama at Birmingham



Janice Hoffman

GIFT AGREEMENT
O'Neal Steel Endowed Scholarship for Transfer Students
at
The University of Alabama at Birmingham

The following sets forth the agreement between O'Neal Steel, Inc. (the "Donor") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donor, in consideration of their abiding interest in the University, hereby redirects gifts to the University in the amount of \$36,000, which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donor's gift will be used to establish an endowed scholarship in the Collat School of Business at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment the O'Neal Steel Endowed Scholarship for Transfer Students (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The University and the Donor agree to redirect the remaining balance of the O'Neal Steel Scholarship, totaling \$36,000, to the new endowment account. Of this amount, \$32,000 will be added to the Fund's corpus, and \$4,000 will be allocated to its spendable portion for immediate use.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

O'Neal Steel, Inc.

☐ I/We wish no publicity with respect to this gift.

☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donor with periodic reports related to the Fund. The following guidelines will be used when administering and making scholarships from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the Collat School of Business at the University.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to students for whom affordability may be an obstacle and who might otherwise be unable to attend the University.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and the selection of recipients will be made by a selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the recipients.
- e. The scholarship is an annual scholarship. Recipients are eligible to apply for the scholarship in subsequent years as long as they continue to meet the requirements outlined herein.
- f. The annual scholarship will be applied toward the recipient's tuition and fees. The total scholarship will be applied in equal amounts over the regular terms of the

scholarship year unless otherwise instructed by the selection committee. Any funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.

- g. The number and amount of scholarships to be granted from the Fund shall be left to the discretion of the selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

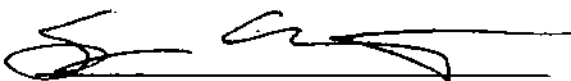
11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except

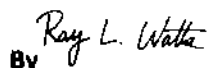
by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 28 day of February, 2025.

**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**



Stephen Armstrong
Executive Vice President, O'Neal Industries. Inc.
B00826825



By _____
Ray L. Watts, M.D.
President



Tom Brannan
Senior Vice President for Advancement
and Strategic Initiatives



Christopher Shook, PhD
Dean
Collat School of Business

**GIFT AGREEMENT
Hall Family Endowed Scholarship in Accounting
at
The University of Alabama at Birmingham**

The following sets forth the agreement between David and Phyllis Hall (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donors, in consideration of their abiding interest in the University, hereby give to the University the amount of \$25,000 which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish an endowed scholarship in the Department of Accounting and Finance within the Collat School of Business at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donors' generosity, and in reliance upon this agreement, the University agrees to name this endowment the Hall Family Endowed Scholarship in Accounting (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donors have directed that \$25,000 be transferred from the Hall Family Fund at the Community Foundation of Greater Birmingham to the University.

4. Alternative Use

If at some point in the future the Fund cannot be used for the Purpose set forth above, the appropriate University executive will seek the advice of the Donors, or Donors' representative, in determining other possible designations for the Fund in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donors' representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original donor intent as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donors agree to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Phyllis and David Hall

- ☐ I/We wish no publicity with respect to this gift.
- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donors with periodic reports related to the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the Collat School of Business at the University.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0-grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to students for whom affordability may be an obstacle, and who might otherwise be unable to attend the University, and also will consider the merit of the students.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and the selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the scholarship recipients.

- e. The scholarship is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- f. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- g. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donors' activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Final Decision on Funds

Consistent with charitable deduction requirements, the Donors do not wish to retain any dominion or control over the Fund. Accordingly, the Donors direct that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

9. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

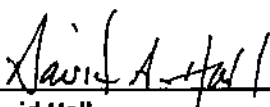
11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.

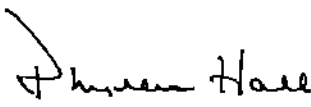
If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donors' Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 31st day of August, 2022.

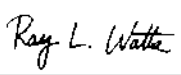
**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**



David Hall
95224



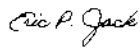
Phyllis Hall
800649781

By 

Ray L. Watts, M.D.
President



Tom Brannan
Vice President for Advancement
and Strategic Initiatives



Eric P. Jack
Dean
Collat School of Business

GIFT AGREEMENT

**Johnnie Hough Beam Endowed Memorial Scholarship in Entrepreneurship
at
The University of Alabama at Birmingham**

The following sets forth the agreement between Kathy and Stephen Peebles (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donors, in consideration of their abiding interest in the University, hereby give to the University the amount of \$100,000 which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish an endowed scholarship in the Collat School of Business at the University (the "Purpose"). In honor of the Donors, in grateful recognition of the Donors' generosity, and in reliance upon this agreement, the University agrees to name this endowment the Johnnie Hough Beam Endowed Memorial Scholarship in Entrepreneurship (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donors have made a gift totaling \$100,000 on December 27, 2021.

4. Alternative Use

If at some point in the future the Fund cannot be used for the Purpose set forth above, the appropriate University executive will seek the advice of the Donors, or Donors' representative, in determining other possible designations for the Fund in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donors' representative be unavailable in such a circumstance, the implementation of any such change shall be as close to original donor intent as possible and will be ratified by the President of the University and, if necessary, by action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donors agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Kathy and Stephen Peoples

- ☐ I/We wish no publicity with respect to this gift.
- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donors with periodic reports related to the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be majoring in Entrepreneurship in the Collat School of Business at the University.
- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- c. The Fund shall provide support to students for whom affordability may be an obstacle, and who might otherwise be unable to attend the University, and also will consider the merit of the students.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. The Donors acknowledge that the University will be solely responsible for the final selection of the scholarship recipients.

- e. The scholarship is an annual award. Recipients are eligible to apply for the award in subsequent years as long as they continue to meet the requirements outlined herein.
- f. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- g. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donors' activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Final Decision on Funds

Consistent with charitable deduction requirements, the Donors do not wish to retain any dominion or control over the Fund. Accordingly, the Donors direct that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

9. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

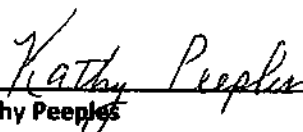
This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues.

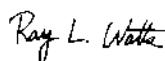
**UAB THE UNIVERSITY OF
ALABAMA AT BIRMINGHAM**

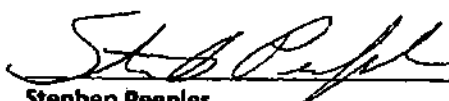
If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

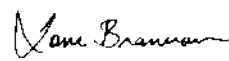
IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 24th day of January, 2022.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM

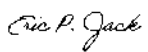

Kathy Peebles
800914185


By _____
Ray L. Watts, M.D.
President


Stephen Peebles



Thomas I. Brannan
Vice President for Advancement



Eric P. Jack, Ph.D.
Dean
Collat School of Business

GIFT AGREEMENT**The Borkowski Family Endowed Support Fund in Healthcare Quality and Safety
at
The University of Alabama at Birmingham**

The following sets forth the agreement between Nancy M. Borkowski (the "Donor") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donor, in consideration of her abiding interest in the University, hereby pledges to the University the amount of \$20,000, which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donor's gift will be used to establish an endowed support fund to support the Healthcare Quality and Safety program within the School of Health Professions at the University (the "Purpose"). The fund will be used to assist HQS students and alumni in submitting findings from HQS projects for publication in peer-review journals and presenting at international, national, or regional meetings. In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment The Borkowski Family Endowed Support Fund in Healthcare Quality and Safety (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The University agrees to redirect \$20,000 in prior gifts from the Donor, originally made to the Healthcare Quality and Safety Support Fund on November 29, 2023, and January 18, 2024, to The Borkowski Family Endowed Support Fund in Healthcare Quality and Safety. Of this amount, \$19,000 will be allocated to the endowment's corpus, and \$1,000 will be designated for immediate use in the spendable portion. Additionally, the University will redirect a \$10,000 matching contribution from the Healthcare Quality and Safety Support Fund to establish a related quasi-endowment, pending Board approval.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the

implementation of any such change shall be as close to the original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

☐ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Nancy and Michael Borkowski

☐ I/We wish no publicity with respect to this gift.

☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

(Signatures to follow on the next page)



IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 28th day of February, 2025.

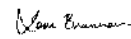
THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM



Dr. Nancy M. Borkowski
B01298893

By 

Ray L. Watts, M.D.
President



Tom Brannan
Senior Vice President for Advancement
and Strategic Initiatives



Andrew Butler, Ph.D
Dean
School of Health Professions

MEMORANDUM

TO: Andy Hollis
UAB Chief Financial Officer

FROM: Joyner Crane, MBA
Assistant Director of Gift Administration and Data

DATE: March 3, 2025

SUBJECT: New Quasi-Endowment Account

I would like to request that a new quasi endowment account be created for the School of Health Professions. The source of revenue is from the Strategic Initiative Fund. These funds are gifts from various donors to be used at the discretion of the President. The School of Health Professions wishes to transfer \$10,000 in matching contributions from the Healthcare Quality and Safety account, 3117111 to the quasi-endowment to be known as the Borkowski Family Endowed Support Fund in Healthcare Quality and Safety.

If you consent to this request, please sign below for inclusion with other items for the Board's consideration at its next meeting. Thank you for your assistance in this matter. If you have any questions, please call me 6-5600.

Approved:

R. Andrew Hollis

Andy Hollis
UAB Chief Financial Officer

OFFICE OF GIFTS AND RECORDS ADMINISTRATION

1230 Administration Building | 701 20th Street South
Mailing Address:
AB 1230 | 1720 2ND AVE SOUTH | BIRMINGHAM AL 35294
phone: (205) 934-7242 | email: gifts@uab.edu
www.uab.edu/advancement

GIFT AGREEMENT
The Elsie Jerstad Endowed Optometry Scholarship
at
The University of Alabama at Birmingham

The following sets forth the agreement between Dr. John and Mrs. Rebecca Laurent (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donors, in consideration of their abiding interest in the University, have given and pledge to the University the amount of \$27,500, which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish an endowed scholarship in the School of Optometry at the University (the "Purpose"). In honor of the Donor, in grateful recognition of the Donors' generosity, and in reliance upon this agreement, the University agrees to name this endowment as the Elsie Jerstad Endowed Optometry Scholarship (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donors have made initial contributions of \$2,500 on June 23, 2020, \$2,500 on July 15, 2021, \$2,500 on September 8, 2022, \$2,500 on October 25, 2023, \$5,000 on February 14, 2024, and \$500 on September 6, 2024, totaling \$15,500.

The Donors agree to make subsequent gifts of \$3000 on or before December 31, 2025; and \$3,000 annually on or before December 31 of 2026, 2027, 2028. Any and all payments may be prepaid. Due to the significant tax issues associated with the fulfillment of personal pledges, the University may not accept payments from certain sources to fulfill personal pledges. If, at the time of the Donors' death, any amounts remain outstanding on the pledge, it is the Donors' intention that the outstanding amount becomes a debt of their estate and that their personal representatives pay such debt to the University out of estate assets.

Through a professional school match program funded by the President's Office, the University has contributed \$12,500 to this fund and will contribute an additional \$12,500 upon fulfillment of the outstanding pledge.

4. Alternative Use

If at some point in the future the Fund cannot be used for the Purpose set forth above, the appropriate University executive will seek the advice of the Donors, or Donors' representative, in determining other possible designations for the Fund in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donors' representative be unavailable in such a circumstance, the implementation of any such change shall be as close to original donor intent as possible and will be ratified by the President of the University and, if necessary, by action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donors agree to be recognized in publications and gift society listings per University standards unless otherwise noted below:

☐ I/We wish to have my/our name listed as follows (please print clearly on the line below).

☒ I/We wish no publicity with respect to this gift.

☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose. The University will provide the Donor with periodic reports related to the Fund. The following guidelines will be used when administering and making awards from the Fund.

- a. Applicants must be currently enrolled in or admitted to a degree-granting program in the School of Optometry at the University.

- b. Applicants should demonstrate solid academic promise and achievement and have earned at least an overall 3.0 grade point average in coursework completed prior to the time of application.
- c. Preference will be given to those who have served or plan to serve in the United States Military.
- d. Applications for consideration should be submitted to the appropriate University office by the announced deadline, and selection of scholarship recipients will be made by a scholarship selection committee in accordance with these guidelines. The Donor acknowledges that the University will be solely responsible for the final selection of the scholarship recipients.
- e. The scholarship is an annual award, however; recipients are not required to reapply for the award in subsequent years if they continue to meet the requirements outlined herein.
- f. The annual scholarship award will be applied toward the recipient's tuition and fees. The total award will be applied in equal amounts over the regular terms of the award year unless otherwise instructed by the scholarship selection committee. Any scholarship funds in excess of the amount required for tuition and fees may be disbursed to the student for books, supplies, room and board, or other school-related expenses.
- g. The number and amount of awards to be granted from the Fund shall be left to the discretion of the scholarship selection committee and will be based on the spendable income earned on the endowment fund plus any other available funding.
- h. Recipients of the scholarship are also eligible to receive other forms of student financial aid for which they may qualify.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donors' activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Final Decision on Funds

Consistent with charitable deduction requirements, the Donors do not wish to retain any dominion or control over the Fund. Accordingly, the Donors direct that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

9. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

IN WITNESS WHEREOF, the Donors and representatives of the University have executed this agreement on this 18th day of March, 2025.

**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**



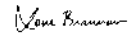
John M. Laurent, O.D.
B00577955

By 

Ray L. Watts, M.D.
President



Mrs. Rebecca Laurent
B01174667



Tom Brannan
**Vice President for Advancement and
Strategic Initiatives**



Kelly K. Nichols, OD, MPH, PhD
Dean and Professor
School of Optometry

M E M O R A N D U M

TO: Andy Hollis
UAB Chief Financial Officer

FROM: Joyner Crane, MBA
Assistant Director of Gift Administration and Data

DATE: March 3, 2025

SUBJECT: Additon to Quasi-Endowment Account

I would like to request the approval of an addition to an existing quasi endowment account. The source of revenue is from the Strategic Initiative Fund. These funds are gifts from various donors to be used at the discretion of the President. We would like to move \$12,500 to the existing quasi endowment account known as the Elsie Jerstad Endowed Optometry Scholarship approved by the Board of Trustees on September 17th, 2021.

If you consent to this request, please sign below for inclusion with other items for the Board's consideration at its next meeting. Thank you for your assistance in this matter. If you have any questions, please call me 6-5600.

Approved:

R. Andrew Hollis

Andy Hollis
UAB Chief Financial Officer

OFFICE OF GIFTS AND RECORDS ADMINISTRATION

1230 Administration Building | 701 20th Street South
Mailing Address:
AB 1230 | 1720 2ND AVE SOUTH | BIRMINGHAM AL 35294
phone: (205) 934-7242 | email: gifts@uab.edu
www.uab.edu/advancement

**The Janyce M. Sanford, M.D. Endowed Support Fund
in the Department of Emergency Medicine
at
The University of Alabama at Birmingham**

The following sets forth the agreement between Janyce M. Sanford, M.D. (the "Donor") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

2. Purpose

The Donor's gift will be used to establish an endowed support fund to support the educational mission of the Department of Emergency Medicine at the University, including the residency program, fellowships, and medical student clerkship (the "Purpose"). In honor of the Donor, in grateful recognition of the Donor's generosity, and in reliance upon this agreement, the University agrees to name this endowment the Janyce M. Sanford, M.D. Endowed Support Fund in the Department of Emergency Medicine (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

A committee will review applications and determine recipients. The committee will be composed of the Department of Emergency Medicine Vice Chair for Education and will include at least two other faculty representatives from the Department of Emergency Medicine. The Donor acknowledges that the University will be solely responsible for the final selection of awardees.

3. Schedule and Form of Contributions

The Donor made gifts to the Department of Emergency Medicine Gift Account in the amounts of \$20,000 on both October 12, 2016 and August 25, 2017, \$8,000 on December 30, 2013, \$6,000 on September 28, 2018, \$5,000 on December 31, 2019, and \$2,000 on November 15, 2017. The Donor now requests that \$50,000 of those gifts be transferred to the new endowment account.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original intent of the donor as possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Janyce M. Sanford MD MBA

- ☐ I/We wish no publicity with respect to this gift.
- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

(Signatures to follow on the next page)

IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 14th day of February, 2025.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM

Janyce M. Sanford

Janyce M. Sanford, M.D.

B00877531

By Ray L. Watts

Ray L. Watts, M.D.

President

Tom Brannan

Senior Vice President for Advancement
and Strategic Initiatives

Anupam Agarwal

Anupam Agarwal, M.D.

Senior Vice President for Medicine and Dean
UAB Heersink School of Medicine

M E M O R A N D U M

TO: File

FROM: Daphne B. Powell

DATE: May 19, 2008

SUBJECT: Gift Agreement for The William Todd Wooten
Endowment for Leukemia and Lymphoma Research

Attached is an executed copy of the gift agreement for The William Todd Wooten Endowment for Leukemia and Lymphoma Research. If you have any questions or need additional information, please don't hesitate to call me at 4-1807.



Daphne B. Powell
Director of Development for Stewardship

/dbp

Attachment

cc: Ms. Tiffany Adams
Ms. Annette Forehand
Ms. Kim Garrison
Ms. Rebecca Gordon
Dr. Edward Partridge
Ms. Tricia Raczynski
Dr. Robert Rich
Ms. Sherri Van Pelt

GIFT AGREEMENT
The William Todd Wooten Endowment
for Leukemia and Lymphoma Research
The University of Alabama at Birmingham

The following sets forth the agreement between William R. Wooten and Avolee D. Wooten (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University").

1. Pledge

The Donors, in consideration of their abiding interest in the University, hereby make a pledge to the University through their estate which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish and name a fund within the UAB Comprehensive Cancer Center. The fund will support clinical and/or basic and/or translational research and the activities associated with conducting, reporting on, and enhancing the depth and breadth of this research in leukemia and lymphoma performed by physician-researchers or scientists in the UAB Comprehensive Cancer Center.

It is the Donors' wish that this fund be used by UAB experts to seek cures and further their understanding of leukemia and lymphoma in the laboratory and/or clinical setting in ways that include, but are not limited to, providing for personnel, supplies, tools and equipment; collaborating with other experts; educating residents and/or fellows; and spreading knowledge about their progress, findings, and needs.

3. Naming of the Fund

In loving memory of William Todd Wooten, it is the Donors' desire that the endowed memorial fund be named the William Todd Wooten Endowment for Leukemia and Lymphoma Research. Should the gift be equal to or greater than the minimum for an endowed research fund, it is the Donors' desire that an endowed research fund be established to support clinical and/or basic and/or translational research in leukemia and lymphoma. Should, based on unforeseen circumstances, the gift be less than the minimum required for an endowed fund at UAB, the Donors' desire that the contribution be invested and the earnings returned to principal

until the minimum requirement for an endowment be reached. In honor of the Donors' generosity and in reliance upon this agreement, the University agrees to name the endowed memorial fund as described herein subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama.

4. Schedule and Form of Contributions

The Donors hereby makes a pledge of a testamentary gift of \$100,000, or more, to be paid at the death of the last surviving beneficiary of the Donors' estate by the executor or administrator of the Donor's estate on a schedule which is most advantageous to the estate.

5. Contingencies

If a cure is found for all forms of leukemia and lymphoma, the endowment shall be used to support research related to other forms of cancer. Should a cure for all forms of cancer be discovered, this endowment will be used for research into another medical condition as closely related to the original intent of the gift as possible. The implementation of any change in focus shall be ratified by the President of the University and, if necessary, by action of The Board of Trustees of The University of Alabama.

6. Recognition

The Donors' contribution may be noted in future University publications. Should the University wish to issue a formal press release regarding this gift, the Donors will be notified for approval prior to its release.

7. Applicable Law

This agreement will be construed under the laws of the State of Alabama.

[Signatures follow on next page.]

Gift Agreement
William R. Wooten and Avolee D. Wooten

IN WITNESS WHEREOF, the Donors and representatives of the University have executed this agreement on the date indicated below.

Agreed to this 7th day of May, 2008.

DONORS

**THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM**

William R. Wooten
William R. Wooten

By James Z. G...
President

Avolee D. Wooten
Avolee D. Wooten

Dennis Salmons
Vice President for Development, Alumni
and External Relations
Ed G. ...
Director
Comprehensive Cancer Center

MEMORANDUM

TO: Andy Hollis
UAB Chief Financial Officer

FROM: Joyner Crane, MBA
Assistant Director of Gift Administration and Data

DATE: March 3rd, 2025

SUBJECT: New Quasi-Endowment Account

I would like to request that a new quasi endowment account be created for the Heersink School of Medicine. The source of revenue is from the Otolaryngology Strategic Fund, account number 3119854.000.213119854.311860000.0000. These funds are revenue to be used at the discretion of the department. We would like to move \$84,835.95 to the quasi- endowment account to be known as the Susan Norton Hicks Memorial Fund.

If you consent to this request, please sign below and forward a copy to Joyner Crane in the Office of Gift and Records Administration for inclusion with other items for the Board's consideration at its next meeting. Thank you for your assistance in this matter. If you have any questions, please call me at 205-996-5600.

Approved:

R. Andrew Hollis

Andy Hollis
UAB Chief Financial Officer

OFFICE OF GIFTS AND RECORDS ADMINISTRATION

1230 Administration Building | 701 20th Street South

Mailing Address:

AB 1230 | 1720 2ND AVE SOUTH | BIRMINGHAM AL 35294

phone: (205) 934-7242 | email: gifts@uab.edu

www.uab.edu/advancement



GIFT AGREEMENT

**Erika Bridgeforth Hartman, D.D.S and Corey Louis Hartman, M.D. Endowed Faculty Scholar in
Resident Education**

at

The University of Alabama at Birmingham

The following sets forth the agreement between Corey L. Hartman, M.D. and Erika B. Hartman, D.D.S. (the "Donors") and The Board of Trustees of The University of Alabama for The University of Alabama at Birmingham (the "University"). This agreement will be made part of the University's records and is intended as a guide to those who administer it.

1. Gift

The Donors, in consideration of their abiding interest in the University, hereby gives to the University the amount of \$100,000 which will be used by the University for the purpose hereinafter set forth.

2. Purpose

The Donors' gift will be used to establish an endowed faculty scholar fund to support the research, scholarly, and/or clinical efforts of a distinguished faculty member in the Department of Dermatology within the Marnix E. Heersink School of Medicine at the University (the "Purpose"). In honor of the Donors, in grateful recognition of the Donors' generosity, and in reliance upon this agreement, the University agrees to name this endowed fund the Erika Bridgeforth Hartman, D.D.S. and Corey Louis Hartman, M.D. Endowed Faculty Scholar in Resident Education (the "Fund"), subject to the acceptance of the gift and subsequent approval of such naming by The Board of Trustees of The University of Alabama (the "Board") according to its policies and procedures.

3. Schedule and Form of Contributions

The Donors will make an initial payment of \$100,000 on or before October 31, 2024. All payments may be prepaid. Due to the significant tax issues associated with the fulfillment of personal pledges, the University may not accept payments from certain sources to fulfill personal pledges.

4. Alternative Use

If at some point in the future, the Unit is substantially changed or dissolved, the appropriate University executive will seek the advice of the Donor, or Donor's representative, in determining other possible recognition for the Donor's gift in conjunction with the University's administration and, when required, subject to the approval of the Board according to its policies and procedures. Should the Donor's representative be unavailable in such a circumstance, the implementation of any such change shall be as close to the original intent of the donor as



possible and will be ratified by the President of the University and, if necessary, by the action of the Board.

5. Recognition

The names of donors may be publicized in relation to this project. The Donor agrees to be recognized in publications and gift society listings per University standards unless otherwise noted below:

- ☒ I/We wish to have my/our name listed as follows (please print clearly on the line below).

Drs Enka and Cory Hartman

- ☐ I/We wish no publicity with respect to this gift.
- ☐ I/We wish for this gift to remain anonymous.

6. Endowment Administration

The University will manage the Fund in accordance with its standing policies and procedures, which may be modified periodically. The University has an investment policy (see Board Rule 404) which is designed to preserve the real value and enhance the purchasing power of earnings so as to keep pace with inflation and evolving University needs. In order to accomplish this, the Board has established a spending rate, which it reviews annually. Earnings realized beyond those necessary to fund the annual spending rate and costs of portfolio management are retained to protect the principal of the endowment against inflation and to enhance future earnings.

The Fund will be created when the initial gift is received. Should the total gift fall short of the University's endowment minimum, the earnings may be reinvested until such time as the minimum is met, or the principal may be utilized for current support of the Purpose as determined by the University. Additional gifts may be added to the endowment principal at any time, and gifts may also be made to supplement the annual earnings in support of the Purpose.

7. Contingency

If at any time the Board determines in its reasonable and good faith opinion that the Donor's activities would adversely impact the University's public image, or are in material conflict with its mission, the Board will have the right to terminate naming rights provided in this Agreement. The Fund, however, will remain an asset of the University and will continue to carry on the purposes set forth herein.

8. Tax Exempt Entity

The University represents that it is qualified as a charitable organization under Section 501(c)(3) of the Internal Revenue Code. The University's Federal Tax Identification number is 63-6005396.

9. Final Decision on Funds

Consistent with charitable deduction requirements, the Donor does not wish to retain any dominion or control over the Fund. Accordingly, the Donor directs that the University will be solely responsible for determining the proper use of the Fund as outlined in this agreement.

10. Applicable Law

This agreement represents the entire agreement of the parties, supersedes all prior discussions and agreements, and may not be amended except by a written agreement signed by each of the parties hereto. This agreement will be governed by and construed in accordance with the laws of the State of Alabama.

11. Additional Provisions

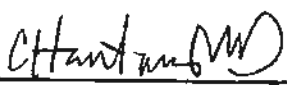
This agreement is governed solely by, and construed solely in accordance with, the laws of the State of Alabama and any applicable federal law, irrespective of any conflict-of-law issues. If any provision of this agreement is found to conflict with or violate any federal or state law or regulation, then that provision will be modified to bring the agreement into compliance with applicable law; however, all other provisions will continue to be administered in accordance with the Donor Representative's intent as expressed herein. Failure of any party to require the performance of any term in this agreement, or the waiver by any party of any breach of this agreement, will not prevent the subsequent enforcement of such term nor be deemed a waiver of any subsequent breach. This agreement may not be amended or modified in any way, except by the execution of a signed revision by both parties. Any dispute arising from this agreement shall be resolved through mediation by a mutually acceptable mediator. If mediation fails, any civil action to interpret or enforce this agreement, if not barred by immunity, shall be brought in the appropriate federal or state court for the Western Division of the Northern District of Alabama or Tuscaloosa Circuit Court, respectively, or in the state Board of Adjustment.

(Signatures to follow on the next page)



IN WITNESS WHEREOF, the Donor and representatives of the University have executed this agreement on this 20 day of November, 2024.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF ALABAMA FOR THE
UNIVERSITY OF ALABAMA AT
BIRMINGHAM



Corey L. Hartman, M.D.
B00814645

By _____
Ray L. Watts, M.D.
President



Erika B. Hartman, D.D.S.
B00631207

Tom Brannan
Senior Vice President for Advancement
and Strategic Initiatives

Anupam Agarwal, M.D.
Senior Vice President for Medicine and
Dean
UAB Heersink School of Medicine

Office of Advancement- June 2025- Honorary Degrees Resolution Packet

Final Audit Report

2025-04-21

Created:	2025-04-16
By:	Joyner Crane (crane14@uab.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAarmEsqQQWLChJ7Ua4j3ckLs8UhJkg9Qw

"Office of Advancement- June 2025- Honorary Degrees Resolution Packet" History

-  Document created by Joyner Crane (crane14@uab.edu)
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-  Document emailed to Kimberly Marie Burnett (burnetkm@uab.edu) for signature
2025-04-16 - 3:13:54 PM GMT
-  Document emailed to Tom Brannan (tbrannan@uab.edu) for signature
2025-04-16 - 3:13:54 PM GMT
-  Document emailed to Janet Woodruff-Borden (janetwb@uab.edu) for signature
2025-04-16 - 3:13:54 PM GMT
-  Document emailed to jdaniel@uasystem.edu for signature
2025-04-16 - 3:13:54 PM GMT
-  Document emailed to Andy Hollis (ahollis@uab.edu) for signature
2025-04-16 - 3:13:55 PM GMT
-  Email viewed by Janet Woodruff-Borden (janetwb@uab.edu)
2025-04-16 - 3:54:27 PM GMT- IP address: 104.47.59.254
-  Document e-signed by Janet Woodruff-Borden (janetwb@uab.edu)
Signature Date: 2025-04-16 - 3:55:58 PM GMT - Time Source: server- IP address: 138.26.249.35
-  Email viewed by Kimberly Marie Burnett (burnetkm@uab.edu)
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Signature Date: 2025-04-16 - 4:25:06 PM GMT - Time Source: server- IP address: 138.26.92.69

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2025-04-16 - 5:41:12 PM GMT- IP address: 104.47.56.254

 Signer jdaniel@uasystem.edu entered name at signing as W. John Daniel

2025-04-16 - 5:41:55 PM GMT- IP address: 138.26.108.69

 Document e-signed by W. John Daniel (jdaniel@uasystem.edu)

Signature Date: 2025-04-16 - 5:41:57 PM GMT - Time Source: server- IP address: 138.26.108.69

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2025-04-16 - 6:05:39 PM GMT- IP address: 104.47.55.254

 Document e-signed by Andy Hollis (ahollis@uab.edu)

Signature Date: 2025-04-16 - 6:08:30 PM GMT - Time Source: server- IP address: 138.26.183.10

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2025-04-18 - 6:41:59 PM GMT- IP address: 104.28.32.102

 Document e-signed by Tom Brannan (tbrannan@uab.edu)

Signature Date: 2025-04-21 - 12:34:25 PM GMT - Time Source: server- IP address: 174.199.161.176

 Agreement completed.

2025-04-21 - 12:34:25 PM GMT

Naming Opportunities in Spragins Hall – Charger Athletics

Spragins Hall	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Weight Room - Room 127	5397	\$283.61	\$1,530,643	\$505,112	\$581,644	\$765,322	\$770,000
Varsity Room - Room 109/110	1900	\$283.61	\$538,859	\$177,823	\$204,766	\$269,430	\$270,000
Ticket Lobby - Room C100	1486	\$283.61	\$421,444	\$139,077	\$160,149	\$210,722	\$215,000
Second Floor Lobby	1010	\$283.61	\$286,446	\$94,527	\$108,850	\$143,223	\$145,000
Training Room Room 127A	1224	\$283.61	\$347,139	\$114,556	\$131,913	\$173,569	\$175,000
Athletics Communications - Room 206	615	\$283.61	\$174,420	\$57,559	\$66,280	\$87,210	\$90,000
Concessions - Room 107/107a	300	\$283.61	\$85,083	\$28,077	\$32,332	\$42,542	\$60,000
Locker Room 113	256	\$283.61	\$72,604	\$23,959	\$27,590	\$36,302	\$40,000
Locker Room 114	559	\$283.61	\$158,538	\$52,318	\$60,244	\$79,269	\$80,000
Locker Room 115	559	\$283.61	\$158,538	\$52,318	\$60,244	\$79,269	\$80,000
Locker Room 133A	63	\$283.61	\$17,867	\$5,896	\$6,790	\$8,934	\$10,000
Locker Room 133B	98	\$283.61	\$27,794	\$9,172	\$10,562	\$13,897	\$15,000
Locker Room 133	370	\$283.61	\$104,936	\$34,629	\$39,876	\$52,468	\$55,000
Locker Room 135	684	\$283.61	\$193,989	\$64,016	\$73,716	\$96,995	\$100,000
Suite 210	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Suite 211	548	\$283.61	\$155,418	\$51,288	\$59,059	\$77,709	\$80,000
Suite 212	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Suite 213	560	\$283.61	\$158,822	\$52,411	\$60,352	\$79,411	\$80,000
Suite 214	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Suite 215	560	\$283.61	\$158,822	\$52,411	\$60,352	\$79,411	\$60,000

* Calculations are based on the rounded cost per square foot.

Suite 216	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Suite 217	549	\$283.61	\$155,702	\$51,382	\$59,167	\$77,851	\$80,000
Suite 218	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Suite 219	608	\$283.61	\$172,435	\$56,904	\$65,525	\$86,217	\$90,000
Locker Room 130	794	\$283.61	\$225,186	\$74,311	\$85,571	\$112,593	\$115,000
Locker Room 132	794	\$283.61	\$225,186	\$74,311	\$85,571	\$112,593	\$115,000
Locker Room 134	845	\$283.61	\$239,650	\$79,085	\$91,067	\$119,825	\$120,000
Athletics Suite Lobby	559	\$283.61	\$158,538	\$52,318	\$60,244	\$79,269	\$80,000

Naming Opportunities in SWIRLL – Earth System Science Center

SWIRLL	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Whole Building	17,528	\$486.59	\$8,528,950	\$2,814,553	\$3,241,001	\$4,264,475	\$4,300,000
Classroom - Room 103	466	\$486.59	\$226,751	\$74,828	\$86,165	\$113,375	\$115,000
Sounding Lab - Room 108	303	\$486.59	\$147,437	\$48,654	\$56,026	\$73,718	\$75,000
Electronics Lab - Room 110	305	\$486.59	\$148,410	\$48,975	\$56,396	\$74,205	\$75,000
Lighting Lab - Room 112	305	\$486.59	\$148,410	\$48,975	\$56,396	\$74,205	\$75,000
Second Floor Lobby	1065	\$486.59	\$518,218	\$171,012	\$196,923	\$259,109	\$260,000
Conference Room - Room 202	466	\$486.59	\$226,751	\$74,828	\$86,165	\$113,375	\$115,000

* Calculations are based on the rounded cost per square foot.

Balcony - Room 205	101	\$486.59	\$49,146	\$16,218	\$18,675	\$24,573	\$25,000
Research Ops Center - Room 206	741	\$486.59	\$360,563	\$118,986	\$137,014	\$180,282	\$185,000
Break Room / Tornado Shelter	310	\$486.59	\$150,843	\$49,778	\$57,320	\$75,421	\$80,000
Total Space	4719	\$486.59	\$2,296,218	\$757,752	\$872,563	\$1,148,109	\$1,150,000
High Bay 1 (exterior)	960	\$486.59	\$467,126	\$154,152	\$177,508	\$233,563	\$235,000
High Bay 2 (middle)	1921	\$486.59	\$934,739	\$308,464	\$355,201	\$467,370	\$470,000
High Bay 3 (interior)	1838	\$486.59	\$894,352	\$295,136	\$339,854	\$447,176	\$450,000

Naming Opportunities in Morton Hall – College of Arts, Humanities, and Social Sciences

Morton Hall	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Green Room	585	\$382.50	\$223,763	\$73,842	\$85,030	\$111,881	\$115,000
Humanities Student Center - Room 111	415	\$382.50	\$158,738	\$52,383	\$60,320	\$79,369	\$80,000

Naming Opportunities in Wilson Hall - College of Education, Sport, and Human Sciences

* Calculations are based on the rounded cost per square foot.

Wilson Hall	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Classroom - Room 683 159		\$304.33	\$207,857	\$68,593	\$78,986	\$103,929	\$105,000
HARPER Lab - 135 Room 159A		\$356.06	\$48,068	\$15,862	\$18,266	\$24,034	\$25,000
Human Performance Lab - Room 312	884	\$356.06	\$314,757	\$103,870	\$119,608	\$157,379	\$160,000
DEXA Scan Lab - 119 Room 318		\$356.06	\$42,371	\$13,982	\$16,101	\$21,186	\$25,000
Classroom - Room 820 330		\$304.33	\$249,551	\$82,352	\$94,829	\$124,775	\$125,000
Exercise Physiology Lab - Room 331	919	\$356.06	\$327,219	\$107,982	\$124,343	\$163,610	\$165,000

Naming Opportunities in the SLIC – College of Nursing

SLIC	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
SLIC - Total Space	14,582	\$415.05	\$6,052,259	\$1,997,246	\$2,299,858	\$3,026,130	\$3,100,000
SLIC - Debriefing Room / Study Room - Room 301	460	\$415.05	\$190,923	\$63,005	\$72,551	\$95,462	\$100,000
SLIC - Health Assessment Lab (Table Lab) - Room 352A	750	\$415.05	\$311,288	\$102,725	\$118,289	\$155,644	\$160,000

* Calculations are based on the rounded cost per square foot.

SLIC - IV Room and Telehealth Room - Room 359	130	\$415.05	\$53,957	\$17,806	\$20,503	\$26,978	\$30,000
SLIC - Exam Rooms - Rooms 360A, B, C, D	100	\$415.05	\$41,505	\$13,697	\$15,772	\$20,753	\$25,000
SLIC - iSTAN Sim Room - SR 1 - 356A	372	\$415.05	\$154,399	\$50,952	\$58,671	\$77,199	\$80,000
SLIC - OB Suite - SR 2 - 355A	273.9	\$415.05	\$113,682	\$37,515	\$43,199	\$56,841	\$60,000
SLIC - MetiMan 98 Sim Room - SR 3 - Room 354B	212	\$415.05	\$87,991	\$29,037	\$33,436	\$43,995	\$45,000
SLIC - Sim NewB Sim Room - SR 4 - Room 354C	212	\$415.05	\$87,991	\$29,037	\$33,436	\$43,995	\$45,000
SLIC - PediaSim Sim Room - SR 5 - Room 354D	212	\$415.05	\$87,991	\$29,037	\$33,436	\$43,995	\$45,000
SLIC - iStanley Sim Room - SR 6 - 354E	212	\$415.05	\$87,991	\$29,037	\$33,436	\$43,995	\$45,000
SLIC - Basic Noelle Sim Room - SR 7 - Room 353C	231.1	\$415.05	\$95,918	\$31,653	\$36,449	\$47,959	\$45,000

Naming Opportunities in the Optics and Materials Science Buildings – College of Science

* Calculations are based on the rounded cost per square foot.

Optics and Materials Science Buildings	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Dean's Conference Room - Room C207A	285	\$347.08	\$98,918	\$32,643	\$37,589	\$49,459	\$50,000
COS Advising Suite - Room C206	315	\$347.08	\$109,330	\$36,079	\$41,545	\$54,665	\$55,000
Optics Building Common Area	2207	\$347.08	\$766,006	\$252,782	\$291,082	\$383,003	\$385,000
Optics Training Complex - Room 255B	268	\$347.08	\$93,017	\$30,696	\$35,347	\$46,509	\$50,000
Optics Training Complex - Room 255C	189	\$347.08	\$65,598	\$21,647	\$24,927	\$32,799	\$35,000
Optics Training Complex - Room 255D	143	\$347.08	\$49,632	\$16,379	\$18,860	\$24,816	\$25,000
Optics Training Complex - Room 255E	177	\$347.08	\$61,433	\$20,273	\$23,345	\$30,717	\$35,000
Optics Training Complex - Room 255G	197	\$347.08	\$68,375	\$22,564	\$25,982	\$34,187	\$35,000
Optics Room 253	640	\$347.08	\$222,131	\$73,303	\$84,410	\$111,066	\$115,000

* Calculations are based on the rounded cost per square foot.

Physics Lab A - Room 249	627	\$347.08	\$217,619	\$71,814	\$82,695	\$108,810	\$110,000
Intermediate Lab - Room 223	288	\$347.08	\$99,959	\$32,986	\$37,984	\$49,980	\$50,000
Sonoluminesc ence Lab - Room 323	288	\$347.08	\$99,959	\$32,986	\$37,984	\$49,980	\$50,000
On-Campus Observatory	1245	\$347.08	\$432,115	\$142,598	\$164,204	\$216,057	\$220,000

**Naming Opportunities in the Shelby Center for Science and Technology Building
- College of Science**

Shelby Center for Science and Technology	Area (Sq Ft)	Cost per Sq Ft	Cost*	33%	38%	50%	Recommended
Tiered Classroom - Room 107	2488	\$453.00	\$1,127,064	\$371,931	\$428,284	\$563,532	\$565,000
Tiered Classroom - Room 109	2142	\$453.00	\$970,326	\$320,208	\$368,724	\$485,163	\$490,000
Math Student Library and Lounge - Room 209	479	\$453.00	\$216,987	\$71,606	\$82,455	\$108,494	\$110,000
Math Conference Room - Room 258S	324	\$453.00	\$146,772	\$48,435	\$55,773	\$73,386	\$75,000
Genetics and Molecular Lab - Room 303	1177	\$453.00	\$533,181	\$175,950	\$202,609	\$266,591	\$270,000

* Calculations are based on the rounded cost per square foot.

Microbiology Lab - Room 307	1169	\$453.00	\$529,557	\$174,754	\$201,232	\$264,779	\$265,000
Media Prep Room - Room 305	490	\$453.00	\$221,970	\$73,250	\$84,349	\$110,985	\$115,000
Instrument Lab - Room 341	1030	\$453.00	\$466,590	\$153,975	\$177,304	\$233,295	\$235,000
Conference Room - Room 369E	453	\$453.00	\$205,209	\$67,719	\$77,979	\$102,605	\$105,000
Roof Greenhouse	1793	\$453.00	\$812,229	\$268,036	\$308,647	\$406,115	\$410,000

* Calculations are based on the rounded cost per square foot.

University of Alabama System
Office of Internal Audit
Charter

Purpose

The mission of the Office of Internal Audit is to enhance and protect the University of Alabama System's ("System") value by providing independent, risk-based, and objective assurance, advice, insight, and foresight. The purpose of the Office of Internal Audit is to strengthen the System's ability to create, protect, and sustain value by providing the Board of Trustees and management with these assurance and consulting services in a systematic, disciplined approach.

The Office of Internal Audit enhances the System's:

- Successful achievement of its objectives
- Governance, risk management, and control processes
- Decision making and oversight
- Reputation and credibility with its stakeholders
- Ability to serve the public interest

Standard of Operations

The Office of Internal Audit shall conduct its activities in conformance with The 2024 Global Internal Audit Standards issued by the Institute of Internal Auditors ("the Standards").

Internal Audit Organizational Independence and Objectivity

The Office of Internal Audit will maintain organizational independence to ensure that the internal audit activity is free from interference in determining the scope of internal auditing, performing work, and communicating results. The Chief Audit Officer will document any potential impairments to independence and objectivity and present them to the Audit, Risk, and Compliance Committee for review. Where the Chief Audit Officer has ongoing roles beyond internal auditing, the details of such roles shall be disclosed and approved by the Chair of the Audit, Risk, and Compliance Committee.

The Chief Audit Officer shall report functionally to the Audit, Risk, and Compliance Committee of the Board of Trustees, and administratively to the Chancellor.

In the performance of internal audit activity, the Chief Audit Officer and staff of the Internal Audit department are not authorized to:

- Perform any operational duties for the System,
- Initiate or approve accounting transactions external to the Internal Audit department, or

- Direct the activities of any System employee not employed by the Internal Audit department, except to the extent such employees have been appropriately assigned to auditing teams or to otherwise assist the Internal Auditors.

Authority of the Chief Audit Officer and Office of Internal Audit

The authority of the Chief Audit Officer and the Office of Internal Audit, to carry out its mission and purpose, is established by the Bylaws, Rules and Resolutions of The Board of Trustees of The University of Alabama System.

The Office of Internal Audit is authorized to:

- Have unrestricted access to all functions, records, property, and personnel, as needed to fulfill its duties;
- Have direct and unrestricted access to the Audit, Risk and Compliance Committee of the Board of Trustees;
- Allocate internal audit resources, set frequencies of engagements, select subjects of audits, determine scopes of work, and apply the techniques required to accomplish audit objectives; and
- Obtain the necessary assistance of personnel in units where audits are performed, as well as requested specialized services from within or outside the System, to carry out audit activities. All employees and units are expected to cooperate fully with Internal Audit requests.

Chief Audit Officer Responsibility

The Chief Audit Officer, or his/her designee, will carry out the following responsibilities:

- Develop a flexible annual audit plan using an appropriate risk-based methodology - including consideration of the System's strategic goals and input from senior management - to prioritize audit activities consistent with the System's objectives and risk profile. The Chief Audit Office shall submit the plan to the Audit, Risk, and Compliance Committee and the Chancellor for review and approval;
- Implement the annual audit plan as approved, including, and as appropriate, any special tasks or projects requested by management and the Audit, Risk, and Compliance Committee, and adjust as needed in response to changes in the System's risks, operations, programs, systems, or controls;
- Provide information periodically to the Audit, Risk, and Compliance Committee and management on the status and results of the annual audit plan and the sufficiency of department resources;
- Maintain a professional audit staff with sufficient knowledge, skills, experience, and professional certifications (may use outside sources, as necessary);
- Establish and maintain a quality assurance and continuous improvement program, including periodic internal assessments and an external quality assessment at least every five years as required by the standards;
- Foster a culture of collaboration and communication with System management; and assist management in meeting objectives by performing consulting and

- advisory services in addition to assurance services;
- Serve as liaison between the System and the external auditor;
- Assist in the investigation of significant suspected fraudulent activities or other wrongdoing within the System;
- Consider the scope of work of external auditors and regulators, as appropriate, for the purpose of providing optimal audit coverage to the System;
- Coordinate with other control and monitoring functions (including risk management, compliance, security, legal, ethics, environmental, external audit), as appropriate; and
- Periodically review the internal audit charter and present it to the Audit, Risk, and Compliance Committee of the Board of Trustees for review and approval.

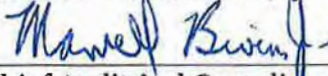
Scope of Internal Audit Activities

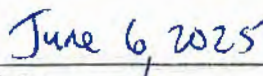
The scope and nature of work of the Office of Internal Audit is to determine whether the System's risk management, control, and governance processes, as designed and implemented by management, are adequate and functioning in a manner to ensure:

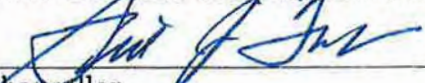
- Risks are appropriately identified, assessed, and managed,
- Significant financial, managerial, and operating information are accurate, reliable, and timely,
- Employees' actions and System's operations are in compliance with applicable policies, standards, procedures, and applicable laws and regulations,
- Resources are acquired economically, used efficiently, and adequately protected against loss, misuse, or damage,
- Programs, plans, and strategic objectives, and organizational performance expectations are achieved, and operations are carried out effectively.
- Quality and continuous improvement are fostered in the control processes,
- Significant legislative or regulatory issues impacting the System are recognized and addressed properly,
- Open communication among all relevant control and assurance functions, and
- Governance processes and structures ensure effective oversight and accountability, and promote an ethical culture sound stewardship.

Approvals-

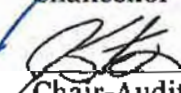
Board of Trustees (by resolution June 6, 2025)

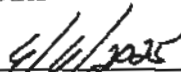

Chief Audit and Compliance Officer


Date


Chancellor


Date


Chair-Audit, Risk, and Compliance Committee


Date