REQUESTS FOR INFORMATION

UAS Risk & Compliance Conference Sid J. Trant, General Counsel & Board Secretary April 17, 2018



GENERAL COUNSEL

- Created by Bylaws
- "The General Counsel shall be appointed by the Chancellor with the approval of the Board. The relationship between the General Counsel and the Board of Trustees of the University shall be that of attorney-client and shall be governed at all times by the rules and standards of professional conduct. The General Counsel shall, as authorized by the Board, prosecute and defend claims, and engage outside counsel to represent the University's interests. The General Counsel and its staff will be available at all times to advise the Board on any matter involving the University's interests."

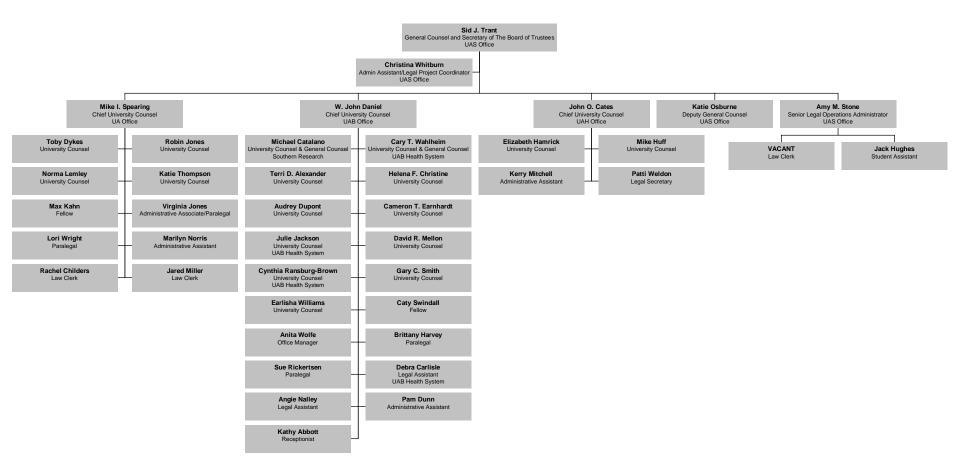


Mission Statement

The mission of the Office of Counsel is to provide comprehensive legal services to and for the benefit of its client, The Board of Trustees of The University of Alabama. The Office of Counsel furnishes legal services to the Board of Trustees directly, through its members and committees, and indirectly, through senior administrators for the University's System office, three campuses and affiliated foundations. Services are provided by attorneys and staff strategically located throughout The University of Alabama System.

The Office of Counsel will provide, manage, and coordinate legal services promptly, reliably, efficiently and professionally, and will ensure that the services provided through the use of outside counsel yield the best quality service at a reasonable cost. The Office of Counsel will exercise the highest ethical standards and professional competence, and will effectively communicate constructive advice based on independent judgment and thoughtful analysis.







We don't know what we don't know!



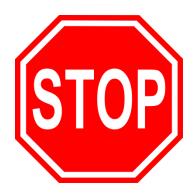


REQUESTS FOR INFORMATION

- Employment Records
- Interviews (Law Enforcement, Media, Etc.)
- Investigations (Government Agencies, Etc.)
- Lawyers
- Medical Records
- Open Records Requests
- Subpoena



REQUESTS FOR INFORMATION



DO NOT PROCEED ON YOUR OWN!



UAS 205-348-6763

UA 205-348-5490

UAB 205-934-3474

UAH 256-824-6633



OTHER INSTITUTIONAL RISKS

- Discrimination
- Fraud
- Social Media Posts
- Sexual Harassment/Assault

