



2018 Risk & Compliance Conference

HOSTED BY THE UA SYSTEM
OFFICE OF RISK AND COMPLIANCE

APRIL 17, 2018

9AM - 3:30PM • UAB NATIONAL ALUMNI SOCIETY HOUSE, BIRMINGHAM

UASYSTEM.EDU

 @UASRISK

SPEAKERS



Chip Bivins, Chief Audit Officer, The University of Alabama System

Marvell “Chip” Bivins, Jr. joined UAS in January 2017 after a 26-year career with Energen Corporation, including 13 years as Vice President for Audit and Compliance. Bivins oversees audit activity and staff for all UAS campuses. A Certified Public Accountant, he was an Audit Manager and worked with Deloitte for 10 years before joining the Energen team in 1989. Bivins holds two degrees from UA.



Julie Gresham, Senior Counsel and Director of Compliance, Huntington Ingalls Industries

Julie Gresham is a senior counsel and director of compliance at Huntington Ingalls Industries where her primary focus is the Ingalls Shipbuilding Division. Previously, Gresham was a partner with the Brunini Law Firm and practiced in the firm’s Gulf Coast office; worked as a communication consultant with Communication Counsel of America, Inc., preparing witnesses for Congressional hearings and working with companies to develop crisis communication plans; and worked with utility companies in various states preparing witnesses for rate-increase cases. Gresham received her B.A. in speech communication from the University of Southern Mississippi and her J.D. from the University of Mississippi.



C. Ray Hayes, Chancellor, The University of Alabama System

Chancellor C. Ray Hayes directs the planning, development, and assessment of all activities of UAS. He is the principal link between the Board of Trustees’ responsibility for policy and each campus president’s responsibility for operations, working closely with state and federal officials and agencies and leaders in K-12 and post-secondary education as well as the business community. Prior to his election as Chancellor, Hayes was Executive Vice Chancellor and Chief Operating Officer for UAS; Vice President for Finance and Administration at Mississippi State; and Executive Vice President for Finance and Administration at Texas A&M – Corpus Christi. He earned his bachelor’s and MBA from Mississippi State.



J.B. Perrine, Senior Counsel, Huntington Ingalls Industries

J.B. Perrine is a senior counsel at Huntington Ingalls Industries where he leads internal investigations at the Ingalls Shipbuilding Division. His responsibilities include conducting and supervising internal investigations, training personnel on investigative techniques and legal issues, government inquiries advising, and working to detect and minimize risks. Prior to joining Huntington Ingalls Industries, Perrine was a partner at Bailey & Glasser, LLP; an Assistant United States Attorney in the Middle District of Alabama; an attorney at Covington & Burling, LLP in its Washington and Brussels offices; and a clerk for the 5th Circuit, U.S. Court of Appeals. Perrine holds J.S.D. and LL.M. degrees from Yale Law School, a J.D. degree from UA, and a B.S. from UAB.

SPEAKERS



Steve Shaw, Coordinator of Football Officials, Southeastern Conference

Steve Shaw is the Coordinator of Football Officials for the Southeastern Conference and leads the Sun Belt Conference officiating program. Shaw has been selected for fourteen Post-Season assignments, including two National Championship games, eight BCS Bowls and four SEC Championship Games. Shaw served four years on the Board of Directors for the National Association of Sports Officials (NASO), serving as Chairman 2011 - 2012. He also is Chair of the CCA National Mechanics Committee and a member of the NCAA Football Rules Editorial Sub-committee. Steve retired in 2011 as the GM for AT&T in Birmingham with 30+ years of service. He is a UA graduate.



Chad Tindol, Vice Chancellor for Risk & Compliance, The University of Alabama System

Chad Tindol first joined the UA System in 2006. His current duties include coordinating risk management and compliance functions for the System; serving as senior advisor to the Chancellor; and providing legal advice and representation to the Board and its related entities. Tindol's prior roles include Associate Dean at the UA School of Law, and work as an attorney in the UA Office of Counsel. He previously practiced law in his native Coffee County, Alabama, and clerked for the federal courts in Montgomery. Chad received his B.A. from UA and his J.D. from Yale Law School.



Sid Trant, General Counsel/Secretary of The Board of Trustees, The University of Alabama System

Sid Trant joined UAS in 2017. As General Counsel, he represents The Board of Trustees and its institutions in all legal matters, and is responsible for the delivery of all legal service for the System, its three campuses and affiliated entities, including the retention and management of outside counsel. As the Board Secretary, Trant is the primary liaison to members of the Board and facilitates all communication with the Board and System leadership to enhance Board governance. Prior to joining UAS, Trant was a partner at Bradley Arant Boult Cummings LLP for more than 30 years providing transactional, regulatory, and litigation advice for businesses in the areas of environmental, energy, and natural resources. Trant earned his B.A. from UA and J.D. from Georgetown University.



John Watson, Executive Director, Higher Education Practice, Arthur J. Gallagher

John Watson provides consulting resources to higher education institutions and brings a wealth of industry knowledge and experience which can support college and university client's efforts in managing risks. Watson functions as an extension of the campus Risk Management department, bringing real life solutions to real life issues. Prior to joining Arthur J. Gallagher, Watson served as the Director of Risk Management at Pepperdine University for 16 years. He received his Bachelor of Business Administration from Loyola Marymount University.

AGENDA

9:00 – 9:30 a.m.	Breakfast & Registration	
9:30 – 9:45	Welcome	Chancellor Hayes
9:45 – 10:30	Best Practices in Internal Investigations	Julie Gresham JB Perrine
10:30 – 10:45	Break	
10:45 – 11:30	International Risks	John Watson
11:30 – 12:30 p.m.	Lunch & Roundtable Discussions	
12:30 – 12:45	Legal Update	Sid Trant
12:45 – 1:00	Internal Audit Update	Chip Bivins
1:00 – 1:30	Crisis Communications and Response	John Watson
1:30 – 2:00	Sustainable Compliance	Julie Gresham JB Perrine
2:00 – 2:15	Break	
2:15 – 3:15	Risk and Compliance: Lessons from SEC Football	Steve Shaw
3:15 – 3:30	Concluding Thoughts	Chad Tindol

