



The University of Alabama System

2018 Risk & Compliance Conference
Office of Internal Audit
April 17, 2018



THE UNIVERSITY OF ALABAMA SYSTEM

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Office of Internal Audit

*Internal Audit – Independent & Objective – Assurance
and Consulting Activity*

Internal Audit Defined

Promote and support a control-conscious, proactive risk management environment.

- ***Independent, objective*** assurance and consulting activity designed to add value and improve the System's operations.
- Helps the System accomplish its objectives by bringing a systematic, disciplined approach to ***evaluate and improve the effectiveness of risk management, control and governance processes.***

Internal Audit – Assurance and Consulting Areas

- **Compliance** – laws, regulations, policies, procedures and contractual agreements
- **Financial** – transactions and the systems/procedures used to process them
- **Information Security/Technology** – data confidentiality, integrity, availability and reliability
- **Operations** – performance and efficiency

Internal Audit Team

