

RISK & COMPLIANCE CONFERENCE

Hosted by The University of Alabama System Office of Risk Management

Tuesday, March 3, 2015

9:00 AM – 3:30 PM

**UAB National Alumni Society House
1301 10th Avenue South, Birmingham, AL 35294**

AGENDA: 2015 UA SYSTEM RISK & COMPLIANCE CONFERENCE

TUESDAY, MARCH 3, 2015

9:00 a.m.	Registration & Breakfast	
9:30 a.m.	Welcome & Introductions	Chad Tindol
9:45 a.m.	Session I: Athletic Compliance Lessons for the Rest of the University	Greg Sankey
10:45 a.m.	Break	
11:00 a.m.	Session II: The Mindset of Our Students Panel	Charles Dorsey Michael B. Faircloth, M.D. Delois Smith
12:15 p.m.	Session III: "What Your Lawyers Do For You"	Cooper Shattuck
12:30 p.m.	Lunch	
1:30 p.m.	Session IV: "What Your Insurance Covers"	Jeannine Bailes
1:45 p.m.	Break	
2:00 p.m.	Session V: Janice Abraham, President & CEO, United Educators	Janice Abraham
3:15 p.m.	Closing Remarks	

SPEAKER BIOS



JANICE MENKE ABRAHAM

President & CEO, United Educators

Janice Menke Abraham joined United Educators (UE) as president and CEO in 1998. During her tenure, UE has become known as the premier risk management and liability insurance company serving educational institutions; more than 1,200 schools, colleges, and universities rely on UE's education-specific expertise. In addition, UE has been consistently profitable, produced high return on equity, and maintained the financial strength and stability to earn an A.M. Best Rating "A" (Excellent) every year since 2001. In 2013, for the first time, UE was recognized by Ward's 50 as one of the top performers in the property-casualty insurance industry.

Prior to joining UE, Abraham served the higher education community as chief financial officer/ treasurer at Whitman College, in various senior positions at Cornell University, and as a staff associate at the National Association of College and University Business Officers (NACUBO). Abraham also served as an international banker for J. P. Morgan.

Abraham serves as a trustee of Whitman College and on the Institute's Board of Directors, The Griffith Foundation's Leadership Council, American University's School of International Service Dean's Advisory Council, and the Association of Governing Boards of Universities and Colleges (AGB) Editorial Board. She served as the first woman chair of the board of governors of the Property Casualty Insurers Association of America from 2009-2010. She currently serves on the association's executive and investment committees. Abraham also serves on the board of directors of UE and LeapFrog USA. She is a former president of the Western Association of College and University Business Officers and a past board member of NACUBO and the National Risk Retention Association.

Abraham earned an MBA from the Wharton School at the University of Pennsylvania and a bachelor's degree in international service from American University.

She is the author of the 2013 book, *Risk Management: An Accountability Guide for University and College Trustees*, published by AGB, which encourages board oversight of enterprise risk management activities at their institution.

Honors for Abraham's contributions to insurance and education include:

- Griffith Foundation Lifetime Achievement Award, 2012
- One of the Power 50, *Captive Review*, 2012
- A "Woman in Insurance Leadership," *Insurance Networking News*, 2011
- A Rising Star, *Reactions*, 2011
- A "Woman to Watch" as a leader of change, *Business Insurance*, 2006
- Great Alumni Award, Mount Lebanon High School, 2005
- Professional Development Award, NACUBO, 2005
- Insurance Woman of the Year, The Association of Professional Insurance Women, 2004
- One of the 100 Top Leading Women in the field of insurance, *Business Insurance*, 2004

SPEAKER BIOS



JEANNINE BAILES

Director of Insurance and Risk Finance, The University of Alabama at Birmingham

Jeannine Bailes is the Director of Insurance and Risk Finance at The University of Alabama at Birmingham (UAB), a position she has held for over 14 years. Her duties include management of two large “self-insurance” trust funds and the placement of the property and liability insurance for the three-campus University of Alabama System and the UAB Health System which includes UAB Hospital, Callahan Eye Hospital, and The Kirklin Clinic. Bailes also manages the insurance placements for two affiliates of the UAB Health System: Baptist Health in Montgomery, Alabama and Medical West Hospital in Bessemer, Alabama. Prior to joining the Office of Risk Management at UAB, Bailes was a Vice President at McNary Insurance Consulting, based in Charlotte, North Carolina, for thirteen years. In a prior life, she was a Surgical Technologist in the operating room and labor and delivery at Presbyterian Hospital in Charlotte.

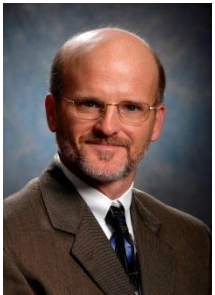


CHARLES DORSEY

Director of Threat Assessment, The University of Alabama

As the Director of the Office of Threat Assessment for The University of Alabama (UA), Charles Dorsey is responsible for providing Behavioral Threat Assessments in matters involving potentially violent behavior.

Prior to joining UA, Dorsey was employed by Daimler Corporate Security as well as the Federal Bureau of Investigation (FBI). During his 29-year tenure with the FBI, Mr. Dorsey was assigned for 13+ years within the National Center for the Analysis of Violent Crime/Behavioral Analysis Unit (BAU). While assigned to the BAU, Mr. Dorsey was routinely tasked with providing Criminal Profiling expertise to a variety of investigations including kidnappings, serial homicides, and serial sexual assaults. During his BAU tenure, he was operationally deployed over 200 times to ongoing kidnapping investigations throughout the United States and other countries.



MICHAEL B. FAIRCLOTH, MD

**Medical Director, Student Health and Wellness Center
Associate Professor, Family and Community Medicine
The University of Alabama at Birmingham**

Dr. Michael Faircloth is an Associate Professor in the UAB Department of Family and Community Medicine. He received his B.S. in Microbiology from The University of Alabama and his M.D. from the University of Alabama School of Medicine, eventually completing a Family Medicine Residency at UAB. After a 14-year career in private practice, Dr. Faircloth returned to UAB as faculty in the Department of Family and Community Medicine, Division of Student Health Services, and was named Division Director in 2009. He is currently serving as Medical and Laboratory Director of the newly integrated UAB Student Health & Wellness Center.

SPEAKER BIOS



GREG SANKEY

Executive Associate Commissioner & Chief Operating Officer, Southeastern Conference (SEC)

Greg Sankey is currently in his thirteenth year as a member of the Southeastern Conference staff and was promoted in March 2012 to Executive Associate Commissioner and Chief Operating Officer. He is an integral part of Commissioner Mike Slive's team charged with reshaping the SEC's governance, enforcement and compliance program. Sankey oversees the SEC's personnel and internal operations, works closely with Commissioner Slive on supporting the progress of the Conference, and is active within the NCAA committee structure, which includes his current service on the Division I Legislative Council, Football Recruiting Subcommittee and as a member of the Division I NCAA Committee on Infractions.

Prior to joining the SEC staff, Sankey was Commissioner of the Southland Conference for nearly seven years. Sankey joined the Southland Conference staff in 1992 where he served as both assistant and associate commissioner, prior to earning the Commissioner's title in 1996. He joined the Southland Conference office in 1992 after working as director of compliance and academic services at Northwestern State University in Natchitoches, Louisiana. While at Northwestern State, Sankey also coached the Demons' golf teams for two years, earning the Golf Coaches Association of America District VI Coach of the Year award in 1991. Before moving to Louisiana, he was the director of intramural sports at Utica College in upstate New York.

A native of Auburn, New York, Sankey earned his master's degree from Syracuse University and his undergraduate degree from the State University of New York College at Cortland.



COOPER SHATTUCK

General Counsel, The University of Alabama System

The General Counsel of The University of Alabama System represents the Board of Trustees, affiliated entities and senior management in all legal matters and is responsible for the delivery of all legal services for the System, its three campuses and affiliated entities, including the retention and management of outside counsel. To fulfill these responsibilities, the General Counsel staffs, organizes and manages the attorneys and support staff strategically located throughout the System. Shattuck serves on the Chancellor's Senior Staff and also serves as Executive Director of The University of Alabama System's Gulf State Park Project.

Prior to joining the System, Shattuck served as the Chief Legal Advisor for Governor Robert Bentley, and previously in private practice in Tuscaloosa. He earned a B.S. in Economics from Georgia Tech in 1987 and his J.D. from The University of Alabama School of Law in 1990, where he was a member of the Bench and Bar Legal Honor Society and served as president of the Student Farrah Law Society. He presently serves as Vice President of the Alabama State Bar and as an at-large Bar Commissioner. He is a past president of the Tuscaloosa County Bar Association, served as a Bar Commissioner for the Sixth Judicial Circuit prior to going to work for Governor Bentley. He has served and continues to serve the Alabama State Bar in numerous capacities, including various committees and task forces.

SMALL GROUP LUNCH DISCUSSION *(tentative)*

To facilitate conversation and collaboration between campus constituents, conference participants will be seated at tables with their peers from other campuses. Please use lunch to get to know one another better and to discuss your campus' efforts on topics such as Title IX, substance abuse, and social media.

Please see below for your small group:

Athletics

Kevin Almond
Jonathan Bowling
Corey Bray
EJ Brophy
Michael Derringer
Elisabeth Molen
Carol Park
Derita Ratcliffe
Mike Spearing
Julie Woltjen

Clery/Police

Aaron Fowler
Clay Hickman
Anthony Purcell
Mike Snellgrove
Zandral Washington
Miranda Whitney
Matt Wilsie

Compliance

Paula Cothren
Katie Crenshaw
Jereme Logan
Jim Pockstaller
Joe Roberson
Marilyn Thomas

Greek Affairs/ Student Involvement & Engagement

Kathleen Gillan
Nicole Jackson
Cara Dawn Jones
Kaitlin Kirk
Debbie Morgette
Ryan Powell
Amanda Wood

Hotline

Brian Bates
Teresa Bragg
John Cates
Muriel Foster
Davis McCrory
Cheryl Mowdy
Jim Sumner

Human Resources

Bobby Barnes
Anita Bonasera
Carrie Dinger
Laurel Long
Kelly Mayer
Gary Smith
Katie Thompson

Risk Management

Jeannine Bailes
Wade Bond
Connie Cook
Cathy Cooper
James Erwin
Chris O'Gwynn
Bob Pugh
Bob Rieder

Student Affairs

Todd Borst
Timothy A. Davis
Regina Hyatt
Molly Lawrence
Tracy Lyons
Andy Marsch
Toni Morgan
Kristi Motter

Student Health/Counseling

M. Jacob Baggott
Amelia Bomar
Valerie DuBose
Michael Faircloth, M.D.
Margaret Garner
Lee Keyes
Shawra Rainer
Leslie Riley

Title IX

Terri Alexander
Emily Feinstein
Beth Howard
Robin Jones
Aisha Mahmood
Katie Osburne
Delois Smith
Nesha Smith

Threat Assessment/Emergency Preparedness

Kevin Bennett
Kyle G. Boyett
Mary Anne Canant
Charles Dorsey
Matt Kerch
John McGowan
Randy Pewitt
Laura Sanders

The University of Alabama System

Janice Abraham
Yardley Bailey
Ray Hayes
Charles Nash
Philip Reich
Cooper Shattuck
Chad Tindol
Erin Waits

